Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2011

This Form is Open to Public Inspection

| | | ruance wit | ii the instructions to the Form 5500 | -эг. | | | |
|--------|--|---|--|------------|----------------------------------|---------------|-----|
| | Part I Annual Report Identification Information | | | | | | |
| For | r calendar plan year 2011 or fiscal plan year beginning 01/01/20 |)11 | and ending 12 | 2/31/2 | .011 | | |
| A | This return/report is for: | a multiple-employer plan (not multiemployer) a one-participant plan | | | | | |
| В | This return/report is: the first return/report | the final r | eturn/report | | | | |
| | an amended return/report | a short pla | an year return/report (less than 12 mo | nths) | | | |
| С | C Check box if filing under: Form 5558 automatic extension | | | | DFVC program | | |
| | special extension (enter descript | tion) | | | | | |
| Pa | art II Basic Plan Information—enter all requested information | mation | | | | | |
| 1a | Name of plan | | | 1b | Three-digit | | |
| APPL | LIED HANDLING 401(K) RETIREMENT PLAN | | | | plan number | | |
| | | | _ | 4 - | (PN) • | 001 | |
| | | | | 1C | Effective date of pl 07/01/19 | | |
| | Plan sponsor's name and address; include room or suite number | (employer, i | for a single-employer plan) | 2b | Employer Identifica | ation Numbe | er |
| APP | PLIED HANDLING NW, INC. | | | | (EIN) 91-1760 | | |
| | | | | 2c | Sponsor's telepho | | |
| | 1 S 222ND ST | | | | 253-395-8 | | |
| KEN | IT, WA 98031-1938 | | | 2 a | Business code (se 444190 | e instruction | ıs) |
| 32 | Plan administrator's name and address (if same as plan sponsor, | enter "Same | 5") | 3h | Administrator's EIN | I | |
| | LIED HANDLING NW, INC. 8531 S 222 | | | | 91-1760 | 094 | |
| | KENT, WA | 90031-1930 | | 3с | Administrator's tele 253-395-8 | | ber |
| 4 | If the name and/or EIN of the plan sponsor has changed since the | ast return/ | report filed for this plan, enter the | 4b | EIN | | |
| _ | name, EIN, and the plan number from the last return/report. | | | 4c | DNI | | |
| | Sponsor's name Total number of participants at the beginning of the plan year | | | | PN | | 16 |
| | | | | <u>5a</u> | | | 16 |
| b | | | <u> </u> | 5b | | | 10 |
| С | Number of participants with account balances as of the end of the complete this item) | | | 5c | | | 16 |
| 6a | Were all of the plan's assets during the plan year invested in elig | ible assets? | (See instructions.) | | | X Yes | No |
| b | - , · · · · · · · · · · · · · · · · · · | | | | | Vaa □ | Na |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either 6a or 6b, the plan cannot use | | , | | | X Yes [| No |
| Da | art III Financial Information | FOITH 3300- | SF and must instead use Form 550 | υ | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | | (b) End of | Vacr | |
| , а | | 7a | (a) Beginning of Teal 2744756 | | | 2773040 | |
| b | | | 0 | | | 0 | |
| C | · | | 2744756 | | | 2773040 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | (b) Total | | al | |
| а | | | , , | | () | ··· | |
| | (1) Employers | 8a(1) | 9884 | 4 | | | |
| | (2) Participants | 8a(2) | 86850 | 4 | | | |
| | (3) Others (including rollovers) | 8a(3) | 0 | _ | | | |
| b | Other income (loss) | 8b | -13843 | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | 82891 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 46412 | | | | |
| е | | | 2688 | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 5507 | | | | |
| g | Other expenses | 8g | 0 | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | | | | | 54607 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | 28284 | |
| j | Transfers to (from) the plan (see instructions) | 8i | 0 | | | | |

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Part IV **Plan Characteristics 9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 2T 3D

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| art | V Compliance Questions | | | | | | | |
|---------------|--|--------|---------|--------|------------|----|--------|--------|
| 0 | During the plan year: | | Yes | No | | Am | ount | |
| а | as there a failure to transmit to the plan any participant contributions within the time period described in 9 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | X | | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | X | | | | |
| С | on line 10a.) | | | | | | | 250000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | | | | | |
| е | | | | | 5181 | | | |
| f | Has the plan failed to provide any benefit when due under the plan? | 10f | | X | | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | 10g | | X | | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | 10h | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | | |
| art | | | | | | | | |
| | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500)) | | | | | | Yes | ☐ No |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code | | | | | | Yes | X No |
| | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver | | | | | | | |
| | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | 1 | | | |
| b | Enter the minimum required contribution for this plan year | | - | 12b | | | | |
| | C Enter the amount contributed by the employer to the plan for this plan year | | | | | | | |
| | negative amount) | | | | | 1 | | |
| <u>e</u> | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | 3 | No | N/A |
| art | /II Plan Terminations and Transfers of Assets | | | | | _ | | |
| 3a | Has a resolution to terminate the plan been adopted in any plan year? | | | | Yes X | No | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 1 | 3a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC? | ınder | the co | ntrol | | | Yes | X No |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.) | e plar | n(s) to | | | | | |
| 1 | 13c(1) Name of plan(s): | | | | IN(s) | | 13c(3) | PN(s) |
| ` auti | on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable | e can | so is | ostah | lishad | | | |
| Jnde | penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/resource. Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/resource. | rn/rep | ort, in | cludir | ng, if app | | | |

belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 07/16/2012 | ERICA TUCKER |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | Filed with authorized/valid electronic signature. | 07/16/2012 | ERICA TUCKER |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |