|  | Form 5500-SF  | Short Form Annual Return/Report of Small Employee<br>Benefit Plan |              |  |        |                                      | OMB Nos. 1210-0110<br>1210-0089 |  |
|--|---|---|--------------|--|--------|--------------------------------------|---------------------------------|--|
| Department of the Treasury<br>Internal Revenue Service This form is required to be fi  |   |   |              |  | 2011   |                                      |                                 |  |
| Department of Labor I his form is required to be filed<br>Retirement Income Security Act of  |   |   |              | I under sections 104 and 4065 of the Employee<br>1974 (ERISA), and sections 6057(b) and 6058(a) of<br>Revenue Code (the Code). |        |                                      | This Form is Open to Public     |  |
| P  | ension Benefit Guaranty Corporation   | Complete all entries in accord                                    | dance witl   | n the instructions to the Form 5500  | )-SF.  | Ins                                  | pection                         |  |
| -  |   | entification Information  |              |  |        |                                      |                                 |  |
| For  | calendar plan year 2011 or fisca  | _   | 1            | and ending 1   | 2/31/2 | 2011                                 |                                 |  |
| Α -  | This return/report is for:  | a single-employer plan  | a multiple   | -employer plan (not multiemployer)   |        | a one-partici                        | oant plan                       |  |
| В -  | This return/report is:  | the first return/report   | the final r  | eturn/report   |        |                                      |                                 |  |
|  |   | an amended return/report  | a short pla  | n year return/report (less than 12 mc  | onths) | )                                    |                                 |  |
| C  | Check box if filing under:  | Form 5558   | automatic    | extension  |        | DFVC progra                          | ım                              |  |
|  |   | special extension (enter description                              | on)          |  |        |                                      |                                 |  |
| Pa   | rt II Basic Plan Inform   | nation—enter all requested inform                                 | ation        |  |        |                                      |                                 |  |
|  | Name of plan  | ENCY SERVICES 401(K) PLAN   |              |  | 1b     | Three-digit<br>plan number<br>(PN) ▶ | 001                             |  |
|  |   |   |              |  | 1c     | Effective date o<br>01/01            | •                               |  |
| 2a<br>ANIM   | Plan sponsor's name and addre   | ess; include room or suite number (e<br>SENCY SERVICES            | mployer, if  | for a single-employer plan)  | 2b     | Employer Identi<br>(EIN) 91-21       | fication Number<br>09441        |  |
| 1153   |   |   |              |  | 2c     | Sponsor's telep<br>206-36            |                                 |  |
| 11536 LAKE CITY WAY NE<br>SEATTLE, WA 98125  |   |   |              |  | 2d     | Business code (<br>54194             | see instructions)               |  |
| <b>3a</b> Plan administrator's name and address (if same as plan sponsor, enter ANIMAL CRITICAL CARE & EMERGENCY SERVICES 11536 LAKE CIT |   |   |              |  |        |                                      | 09441                           |  |
|  |   | SEATTLE, W  |              |  |        | 206-364                              | elephone number<br>4-1660       |  |
| 4  | If the name and/or EIN of the p<br>name, EIN, and the plan numb   | lan sponsor has changed since the l                               | ast return/i | report filed for this plan, enter the  | 4b EIN |                                      |                                 |  |
| а  | Sponsor's name  |   |              |  | 4c     | PN                                   |                                 |  |
| 5a   | Total number of participants at   | the beginning of the plan year                                    |              |  | 5a     |                                      | 75                              |  |
| <b>b</b> Total number of participants at the end of the plan year  |   |   |              |  | 5b     |                                      |                                 |  |
| C  | Number of participants with accomplete this item)   | defined benefit plans do not                                      | 5c           |  | 55     |                                      |                                 |  |
| 6a   | Were all of the plan's assets d   | uring the plan year invested in eligib                            | le assets?   | (See instructions.)  |        |                                      | X Yes 🗌 No                      |  |
| b  | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)    Yes No |   |              |  |        |                                      |                                 |  |
| De   |   |   | orm 5500-    | SF and must instead use Form 550   | 00.    |                                      |                                 |  |
|  | rt III   Financial Informa  | ation   |              |  |        | <i>"</i>                             |                                 |  |
| 7  | Plan Assets and Liabilities   |   | _            | (a) Beginning of Year<br>1094865   |        | (b) End of Year<br>1135256           |                                 |  |
| a<br>h   | I   |   |              | 0  |        |                                      | 0                               |  |
| b  | •   | The from line Zel   |              | 1094865  |        |                                      | 1135256                         |  |
| <u> </u>   | Income, Expenses, and Transf  | 'b from line 7a)  | . 7c         |  |        | (b) Total                            |                                 |  |
| a  | Contributions received or recei   |   |              | (a) Amount   |        | (0)                                  | otai                            |  |
| u  |   |   | . 8a(1)      | 0  |        |                                      |                                 |  |
|  | (2) Participants  |   | . 8a(2)      | 8914   |        |                                      |                                 |  |
|  | (3) Others (including rollovers)  | )   | . 8a(3)      | 0  |        |                                      |                                 |  |
| b  | Other income (loss)   |   | . 8b         | 46209  |        |                                      |                                 |  |
| С  |   | 8a(2), 8a(3), and 8b)   | 8c           |  |        |                                      | 55123                           |  |
| d  |   | ollovers and insurance premiums                                   | . 8d         | 14101  |        |                                      |                                 |  |
| е  | Certain deemed and/or correct   | ive distributions (see instructions)                              | . 8e         |  |        |                                      |                                 |  |
| f  | Administrative service provider   | s (salaries, fees, commissions)                                   | . 8f         | 631  |        |                                      |                                 |  |
| g  | Other expenses  |   | . 8g         |  |        |                                      |                                 |  |
| h  | Total expenses (add lines 8d, 8   | 3e, 8f, and 8g)   | . 8h         |  |        |                                      | 14732                           |  |
| i  |   | e 8h from line 8c)  |              |  |        |                                      | 40391                           |  |
| j  | Transfers to (from) the plan (se  | ee instructions)  | 8j           |  |        |                                      |                                 |  |

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

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## Part IV Plan Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 2T 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part  | V  | Compliance Questions  |          |                                      |          |          |                     |
|---|--|---|----------|--------------------------------------|----------|----------|---------------------|
| 10  | Durir  | ng the plan year:   |          | Yes                                  | No       | Α        | mount               |
| а   |  | there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)                             | n<br>10a |                                      | x        |          |                     |
| b   |  | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)   |          |                                      | x        |          |                     |
| С   | Was  | the plan covered by a fidelity bond?  | 10c      | Х                                    |          |          | 150000              |
| d   | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   |   |          |                                      | Х        |          |                     |
| e   | insu   | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) |          |                                      | x        |          |                     |
| f   | Has  | the plan failed to provide any benefit when due under the plan?   | 10f      |                                      | Х        |          |                     |
| g   | Did the plan have any participant loans? (If "Yes," enter amount as of year end.)  |   | 10g      | Х                                    |          |          | 53784               |
| h   |  | s is an individual account plan, was there a blackout period? (See instructions and 29 CFR<br>0.101-3.)   | 10h      |                                      | Х        |          |                     |
| i   |  | h was answered "Yes," check the box if you either provided the required notice or one of the ptions to providing the notice applied under 29 CFR 2520.101-3   | 10i      |                                      |          |          |                     |
| Part  | VI   | Pension Funding Compliance  |          |                                      |          |          |                     |
| 11  |  |   |          |                                      |          |          |                     |
| 12  | ls th  | is a defined contribution plan subject to the minimum funding requirements of section 412 of the Code   | e or se  | ction 3                              | 302 of   | ERISA?   | Yes X No            |
|   | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)   |   |          |                                      |          |          |                     |
|   | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver |   |          |                                      |          |          |                     |
| lf y  | If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.  |   |          |                                      |          |          |                     |
| b   | D Enter the minimum required contribution for this plan year   |   |          |                                      | 12b      |          |                     |
| С   |  |   |          |                                      | 12c      |          |                     |
| d   | d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)   |   |          |                                      | 12d      |          | _                   |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?   |   |          |                                      |          | Yes      | No N/A              |
| Part VII Plan Terminations and Transfers of Assets  |  |   |          |                                      |          |          |                     |
| 13a   | Has a resolution to terminate the plan been adopted in any plan year?  |   |          |                                      | <u> </u> | res X No |                     |
|   | lf "Y€   | es," enter the amount of any plan assets that reverted to the employer this year  | 1        | 3a                                   |          |          |                     |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?   |  |   |          |                                      |          |          |                     |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) |  |   |          |                                      |          |          |                     |
| 13c(1) Name of plan(s):   |  |   |          | <b>13c(2)</b> EIN(s) <b>13c(3)</b> P |          |          | <b>13c(3)</b> PN(s) |
|   |  |   |          |                                      |          |          |                     |
| Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.   |  |   |          |                                      |          |          |                     |

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 07/04/2012 | JEAN M. MAIXNER  |  |  |  |  |
|------|---|------------|--|--|--|--|--|
| HERE | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |  |  |  |  |
| SIGN |   |            |  |  |  |  |  |
| HERE | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |  |  |  |  |