Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

	Complete all entries in acc	ordance wit	h the instructions to the Form 5500)-SF.			
Pa	art I Annual Report Identification Information						
For	calendar plan year 2011 or fiscal plan year beginning 01/01/2	011	and ending 1.	2/31/2	2011		
Α.	This return/report is for: X a single-employer plan	a multiple	e-employer plan (not multiemployer)		a one-particip	ant plan	
	This return/report is:	Ħ .	eturn/report	Į.		·	
Ь		H	•				
	an amended return/report	a short pla	an year return/report (less than 12 mo	onths)			
С	Check box if filing under: Form 5558	automatio	extension		DFVC progra	m	
	special extension (enter descrip	otion)					
Pa	art II Basic Plan Information—enter all requested info	rmation					
	Name of plan	mation		1h	Three-digit		
	AP INSTALLATIONS & CONSTRUCTION CO., INC. 401(K) SAVI	NGS AND P	ETIDEMENT DI ANI	10	plan number		
DEG	AT INSTALLATIONS & CONSTRUCTION CO., INC. 401(R) SAVI	NOO AND IX	ETINEMENT LAN		(PN) ▶	001	
				1c	Effective date of	nlan	
					10/01/		
2a	Plan sponsor's name and address; include room or suite number	(employer i	for a single-employer plan)	2h	Employer Identif		ır
	AP INSTALLATIONS & CONSTRUCTION CO., INC.	(cripioyer, ii	Tor a single employer plant		(EIN) 11-28		71
					Sponsor's telepl		
				20	516-414		
4 4Th	H ST DEN CITY PARK, NY 11040-4434			24	Business code (·0)
OAI	DEN OHTTAKK, NT 11040-4434			Zu	23821		15)
20	Diagrams in interest and a second and diagrams (if a second a second as a seco		.,,,	2 h			
	Plan administrator's name and address (if same as plan sponsor, AP INSTALLATIONS & CONSTRUCTION CO., INC. 4 4TH ST	, enter Same	÷)	30	Administrator's E 11-28		
DEO		CITY PARK,	NY 11040-4434	30	Administrator's t		her
				00	516-414	-4890	DCI
4	If the name and/or EIN of the plan sponsor has changed since the	e last return/	report filed for this plan, enter the	4b	FIN		
	name, EIN, and the plan number from the last return/report.						
а	Sponsor's name			4c	PN		
5a	Total number of participants at the beginning of the plan year			5a			3
b	Total number of participants at the end of the plan year			5b			-
			•	่อม			
С	Number of participants with account balances as of the end of th complete this item)		•	5c			2
						X Yes	No
-	Were all of the plan's assets during the plan year invested in elig	-	` ,			V les [NO
b	Are you claiming a waiver of the annual examination and report under 29 CFR 2520.104-46? (See instructions on waiver eligibili					X Yes	No
	If you answered "No" to either 6a or 6b, the plan cannot use	•	,			Ш ТТ Ш	
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Denimina of Veen		(la)	of Voca	
-		_	(a) Beginning of Year 279894		(b) End	227423	
а	Total plan assets						
b	Total plan liabilities	<u>7b</u>	0			0	
C	Net plan assets (subtract line 7b from line 7a)	7c	279894			227423	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total			
а	Contributions received or receivable from:						
	(1) Employers	8a(1)	0	_			
	(2) Participants	8a(2)	0				
	(3) Others (including rollovers)	8a(3)	0				
b	Other income (loss)		9118				
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)					9118	
d	Benefits paid (including direct rollovers and insurance premiums						
u	to provide benefits)		60844				
е	Certain deemed and/or corrective distributions (see instructions)		0				
_			745				
f	Administrative service providers (salaries, fees, commissions)			-			
g	Other expenses		0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				61589	
i	Net income (loss) (subtract line 8h from line 8c)	8i				-52471	
j	Transfers to (from) the plan (see instructions)	8j	0				
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Form 5500-SF 2011

Part IV	Plan Characteristics
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If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

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2E 2F 2G 2J 2K 2T 3D

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

During the plan year:		Yes	No		Am	ount	
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a	X					40
re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.)							
Was the plan covered by a fidelity bond?	10c	Χ					4700
Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
s the plan failed to provide any benefit when due under the plan?			X				
J Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	Χ					3686
If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
t VI Pension Funding Compliance							
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500))						Yes	N
Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	e or se	ction 3	302 of	ERISA?		Yes	X N
Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)	e or se	ction 3	302 of	ERISA?		Yes	X N
, ,	ctions,	and e	nter th	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru	ctions,	and e	nter th	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver	ctions, nth	and e	nter th	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mor you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	ctions,	and e	nter th Day	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru granting the waiver	ctions, nth of a	and e	nter th Day	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mor you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Enter the minimum required contribution for this plan year. Enter the amount contributed by the employer to the plan for this plan year. Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left)	ctions,	and e	nter th Day 12b 12c 12d	e date o	of the le	tter rulii	ng ——
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mor you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Enter the minimum required contribution for this plan year. Enter the amount contributed by the employer to the plan for this plan year. Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	ctions,	and e	nter th Day 12b 12c 12d	e date o	of the le	tter rulii	
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(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver	of a	and e	12b 12c 12d	e date o	of the le	tter rulii	ng
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mor you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. Enter the minimum required contribution for this plan year. Enter the amount contributed by the employer to the plan for this plan year. Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline? Has a resolution to terminate the plan been adopted in any plan year?	of a	and e	12b 12c 12d	e date o	of the le Yea	tter rulii	ng N/A
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver	of a	and e	12b 12c 12d	e date o	of the le Yea	tter rulii	ng N/A
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver	of a	and e	12b 12c 12d	Yes X	of the le Yea	tter rulii	N/A
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru granting the waiver	of a	and e	12b 12c 12d	Yes X	of the le Yea	ves	N/A

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/02/2012	DAVID CAPORALE				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	07/02/2012	DAVID CAPORALE				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				