Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110
1210-0089

2009

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A This return/report is for: [X] single-employer plan [ ] multiple-employer plan (not multiemployer) [ ] one-participant plan

B This return/report is for: [ ] first return/report [ ] final return/report
[X] an amended return/report [ ] short plan year return/report (less than 12 months)

C Check box if filing under: [X] Form 5558 [ ] automatic extension [ ] DFVC program
[ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
BLACK DIAMOND HARLEY-DAVIDSON 401K PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/2008

2a Plan sponsor's name and address (employer, if for single-employer plan)
CABANESS AND ZIMBRO, INC. DBA BLACK DIAMOND HARLEY-DAVIDSON

2400 WILLIAMSON COUNTY PARKWAY
MARION, IL 62959

2b Employer Identification Number (EIN) 26-0449978

2c Plan sponsor's telephone number 618-997-4577

2d Business code (see instructions) 441221

3a Plan administrator's name and address (if same as Plan sponsor, enter "Same")
CABANESS AND ZIMBRO, INC. DBA BLACK DIAMOND HARLEY-DAVIDSON
2400 WILLIAMSON COUNTY PARKWAY
MARION, IL 62959

3b Administrator's EIN 26-0449978

3c Administrator's telephone number 618-997-4577

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. Sponsor's name

4b EIN

4c PN

5a Total number of participants at the beginning of the plan year	**5a**	29
b Total number of participants at the end of the plan year	**5b**	29
c Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)	**5c**	18

6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) [X] Yes [ ] No

b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) [X] Yes [ ] No

If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.

Part III Financial Information

7 Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year
a Total plan assets	7a	53305	298343
b Total plan liabilities	7b		
c Net plan assets (subtract line 7b from line 7a)	7c	53305	298343

8 Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
a Contributions received or receivable from: **(1)** Employers	8a(1)	29771	
(2) Participants	8a(2)	91362	
(3) Others (including rollovers)	8a(3)	53486	
b Other income (loss)	8b	70419	
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		245038
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		
e Certain deemed and/or corrective distributions (see instructions)	8e		
f Administrative service providers (salaries, fees, commissions)	8f		
g Other expenses	8g		
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		0
i Net income (loss) (subtract line 8h from line 8c)	8i		245038
j Transfers to (from) the plan (see instructions)	8j		

Part IV Plan Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2A 2E 2F 2G 2J 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

10 During the plan year:		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	
c Was the plan covered by a fidelity bond?	10c	X		50000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X	
f Has the plan failed to provide any benefit when due under the plan?	10f		X	
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X	
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i		X	

Part VI Pension Funding Compliance

11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) ☐ Yes ☒ No

12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? ☐ Yes ☒ No

(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month _____ Day _____ Year _____

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b Enter the minimum required contribution for this plan year	12b	
c Enter the amount contributed by the employer to the plan for this plan year	12c	
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d	

e Will the minimum funding amount reported on line 12d be met by the funding deadline? ☐ Yes ☐ No ☒ N/A

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year 13a

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? ☐ Yes ☒ No

c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	04/11/2012	RODNEY CABANESS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110
1210-0089

2009

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A This return/report is for: [X] single-employer plan [ ] multiple-employer plan (not multiemployer) [ ] one-participant plan

B This return/report is for: [ ] first return/report [ ] final return/report
[ ] an amended return/report [ ] short plan year return/report (less than 12 months)

C Check box if filing under: [X] Form 5558 [ ] automatic extension [ ] DFVC program
[ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
BLACK DIAMOND HARLEY-DAVIDSON 401K PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/2008

2a Plan sponsor's name and address (employer, if for single-employer plan)
CABANESS AND ZIMBRO, INC. DBA BLACK DIAMOND HARLEY-DAVIDSON

2400 WILLIAMSON COUNTY PARKWAY

MARION IL 62959

2b Employer Identification Number (EIN) 26-0449978

2c Plan sponsor's telephone number (618)997-4577

2d Business code (see instructions) 441221

3a Plan administrator's name and address (if same as Plan sponsor, enter "Same")
SAME

3b Administrator's EIN

3c Administrator's telephone number (618)997-4577

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. Sponsor's name

4b EIN

4c PN

5a Total number of participants at the beginning of the plan year	**5a**	29
b Total number of participants at the end of the plan year	**5b**	29
c Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)	**5c**	18

6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) [X] Yes [ ] No

b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) [X] Yes [ ] No

If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.

Part III Financial Information

7 Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year
a Total plan assets	**7a**	53,305	298,343
b Total plan liabilities	**7b**		
c Net plan assets (subtract line 7b from line 7a)	**7c**	53,305	298,343

8 Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
a Contributions received or receivable from: (1) Employers	**8a(1)**	29,771	
(2) Participants	**8a(2)**	91,362	
(3) Others (including rollovers)	**8a(3)**	53,486	
b Other income (loss)	**8b**	70,419	
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	**8c**		245,038
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	**8d**		
e Certain deemed and/or corrective distributions (see instructions)	**8e**		
f Administrative service providers (salaries, fees, commissions)	**8f**		
g Other expenses	**8g**		
h Total expenses (add lines 8d, 8e, 8f, and 8g)	**8h**		0
i Net income (loss) (subtract line 8h from line 8c)	**8i**		245,038
j Transfers to (from) the plan (see instructions)	**8j**		

2010-10-08 17:19 BLACK DIAMOND H-D 16189935558 >> 1-601-510-3510 P 2/3

Part IV Plan Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the Instructions:
2A 2E 2F 2G 2J 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the Instructions:

Part V Compliance Questions

10 During the plan year:		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See Instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	
c Was the plan covered by a fidelity bond?	10c	X		50,000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X	
f Has the plan failed to provide any benefit when due under the plan?	10f		X	
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X	
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			

Part VI Pension Funding Compliance

11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) ☐ Yes ☒ No

12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? ☐ Yes ☒ No

(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month _____ Day _____ Year _____

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b Enter the minimum required contribution for this plan year	12b	
c Enter the amount contributed by the employer to the plan for this plan year	12c	
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d	

e Will the minimum funding amount reported on line 12d be met by the funding deadline? ☐ Yes ☐ No ☐ N/A

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year 13a

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? ☐ Yes ☒ No

c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Rodney Cabaness	10/8/10	Rodney Cabaness
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	**Enter name of individual signing as employer or plan sponsor**

Department of Treasury
Internal Revenue Service
Ogden, UT 84201-0073

Re: 2009 5500 Late Filing
Cabaness and Zimbro, Inc. dba Black Diamond Harley-Davidson
2400 Williamson County Parkway
Marion, IL 62959
EIN # 26-0449978
Plan Year Ending: 12/31/2009

To Whom It May Concern:

As acting plan administrator of the above mentioned 401k plan I am writing this to explain how this error occurred. During the month of October 2010 our TPA experienced a system glitch with their web client services causing the filing pin to clear out of all electronically signed forms. This error was through no fault of the plan or the TPA. Our TPA has since severed all business ties with the company used in 2009 due to numerous filing issues for that plan year and we have been assured that this error will not occur again.

The original filing for this plan was uploaded to the DOL on 10/11/2010. As our TPA received a transmission log stating the plan filing was published successfully at that time they were unaware until today that the filing did not in fact process correctly. Please find the original transmission log received by the TPA on 10/11/2010 attached. It was only after we were made aware that this 401k plan was being audited that this error was discovered.

Given the outlined circumstances we are respectfully requesting that all penalties for this late filing be abated. This was an honest error that was through no fault of the plan or the TPA.

Sincerely,

Cabaness and Zimbro, Inc. dba Black Diamond Harley-Davison

m:\...\compliance\relius government forms data\2009 5500\befok040.rf9
EIN: 57-1172225 PN: 001 ID:

Notified e-mail address(es):
5500@dyatech.com - Other

Plan Info	Export successful.
5500-SF	Export successful.
5558	Export successful.

Publishing plan(s) to Web Client beginning at: 10/11/2010 12:21:19 PM

Attachment files published with planbook: befok040.rf9

TYPE=Other EFAST=True FORM=5500-SF LINE= 5500SF

Plan successfully published!
Publish to Web Client finished at: 10/11/2010 12:22:41 PM

LeaveXML INI Setting = True
Temp XML FilePath = c:\documents and settings\operations5\local settings\temp\rwc5304.tmp
LeaveExtAttTmpDir INI Setting = True

Relius Government Forms Web Client Publish Plans

Settings for this run:

Setting	Value
5500 Version	Relius Government Forms 5500
Product year & version.	2010 SP6
Publishing User	operations5
Plan Year	2009
Authentication Level ..	AUTOMATIC
Include History	True
Include Attachments ...	True
Extract SAR	False
Locked Fields	Use Plan Settings
Enable Filing	Use Plan Settings

XML Planbook Export processing began at 12:22:41 pm on 10/11/2010.

File Name Form Message

m:\...\compliance\relius government forms data\2009 5500\cag8j260.rf9
EIN: 26-0449978 PN: 001 ID:

Notified e-mail address(es):
5500@dyatech.com - Other

Plan Info	Export successful.
5500-SF	Export successful.
5558	Export successful.

Publishing plan(s) to Web Client beginning at: 10/11/2010 12:22:48 PM

Attachment files published with planbook: cag8j260.rf9

TYPE=Other EFAST=True FORM=5500-SF LINE= 5500SF

Plan successfully published!
Publish to Web Client finished at: 10/11/2010 12:24:42 PM

LeaveXML INI Setting = True
Temp XML FilePath = c:\documents and settings\operations5\local settings\temp\rwc5318.tmp
LeaveExtAttTmpDir INI Setting = True

Relius Government Forms Web Client Publish Plans

Settings for this run:

Setting	Value
5500 Version	Relius Government Forms 5500
Product year & version.	2010 SP6
Publishing User	operations5
Plan Year	2009
Authentication Level ..	AUTOMATIC
Include History	True
Include Attachments ...	True
Extract SAR	False
Locked Fields	Use Plan Settings
Enable Filing	Use Plan Settings

XML Planbook Export processing began at 12:24:42 pm on 10/11/2010.

File Name	Form	Message
m:\...\compliance\relius government forms data\2009 5500\cag7o440.rf9 EIN: 20-0823053 PN: 001 ID:		
	Notified e-mail address(es): 5500@dyatech.com - Other	
	Plan Info	Export successful.
	5500-SF	Export successful.
	5558	Export successful.

Publishing plan(s) to Web Client beginning at: 10/11/2010 12:24:49 PM

Attachment files published with planbook: cag7o440.rf9

TYPE=Other EFAST=True FORM=5500-SF LINE= 5500SF

Plan successfully published!
Publish to Web Client finished at: 10/11/2010 12:26:24 PM