Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Annual Report Identification Information For calendar plan year 2011 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan A This return/report is for: the first return/report **B** This return/report is: the final return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 automatic extension DFVC program C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit plan number INDUSTRIAL ACOUSTICS COMPANY, INC. EMPLOYEES TAX (PN) ▶ 003 1c Effective date of plan 01/01/1987 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number INDUSTRIAL ACOUSTICS COMPANY 13-1713318 (EIN) 2c Sponsor's telephone number 718-430-4541 1160 COMMERCE AVE. 2d Business code (see instructions) BRONX, NY 10462-5506 332900 3a Plan administrator's name and address (if same as plan sponsor, enter "Same") 3b Administrator's EIN 13-1713318 INDUSTRIAL ACOUSTICS COMPANY 1160 COMMERCE AVE. BRONX, NY 10462-5506 3c Administrator's telephone number 718-430-4541 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN 13-1713318 name, EIN, and the plan number from the last return/report. Sponsor's name INDUSTRIAL ACOUSTICS COMPANY 4c PN 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... 85 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 79 complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 7313225 7134563 Total plan assets..... 7a 7b Total plan liabilities..... 7313225 7134563 Net plan assets (subtract line 7b from line 7a)..... 7с Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 56771 8a(1) (1) Employers 303733 (2) Participants 8a(2) 141014 (3) Others (including rollovers)..... 8a(3) 2322 **b** Other income (loss)..... 8b 503840 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с Benefits paid (including direct rollovers and insurance premiums 659668 to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e 21583 Administrative service providers (salaries, fees, commissions)....... 8f 1251 Other expenses..... 8g 682502 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h -178662 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions)

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Page 2 -	1	
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Part IV	Plan	Characteristic	
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- 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2J 2F 2G 2K 2R 3D 2T
 - If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V	Compliance Questions							
0	Duri	ng the plan year:		Yes	No		Amo	ount	
а		there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b		e there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ne 10a.)	10b		X				
С	Was	s the plan covered by a fidelity bond?	10c	X					500000
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud shonesty?	10d		X				
е	insu	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, rance service or other organization that provides some or all of the benefits under the plan? (See uctions.)	10e	X					14558
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did t	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X					138287
h		s is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X				
i	· '								
art	VI	Pension Funding Compliance							
11	Is thi	s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com					—— Г	Yes	X No
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						Yes	X No
		es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru ting the waiver							
lf y	ou c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_					
b	Ente	r the minimum required contribution for this plan year			12b				
С	C Enter the amount contributed by the employer to the plan for this plan year								
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left tive amount)			12d				
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	1	No	N/A
art	VII	Plan Terminations and Transfers of Assets							
3a	Has	a resolution to terminate the plan been adopted in any plan year?			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	res X	No		
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year	1	3a	<u>, — — </u>				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought e PBGC?	under	the co	ontrol			Yes	X No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)	he pla	n(s) to)				
1	3c(1)	Name of plan(s):		13	c(2) El	IN(s)		13c(3) PN(s)
Caut	ion: A	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	use is	estab	lished.			
		alties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret					icable,	a Sch	edule

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/13/2012	LYNN NIXON
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	06/13/2012	THOMAS MULLIGAN
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor