Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Signature of DFE

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2011

					Inspection
Part I		tification Information			
For cale	ndar plan year 2011 or fiscal p	lan year beginning 01/01/2011			2/05/2011
A This	return/report is for:	a multiemployer plan;	a multi	ole-employer plan; or	
		a single-employer plan;	a DFE	(specify)	
		_	<u></u>		
B This	return/report is:	the first return/report;	X the fina	Il return/report;	
		an amended return/repor	t; X a short	plan year return/report (I	ess than 12 months).
C If the	plan is a collectively-bargaine	d plan, check here			
D Chec	k box if filing under:	Form 5558;	automa	itic extension;	the DFVC program;
2 000	sex ii iiii.g ui.uu.i	special extension (enter of	<u> </u>		
Part	II Rasic Plan Inform	nation—enter all requested info			
	ne of plan	enter an requested into	maton		1b Three-digit plan 001
	CS CENTER 401(K) PLAN				number (PN) ▶
					1c Effective date of plan
30 Dis-		Contratto and a second of the contrattors	/F '((01/01/1991
Za Piar	sponsor's name and address	, including room or suite number	(Employer, if for sing	e-employer plan)	2b Employer Identification Number (EIN)
THE GE	NETICS CENTER				11-3018761
					2c Sponsor's telephone
					number 631-862-3620
48 ROU			UTE 25A		2d Business code (see
STE 205 SMITHT	OWN, NY 11787	STE 20 SMITH	ITOWN, NY 11787		instructions)
					621498
Caution	: A penalty for the late or inc	complete filing of this return/re	port will be assesse	d unless reasonable ca	use is established.
Under pe	enalties of perjury and other pe	enalties set forth in the instruction	ns, I declare that I hav	e examined this return/re	port, including accompanying schedules,
statemer	nts and attachments, as well a	s the electronic version of this ref	turn/report, and to the	best of my knowledge ar	nd belief, it is true, correct, and complete.
SIGN HERE	Filed with authorized/valid ele	ctronic signature.	06/01/2012	DAVID HYMAN	
HEKE	Signature of plan administ	rator	Date	Enter name of individ	dual signing as plan administrator
SIGN					
HERE	Signature of employer/plan	n sponsor	Date	Enter name of individ	dual signing as employer or plan sponsor
SIGN					
HERE					

Date

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2011) v.012611

Enter name of individual signing as DFE

Form 5500 (2011) Page **2**

	Plan administrator's name and address (if same as plan sponsor, enter "Same") HE GENETICS CENTER		dministrator's EIN -3018761
ST	ROUTE 25A TE 205 MITHTOWN, NY 11787		Iministrator's telephone umber 631-862-3620
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN the plan number from the last return/report:	and	4b EIN
а	Sponsor's name		4c PN
5	Total number of participants at the beginning of the plan year	5	11
6	Number of participants as of the end of the plan year (welfare plans complete only lines 6a, 6b, 6c, and 6d).		1
_		C-	0
а	Active participants	6a	0
b	Retired or separated participants receiving benefits	6b	0
С	Other retired or separated participants entitled to future benefits	6c	0
			0
d	Subtotal. Add lines 6a , 6b , and 6c	6d	0
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	6e	0
f	Total. Add lines 6d and 6e	6f	0
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans		
	complete this item)	6g	0
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
8a b	2E 2F 2G 2J 2T 3D		
9a	Plan funding arrangement (check all that apply) 9b Plan benefit arrangement (check all that	t apply))
	(1) Insurance (2) Code section 412(e)(3) insurance contracts (3) X Trust (3) (4) General assets of the sponsor (1) Insurance (2) Code section 412(e)(3) insurance (3) X Trust Trust (4) General assets of the sponsor	nsurand	ce contracts
10	Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the numb	er attac	ched. (See instructions)
а	Pension Schedules b General Schedules		
	(1) R (Retirement Plan Information) (1) H (Financial Inform	ation)	
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) A (Insurance Information C (Service Provide)	mation)	,
	(3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary (5) D (DFE/Participating G) (6) G (Financial Transfer Company C) (6) C (Financial Transfer C) (7) C (Financial T) (7) C (F	-	

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2011

This Form is Open to Public Inspection

, ,	***************************************
For calendar plan year 2011 or fiscal plan year beginning 01/01/2011	and ending 12/05/2011
A Name of plan GENETICS CENTER 401(K) PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 THE GENETICS CENTER	D Employer Identification Number (EIN) 11-3018761

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	930301	0
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	930301	0
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)	5974	
	(2) Participants	. 2a(2)	26954	
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	-21886	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		11042
е	Benefits paid (including direct rollovers)	. 2e	941343	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	. 2h		
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		941343
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-930301
ı	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
	Participant loans			X	

Page 2 -

Schedule I (Form 5500) 2011

		Γ	Yes	No		Amount	
24	Loons (other than to portisinants)	26	169	X		AIIIOUIII	<u> </u>
	Loans (other than to participants)	3f		X			
g	Tangible personal property	3g		X			
_							
	rt II Compliance Questions		I	1	1		
4	During the plan year:		Yes	No		Amoun	t
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X			
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance	4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e	X				250000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j	X				
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	× Ye	s N	lo A	Amount:		0
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	n(s) to w	vhich assets	s or liabilitie	es were
	5b(1) Name of plan(s)			5b(2)) EIN(s)		5b(3) PN(s)

SCHEDULE R (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

Retirement Plan Information

File as an attachment to Form 5500.

OMB No. 1210-0110

2011

This Form is Open to Public Inspection.

	Pension Benefit Guaranty Corporation							
For	calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and e	ending	12/05/2	011				
A N GEN	Name of plan ETICS CENTER 401(K) PLAN		ee-digit n numbe	er •	00	01		
	Plan sponsor's name as shown on line 2a of Form 5500 GENETICS CENTER		oloyer Ide		on Numbe	r (EIN)		
Pa	art I Distributions							
	references to distributions relate only to payments of benefits during the plan year.							
1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions		1					0
2	Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries dur payors who paid the greatest dollar amounts of benefits):	ring the yea	ır (if mor	e than tv	vo, enter E	INs of	the to	wo
	EIN(s): 04-6568107							
	Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.							
3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the year.		3					
P	Funding Information (If the plan is not subject to the minimum funding requirements of ERISA section 302, skip this Part)	of section c	of 412 of	the Inte	rnal Reven	ue Co	de or	
4	Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?			Yes	□ No	o		N/A
	If the plan is a defined benefit plan, go to line 8.							
5 6	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Mon If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the re a Enter the minimum required contribution for this plan year (include any prior year accumulated fun deficiency not waived)	mainder o ding		y hedule.		ear		_
	b Enter the amount contributed by the employer to the plan for this plan year		6b					
	C Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c					
	If you completed line 6c, skip lines 8 and 9.			ı				
7	Will the minimum funding amount reported on line 6c be met by the funding deadline?			Yes	□ No)		N/A
8	If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or cauthority providing automatic approval for the change or a class ruling letter, does the plan sponsor or administrator agree with the change?	r plan		Yes	□ No)		N/A
Pa	art III Amendments							
9	If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.	ease	Decre	ase	Both		∏ N∈	o
Pa	rt IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(skip this Part.	(e)(7) of the	Interna	l Reveni	ue Code,			
10	Were unallocated employer securities or proceeds from the sale of unallocated securities used to repart	ay any exe	mpt loan	?	📃	Yes		No
11	a Does the ESOP hold any preferred stock?				[]	Yes		No
	b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a " (See instructions for definition of "back-to-back" loan.)				<u> </u>	Yes		No
12	Does the ESOP hold any stock that is not readily tradable on an established securities market?					Yes		No

Part	V Additional Information for Multiemployer Defined Benefit Pension Plans
13 Er	ter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in
d	ollars). See instructions. Complete as many entries as needed to report all applicable employers. Name of contributing employer
b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):
а	Name of contributing employer
b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):
а	Name of contributing employer
<u>u</u> b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):
а	Name of contributing employer
b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
e	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):
а	Name of contributing employer
b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):
а	Name of contributing employer
b	EIN C Dollar amount contributed by employer
d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year
е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):

_		•
Н	ane	
•	~5~	

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of participant for:	the	,
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	C The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to ma employer contribution during the current plan year to:	ike an	
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:	•	
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, c supplemental information to be included as an attachment.		_ _
P	art VI Additional Information for Single-Employer and Multiemployer Defined Benef	it Pens	ion Plans
18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see in information to be included as an attachment	struction	ns regarding supplemental
19	If the total number of participants is 1,000 or more, complete items (a) through (c)		
	Enter the percentage of plan assets held as: Stock:% Investment-Grade Debt:% High-Yield Debt:% Real Estate: Provide the average duration of the combined investment-grade and high-yield debt: 0-3 years 3-6 years 6-9 years 9-12 years 12-15 years 15-18 years 18-16 years		
	C What duration measure was used to calculate item 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):		

Form **8955-SSA**

Department of the Treasury Internal Revenue Service

PART I

Annual Registration Statement Identifying Separated Participants With Deferred Vested Benefits

Under Section 6057 of the Internal Revenue Code

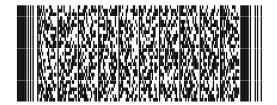
Annual Statement Identification Information

OMB No. 1545-2187

2011

This Form is NOT Open to Public Inspection

For the plan year beginning 01/01/201	.1		, and ending 12/0	5/2011	
A □ Check here if plan is a governmen	nt, church, or other plan that e	lects to voluntarily	file Form 8955-SSA. (See instruction	s.)
B □ 1 Check here if this is an amended	registration statement.		•		,
C Check the appropriate box if filing	g under: ☐ Form 5558		Automatic extension		
	☐ Special exter	nsion (enter descr	ription)		
PART II Basic Plan Infor	mation - enter all reque	ested informa	ation		
1a Name Genetics Center 401(k) Plan				1b Plan Number (PN)
of plan					001
Plan Sponsor Information					
2a Plan sponsor's name				2b Employer	Identification Number (EIN)
The Genetics Center					11-3018761
2c Trade name (if different from plan spo	ansor namo)			2d Plan spor	nsor's phone number
20 Trade fiame (ii different from plan spo	onsor name)				531-862-3620
0. 1					331 002 3020
2e In care of name					
		1		T	T
2f Mailing address (room, apt., suite no.	and street, or P.O. Box)	2g City		2h State	2i ZIP code
48 Route 25a Ste 205	.	Smithtown		NY	11787
2j Foreign province (or state)	2k Foreign country			2l Foreign p	ostal code
Plan Administrator Information					
3a Plan administrator's name (if other the ${\tt SAME}$	an plan sponsor)			3b Employer	Identification Number (EIN)
3c In care of name				3d Plan adm	inistrator's phone number
0-14-16		05 015		0 04-4-	Oh ZID anda
3e Mailing address (room, apt., suite no.	. and street, or P.O. Box)	3f City		3g State	3h ZIP code
	T				
3i Foreign province (or state)	3j Foreign country			3k Foreign p	ostal code
				. =	
4 If the name or EIN of the plan adminis Plan administrator's name	strator has changed since the	last return filed fo	or this plan, enter the na	ame and EIN fr EIN	om the last filed return:
5 If the name or EIN of the plan sponso	or has changed since the last r	eturn filed for this	nlan enter the name	I =IN_and plan r	number from that return:
Plan sponsor's name	That thanged since the last i	ctarri med for time	plan, enter the name, i	EIN	Plan Number (PN)
6 a. Participants who separated with a c	deferred vested benefit require	d to be reported	on this Form 8955-SSA	<u> </u>	6a 0
b. Participants who separated with a c	deferred vested benefit volunta	arily reported on tl	nis Form 8955-SSA		
in the same year as the separation	occurred				6b 0
7 Total number of participants reported					
8 Did the plan administrator provide an					
Under penalties of perjury, I decla					
Sign Here Signature of plan sp	oonsoi Da	te signed	Signature of plan adn	iiiiistrator	Date signed





Form **8955-SSA** (2011) Page 2 of 2 **Page 2.1**

 Name
 Genetics Center 401(k) Plan
 Plan Number
 EIN

 of plan
 001
 11-3018761

PART III Participant Information – enter all requested information

- 9 Enter one of the following Entry Codes in column (a) for each separated participant with deferred vested benefits who:
- Code A has not previously been reported.
- Code B has previously been reported under the above plan number, but whose previously reported information requires revisions.
- Code C has previously been reported under another plan, but who will be receiving benefits from the plan listed above instead.
- Code D has previously been reported under the above plan number, but whose benefits have been paid out or who is no longer entitled to those deferred vested benefits.

Use with entry code "A", "B", "C", or "D"						Use with entry code "A" or "B"				Entry code "C" only	
(a) Entry Code	(b) Social Security Number (or FOREIGN)	(c) Name of Participant (See instructions.)				Enter code for nature and form of benefit		Amount of vested benefit		(h) Previous	(i) Previous
		First name	M.I.	Last name	1	(d) Type of annuity	(e) Payment frequency	(f) Defined benefit plan — periodic payment	(g) Defined contribution plan — total value of account	sponsor's EIN	plan number



