Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2011

This Form is Open to Public Inspection

Р	Complete all entries in accord	dance witl	n the instructions to the Form 5500)-SF.			
Pa	art I Annual Report Identification Information						
For	calendar plan year 2011 or fiscal plan year beginning 01/01/201	1	and ending 1.	2/31/2	2011		
В		the final r	-employer plan (not multiemployer) eturn/report in year return/report (less than 12 mo	onths)	_	·	
C	Check box if filing under: Form 5558	automatio	extension		DFVC progra	m	
	special extension (enter description	n)					
Pa	Irt II Basic Plan Information—enter all requested information	ation					
1a	Name of plan			1b	Three-digit		
	F PRISON EDUCATION PROGRAM 401 K PROFIT SHARING PLAI	N TRUST			plan number (PN)	001	
				1c	Effective date of 01/01/		
2a POS	Plan sponsor's name and address; include room or suite number (er T PRISON EDUCATION PROGRAM	mployer, if	for a single-employer plan)	2b	Employer Identification (EIN) 20-484		r
DO B	OX 45038			2c	Sponsor's teleph 206-524		
	TLE, WA 98145-0038			2d	Business code (s		s)
	Plan administrator's name and address (if same as plan sponsor, er PRISON EDUCATION PROGRAM PO BOX 4503	38	,	3b	Administrator's E		
	SEATTLE, W.	A 98145-0	038	3с	Administrator's to 206-524		ber
4	If the name and/or EIN of the plan sponsor has changed since the la	ast return/	report filed for this plan, enter the	4b	EIN		
_	name, EIN, and the plan number from the last return/report.			4c	DNI		
_	Sponsor's name				T T		
Ja	Total number of participants at the beginning of the plan year		•	5a			
b	Total number of participants at the end of the plan year			5b			6
С	Number of participants with account balances as of the end of the participants item)	• '	•	5c			1
_	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either 6a or 6b, the plan cannot use Fo	an indeper and conditi	ident qualified public accountant (IQF ons.)	PA)		X Yes X	No No
Pa	rt III Financial Information	31111 3300-	or and mast mistead use r orm soc				
			()5				
7	Plan Assets and Liabilities	_	(a) Beginning of Year		(b) End	or Year 1215	
a	Total plan assets	7a		-			
D	Total plan liabilities		0			0	
С	Net plan assets (subtract line 7b from line 7a)	7c	0	-		1215	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal	
а	Contributions received or receivable from:	0-(4)	0				
	(1) Employers	8a(1)	1255	_			
	(2) Participants	8a(2)		_			
	(3) Others (including rollovers)	8a(3)	0				
b	Other income (loss)	8b	-40				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				1215	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	0				
е	Certain deemed and/or corrective distributions (see instructions) \ldots	8e	0				
f	Administrative service providers (salaries, fees, commissions)	8f	0				
g	Other expenses	. 8g	0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)					0	
i	Net income (loss) (subtract line 8h from line 8c)					1215	
j	Transfers to (from) the plan (see instructions)		0				
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Form 5500-SF 2011		

Form 5500	-SF 2011	Page 2 - 1	
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Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2G 2J 2T 3D

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

a	During the plan year:		Yes	No		Amount	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		Amount	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c		Χ			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
art	VI Pension Funding Compliance		•		•		
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))						s X N
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						s X N
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction the universe.	ctions,	and e		ha data		
	granting the waiver. Mont						
-	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	th		Day			
b	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Enter the minimum required contribution for this plan year	th	 [Day 12b			
b c	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of	th of a	 [Day			
b c d	Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)	th of a	[12b 12c 12d		Year	
b c d	Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount) Will the minimum funding amount reported on line 12d be met by the funding deadline?	th of a	[12b 12c 12d	'	Year	
b c d	Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount) Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets	of a	 	12b 12c 12d	Ye	Year	
b c d	Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount) Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets Has a resolution to terminate the plan been adopted in any plan year?	of a		12b 12c 12d	Ye	Year	
b c d e art 3a	Enter the minimum required contribution for this plan year Enter the amount contributed by the employer to the plan for this plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount) Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets	of a		12b 12c 12d	Ye	Year	N/A
b c d e art 3a	Enter the minimum required contribution for this plan year	of a		12b 12c 12d	Ye	Year	
b c d e art 3a b	Enter the minimum required contribution for this plan year	of a	Ba he co	Day 12b 12c 12d	Ye	Year	N/A
b c d e art 3a b	Enter the minimum required contribution for this plan year	of a	Ba he co	Day 12b 12c 12d	Yes >	Year	N/A

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/15/2012	POST PRISON EDUCATION PROGRAM
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor