

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2009 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009			
A This return/report is for:	☐ a multiemployer plan;	☐ a multiple-employer plan; or	
	☒ a single-employer plan;	☐ a DFE (specify) ____	
B This return/report is:	☐ the first return/report;	☐ the final return/report;	
	☒ an amended return/report;	☐ a short plan year return/report (less than 12 months).	
C If the plan is a collectively-bargained plan, check here.	☒		
D Check box if filing under:	☒ Form 5558;	☐ automatic extension;	☐ the DFVC program;
	☐ special extension (enter description)		

Part II	Basic Plan Information —enter all requested information		
1a Name of plan ROCKWELL COLLINS PENSION PLAN 2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) ROCKWELL COLLINS, INC. 400 COLLINS ROAD, MAIL CODE 124-318 CEDAR RAPIDS, IA 52498	1b Three-digit plan number (PN) ►	001	
	1c Effective date of plan	12/06/1996	
	2b Employer Identification Number (EIN)	52-2314475	
	2c Sponsor's telephone number	714-503-1032	
	2d Business code (see instructions)	335900	

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/26/2012	ROSA BARONE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	07/26/2012	ROSA BARONE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same") ROCKWELL COLLINS, INC. 400 COLLINS ROAD, MAIL CODE 124-318 CEDAR RAPIDS, IA 52498	3b Administrator's EIN 52-2314475 3c Administrator's telephone number 714-503-1032
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4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
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5 Total number of participants at the beginning of the plan year	5	35446
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6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	12346
b Retired or separated participants receiving benefits.....	6b	9071
c Other retired or separated participants entitled to future benefits.....	6c	12928
d Subtotal. Add lines 6a , 6b , and 6c	6d	34345
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e	794
f Total. Add lines 6d and 6e	6f	35139
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	6g	
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h	62

7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
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8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
 1A 1G 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
 (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
 (3) ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1) ☒ **H** (Financial Information)
 (2) ☐ **I** (Financial Information – Small Plan)
 (3) ☒ 1 **A** (Insurance Information)
 (4) ☒ **C** (Service Provider Information)
 (5) ☒ **D** (DFE/Participating Plan Information)
 (6) ☐ **G** (Financial Transaction Schedules)

<div>SCHEDULE A (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2009</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500. ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY
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(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
04-1590850	65935	DA 601		01/01/2009	10/30/2009

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.
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(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) ☒ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ▶		
b	Balance at the end of the previous year	7b	160022
c	Additions: (1) Contributions deposited during the year	7c(1)	0
	(2) Dividends and credits	7c(2)	4902
	(3) Interest credited during the year	7c(3)	5689
	(4) Transferred from separate account	7c(4)	0
	(5) Other (specify below)..... ▶ INVESTMENT ADJUSTMENT	7c(5)	12282
	(6) Total additions	7c(6)	22873
d	Total of balance and additions (add b and c(6)).	7d	182895
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	25122
	(2) Administration charge made by carrier	7e(2)	2600
	(3) Transferred to separate account	7e(3)	0
	(4) Other (specify below)..... ▶ CASHOUT OF EMPLOYER FUNDS	7e(4)	155173
	(5) Total deductions	7e(5)	182895
f	Balance at the end of the current year (subtract e(5) from d)	7f	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2009</u>
2	Assets:
a	Market value 2a <u>1638399077</u>
b	Actuarial value 2b <u>1802238985</u>
3	Funding target/participant count breakdown
	(1) Number of participants (2) Funding Target
a	For retired participants and beneficiaries receiving payment 3a <u>9577</u> <u>1196620629</u>
b	For terminated vested participants 3b <u>13493</u> <u>303432256</u>
c	For active participants:
(1)	Non-vested benefits 3c(1) <u>88388886</u>
(2)	Vested benefits 3c(2) <u>575350670</u>
(3)	Total active 3c(3) <u>13569</u> <u>663739556</u>
d	Total 3d <u>36639</u> <u>2163792441</u>
4	If the plan is at-risk, check the box and complete items (a) and (b) ☐
a	Funding target disregarding prescribed at-risk assumptions 4a
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been at-risk for fewer than five consecutive years and disregarding loading factor 4b
5	Effective interest rate 5 <u>8.16 %</u>
6	Target normal cost 6 <u>10498917</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	
Signature of actuary	Date
<u>JAMES P NEAL</u>	<u>08-06418</u>
Type or print name of actuary	Most recent enrollment number
<u>TOWERS WATSON DELAWARE INC</u>	<u>703-258-8000</u>
Firm name	Telephone number (including area code)
<u>901 N. GLEBE ROAD</u> <u>ARLINGTON, VA 22203-8215</u>	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2009
v.092308.1

Part II Beginning of year carryover and prefunding balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (Item 13 from prior year)	100963281	
8	Portion used to offset prior year's funding requirement (Item 35 from prior year)	55738101	0
9	Amount remaining (Item 7 minus item 8).....	45225180	0
10	Interest on item 9 using prior year's actual return of <u>-26.29</u> %	-11889700	
11	Prior year's excess contributions to be added to prefunding balance:		
a	Excess contributions (Item 38 from prior year)		0
b	Interest on (a) using prior year's effective rate of <u>6.34</u> %		0
c	Total available at beginning of current plan year to add to prefunding balance		0
d	Portion of (c) to be added to prefunding balance.....		0
12	Reduction in balances due to elections or deemed elections.....	0	
13	Balance at beginning of current year (item 9 + item 10 + item 11d – item 12).....	33335480	0

Part III Funding percentages			
14	Funding target attainment percentage.....	14	81.75 %
15	Adjusted funding target attainment percentage.....	15	81.75 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	92.06 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.....	17	%

Part IV Contributions and liquidity shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/08/2009	75000000				
09/29/2009	50000000				
10/08/2009	98000000				
Totals ►			18(b)	223000000	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contribution from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	214309588
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year?	☒ Yes ☐ No	
b If 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☒ Yes ☐ No	
c If 20a is "Yes," see instructions and complete the following table as applicable:		
Liquidity shortfall as of end of Quarter of this plan year		
(1) 1st	(2) 2nd	(4) 4th
0	0	0

Part V Assumptions used to determine funding target and target normal cost

21 Discount rate:				
a Segment rates:	1st segment: %	2nd segment: %	3rd segment: %	☒ N/A, full yield curve used
b Applicable month (enter code)				21b 3
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is eligible for (and is using) alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of unpaid minimum required contributions for prior years

28 Unpaid minimum required contribution for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (item 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (item 28 minus item 29)	30	0

Part VIII Minimum required contribution for current year

31 Target normal cost, adjusted, if applicable (see instructions).....	31	10498917
32 Amortization installments:	Outstanding Balance	Installment
a Net shortfall amortization installment	394891197	75626769
b Waiver amortization installment		0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0
34 Total funding requirement before reflecting carryover/prefunding balances (item 31 + item 32a + item 32b – item 33).....	34	86125686
	Carryover balance	Prefunding balance
35 Balances used to offset funding requirement	0	0
36 Additional cash requirement (item 34 minus item 35).....	36	86125686
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (Item 19c).....	37	214309588
38 Interest-adjusted excess contributions for current year (see instructions).....	38	128183902
39 Unpaid minimum required contribution for current year (excess, if any, of item 36 over item 37).....	39	0
40 Unpaid minimum required contribution for all years	40	0

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.
For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ROCKWELL COLLINS

52-2314475

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15	NONE	957453	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRIMECAP MANAGEMENT COMPANY

95-3868081

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	927665	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WCM INVESTMENT MANAGEMENT

95-3046237

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	675147	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ARTIO GLOBAL INVESTORS

26-1421409

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	643638	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

INTEGRITY ASSET MANAGEMENT LLC

86-1063006

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	490975	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

JP MORGAN INVESTMENT MANAGEMENT INC

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	478873	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON ASSET SERVICING

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 62 99	TRUSTEE	476170	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

CAUSEWAY CAPITAL MANAGEMENT

95-4861680

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	462370	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

STERLING JOHNSON CAPITAL MANAGEMENT

94-3385233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	455759	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

FAYEZ SAROFIM AND COMPANY

74-1312679

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	440755	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SIPHON CAPITAL MANAGEMENT

95-3579822

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	383653	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11	NONE	382903	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MARVIN AND PALMER ASSOCIATES

51-0294552

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	330294	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

HOTCHKIS AND WILEY CAPITAL MGMT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	318805	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

VICTORY CAPITAL MANAGEMENT

13-2700161

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	304915	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

SEIX INVESTMENT ADVISORS

26-1483110

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	300695	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

COPPER ROCK CAPITAL PARTNERS

75-3179897

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	290620	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CLEARBROOK INVESTMENT CONSULTING

11-3290218

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
70	NONE	252031	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DREYFUS CORP MELLON CASH INV STRATE

13-5673135

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	138321	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

04-1867445

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 51 52 99	NONE	108221	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

FIIOC

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	NONE	106500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

EVERGREEN INVESTMENT MANAGEMENT CO

56-1360141

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	91895	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STANDISH MELLON ASSET MGMT LLC

25-1890416

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	77421	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	NONE	49020	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MELLON CAPITAL MANAGEMENT

25-1442864

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	47028	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DELOITTE AND TOUCHE LLP

13-3891517

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	39500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK

94-2984230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
65	NONE	35671	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TCW ASSET MANAGEMENT COMPANY

95-2642764

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 68	NONE	16830	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN;
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN;
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 <div style="border: 1px solid black; padding: 5px; font-size: 1.2em; font-weight: bold;">2009</div> This Form is Open to Public Inspection.
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV STOCK INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>MELLON CAPITAL MANAGEMENT</u>		
c EIN-PN <u>25-6078093-010</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>61176689</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB MCM AGGREGATE BOND INDEX FD</u>		
b Name of sponsor of entity listed in (a): <u>BNY MELLON ASSET SERVICING</u>		
c EIN-PN <u>25-6078093-056</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB SMAM SHORT TERM INV FUND</u>		
b Name of sponsor of entity listed in (a): <u>BNY MELLON ASSET SERVICING</u>		
c EIN-PN <u>25-6078093-101</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV LGE CAP GRWTH SIF</u>		
b Name of sponsor of entity listed in (a): <u>MELLON CAPITAL MANAGEMENT</u>		
c EIN-PN <u>25-6078093-003</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>69335673</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>JP MORGAN RTII MORTGAGE BACKED SEC</u>		
b Name of sponsor of entity listed in (a): <u>JP MORGAN</u>		
c EIN-PN <u>20-4742530-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>43647718</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>CREDIT 3-10 YR INDEX SL</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS</u>		
c EIN-PN <u>04-0025081-473</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>18207946</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LONG CREDIT INDEX SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS</u>		
c EIN-PN <u>04-0025081-145</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>26719770</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US AGENCY INDEX SL**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-471	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10446768
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a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US TREASURY INDEX**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-479	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 26053348
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a Name of MTIA, CCT, PSA, or 103-12 IE: US AGENCY 1-3 YEAR INDEX**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-474	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE: US AGENCY 3-10 YEAR**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-475	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE: CREDIT 3-10 YEAR INDEX FUND**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-480	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3217202
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a Name of MTIA, CCT, PSA, or 103-12 IE: LONG CREDIT INDEX FUND**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-146	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 92246295
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a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US AGENCY INDEX FUND**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-478	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3925858
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a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US TREASURY INDEX FUND**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 04-0025081-472	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 38656138
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009		
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	1031250	1884709
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	42531431	14837004
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1694731	13038127
(2) U.S. Government securities.....	1c(2)		167601578
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	444785932	241550312
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	63746	3093708
(B) Common	1c(4)(B)	865835629	1207850962
(5) Partnership/joint venture interests	1c(5)	154163	143477
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	310968051	393633405
(10) Value of interest in pooled separate accounts.....	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	16466616	7298685
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	160022	0
(15) Other	1c(15)	109732532	20065484

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	1793424103	2070997451

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	1929136	2273027
i Acquisition indebtedness	1i	41129708	8679442
j Other liabilities	1j	111966182	16416657
k Total liabilities (add all amounts in lines 1g through 1j)	1k	155025026	27369126

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	1638399077	2043628325
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	223000000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		223000000

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	5769	
(B) U.S. Government securities	2b(1)(B)	3597366	
(C) Corporate debt instruments	2b(1)(C)	14071885	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	244660	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		17919680
(2) Dividends: (A) Preferred stock	2b(2)(A)	159764	
(B) Common stock	2b(2)(B)	22129082	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	429168	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		22718014
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	1441356352	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	1415529896	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		25826456

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)		
(B) Other	2b(5)(B)	235758418	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		235758418
(6) Net investment gain (loss) from common/collective trusts	2b(6)		26956543
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		385239
c Other income.....	2c		1171689
d Total income. Add all income amounts in column (b) and enter total.....	2d		553736039

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	134197368	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		134197368
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	471423	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	6983880	
(4) Other	2i(4)	6854120	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		14309423
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		148506791

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		405229248
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DELOITTE & TOUCHE

(2) EIN: 13-3891517

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
e Was this plan covered by a fidelity bond?.....	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If yes, enter the amount of any plan assets that reverted to the employer this year ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>23-2871599</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>165</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
--	-----------------------------------	-----------------------------------	-------------------------------	--

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?.....	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 58% Investment-Grade Debt: 37% High-Yield Debt: 3% Real Estate: 0% Other: 2%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☒ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate item 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):



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INDEPENDENT AUDITORS' REPORT

To the Trustees and Participants of the Rockwell Collins Pension Plan:

We were engaged to audit the financial statements and supplemental schedules of the Rockwell Collins Pension Plan (the "Plan") as of December 31, 2009 and 2008, and for the years then ended, listed in the table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon and Massachusetts Mutual Life Insurance Company, the trustees of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustees hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from each trustee that the information as of and for the years ended December 31, 2009 and 2008 provided to the plan administrator by the trustees is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to express, and do not express, an opinion on the accompanying financial statements and supplemental schedules taken as a whole. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Deloitte + Touche LLP

September 10, 2010

Rockwell Collins Pension Plan

***Financial Statements as of and for the
Years Ended December 31, 2009 and 2008,
Supplemental Schedules as of and for the
Year Ended December 31, 2009 and
Independent Auditors' Report***

ROCKWELL COLLINS PENSION PLAN

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of Within the Year) as of December 31, 2009	67
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All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.	



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INDEPENDENT AUDITORS' REPORT

To the Trustees and Participants of the Rockwell Collins Pension Plan:

We were engaged to audit the financial statements and supplemental schedules of the Rockwell Collins Pension Plan (the "Plan") as of December 31, 2009 and 2008, and for the years then ended, listed in the table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon and Massachusetts Mutual Life Insurance Company, the trustees of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustees hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from each trustee that the information as of and for the years ended December 31, 2009 and 2008 provided to the plan administrator by the trustees is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to express, and do not express, an opinion on the accompanying financial statements and supplemental schedules taken as a whole. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Deloitte + Touche LLP

September 10, 2010

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2009 AND 2008

	<u>2009</u>	<u>2008</u>
ASSETS:		
Non-interest bearing cash	\$ 1,884,709	\$ 1,031,250
Investments:		
Equity investments	1,333,204,582	830,322,767
Fixed income investments	672,151,833	701,435,123
Securities held under lending agreement	15,815,712	106,516,247
Interest-bearing cash	13,038,127	1,694,731
Other	3,665,494	-
Insurance company general account	-	160,022
Total investments	<u>2,037,875,748</u>	<u>1,640,128,890</u>
Receivables:		
Sale of securities	8,785,899	37,217,089
Accrued interest and dividends	6,051,105	5,314,342
Total receivables	<u>14,837,004</u>	<u>42,531,431</u>
Collateral held under securities lending agreement	<u>16,399,990</u>	<u>109,732,532</u>
Total assets	<u>2,070,997,451</u>	<u>1,793,424,103</u>
LIABILITIES:		
Liability to return collateral held under securities lending agreement	16,399,990	109,732,532
Purchase of securities	8,679,442	41,129,708
Accrued administrative expenses	2,273,027	1,929,136
Other	16,667	2,233,650
Total liabilities	<u>27,369,126</u>	<u>155,025,026</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 2,043,628,325</u>	<u>\$ 1,638,399,077</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEARS ENDED DECEMBER 31, 2009 AND 2008

	<u>2009</u>	<u>2008</u>
Additions:		
Investment income (loss):		
Interest and dividends	\$ 40,637,694	\$ 51,365,025
Net appreciation (depreciation) in fair value of investments	288,926,656	(653,666,344)
Other income	<u>1,171,689</u>	<u>2,018,841</u>
Total investment income (loss)	330,736,039	(600,282,478)
Contributions	<u>223,000,000</u>	<u>-</u>
Total additions	<u>553,736,039</u>	<u>(600,282,478)</u>
Deductions:		
Benefit payments	134,197,368	130,569,124
Administrative and investment expenses	<u>14,309,423</u>	<u>12,257,201</u>
Total deductions	<u>148,506,791</u>	<u>142,826,325</u>
NET INCREASE (DECREASE)	405,229,248	(743,108,803)
NET ASSETS AVAILABLE FOR BENEFITS, BEGINNING OF YEAR	<u>1,638,399,077</u>	<u>2,381,507,880</u>
NET ASSETS AVAILABLE FOR BENEFITS, END OF YEAR	<u>\$ 2,043,628,325</u>	<u>\$ 1,638,399,077</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2009 AND 2008

1. DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Pension Plan (the "Plan") is provided for general information purposes only. Participants should refer to the Plan document for more complete information. The Plan's sponsor is Rockwell Collins, Inc. (the "Company").

General – The Plan is a defined benefit plan sponsored by the Company. The Rockwell Collins Employee Benefit Plan Committee and the Plan administrator control and manage the Plan. The Bank of New York Mellon and Massachusetts Mutual Life Insurance Company (the "Trustees") serve as the trustees of the Plan and, along with several investment managers, manage the Plan's investment assets. In 2009, the contract with Massachusetts Mutual Life Insurance Company was terminated and the assets in the insurance company general account were transferred to The Bank of New York Mellon. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), and the Pension Protection Act of 2006 ("PPA").

Pension Benefits – The Plan consists of various "subsidiary parts" which represent individual benefit plans which have merged into the Plan over time. Generally, participants become fully vested after five years of service with no partial vesting. The Plan provides for normal retirement benefits upon reaching age 65, with certain subsidiary parts providing these benefits at age 62. The Plan also has provisions for early retirement, disability, and death benefits and provides benefits upon meeting certain other preconditions. Joint and survivor annuities or single life annuities provide the typical form of benefit payments, in addition to other optional forms. The amount of a participant's benefit depends on the specific provisions of the Plan document for the subsidiary part where the participant earned the benefit. Pension benefits are generally calculated using benefit formulas that primarily consider the participant's years of credited service, annual earnings, final pay, and other factors noted in the Plan documents for each subsidiary part.

The Plan has multiple subsidiary parts using various benefit formulas. Participants are directed to the Summary Plan Description for details that pertain to their pension benefits. The benefit formulas for the three largest subsidiary parts are listed below. The majority of the other subsidiary parts have similar benefit formulas based upon years of credited service, as defined by the subsidiary parts, multiplied by a benefit unit.

The annual normal retirement benefit for the Rockwell Collins Certain Salaried Employees' Retirement Plan and Rockwell Collins Electronics Salaried Retirement Plan as defined in the Plan document is the greater of (1) the Career Earnings Formula, (2) the Alternate Benefit Formula, or (3) the Minimum Benefit Formula. Under each benefit computation, the annual benefit is a percentage of earnings or average annual earnings multiplied or adjusted by years of credited service.

The monthly normal retirement benefit for the Rockwell Collins Radio Retirement Plan for Production Employees is based on a two-part formula. Benefits accrued through April 30, 1992 are based on a final pay formula using earnings and credited service through that date. Benefits accrued after April 30, 1992 are based on a benefit unit and credited service after that date and vary by labor grade and union.

The Company amended the Plan in June 2003 to discontinue benefit accruals for salary increases and services rendered after September 30, 2006 for all new and existing salaried and hourly employees not covered by collective bargaining agreements. The Company has replaced this benefit by expanding its existing defined contribution savings plan effective October 1, 2006 to include additional Company contributions.

In May 2008, a new contract agreement between the Company and three bargaining unit unions covering active and retired employees in Cedar Rapids, Iowa, Coralville, Iowa, and Richardson, Texas was ratified. The contract covers a five year period from May 3, 2008 through May 3, 2013. Under the new contract, certain pension benefits for bargaining unit employees were amended.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, disclosure of contingent assets and liabilities and accumulated plan benefits. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments including U.S. Government securities, corporate debt instruments, and corporate stocks. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur and that such changes could materially affect the amounts reported in the financial statements.

Investment Valuation and Income Recognition – Investments of the Plan are presented at fair value. Equity investments are valued at quoted market prices on the measurement date. Generally, fixed income investments are also valued at quoted market prices. If prices of fixed income securities are not readily available or do not accurately reflect fair value, then fixed income securities are valued based on the most recent quoted market price updated for changes in the market or valued based on yields currently available on comparable securities of issuers with similar credit ratings. In instances where quoted market prices are not available, securities are stated at fair value as determined by independent investment brokerage firms. Common collective trust funds are stated at fair value as determined by the issuer of the common collective trust funds based on the net asset value of the underlying investments, which are generally readily marketable. Interest-bearing cash is cash denominated in foreign currencies and measured in U.S. dollars. The insurance company general account represents a group annuity contract purchased through an insurer. The investment is not actively traded and is valued based on the Plan's share of the insurance general account.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date.

Administrative Expenses – Expenses incurred in the administration of the Plan are paid by the Plan, except to the extent that the Company elects to pay the expenses at its discretion.

Payment of Benefits – Benefit payments to participants are recorded upon distribution.

Adoption of New Accounting Guidance – In June 2009, the Financial Accounting Standards Board ("FASB") issued the Accounting Standards Codification and the Hierarchy of Generally Accepted Accounting Principles ("Codification"). The purpose of the Codification is to provide a single source of authoritative generally accepted accounting principles. The Plan adopted the Codification for the year ended December 31, 2009. As the Codification was not intended to alter existing accounting principles, the adoption of the Codification did not affect the Plan's financial statements.

In January 2010, the FASB issued guidance related to disclosures of fair value measurements. This guidance will require the Plan to disclose transfers in and transfers out of Level 1 and Level 2 fair value measurements on a gross basis. The reason for any transfers will also need to be disclosed. In addition, this guidance will require the Plan to disclose purchases, sales, issuances, and settlements

of Level 3 fair value measurements on a gross basis. The guidance will be effective for the Plan for the year ending December 31, 2010, except for the guidance related to Level 3 fair value measurements. The guidance for Level 3 fair value measurements is effective for the Plan for the year ending December 31, 2011. The Plan does not believe there will be a material impact on the disclosures required as a result of adopting this guidance.

In September 2009, the FASB issued guidance that expands the required disclosures for certain investments with a reported net asset value ("NAV"). The Plan adopted this guidance for the year ending December 31, 2009. The adoption of the guidance has no impact on the statements of net assets available for benefits and statements of changes in net assets available for benefits, but did require additional disclosures which are included in Note 14.

In April 2009, the FASB issued guidance for estimating fair value when the volume and level of activity for an asset or liability has significantly decreased. The guidance also requires disclosure of the fair value of assets and liabilities to be disaggregated by nature and risk. The Plan adopted this guidance retrospectively for the year ended December 31, 2009. The adoption of the guidance had no impact on the statements of net assets available for benefits and statements of changes in net assets available for benefits, but did require additional disclosures which are included in Note 10.

In March 2008, the FASB issued guidance related to disclosures about derivative instruments and hedging activities. This guidance increases the disclosure requirements for derivative instruments and also requires the disclosure of group concentrations of credit risk by counterparties, including the maximum amount of loss due to credit risk and policies concerning collateral and master netting arrangements. The Plan adopted the guidance for the year ended December 31, 2009. There was not a material impact on the Statements of Net Assets Available for Benefits or the Statements of Changes in Net Assets Available for Benefits, but additional disclosures were required and are included in Note 5.

Subsequent Events – The Plan has assessed subsequent events through September 10, 2010. This date represents the date that the financial statements were available to be issued.

3. FUNDING POLICY

Contributions to provide benefits under the Plan are made solely by the Company. The Company's policy is to fund the Plan as required by governmental agencies and to consider discretionary contributions as conditions warrant. The Company did not make a contribution for the Plan year ended December 31, 2008. The Company made the following discretionary contributions for the Plan year ended December 31, 2009:

Statement Plan Year	ERISA Plan Year	Date of Contribution	Amount
2009	2009	January 8, 2009	\$ 75,000,000
2009	2009	September 29, 2009	50,000,000
2009	2009	October 8, 2009	98,000,000
			<u>\$ 223,000,000</u>

The Company met the PPA and ERISA minimum funding requirements for both 2009 and 2008.

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those future benefit payments attributable under the Plan's provisions to service that employees have rendered and shall be paid (1) to retirees or terminated vested employees who retire, (2) to the beneficiaries of such retirees or terminated employees, and (3) covered present employees or their beneficiaries. The actuarial present value of accumulated plan benefits have been determined by the Plan's independent actuary, and is that amount which

results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements for death, disability, withdrawal, or retirement) between the benefit information date and the expected payment dates. The effect of Plan amendments on accumulated plan benefits is recognized during the year in which such amendments are adopted.

The actuarial present value of accumulated plan benefits as of January 1, 2009 and 2008 is as follows:

	<u>January 1, 2009</u>	<u>January 1, 2008</u>
Vested benefits:		
Participants and/or beneficiaries currently receiving benefit payments	\$ 1,252,884,000	\$ 1,182,920,000
Other participants	<u>983,889,000</u>	<u>987,886,000</u>
Total vested benefits	2,236,773,000	2,170,806,000
Nonvested benefits	<u>89,571,000</u>	<u>97,348,000</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 2,326,344,000</u>	<u>\$ 2,268,154,000</u>

The change in the actuarial present value of the Plan's accumulated plan benefits for the year ended January 1, 2009 is as follows:

Actuarial present value of accumulated plan benefits, beginning of year, January 1, 2008	\$ 2,268,154,000
Increase (decrease) during the year attributable to:	
Benefit payments	(130,569,000)
Benefits accumulated - net	4,361,000
Plan amendment	2,724,000
Actuarial losses	27,168,000
Increase for interest due to decrease in the discount period	<u>154,506,000</u>
Net increase	<u>58,190,000</u>
Actuarial present value of accumulated plan benefits, end of year, January 1, 2009	<u>\$ 2,326,344,000</u>

In May 2008, the Plan was amended to increase certain pension benefits for bargaining unit employees. The effect of this plan amendment was to increase the accumulated plan benefits by \$2,724,000.

The significant actuarial assumptions used in determining accumulated plan benefits as of January 1, 2009 and 2008 are as follows:

Investment Return on Assets:	7.0% compounded annually
Mortality:	Generally, the RP2000 Mortality Table modified for actual experience
Retirement:	Average retirement age – 63

Retirement and termination rate assumptions were changed effective January 1, 2008 to reflect anticipated plan experience and recent historical experience of the Plan. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

5. DERIVATIVE FINANCIAL INSTRUMENTS

The FASB standard related to disclosures about derivative instruments and hedging activities was adopted by the Plan for the year ended December 31, 2009. As a result of the adoption, the Plan has expanded its disclosures regarding derivative instruments.

Derivative financial instruments are used by portfolio investment managers primarily to hedge the currency risk when transactions are settled in currencies where a liquidity issue may change the value of the settlement. Only the investment managers dedicated to the international investments are permitted to use foreign currency exchange contracts to hedge these exposures. Leveraging of the Plan's assets and speculation are prohibited. The Plan also uses futures contracts to manage market risk associated with the Plan's fixed income investments.

Foreign Currency Forward Contracts – The Plan enters into forward contracts to purchase and sell foreign currencies in the normal course of its investing activities to manage the currency exposures as outlined above with the Plan's foreign equity and fixed income investments. The terms of these contracts generally do not exceed one year. The credit risk associated with these contracts is minimal as they are entered into with a limited number of highly rated counterparties.

The Plan reflects the fair value of all forward contracts as an asset or liability in the Plan's financial statements. The fair values associated with the foreign currency contracts have been estimated by valuing the net position of the contracts using the applicable spot rates and forward rates as of the reporting date. In the Statements of Net Assets Available for Benefits, forward contracts to purchase foreign currency are included within purchases of securities and forward contracts to sell foreign currency are included within sale of securities. Changes in fair value are included in net appreciation (depreciation) in fair value of investments.

U.S. Treasury Futures Contracts – The Plan utilizes U.S. Treasury futures contracts to extend the duration of certain fixed income investments in order to match the profile of the Plan's accumulated plan benefit liability. The terms of these contracts may extend for either 5, 10, or 30 years. The contracts are traded on the Chicago Board of Trade. The credit risk associated with these contracts is minimal as they are entered into with a highly rated counterparty.

Upon entering into a futures contract, the Plan is required to deposit either in cash or securities an amount equal to a certain percentage of the nominal value of the contract. Subsequent payments are then made or received by the Plan, depending on the daily fluctuation in the value of the underlying contracts. U.S. Treasury strips owned and included in the investments of the Plan, with a value of \$3,530,000 and \$2,005,000 at December 31, 2009 and 2008, respectively, were held by the Plan's brokers as performance security on futures contracts.

The futures contracts are classified in the Statements of Net Assets Available for Benefits as fixed income investments, the same as the underlying U.S. Treasury fixed income investments in the Plan being hedged. Notional amounts of outstanding future contracts were \$1,038,000 and \$31,061,000 as of December 31, 2009 and 2008, respectively. Beginning in July 2009, over a 3 month period, the notional amount of futures contracts declined primarily due to the increased use of long duration bonds to match pension plan duration in place of futures contracts. The number of futures contracts was 9 and 225 as of December 31, 2009 and 2008, respectively.

Fair Value of Derivative Instruments – Fair values of derivative instruments in Statements of Net Assets Available for Benefits as of December 31, 2009 and 2008 are as follows:

Classification		December 31, 2009		December 31, 2008	
		Asset Derivatives	Liability Derivatives	Asset Derivatives	Liability Derivatives
Foreign currency					
forward contracts	Sale of securities	\$ 7,302,827	\$ -	\$ 33,910,278	\$ -
Foreign currency					
forward contracts	Purchases of securities	-	(7,329,055)	-	(34,677,069)
U.S. Treasury futures					
contracts	Fixed income investments	-	(60,804)	-	-
Total		<u>\$ 7,302,827</u>	<u>\$ (7,389,859)</u>	<u>\$ 33,910,278</u>	<u>\$ (34,677,069)</u>

The effect of derivative instruments on the Statements of Changes in Net Assets Available for Benefits for the year ended December 31, 2009 and 2008 is as follows:

Location of Gain (Loss)		Year ended December 31, 2009	Year ended December 31, 2008
		Gain (Loss)	Gain (Loss)
Foreign currency	Net appreciation (depreciation) in		
forward contracts	fair value of investments	\$ (1,575,680)	\$ 3,406,000
U.S. Treasury futures	Net appreciation (depreciation) in		
contracts	fair value of investments	(5,255,600)	5,382,000
Total		<u>\$ (6,831,280)</u>	<u>\$ 8,788,000</u>

6. FEDERAL INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated September 25, 2002 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). The Company believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC, except for noncompliance with certain administrative requirements that were discovered by the Company. The Company is addressing these deficiencies, identifying the appropriate corrective actions and implementing changes to ensure that such deficiencies do not continue. The Company will use the IRS correction guidelines to ensure compliance, including filing under the Voluntary Correction Program component of the Employee Plans Compliance Resolution System. The Company believes that by taking these corrective actions the Plan's trust will continue to be tax-exempt. As a result, no provision for income taxes has been included in the Plan's financial statements.

7. INVESTMENTS REPRESENTING 5% OR MORE OF NET ASSETS

The Plan did not have any investments representing 5% or more of net assets as of December 31, 2009 and the JP Morgan TR II Mortgage Backed Securities Fund with a fair value of \$113,142,983 was the only investment representing 5% or more of net assets as of December 31, 2008.

8. NET APPRECIATION (DEPRECIATION) IN FAIR VALUE OF INVESTMENTS

During 2009 and 2008, the Plan's investments (including gains and losses on investments bought and sold) generated net appreciation (depreciation) in the fair value of investments as follows:

	<u>2009</u>	<u>2008</u>
Net appreciation (depreciation) of investments at fair value as determined by quoted market price		
Equity investments	\$ 271,302,337	\$ (599,776,485)
Fixed income investments	(37,006,853)	49,464,870
Other investments	(3,771,593)	-
	<u>230,523,891</u>	<u>(550,311,615)</u>
Net appreciation (depreciation) of investments at estimated fair value		
Equity investments	(203,466)	(62,741,796)
Fixed income investments	59,637,362	(39,422,912)
Interest-bearing cash	9,933	(1,190,021)
Other investments	(1,041,064)	-
	<u>58,402,765</u>	<u>(103,354,729)</u>
Net appreciation (depreciation) in fair value of investments	<u>\$ 288,926,656</u>	<u>\$ (653,666,344)</u>

9. INFORMATION CERTIFIED BY THE TRUSTEES (UNAUDITED)

The Company has elected to provide a limited scope audit as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following is a summary of the unaudited information regarding the Plan as of and for the years ended December 31, 2009 and 2008, included in the Plan's financial statements and supplemental schedules, that was prepared by or derived from information prepared by the Trustees of the Plan and furnished to the Plan administrator. The Plan administrator has obtained certifications from the Trustees that such information is complete and accurate.

	<u>2009</u>	<u>2008</u>
Statements of Net Assets Available for Benefits:		
Investments:		
Equity investments	\$ 1,333,204,582	\$ 830,322,767
Fixed income investments	672,151,833	701,435,123
Securities held under lending agreement	15,815,712	106,516,247
Interest-bearing cash	13,038,127	1,694,731
Other	3,665,494	-
Insurance company general account	-	160,022
Total investments	<u>\$ 2,037,875,748</u>	<u>\$ 1,640,128,890</u>
Receivables:		
Sale of securities	\$ 8,785,899	\$ 37,217,089
Accrued interest and dividends	6,051,105	5,314,342
Total receivables	<u>\$ 14,837,004</u>	<u>\$ 42,531,431</u>
Collateral held under securities lending agreement	<u>\$ 16,399,990</u>	<u>\$ 109,732,532</u>
Liabilities - Purchase of securities	<u>\$ 8,679,442</u>	<u>\$ 41,129,708</u>

Statements of Changes in Net Assets Available for Benefits:

Investment income (loss):		
Interest	\$ 17,919,680	\$ 22,856,566
Dividends	22,718,014	28,508,459
Net appreciation (depreciation) in fair value of investments	288,926,656	(653,666,344)
Other income	1,171,689	2,018,841
Total investment income (loss)	<u>\$ 330,736,039</u>	<u>\$ (600,282,478)</u>

All information included in the Supplemental Schedules on Form 5500, Schedule H, Part IV, Lines 4i and 4j, and investment amounts in Note 10 were certified and unaudited.

10. FAIR VALUE MEASUREMENTS

The FASB standard related to fair value measurements defines fair value, establishes a framework for measuring fair value and expands the related disclosure requirements. The standard indicates, among other things, that a fair value measurement assumes that the transaction to sell an asset or transfer a liability occurs in the principal market for the asset or liability or, in the absence of a principal market, the most advantageous market for the asset or liability. The standard established a valuation hierarchy for disclosure of the inputs to valuation techniques used to measure fair value. This hierarchy prioritizes the inputs into three broad levels as follows:

Level 1 - quoted prices (unadjusted) in active markets for identical assets or liabilities

Level 2 - quoted prices for similar assets and liabilities in active markets or inputs that are observable for the asset or liability, either directly or indirectly through market corroboration, for substantially the full term of the financial instrument

Level 3 - unobservable inputs based on the Plan's own assumptions used to measure assets and liabilities at fair value

A financial asset or liability's classification within the hierarchy is determined based on the lowest level input that is significant to the fair value measurement. The fair value of the Plan's financial assets as of December 31, 2009 and 2008 was as follows:

Fair Value Measurements at December 31, 2009, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 13,038,127	\$ -	\$ 13,038,127
U.S. government securities	130,602,242	36,999,336	-	167,601,578
Corporate debt	-	241,495,763	54,549	241,550,312
Preferred stock	3,082,329	-	11,379	3,093,708
Common stock:				
Consumer products and services	187,131,073	-	-	187,131,073
Technology	181,048,242	-	-	181,048,242
Financial services and insurance	149,541,136	-	-	149,541,136
Health care	138,930,640	-	-	138,930,640
Capital goods	109,041,035	-	-	109,041,035
Energy	99,060,918	-	-	99,060,918
Transportation	50,681,564	-	-	50,681,564
Chemicals	43,936,111	-	-	43,936,111
Utilities	33,256,467	-	-	33,256,467
Other	215,223,776	-	-	215,223,776
Partnership	-	-	143,477	143,477
Common collective trusts:				
Fixed income	-	263,121,043	-	263,121,043
Equity	-	130,512,362	-	130,512,362
Registered investment companies:				
Fixed income	1,858,469	-	-	1,858,469
Equity	5,440,216	-	-	5,440,216
Other investments	-	3,665,494	-	3,665,494
Total investments at fair value	<u>\$ 1,348,834,218</u>	<u>\$ 688,832,125</u>	<u>\$ 209,405</u>	<u>\$ 2,037,875,748</u>

Fair Value Measurements at December 31, 2008, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 1,694,731	\$ -	\$ 1,694,731
U.S. government securities	139,234,345	105,043,651	-	244,277,996
Corporate debt	-	200,341,943	165,993	200,507,936
Preferred stock	-	-	63,746	63,746
Common stock:				
Consumer products and services	160,454,126	-	-	160,454,126
Technology	121,768,830	-	-	121,768,830
Health care	115,973,404	-	-	115,973,404
Financial services and insurance	98,488,624	-	-	98,488,624
Capital goods	78,649,347	-	-	78,649,347
Energy	66,668,012	-	-	66,668,012
Utilities	42,945,441	-	-	42,945,441
Transportation	39,029,653	-	-	39,029,653
Chemicals	28,112,223	-	-	28,112,223
Other	111,974,059	1,708,627	63,283	113,745,969
Partnership	-	-	154,163	154,163
Common collective trusts:				
Fixed income	-	276,076,457	-	276,076,457
Equity	-	34,891,594	-	34,891,594
Registered investment companies:				
Fixed income	3,119,841	-	-	3,119,841
Equity	13,346,775	-	-	13,346,775
Insurance co. general account	-	-	160,022	160,022
Total investments at fair value	<u>\$ 1,019,764,680</u>	<u>\$ 619,757,003</u>	<u>\$ 607,207</u>	<u>\$ 1,640,128,890</u>

The fair value of investments classified within Level 3 at December 31, 2009 and 2008 was less than 1% of the aggregate fair value. Accordingly, a tabular reconciliation of investments classified within Level 3 has not been presented.

11. SECURITIES HELD UNDER LENDING AGREEMENT

The Plan participates in a securities lending program through one of the Trustees. Under this program, the Plan's investment securities may be loaned to investment brokers for a fee. Securities so loaned are fully collateralized by cash, letters of credit, and securities issued or guaranteed by the U.S. government, its agencies, and instrumentalities. At December 31, 2009 and 2008, \$15,815,712 and \$106,516,247, respectively, of the Plan's securities were on loan under the Trustee's securities lending program. The fair value of the collateral received as a percentage of the total current value of the securities on loan by the Plan was approximately 104% and 103% at December 31, 2009 and 2008, respectively, and income from the program was \$517,104 and \$1,561,650 for the years ended December 31, 2009 and 2008, respectively.

12. RELATED-PARTY TRANSACTIONS

Certain Plan investments are managed by the Trustees and these transactions qualify as exempt party-in-interest transactions.

Transactions with the following parties qualify as exempt party-in-interest transactions:

- Mellon Capital Management Corporation
- Standish Mellon Asset Management LLC
- BNY Mellon Cash Investment Strategies
- Massachusetts Mutual Life Insurance Company

Fees paid by the Plan for investment management services provided by the Trustees, totaled \$265,370 and \$136,645 for the years ended December 31, 2009 and 2008, respectively.

13. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guarantee Corporation ("PBGC") if the Plan terminates. The PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling based on an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

14. NET ASSET VALUE PER SHARE

The Plan holds investments in collective trust funds (the “Funds”) whose fair value is estimated using a NAV per share.

A summary of the Plan’s investments with a reported NAV for the year ended December 31, 2009, is as follows:

Investment	Fair Value Estimated Using Net Asset Value per Share December 31, 2009			
	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
EB DV LGE CAP GRWTH SIF (a)	\$ 69,335,673	\$ -	Daily	1 day
EB DV STOCK INDEX FUND (b)	61,176,689	-	Daily	1 day
CREDIT 3-10 YEAR INDEX FUND (c)	3,217,202	-	Daily	2 days
CREDIT 3-10 YR INDEX SL (c)	18,207,946	-	Twice per month	5 days
JP MORGAN MBS FUND (d)	43,647,718	-	Daily	None
LONG CREDIT INDEX FUND (e)	92,246,295	-	Daily	2 days
LONG CREDIT INDEX SL FUND (e)	26,719,770	-	Twice per month	5 days
LONG US AGENCY INDEX FUND (f)	3,925,858	-	Daily	2 days
LONG US AGENCY INDEX SL (f)	10,446,768	-	Twice per month	5 days
LONG US TREASURY INDEX (g)	26,053,348	-	Daily	2 days
LONG US TREASURY INDEX FUND (g)	38,656,138	-	Twice per month	5 days
Total	<u>\$ 393,633,405</u>	<u>\$ -</u>		

* The fair values of the investments have been estimated using the net asset value of the investment.

(a) The investment objective of the EB DV Large Cap Growth Stock Index Fund is to track the performance of the Russell 1000 Growth® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Large Cap Growth Stock Index Fund, and a combination of other collective funds, including but not limited to the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(b) The investment objective of the EB DV Stock Index Fund is to track the performance of the S&P 500® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Stock Index Fund, and the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(c) The investment objective for each of the funds is to seek an investment return that approximates as closely as practicable, before expenses, the performance of it’s benchmark index, Barclay’s Capital 3-10 Year US Credit Index, over the long term.

(d) The objective of the Fund is to outperform the total return of the Barclays U.S. MBS Index.

(e) The investment objective for each of the funds is to seek an investment return that approximates as closely as practicable, before expenses, the performance of it’s benchmark index, the Barclays Capital US Long Credit Bond Index, over the long term.

(f) The investment objective for each of the funds is to seek an investment return that approximates as closely as practicable, before expenses, the performance of it’s benchmark index, the Barclay’s Capital US Long Agency Bond Index, over the long term.

(g) The investment objective for each of the funds is to seek an investment return that approximates as closely as practicable, before expenses, the performance of it’s benchmark index, the Barclay’s Capital US Long Treasury Index, over the long term.

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ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
ANTHOUSA LTD SPONSORED GDR	1,516	\$ 63,746	\$ 11,379	
BANK OF AMERICA CORP SER K	785,000	426,253	755,751	
BCO BRADESCO SA PRF NPV	9,078	144,554	189,455	
CENTRAIS ELECTRICAS BRAS PREF	1,429	23,047	26,003	
GERDAU SA SIDERURGIA PREF NPV	18,252	239,983	305,107	
HENKEL AG & CO KGAA NON VTG	2,181	110,408	113,308	
ITAU UNIBANCO HOLDING SA	12,438	195,091	276,059	
LOJAS AMERICANAS SA PREF SHS	4,025	36,296	35,858	
PETROLEO BRASILEIRO SA	37,203	629,972	783,030	
PORSCHE AUTOMOBIL HOLDING SE	1,020	78,302	63,367	
USINAS SID MINAIS GERAIS	7,270	177,224	205,981	
VIVO PARTICIPACOES SA	2,901	62,099	90,665	
VOLKSWAGEN AG NON VTG PREF NPV	2,545	222,176	237,745	
TOTAL PREFERRED STOCKS		<u>2,409,151</u>	<u>3,093,708</u>	
COMMON STOCK				
3PAR INC COM	74,685	798,278	885,017	
7 DAYS GROUP HOLDINGS LT ADR	32,335	403,864	403,541	
99 CENTS ONLY STORES	45,000	487,913	588,150	
A P MOLLER - MAERSK A/S	32	211,573	225,828	
ABB LTD CHF1.54 (REGD)	31,076	428,074	599,425	
ABBOTT LABS COM	93,190	4,722,270	5,031,327	
ACCIONA SA EUR1.00	328	44,111	42,871	
ACCOR EUR3	43,867	2,242,432	2,407,384	
ACTUANT CORP CL A NEW	27,966	344,712	518,210	
ADC TELECOMMUNICATIONS INC	54,275	298,241	337,048	9,336
ADIDAS AG NPV	4,664	175,072	253,882	
ADOBE SYS INC DEL COM	130,284	2,778,592	4,791,845	1,388
ADP PROMESSES EUR3	5,705	382,202	461,075	
ADVANCED ENERGY INDS INC	143,939	1,523,978	2,170,600	
AEGON NV EUR0.12	33,718	261,809	219,631	
AEON MALL CO NPV	1,900	41,665	36,614	
AEROVIRONMENT INC COM	14,650	341,443	426,022	
AFFYMAX INC	10,485	240,840	259,399	
AFFYMETRIX INC OC-CAP STK	10,200	30,498	59,568	
AGILENT TECHNOLOGIES INC	632	9,878	19,636	380
AGNICO-EAGLE MINES LTD	1,133	71,327	61,516	
AGRIUM INC	4,151	211,464	259,034	
AIRGAS INC	14,542	567,762	692,199	
AISIN SEIKI CO Y50	4,700	78,083	133,788	

(Continued)

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
AK STL HLDG CORP COM	16,984	309,024	362,608	
AKAMAI TECHNOLOGIES INC	8,700	151,807	220,458	2,791
AKER SOLUTIONS NOK2 (POST	337,781	2,159,015	4,411,711	
AKZO NOBEL NV EUR2	74,307	3,040,120	4,946,794	
ALBEMARLE CORP	17,716	394,872	644,331	
ALCATEL LUCENT EUR2 SER'A'	38,153	156,041	130,391	23
ALCOA INC COM	17,100	192,546	275,652	
ALCON INC COM SHS	9,343	798,222	1,535,522	
ALEXANDER & BALDWIN INC	41,965	1,048,835	1,436,462	100
ALFA LAVAL AB ORD NPV	3,229	42,527	44,771	
ALL AMERICA LATINA UNITS	3,183	28,769	29,763	
ALLIANZ SE (SOCIETAS EUROPEAE)	6,343	637,013	797,487	
ALLSTATE CORP COM	83,000	2,377,243	2,493,320	
ALSTOM EUR7 (POST	3,263	200,308	229,679	
ALTERA CORP COM	80,360	1,588,605	1,818,547	11,060
ALTRA HLDGS INC COM	49,750	399,652	614,413	
ALTRIA GROUP INC	189,170	2,867,395	3,713,407	
ALUMINA LTD NPV	97,104	162,792	160,688	
AMERCO INC COM	12,800	441,984	636,416	
AMERICA MOVIL SAB DE C V	19,230	784,681	903,425	
AMERICAN EXPRESS CO COM	48,850	906,168	1,979,402	
AMERICAN FINL GROUP INC OHIO	34,117	776,010	851,219	
AMERICAN ITALIAN PASTA CO CL A	31,000	753,086	1,078,490	
AMERICAN PHYSICIANS CAP INC	21,680	782,106	657,338	
AMERIGROUP CORP	6,765	199,703	182,384	
AMERISAFE INC JONES DAY COM	52,830	1,084,600	949,355	
AMGEN INC	203,200	11,551,802	11,495,023	
AMP LIMITED AUS0.40	27,420	150,224	166,949	1
AMPCO PITTSBURGH CORP	13,100	288,137	413,043	
AMR CORP DEL COM	116,500	1,286,796	900,545	
AMSURG CORP COM	16,700	285,675	367,734	
AMYLIN PHARMACEUTICALS INC COM	48,970	699,781	694,884	
ANADARKO PETE CORP COM	67,374	3,450,618	4,205,484	
ANDERSONS INC COM	10,450	152,170	269,819	
ANDRITZ AG NPV (POST SPLIT)	144	7,640	8,372	
ANGANG STEEL CO LTD H SHS	74,000	158,404	163,383	1,926
ANGLO AMERICAN	31,548	704,256	1,381,127	
ANGLOGOLD ASHANTI LIMITED	1,575	73,827	65,511	
ANHEUSER-BUSCH INBEV NV	2,896	111,078	151,223	
ANHUI CONCH CEMENT H CNY1	10,000	68,411	64,353	
ANIKA THERAPEUTICS INC COM	58,850	359,504	449,026	
ANSYS INC COM	15,495	635,140	673,413	
APACHE CORP COM	4,600	407,734	474,582	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
APPLE INC	43,300	5,129,232	9,124,695	
APPLIED MATLS INC COM	302,974	3,541,926	4,223,457	
APPLIED SIGNAL TECHNOLOGY INC	23,150	478,219	446,332	
ARCELORMITTAL	10,480	307,371	483,864	466
ARCH CAPITAL GROUP LTD SHS	14,580	1,016,853	1,043,199	
ARCH COAL INC COM	60,000	977,400	1,335,000	1
ARCSIGHT INC COM	17,405	423,638	445,220	
ARENA PHARMACEUTICALS INC COM	51,320	196,042	182,186	
ARROW ELECTRS INC COM	26,961	543,878	798,315	
ART TECHNOLOGY GRP INC OC-COM	146,050	485,257	658,686	
ASAHI BREWERIES NPV	8,300	133,602	152,635	
ASAHI GLASS CO Y50	8,000	65,993	75,450	
ASCIANO GROUP NPV(STAPLED)	67,578	102,100	110,613	
ASHLAND INC NEW	18,965	626,139	751,393	
ASML HLDG NV EUR0.09	84,755	1,502,125	2,918,454	
ASML HOLDING NV NY REGISTERED	56,211	998,584	1,916,233	
ASSICURAZIONI GENERALI EUR1	11,969	266,160	323,187	
ASSOCIATED BANC CORP COM	6,700	74,200	73,767	
ASSURED GUARANTY LTD SHS	54,000	615,600	1,175,040	
ASTORIA FINL CORP COM	57,395	653,293	713,420	
ASTRAZENECA ORD USD0.25	8,200	368,427	385,402	
ATLANTIA SPA	5,627	124,715	147,338	
ATLAS COPCO AB SER A NPV	10,898	91,401	160,720	
ATMEL CORP COM	79,360	268,077	365,850	
ATP OIL & GAS CORP	54,900	978,236	1,003,572	
ATRICURE INC	88,750	463,933	536,050	
ATS MED INC	147,650	461,361	476,910	1
AU OPTRONICS CORP SPONSORED	9,533	95,170	114,301	2,544
AUSTRALIA & NEW ZEALAND BANK	29,345	397,956	603,836	
AUTODESK INC COM	85,300	1,798,847	2,167,473	
AUTOLIV INC SDR USD1	2,001	80,983	89,259	
AUTOMATIC DATA PROCESSING INC	40,600	1,602,711	1,738,491	
A VISTA CORP COM	77,370	1,499,431	1,670,417	
AVIVA PLC GBP0.25	527,158	2,699,334	3,387,247	
AXA EUR2.29	142,458	2,677,812	3,380,636	3,389
AXIATA GROUP BERHAD	32,100	28,695	28,594	
BALDOR ELEC CO	17,720	473,626	497,755	4,563
BANCA MONTE DEI PASCHI DI	51,107	111,241	90,044	482
BANCA POPOLARE DI MILANO	16,977	139,490	121,302	
BANCO DO BRASIL SA COM NPV	1,794	29,606	30,566	
BANCO POPOLARE SOC COOPERATIVA	7,620	70,949	57,616	
BANCO SANTANDER (BRASIL) SA	6,376	81,975	87,418	
BANCO SANTANDER SA EUR0.50	98,501	1,177,642	1,632,296	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BANK CENTRAL ASIA IDR62.50	84,500	43,640	43,622	
BANK FORUM JSC UAH10	118,117	67,715	88,477	
BANK NEW YORK MELLON CORP COM	19,477	550,804	544,772	
BANK OF AMERICA CORP	638,293	8,437,138	9,612,692	
BANK OF CHINA LTD CNY1	577,000	302,135	312,533	
BANK OF MONTREAL	8,952	383,982	476,911	
BANK OF NOVA SCOTIA	11,792	447,328	553,634	
BANK OF YOKOHAMA Y50	24,300	126,596	110,152	
BANK PEKAO PLN1	14,977	844,484	846,274	
BANK ZACHODNI WBK PLN10	1,196	81,324	79,407	
BARCLAYS ORD GBP0.25	139,492	727,909	621,714	
BARRICK GOLD CORP	15,477	677,414	612,082	
BARRICK GOLD CORP	37,634	1,377,224	1,482,027	
BAYER AG ORD NPV	36,052	2,131,499	2,906,462	
BE AEROSPACE INC	32,979	255,206	775,007	
BEAZER HOMES USA INC	48,890	203,999	236,628	44,026
BECTON DICKINSON & CO COM	51,600	3,528,747	4,069,175	
BED BATH & BEYOND INC COM	88,000	2,243,999	3,397,680	
BEIERSDORF AG NPV	495	20,997	32,783	
BEIJING CAP INTL ARPT CO	93,259	46,809	61,819	22
BEIJING ENTERPRISE HLDGS H SHS	1,500	9,058	10,881	
BELLE INTERNATIONAL HLDGS HKD0	37,000	46,414	43,232	
BENCHMARK ELECTRS INC	37,723	477,348	713,342	
BENESSE HOLDINGS INC JPY50	1,400	61,957	58,499	
BERKSHIRE HATHAWAY INC DEL B	1,024	3,280,717	3,364,864	
BERRY PETE CO CL A	14,175	315,382	413,201	
BG GROUP PLC ORD GBP0.10	10,489	178,579	190,046	
BHP BILLITON LIMITED	40,943	961,808	1,587,768	
BHP BILLITON PLC USD0.50	30,490	567,379	982,274	
BIOCLINICA INC	113,150	465,771	478,625	
BIOMIMETIC THERAPEUTICS INC	37,269	444,226	444,619	
BK OF IRELAND ORD STK EUR0.64	22,846	112,236	43,431	
BLUE COAT SYS INC COM NEW	60,250	506,100	1,719,535	
BLUESCOPE STEEL LTD	53,705	156,989	150,212	
BNP PARIBAS EUR2	43,384	2,170,755	3,479,506	694
BOEING CO COM	50,800	2,021,885	2,749,804	
BOMBARDIER INC	36,610	155,057	167,623	
BORAL LIMITED NEW NPV	5,451	25,787	29,169	7
BOSKALIS WESTMINSTER GROEP NV	816	29,045	31,669	551
BOSTON SCIENTIFIC CORP COM	392,293	3,210,683	3,530,637	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BOTTOMLINE TECHNOLOGIES DEL	27,150	194,312	477,026	
BOUYGUES EUR1	2,954	125,211	154,378	2,954
BP PLC ORD USD.25	156,765	1,209,545	1,518,912	
BRAMBLES LTD NPV	12,963	68,278	79,043	
BRF-BRASIL FOODS SA	2,932	66,081	76,311	
BRIDGESTONE CO Y50	13,400	217,402	234,045	
BRISTOL MYERS SQUIBB CO COM	197,400	4,442,097	4,984,350	
BRISTOW GROUP INC COM	4,500	120,555	173,025	89
BRITISH AMERICAN TOBACCO ORD	77,519	2,006,153	2,524,285	
BROWN SHOE INC NEW COM	39,547	334,963	390,329	
BRUSH ENGINEERED MATERIALS INC	27,500	454,077	509,850	
BT GROUP ORD GBP0.05	41,941	54,935	91,433	
BULGARIA COMPENSATION NOTES	93,791	23,868	15,137	
BULGARIAN TELECOMMUNICATION CO	112,439	399,707	262,714	
BUZZI UNICEM SPA EUR0.60	4,704	84,381	76,129	7
C H ROBINSON WORLDWIDE INC	12,800	649,209	751,744	122
CA INC COM	210,321	3,990,628	4,723,810	
CABELAS INC	58,960	709,949	840,770	36,995
CADBURY PLC ORD GBP0.10	28,310	254,369	364,588	
CALIFORNIA PIZZA KITCHEN INC	50,275	645,531	676,199	
CALLAWAY GOLF CO COM	47,659	406,440	359,349	2,321
CALLIDUS SOFTWARE INC	119,000	384,529	359,380	
CAMPBELL SOUP CO COM	68,100	2,084,991	2,301,780	
CANADIAN IMPERIAL BK OF COMM	5,017	260,291	326,140	
CANADIAN OIL SANDS TR	2,282	72,671	65,107	
CANADIAN PACIFIC RAILWAY LTD	5,048	220,491	273,454	
CANON INC NPV	17,300	589,806	726,602	
CARDINAL FINL CORP COM	50,648	392,522	442,664	
CARDIOME PHARMA CORP	11,838	51,145	52,679	
CARMAX INC	157,500	1,240,603	3,819,375	
CARNIVAL CORP PAIRED CTF 1 COM	9,200	223,744	291,548	
CARNIVAL PLC ORD USD1.66	2,880	97,376	99,294	
CARREFOUR EUR2.5	8,446	346,719	406,677	
CARRIZO OIL & GAS INC COM	17,934	286,892	475,430	4,111
CASINO GUICH-PERR EUR1.53	356	25,162	31,939	
CATALYST HEALTH SOLUTIONS INC	19,015	704,506	693,477	
CATERPILLAR INC	117,600	4,661,678	6,702,023	44
CAVIUM NETWORKS INC COM	31,230	655,518	744,211	
CBL & ASSOC PPTYS INC COM	87,420	899,059	845,351	2,403
CDC SOFTWARE CORP-ADR	27,065	249,677	261,448	
CEC ENTMT INC COM	18,681	478,240	596,298	
CELANESE CORP DEL COM SER A	55,869	994,356	1,793,394	
CELESIO AG	1,518	41,572	38,768	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
CELESTICA INC SUB VTG SHS	109,930	506,777	1,037,738	
CEMEX SA DE CV PTG CERT NPV	142,831	152,380	170,451	
CEMEX SAB DE CV SPONS ADR NEW	25,099	337,116	296,670	
CENOVUS ENERGY INC	6,592	140,228	166,631	
CENOVUS ENERGY INC W/I	20,000	463,580	504,000	
CENTRAIS ELETR BRAS-ELETROBRAS	1,634	30,373	34,064	
CENTRAL JAPAN RY Y5000	18	150,401	120,264	
CERNER CORP	6,200	222,834	511,128	419
CF INDS HLDGS INC	4,938	252,396	448,272	
CFAO	2,859	112,062	117,603	
CHART INDS INC COM PAR \$0.01	33,800	603,522	558,376	
CHELSEA THERAPEUTICS INTL LTD	86,875	195,469	234,563	
CHEVRON CORPORATION COM	70,060	5,179,478	5,393,919	
CHIBA BANK Y50	12,500	76,175	74,386	
CHICAGO BRIDGE & IRON NY	4,200	42,210	84,924	1
CHINA CITIC BANK CORPORATION H	391,000	327,104	334,319	
CHINA COAL ENERGY COMPANY H	174,300	275,193	320,095	
CHINA COMMUNICATIONS CONST-H	62,000	67,202	59,409	
CHINA CONSTRUCTION BANK 'H'	2,037,000	1,705,530	1,752,219	
CHINA DONGXIANG (GROUP) CO LTD	105,000	68,745	81,248	5,717
CHINA LIFE INSURANCE CO-H	156,000	675,251	771,545	
CHINA MENGNIU DAIR HKD0.1	95,000	287,738	339,984	
CHINA MERCHANTS HOLDINGS INTL	60,722	141,765	197,733	
CHINA NATIONAL BUILDING	40,000	88,455	82,847	
CHINA PACIFIC INSURANCE GR-H	21,000	76,572	83,685	
CHINA RESOURCES ENTERPRISE ORD	38,000	127,241	138,934	
CHINA SHENHUA ENERGY CO LTD	62,500	283,614	306,292	
CHINA TELECOM CORP 'H' CNY1	97,288	47,740	40,651	
CHINA TRANSINFO TECHNOLOGY	25,700	159,033	209,969	
CHINA UNICOM (HONG KONG) LTD	234,000	321,628	310,228	
CHINA YURUN FOOD GROUP LTD	27,000	71,438	80,087	
CHUBB CORP COM	32,750	1,494,265	1,610,645	
CHUNGHWA TELECOM CO LTD	6,648	114,986	123,453	
CIA BRASILEIRA DISTR PAO DE	1,326	44,329	49,459	
CINCINNATI BELL INC	110,841	213,923	382,401	
CIRRUS LOGIC INC COM	124,350	647,755	848,067	
CISCO SYS INC COM	195,100	3,180,130	4,670,694	
CITIGROUP INC COM	721,069	2,015,640	2,386,738	
CITRIX SYS INC COM	45,530	1,073,142	1,894,503	
CLEAN HBRS INC	20,430	1,191,069	1,217,832	
CLECO CORP NEW COM	19,921	454,796	544,441	881
COCA COLA CO COM	145,410	6,682,678	8,288,370	
COGNEX CORP COM	46,840	693,232	829,536	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
COHU INC	34,700	467,803	484,065	
COLGATE PALMOLIVE CO	7,600	491,197	624,340	
COLLECTIVE BRANDS INC COM	99,000	1,160,280	2,254,230	
COLONIAL PPTYS TR COM SHS BEN	36,460	366,629	427,676	
COMCAST CORP NEW CL A SPL	209,100	3,250,711	3,347,691	
COMMONWEALTH BANK OF AUSTRALIA	16,443	538,960	811,122	
COMMSCOPE INC COM	15,211	198,407	403,548	
COMPAGNIE DE SAINT-GOBAIN	7,288	290,430	398,077	
COMPAGNIE FINANCIERE	105,423	1,659,684	3,541,805	
COMPAGNIE GENERALE DE	1,199	27,596	25,684	
COMPUTER PROGRAMS & SYSTEMS	8,450	325,915	389,123	220
COMSTOCK RES INC NEW	7,620	302,303	309,143	
COMTECH TELECOMMUNICATIONS	13,310	423,654	466,382	
COMVERGE INC COM	43,250	465,543	486,130	122
CONCUR TECHNOLOGIES INC	11,025	448,166	471,319	
CONOCOPHILLIPS	149,400	7,093,737	7,629,857	
CONSOLIDATED GRAPHICS INC	14,838	334,009	519,627	
CONTANGO OIL & GAS CO	12,929	587,218	607,792	
CONTINENTAL AIRLS INC CL B	36,230	606,339	649,242	6,903
COOPER TIRE & RUBR CO	21,025	209,756	421,551	2,429
CORE LABORATORIES N V	6,585	728,960	777,820	
CORNING INC COM	60,000	584,562	1,158,600	
CORPORATE EXECUTIVE BD CO COM	37,000	943,433	844,340	
COSTCO WHSL CORP NEW COM	41,700	2,186,125	2,467,389	
CRAY INC COM NEW	43,384	330,961	278,525	
CREDIT AGRICOLE SA EUR3	31,736	531,296	562,791	
CREDIT SUISSE GROUP AG CHF0.04	13,887	528,184	687,801	
CREE INC COM	69,900	1,111,235	3,940,263	
CRH ORD EUR0.32	18,578	439,299	509,106	
CRYOLIFE INC COM	86,100	604,092	552,762	
CUMMINS INC COM	59,300	1,936,420	2,719,498	
CVS CAREMARK CORP	56,698	1,678,087	1,826,243	
CYNOSURE INC CL A	40,350	319,544	463,622	
CYPRESS BIOSCIENCES INC	25,480	136,828	147,020	
D R HORTON INC	44,250	457,710	480,998	5,729
DAIKIN INDUSTRIES Y50	2,100	61,931	82,561	
DAIMLER AG ORD NPV	17,536	758,052	935,189	
DAIWA SECS GROUP NPV	26,300	161,450	131,366	
DANONE	11,386	638,565	699,674	
DANSKE BANK AS DKK10	3,563	51,684	81,067	
DAVENTO LTD SPONSORED GDR 144A	27,916	102,478	9,010	
DEAN FOODS CO	21,224	381,395	382,881	
DEERE & CO COM	6,000	229,920	324,540	3,760

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
DEMANDTEC INC COM NEW	45,400	389,986	398,158	
DENSO CORPORATION NPV	10,971	265,231	327,616	
DENWAY MOTORS LTD HKD0.10	438,000	231,827	279,044	
DESCARTES SYS GROUP INC COM	18,100	54,119	107,333	
DEUTSCHE BANK AG ORD NPV REGD	8,204	490,844	583,591	81
DEUTSCHE BOERSE AG NPV	2,498	188,678	207,586	
DEUTSCHE POST AG NPV (REGD)	164,384	2,466,288	3,195,766	
DEUTSCHE TELEKOM AG NPV (REGD)	28,237	354,870	418,905	8,623
DEVELOPERS DIVERSIFIED RLTY	36,958	304,369	342,231	18,845
DEXCOM INC	103,800	800,298	837,666	
DG FASTCHANNEL INC COM	15,950	304,608	445,484	
DIAGEO ORD 28 101/108P	46,302	718,477	810,515	
DIEBOLD INC	17,630	493,911	501,574	
DIGITAL RIV INC COM	26,315	681,559	710,242	
DIGITAL RLTY TR INC	10,060	330,471	505,817	2,689
DIODES INC	36,210	224,477	739,046	
DIRECTV COM CL A	135,462	3,094,083	4,517,658	71,991
DISCOVER FINL SVCS COM	101,900	935,884	1,498,949	
DISNEY WALT CO COM	33,250	754,723	1,072,313	
DIVX INC COM	67,500	366,726	380,700	
DNB NOR ASA NOK10	17,315	136,755	188,083	
DOMTAR CORP COM NEW	1,458	29,218	80,788	499
DONGFANG ELECTRICAL CO LTD H	8,600	44,171	46,138	
DOUGLAS EMMETT INC COM	68,000	649,761	969,000	2
DRAGON OIL LTD ORD EUR0.10	11,082	69,948	69,525	
DSV DKK1 (POST SUBDIVISION)	4,334	74,815	78,553	
DUOYUAN GLOBAL WTR INC	15,045	569,303	538,310	
DYCOM INDS INC	49,047	292,262	393,847	
DZI INSURANCE AND REINSURANCE	1,013	135,374	115,185	
E.ON AG NPV	103,773	3,721,045	4,334,139	
EADS EURO AERO DEF EUR 1	72,518	1,377,367	1,465,477	1,430
EAST JAPAN RAILWAY CO Y50000	3,500	262,295	220,689	
EAST WEST BANCORP INC COM	44,000	702,680	695,200	3
EASTMAN KODAK CO COM	38,590	253,922	162,850	3,717
ECHOSTAR CORPORATION	60,000	1,101,448	1,208,400	
EDF EUR0.5	4,023	216,557	239,884	
EDISON INTL COM	52,300	1,552,048	1,818,993	
EDP ENERGIAS PORTUGAL	24,921	99,475	111,128	
EDUCATION RLTY TR INC	86,290	394,453	417,644	
EISAI CO Y50	2,000	81,633	73,473	
ELECTRONIC ARTS	71,200	1,261,060	1,263,800	
ELLIS PERRY INTL INC COM	27,950	403,979	420,927	
EMBRAER EMPRESA BRASILEIRA	66,400	1,078,334	1,468,104	1

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EMC CORP MASS	399,000	4,514,499	6,970,530	
EMERALD DAIRY INC	200,850	333,411	361,530	
ENAGAS SA EUR1.50	79,856	1,397,834	1,767,295	
ENCANA CORP	20,000	453,892	647,800	
ENCANA CORPORATION	7,103	169,803	231,109	
ENCORE ACQUISITION CO COM	10,388	266,325	498,832	
ENDOLOGIX INC	39,400	199,526	208,032	
ENI EUR1	36,027	793,454	920,077	
ENSCO PLC ADR	20,000	848,131	798,800	
ENTEGRIIS INC COM	137,046	452,752	723,603	
ENTERCOM COMMUNICATIONS CORP	38,263	47,063	270,519	18,800
ENTROPIC COMMUNICATIONS INC	154,050	478,867	472,934	
ENZON PHARMACEUTICALS INC	21,360	220,862	224,921	
EOG RES INC COM	17,300	1,144,679	1,683,290	
ERICSSON (L.M.)	58,788	437,121	542,588	1
ERICSSON L M TEL CO ADR CL B	246,100	2,194,720	2,261,659	197
ERSTE GROUP BANK AG	17,668	761,121	660,599	
ESPRIT HLDGS ORD HKD0.10	23,610	146,105	157,572	
ESTEE LAUDER COS INC CL A	79,720	2,497,089	3,855,259	2,163
EURONET WORLDWIDE INC COM	30,910	670,438	678,475	
EVERCORE PARTNERS INC CL A	15,835	467,924	481,384	
EVRAZ GROUP SA GDR EACH REPR	9,224	268,877	260,578	
EXACTECH INC	33,650	572,400	582,482	
EXELON CORP COM	99,197	5,036,903	4,847,757	
EXPEDITORS INTL WASH INC COM	25,600	810,733	890,112	
EXPRESSJET HLDGS INC COM NEW	57,650	238,365	277,873	
EXXON MOBIL CORP	159,560	12,737,675	10,880,395	
FAIRCHILD SEMICONDUCTOR INTL	51,880	482,946	518,281	
FALCONSTOR SOFTWARE INC COM	80,950	273,283	328,657	133
FAMILYMART Y50	800	26,008	23,589	
FANUC CO NPV	64,100	4,711,839	5,942,134	
FEDERAL RLTY INVT TR SHS BEN	5,620	324,088	380,586	980
FEDEX CORP COM	60,355	3,838,656	5,036,625	
FERROVIAL SA EUR0.2	13,463	153,208	158,874	
FIAT SPA EUR5	9,921	137,556	145,900	
FIDELITY NATL FINANCIAL INC	26,417	469,650	355,573	
FINNING INTERNATIONAL INC	1,783	29,377	28,369	
FIRST FINL BANCORP	47,964	365,375	698,356	1
FIRST MERCURY FINL CORP COM	50,569	693,049	693,301	
FIRST NIAGARA FINL GROUP INC	62,990	1,018,548	876,191	
FIRSTMERIT CORP	24,731	501,140	498,082	
FLEXTRONICS INTERNATIONAL LTD	234,800	1,357,094	1,716,388	
FLSMIDTH & CO A/S COM SHS	751	42,224	53,144	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
FLUGHAFEN WIEN AG NPV	4,698	208,403	234,568	
FLUGHAFEN ZURICH AG CHF50	737	172,245	221,902	
FOMENTO ECONOMICO MEXICANO SAB	23,919	816,603	1,145,242	
FOOT LOCKER INC COM	46,727	406,057	520,539	
FORD MTR CO DEL COM PAR \$0.01	60,600	481,081	606,000	
FORTESCUE METALS G NPV	11,737	42,923	46,867	
FORTIS NPV	62,140	260,514	233,855	
FORTUM OYJ FIM20	3,587	89,144	97,628	
FORTUNE BRANDS INC COM	15,300	545,692	660,960	
FOXCONN INTERNATIONAL HLDGC LT	71,000	61,813	82,592	
FPIC INS GROUP INC COM	16,665	729,594	643,602	
FPL GROUP INC COM	44,300	2,237,121	2,339,926	
FRANCE TELECOM EUR4	162,566	4,442,959	4,065,400	
FRAPORT AG NPV	31,105	1,322,865	1,603,927	
FREDS INC CL A	66,000	832,779	673,200	3,800
FREEPORT MCMORAN COPPER & GOLD	62,900	3,658,129	5,050,240	
FREIGHTCAR AMER INC	46,000	1,207,679	912,180	
FRESENIUS SE NPV	1,996	98,443	124,430	
FUKUOKA FINANCIAL GROUP INC	13,000	57,563	44,965	
GALP ENERGIA SGPS EUR1	4,154	72,752	71,996	
GAMCO INVS INC	19,625	536,130	947,691	
GANNETT INC COM	43,293	503,528	642,901	
GAP INC COM	26,600	363,851	557,270	
GASTAR EXPLORATION LTD NEW	67,420	173,947	322,942	
GAZPROM NEFT LEVEL 1 ADR REPR	2,150	58,040	58,910	
GAZPROM OAO-SPON ADR	49,772	1,063,623	1,269,186	
GDF SUEZ EUR1	10,545	436,591	458,195	343
GENERAL CABLE CORP DEL COM NEW	9,139	348,793	268,869	3,751
GENERAL DYNAMICS CORP COM	15,000	863,850	1,022,550	
GENERAL ELEC CO COM	359,033	5,720,450	5,432,169	
GENESCO INC COM	20,900	477,200	573,914	6,270
GENWORTH FINL INC	298,145	1,424,903	3,383,946	
GENZYME CORP COM	30,500	2,024,285	1,494,805	
GEOKINETICS INC COM PAR \$0.01	48,650	220,422	468,013	
GIVAUDAN AG CHF10	2,065	1,680,177	1,651,001	
GKN ORD GBP0.01	22,771	41,736	43,023	
GLAXOSMITHKLINE ORD GBP0.25	65,740	1,241,591	1,400,784	
GLAXOSMITHKLINE PLC SPONSORED	27,400	978,023	1,157,650	3,671
GLG LIFE TECH CORP	27,700	210,520	214,121	
GLOBAL INDS LTD COM	119,116	415,715	849,297	
GOLDCORP INC	6,982	315,600	275,391	
GOME ELECTRICAL AP HKD0.1	423,273	105,452	153,936	2
GOOGLE INC CL A	12,850	4,249,872	7,966,743	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
GRANITE CONSTR INC COM	11,659	386,699	392,442	4,176
GREAT LAKES DREDGE & DOCK CORP	179,022	930,600	1,160,063	
GREATBATCH INC COM	7,300	193,158	140,379	1
GROUPE CGI INC CL A SUB VTG	108,200	843,960	1,467,192	
GRUPO FINANCIERO BANORTE SAB	43,697	160,173	160,123	
GRUPO MODELO SAB DE CV MXP	30,124	129,378	168,417	
GRUPO TELEVISA SA DE CV PTG	17,703	60,808	73,535	
GRUPO TELEVISA SA DE CV SPON	12,176	228,930	252,774	
GULFPORT ENERGY CORP	47,200	450,547	540,440	
HALLIBURTON CO COM	134,700	2,529,203	4,053,123	
HALOZYME THERAPEUTICS INC	58,550	354,135	343,689	
HAMBURGER HAFEN UND LOGISTIK A	320	14,305	12,405	
HANCOCK HLDG CO	15,584	681,158	682,735	195
HANOVER INS GROUP INC COM	21,010	893,712	933,474	999
HANSEN MED INC COM	109,895	320,893	332,982	
HARLEY DAVIDSON INC COM	24,700	396,945	622,440	870
HARRIS STRATEX NETWORKS INC	19,494	95,733	134,704	
HASEKO CORP Y50	1,425,726	1,487,304	1,010,773	
HDFC BK LTD ADR REPSTG 3 SHS	2,551	279,314	331,834	17
HEALTH CARE REIT INC COM	14,425	604,774	639,316	2,399
HEALTH GRADES INC	94,450	426,350	405,191	
HEALTHTRONICS INC	195,450	401,971	515,988	
HEIDELBERGCEMENT AG	7,114	453,976	487,273	
HEIDRICK & STRUGGLES INTL INC	17,550	329,763	548,262	
HEINEKEN NV EUR1.60	12,416	397,780	592,578	
HEINZ H J CO COM	11,200	388,299	478,912	
HELEN OF TROY LTD NEW	42,975	746,046	1,051,169	
HELLENIC TELECOM ORGANIZATION	8,190	135,806	120,914	
HENGAN INTL GROUP CO LTD	35,000	239,555	259,993	
HENKEL AG & CO KGAA NPV(BR)	743	30,099	33,047	
HENNES & MAURITZ AB 'B' NPV	2,482	94,443	138,142	
HERTZ GLOBAL HLDGS INC COM	161,000	1,055,609	1,919,120	9,945
HESS CORP	36,510	1,974,188	2,208,855	
HEWLETT PACKARD CO COM	27,000	979,830	1,390,770	
HEXCEL CORP NEW	61,295	533,373	795,609	
HIGHWOODS PPTYS INC COM	22,400	670,283	747,040	1,186
HITACHI CONSTRUCTION MACHINERY	1,361	22,413	35,306	1,361
HOCHTIEF AG NPV	865	72,225	65,962	
HOLCIM CHF2 (REGD)	2,491	134,624	193,979	
HOME DEPOT INC COM	146,188	3,485,824	4,229,219	
HONDA MOTOR CO NPV	79,776	1,786,083	2,665,056	
HONEYWELL INTL INC COM	52,700	1,730,906	2,065,840	
HORNBECK OFFSHORE SVCS INC	16,732	273,401	389,521	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
HOYA PENTAX HD CORPORATION	5,900	133,475	155,905	
HRPT PPTYS TR COM SBI	179,135	1,146,533	1,159,003	
HSBC HLDG PLC SPON ADR NEW	43,350	1,725,018	2,474,852	
HSBC HLDGS ORD USD0.50 (UK)	174,710	1,654,915	1,999,741	
HSBC HLDGS USD0.50 HONGKONG	237,388	1,845,728	2,736,955	
HUMAN GENOME SCIENCES INC COM	32,180	909,085	984,064	
HUNT J B TRANS SVCS INC	9,623	268,453	310,534	
HUTCHISON WHAMPOA HK\$0.25	23,000	124,723	158,395	
HYUNDAI HEAVY IND CO KRW5000	23,372	3,661,034	3,482,292	
HYUNDAI MTR CO	3,552	167,590	369,086	
IBERIABANK CORP COM	5,000	240,393	269,050	163
IBM CORP COM	23,700	2,401,956	3,102,330	
ICICI BANK LTD SPON ADR	12,378	416,442	466,774	
IGM FINANCIAL INC	1,098	43,541	44,419	
ILIAD NPV	609	70,562	72,977	
ILLINOIS TOOL WKS INC COM	7,700	236,209	369,523	
IMPALA PLATINUM ZAR0.025	5,412	121,531	149,188	
IMPERIAL TOBACCO GROUP ORD 10P	13,873	399,107	439,095	
IND & COMM BK OF CHINA -H	1,637,000	1,281,036	1,359,584	
INDUSTRIA DE DISENO TEXTIL SA	3,784	183,014	235,568	
INFINERA CORP COM	80,425	743,127	713,370	
INFOSYS TECHNOLOGIES SP ADR	6,334	279,904	350,080	
ING GROEP N.V. CVA EUR0.24	78,149	819,660	773,658	
INGERSOLL-RAND PUBLIC LIMITED	25,000	784,196	893,500	
INLAND REAL ESTATE CORP	43,868	323,968	357,524	
INNOPHOS HLDGS INC COM	30,291	464,006	696,087	
INSITUFORM TECHNOLOGIES INC	40,545	963,755	921,182	
INSPIRE PHARMACEUTICALS INC	136,505	641,198	753,508	
INSURANCE AUSTRALIA GROUP PLC	21,615	67,330	78,341	
INTEGRATED DEVICE TECH INC	116,139	661,759	751,419	
INTEL CORP	584,880	8,874,608	11,931,552	
INTERFACE INC CL A	67,700	367,380	562,587	
INTERMUNE PHARMACEUTICALS INC	29,010	362,915	378,290	
INTERNATIONAL PAPER CO COM	38,800	457,840	1,039,064	
INTERNET BRANDS INC CL A	45,700	273,098	357,831	
INTERSIL CORP CL A	12,600	115,794	193,284	
INTESA SANPAOLO EUR0.52	120,925	482,728	546,516	
INTOLL GROUP NPV	31,915	41,378	38,318	
INTREPID POTASH INC COM	22,951	570,087	669,481	10,241
INTUIT COM	139,300	3,338,315	4,280,689	
INVESCO LTD SHS	58,378	879,455	1,371,299	
INVESTMENT TECH GRP COM NEW	18,607	415,738	366,558	
IRIS INTL INC	51,600	558,927	637,776	

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ISILON SYS INC COM	38,900	261,360	266,854	
ITALCEMENTI EUR1	6,420	104,857	88,196	
ITOCHU CORP Y50	40,000	188,056	293,034	
ITRON INC	13,350	899,471	902,060	
ITT CORP	39,900	1,835,001	1,984,626	
IVANHOE MINES LTD	6,507	80,260	96,269	
JABIL CIRCUIT INC COM	131,018	1,183,633	2,275,783	
JAMBA INC COM	135,600	252,625	227,808	
JAPAN STEEL WORKS Y50	1,840	22,893	23,303	
JBS SA	6	0	0	
JBS SA COM NPV	4,599	25,380	24,589	
JC PENNEY CO INC COM	70,700	1,490,623	1,881,327	
JDA SOFTWARE GROUP INC	17,996	428,836	458,358	
JETBLUE AIRWAYS CORP	69,200	491,320	377,140	1,125
JFE HOLDINGS INC NPV	6,700	232,028	262,689	
JM SMUCKER COMPANY	6,194	337,977	382,480	
JOHN BEAN TECHNOLOGIES CORP	28,300	384,741	481,383	
JOHNSON & JOHNSON COM	214,340	12,589,846	13,805,639	
JONES APPAREL GROUP INC COM	117,110	839,708	1,880,787	
JPMCB EXTENDED DURATION FUND	140,169	18,000,000	17,891,167	
JPMORGAN CHASE & CO COM	235,020	7,528,507	9,793,283	
JSC MMC NORILSK NI ADR EACH	8,010	112,792	112,855	
JSC MMC NORILSK NICKEL	40,960	420,403	561,152	
JUNIPER NETWORKS INC COM	6,600	110,284	176,022	
K&S AG SUB RIGHTS	1,446	0	4,651	
K12 INC COM	26,015	526,023	527,324	
KANSAS CITY SOUTHERN COM NEW	22,935	725,205	763,506	
KAOLIN AD BGN1.00	1,038	2,546	4,378	
KAR AUCTION SERVICES INC	36,950	470,521	509,541	
KAWASAKI HEAVY INDUSTRIES Y50	14,000	38,290	35,190	
KB FINANCIAL GROUP INC KRW5000	10,575	504,503	542,156	
KBC GROUPE NPV	7,639	376,397	332,912	
KBW INC COM	10,064	231,472	275,351	10,064
KELLOGG CO COM	23,000	1,170,349	1,223,600	
KENEXA CORP	54,750	627,615	713,393	
KENAMETAL INC COM	24,720	659,501	640,742	
KESKO OYJ EUR2 SER'B'	4,138	139,416	137,026	
KEY ENERGY SERVICES INC COM	94,299	694,490	828,888	
KEYCORP NEW COM	299,800	2,103,210	1,663,890	
KHARKIVOBLENERGO JSC UAH0.25	69,576	18,040	32,573	
KHMELNITSKOBLENERGOUAH0.25	6,447	5,040	2,012	
KINETIC CONCEPTS INC	106,178	2,313,386	3,997,602	490
KING PHARMACEUTICALS INC COM	178,959	1,817,296	2,195,827	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
KINGFISHER ORD GBP0.157142857	26,216	95,230	96,947	
KINROSS GOLD CORP	6,879	144,507	127,101	
KIRBY CORP COM	58,400	1,597,824	2,034,072	430
KIRIN HOLDINGSCO LTD Y50	15,800	224,587	252,881	
KLA TENCOR CORP	30,000	658,463	1,084,800	2
KNIGHT CAP GROUP INC	27,190	438,881	418,726	
KNOT INC COM	48,450	474,108	487,892	
KOHL'S CORP COM	31,800	1,160,475	1,714,974	
KOMATSU Y50	16,100	245,960	334,469	
KOMERCNI BANKA ORD CZK500	8,425	1,295,667	1,798,626	
KONICA MINOLTA HLDGS INC Y50	3,500	35,929	35,791	
KONINKLIJKE KPN NV EUR0.24	48,058	703,563	816,382	
KONINKLIJKE PHILIPS ELECTRONIC	17,435	349,855	517,307	
KOREA EXCHANGE BK KSWN5000	2,310	27,306	28,764	
KORYUKIVSKAS TECHNIKAL PAPER	2,678	8,025	11,702	
KRAFT FOODS INC CL A	138,197	3,710,589	3,756,194	
KROGER CO COM	60,200	1,220,240	1,235,906	
KRYMENERGO	26,598	6,585	12,286	
KUBOTA CORPORATION Y50	12,496	99,881	114,094	
KUNLUN ENERGY COMPANY LTD	79,000	89,508	105,142	
KURITA WATER INDUSTRIES NPV	743	24,712	23,145	
KYIVMEDPREPARAT UAH25	1,282	22,550	3,841	
KYOCERA CORP Y50	800	56,304	70,208	
LACLEDE GROUP INC COM	25,400	1,189,736	857,758	
LAFARGE SA EUR4.00	4,330	317,647	359,143	
LAM RESH CORP COM	38,600	1,037,481	1,513,506	
LAWSON INC NPV	600	26,164	26,425	
LEAPFROG ENTERPRISES INC CL A	76,175	298,606	297,844	
LEGAL & GENERAL GROUP ORD 2.5P	88,660	123,585	115,397	
LENOVO GROUP LTD HKD0.025	382,000	221,578	239,426	
LG CHEMICAL KRW5000	114	19,382	22,370	
LG DISPLAY CO LTD	12,650	391,848	426,383	
LG ELECTRONICS INC	5,351	523,456	558,317	
LI & FUNG HKD0.025	26,000	80,771	108,137	
LIFE TECHNOLOGIES CORP COM	25,585	596,386	1,336,049	
LILLY ELI & CO COM	360,850	13,772,485	12,885,954	
LIMITED BRANDS INC	137,400	1,740,151	2,643,576	
LINDE AG NPV	35,002	2,788,953	4,210,873	
LIONS GATE ENTMT CORP COM NEW	116,670	655,337	677,853	
LIVEPERSON INC COM	105,350	369,577	734,290	
LIZ CLAIBORNE INC COM	70,400	353,725	396,352	
LKQ CORP	37,195	713,028	728,650	
LLOYDS BANKING GROUP PLC	762,481	704,935	624,142	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
LOCKHEED MARTIN CORP COM	63,200	4,768,694	4,762,120	
LOGITECH INTL SA CHF0.25(REGD)	1,536	29,303	26,641	
LONGTOP FINL TECHNOLOGIES LTD	20,130	735,148	745,213	
LONMIN ORD USD I	3,377	87,986	106,831	
LOWES COS INC COM	156,558	3,240,972	3,661,892	
LUKOIL OAO-SPON ADR	19,646	1,017,065	1,125,716	
LUMINEX CORP DEL COM	23,400	395,625	349,362	2
LVIVOBLENERGO UAH0.25	1,338	384	879	
LVMH MOET HENNESSY EUR0.30	3,517	279,296	395,507	264
MACQUARIE GROUP LIMITED NPV	1,623	49,895	70,647	1,623
MAGNIT GDR(5 GDRS REP 1 ORD)	1,900	29,182	30,115	
MAIDENFORM BRANDS INC	32,250	512,218	538,253	
MAKITA CORP Y50	1,400	33,184	47,672	
MAKO SURGICAL CORP COM	62,981	641,776	699,089	
MAN SE ORD NPV	2,977	130,429	232,228	
MANITOWOC INC COM	31,057	224,151	309,638	2,259
MANULIFE FINANCIAL CORP	15,154	287,608	279,417	
MAP GROUP	114,284	195,321	311,427	
MAP PHARMACEUTICALS INC COM	22,125	212,400	210,851	
MARKS & SPENCER GROUP ORD	23,260	110,028	150,997	
MARSH & MCLENNAN COS INC COM	159,500	3,678,955	3,521,760	
MATTEL INC COM	90,000	1,390,490	1,798,200	
MAXYGEN INC COM	74,000	519,303	450,660	
MBW IM (MEDIOBANCA SPA-CW11)	5,127	207	797	
MCAFFEE INC	3,800	151,338	154,166	
MCDERMOTT INTL INC COM	130,000	1,403,625	3,121,300	
MCDONALDS CORP COM	128,600	7,702,664	8,029,784	
MCGRW HILL COS INC COM	73,620	1,707,248	2,467,006	
MDU RESOURCES GROUP INC	40,214	716,843	949,050	
MEAD JOHNSON NUTRITION CO COM	12,000	514,458	524,400	
MECHEL OAO SPONSORED	7,724	145,180	145,366	
MEDCATH CORP COM	51,000	419,369	403,410	
MEDIASET EUR0.52	6,506	36,330	53,533	
MEDICIS PHARMACEUTICAL CORP	59,000	751,985	1,595,950	
MEDIOBANCA SPA EUR0.5	22,737	288,851	271,251	
MEDIVATION INC COM	9,155	316,397	344,686	
MEDTRONIC INC COM	255,510	8,048,072	11,237,330	
MENS WEARHOUSE INC COM	15,750	213,255	331,695	443
MERCADOLIBRE INC COM	8,780	426,532	455,419	
MERCK & CO INC NEW	185,068	5,296,236	6,762,385	
MERIDIAN BIOSCIENCE INC COM	14,181	305,395	305,601	
METLIFE INC COM	56,030	1,552,574	1,980,661	
METRO AG ORD NPV	4,286	235,327	263,807	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
METSO OYJ EUR1.70	2,749	78,769	97,144	
MGIC INVT CORP WIS COM	65,899	485,114	380,896	53,775
MGM MIRAGE	50,811	506,011	463,396	50,073
MICHAEL PAGE INTL ORD GBP0.01	272,945	904,568	1,670,059	
MICHELIN CIE GLE DES ETABL	1,831	82,022	140,756	
MICROCHIP TECHNOLOGY INC COM	60,000	1,171,800	1,743,000	12,023
MICRON TECHNOLOGY INC COM	34,413	90,850	363,401	2,193
MICROSOFT CORP COM	506,360	10,322,492	15,433,853	
MICRUS ENDOVASCULAR CORP	25,300	228,898	379,500	
MILLIPORE CORP COM	16,100	829,472	1,164,835	
MINDRAY MED INTL LTD SPONSORED	931	26,992	31,580	931
MITSUBISHI CORP NPV	27,800	370,779	688,318	
MITSUBISHI ELECTRIC CORP Y50	19,000	121,215	139,803	
MITSUBISHI ESTATE CO NPV	14,000	232,282	222,268	
MITSUBISHI GAS & CHEML CO Y50	468,000	2,106,300	2,342,639	
MITSUBISHI HEAVY IND NPV	14,000	57,842	49,025	13,900
MITSUBISHI UFJ FINANCIAL GROUP	582,800	3,452,049	2,829,643	
MITSUBISHI UFJ LEASE & FINANCE	790	25,464	23,718	
MITSUMI & CO Y50	40,000	399,142	563,296	
MITSUMI FUDOSAN CO Y50	11,000	197,852	184,092	
MKS INSTRS INC	88,099	1,305,832	1,532,923	
MOBILE TELESYSTEMS OJSC SPONS	2,198	101,239	107,460	
MONSANTO CO NEW COM	61,858	4,490,143	5,056,892	
MONTPELIER RE HOLDINGS LTD CDT	70,000	1,183,478	1,212,400	
MOOG INC CL A	22,415	819,717	655,190	
MORRISON (W) SUPMKT ORD 10P	31,419	143,704	140,744	
MOTOROLA INC COM	289,406	1,986,454	2,245,791	
MSCI INC CL A	22,170	733,605	705,006	
MUELLER INDS INC COM	57,045	1,430,689	1,416,998	
MUELLER WTR PRODS INC COM SER	99,795	382,823	518,934	
MUENCHENER RUECKVERSICHERUNGS	920	116,603	143,705	
MYER HOLDINGS LTD	31,543	115,647	103,260	
MYERS INDS INC	80,956	662,064	736,700	
MYLAN INC COM	46,850	892,024	863,446	
NATIONAL BANK OF CANADA	1,535	74,079	88,204	
NATIONAL OILWELL VARCO INC	60,000	1,537,061	2,645,400	
NATIONAL PENN BANCHARES INC	87,074	512,889	504,158	9,146
NATIONAL RETAIL PPTYS INC COM	23,911	432,218	507,391	
NATL AUSTRALIA BK NPV	27,325	539,169	673,348	
NESTE OIL OYJ EUR3.4	6,930	126,917	123,490	
NESTLE SA CHF0.1 (REGD)	39,940	1,687,394	1,939,529	
NESTLE SA SPON ADR REPSTG REG	154,900	6,143,957	7,522,099	
NEUROMETRIX INC	105,600	264,987	260,832	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
NEUSTAR INC CL A	72,500	1,342,721	1,670,400	
NEWCREST MINING LTD ORD NPV	6,629	234,240	210,630	
NEWMONT MINING CORP HOLDING CO	52,700	2,129,211	2,493,237	
NEWS CORPORATION CL A	99,181	885,988	1,357,788	
NEWS CORPORATION CL B	45,700	437,806	727,544	15,210
NEXEN INC	4,615	116,541	111,022	
NGK INSULATORS Y50	9,000	132,490	195,284	
NHN CORP	515	71,727	84,914	
NIC INC	46,450	257,656	424,553	
NIDEC CORP NPV	8,400	554,669	770,568	
NIKE INC CL B COM	30,000	1,873,782	1,982,100	
NIKON CORP NPV	8,300	148,679	162,978	
NINTENDO CO	560	195,360	132,579	
NIPPON BUILDING FUND INC	4	36,459	30,335	
NIPPON STEEL CORP NPV	42,000	167,823	169,182	
NIPPON TEL & TEL CORP Y50000	3,500	153,854	137,225	
NISSAN MOTOR CO Y50	30,400	156,612	264,504	
NOBEL BIOCARE AG CHF0.40(BR)	2,401	53,939	80,780	
NOBLE ENERGY INC	12,000	582,976	854,640	
NOKIA (AB) OY EUR0.06	9,244	141,678	118,304	
NOMURA HOLDING NPV	53,800	434,598	393,553	
NORFOLK SOUTHN CORP COM	20,000	938,950	1,048,400	
NORSK HYDRO ASA NOK3.6666	55,551	369,898	468,406	6,175
NORTHEAST UTILS COM	34,845	810,177	898,653	
NORTHERN TR CORP COM	32,136	1,753,686	1,683,926	
NORTHROP GRUMMAN CORP COM	37,700	1,701,880	2,105,545	
NORTHWEST PIPE CO COM	24,235	632,049	650,952	
NOVARTIS AG CHF0.50 (REGD)	85,756	3,583,045	4,687,027	
NOVARTIS AG SPON ADR	123,950	6,136,076	6,746,599	
NOVATEK OAO GDR EACH REPR	2,987	169,301	197,142	
NOVATEL WIRELESS INC COM NEW	75,000	473,060	597,750	75,000
NOVO NORDISK A S ADR	33,000	1,664,337	2,107,050	
NOVO-NORDISK AS DKK1	7,138	371,179	456,942	
NOVOROSSIYSK SEA TRADE PORT	6,350	72,411	73,089	
NTT DOCOMO INC NPV	121	212,334	168,447	
NUCOR CORP	46,400	1,801,499	2,164,560	
NVIDIA CORP COM	73,700	594,759	1,376,716	
OCCIDENTAL PETE CORP COM	54,400	3,577,790	4,425,440	
OCEANEERING INTL INC COM	71,660	2,428,484	4,193,543	
OCWEN FINL CORP COM NEW	33,885	227,871	324,279	
ODESSAOBLENERGO UAH0.25	14,191	7,972	4,199	
OLD DOMINION FGHT LINES INC	12,913	366,721	396,429	773
OLD MUTUAL ORD GBP0.10	98,414	126,107	173,545	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
OLIN CORP COM PAR \$1.00	33,725	609,748	590,862	
OLYMPIC STL INC	40,650	1,014,510	1,324,377	
OMV AG NPV	17,294	474,233	761,746	
ON SEMICONDUCTOR CORP COM	12,650	43,010	111,573	
ONCOGENEX PHARMACEUTICALS INC	10,045	277,089	223,803	
OPAP SA EUR0.3 (CR)	137,387	3,723,761	3,023,759	
OPEN JT STK CO-VIMPEL	7,827	136,873	145,504	
OPTIMER PHARMACEUTICALS INC	32,700	407,401	368,529	
ORACLE CORPORATION COM	216,338	3,928,324	5,306,771	
ORANTA INSURANCE	6,204	30,717	29,432	
OREXIGEN THERAPEUTICS INC COM	33,975	257,870	252,774	
ORIENTAL FINL GROUP INC COM	57,910	350,356	625,428	
ORION MARINE GROUP INC COM	25,100	280,989	528,606	
ORIX CORP Y50	1,060	66,881	71,392	
ORKLA ASA NOK1.25 (POST	28,259	255,424	278,099	
ORTHOFIX INTERNATIONAL N V	20,300	499,254	627,879	
OTP BANK HUF100	33,792	537,708	978,883	
OTTER TAIL CORP	52,615	1,227,508	1,305,904	9,571
OYO GEOSPACE COM	14,650	267,078	628,339	4,052
PACCAR INC	82,000	2,475,905	2,974,140	358
PACWEST BANCORP DEL COM	8,110	179,507	163,417	573
PANASONIC CORP	31,712	460,001	451,350	
PARAMETRIC TECHNOLOGY CORP COM	45,850	554,318	749,189	
PARKSON RETAIL GROUP LTD	33,500	61,032	59,102	
PARMALAT S P A EUR1	20,941	57,594	58,678	
PATTERSON UTI ENERGY INC COM	42,225	434,380	648,154	2,486
PAYCHEX INC COM	28,000	835,041	857,920	
PEABODY ENERGY CORP COM	27,200	618,800	1,229,712	
PENN NATL GAMING INC COM	24,473	592,799	665,421	
PENNANTPARK INVT CORP COM	63,550	240,155	566,866	
PEPSICO INC COM	123,310	6,753,689	7,497,248	
PERKINELMER INC COM	42,513	658,085	875,343	
PERNOD-RICARD EUR1.55	915	66,953	78,650	
PETMED EXPRESS INC COM	38,155	696,710	673,817	
PFIZER INC	251,500	4,134,514	4,574,785	
PHI INC COM NON VTG	24,850	363,697	514,395	
PHILIP MORRIS INTL INC COM	238,030	10,440,441	11,470,666	
PHILLIPS VAN HEUSEN CORP COM	6,888	138,655	280,204	
PIER 1 IMPORTS INC COM	51,050	247,766	259,845	
PING AN INSURANCE SHS	30,965	239,333	271,551	
PLAINS EXPLORATION & PRODTN	58,000	1,357,900	1,604,280	
PLEXUS CORP	21,861	371,599	622,601	33
PNC FINANCIAL SERVICES GROUP	45,555	1,601,835	2,404,848	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
PNM RES INC	44,321	534,588	560,661	36
POLTAVAOBLENERGOUAH0.25	2,982	2,292	1,489	
POSCO	1,945	647,816	1,032,233	
POST PTYS INC COM	19,623	353,036	384,611	
POTASH CORP OF SASKATCHEWAN	5,524	528,861	602,748	
POTASH CORP SASK INC COM	34,000	2,492,172	3,689,000	
POWELL INDS INC COM	11,630	389,024	366,694	
POWSZECHNA KASA OSZCZED BK	35,179	412,629	467,136	
PPG INDS INC COM	39,600	1,460,575	2,318,184	
PPR EUR4.00	2,334	243,409	282,095	
PRAXAIR INC COM	53,000	3,468,223	4,256,430	
PRECISION DRILLING TRUST	402,064	2,437,642	2,933,934	
PREMIERE GLOBAL SVCS INC	4,981	37,732	41,093	
PRIDE INTL INC DEL COM	26,200	473,092	836,042	1
PROCTER & GAMBLE CO COM	109,140	6,511,558	6,617,158	
PROGRESS SOFTWARE CORP	18,448	413,048	539,051	
PROGRESSIVE CORP OHIO COM	21,000	310,795	377,790	
PRUDENTIAL PLC GBP0.05	38,151	280,917	394,292	
PUBLICIS GROUPE SA EUR.4	4,672	122,788	191,040	901
QANTAS AIRWAYS NPV	11,572	29,976	31,118	
QUAKER CHEM CORP COM	920	18,948	18,989	
QUALCOMM INC	85,800	3,160,814	3,969,108	
QUIKSILVER INC COM	53,900	99,176	108,878	
RADIAN GROUP INC	131,151	820,564	958,714	253
RAIFFEISEN BANK AVAL JSC	1,008,605	31,539	30,963	
RAIFFEISEN INTL BK NPV	936	57,097	53,046	936
RAMBUS INC DEL COM	24,800	396,319	605,120	4,346
RANDSTAD HLDGS EUR0.1	2,648	125,075	132,593	
RANGE RES CORP COM	1,800	92,872	89,730	
RAYTHEON CO COM NEW	24,200	1,129,536	1,246,784	
REED ELSEVIER NV EUR0.07	406,428	4,727,845	5,015,437	
REGIONS FINL CORP	211,500	873,052	1,118,835	38,271
RENAULT REGIE NATIONALE DES	7,593	271,268	394,365	1,247
REPSOL YPF SA EUR1	5,069	143,161	136,182	
RESEARCH IN MOTION LTD COM	35,955	1,595,399	2,428,401	
RESONA HOLDINGS INC	4,400	63,743	44,333	
RESOURCES CONNECTION INC COM	45,955	985,735	975,165	
RETAIL GROUP UAH0.25	176	29,012	2,686	
REXAM ORD 64 2/7P	447,624	2,039,118	2,100,589	
RICOH Y50	9,000	117,288	127,032	
RIGHTNOW TECHNOLOGIES INC	35,550	330,433	617,504	
RIO TINTO LIMITED NPV	42,462	1,390,492	2,859,914	
RIO TINTO ORD GBP0.10	87,602	2,366,485	4,795,633	

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RIO TINTO PLC SPONSORED ADR	8,600	764,626	1,852,354	375
ROBBINS & MYERS INC COM	46,935	908,276	1,103,911	
ROCHE HLDG AG GENUSSSCHEINE NPV	26,842	3,698,133	4,564,763	
ROCKWELL AUTOMATION INC	13,100	445,505	615,438	
ROCKWOOD HLDGS INC	36,200	364,654	852,872	
RODOVID BANK OJSC UAH1000	216	13,790	2	
ROLLS ROYCE GROUP ORD GBP0.20	258,451	1,543,871	2,017,934	
ROSNEFT OJSC GDR EACH REPR 1	132,596	1,012,076	1,140,326	
ROVI CORP	14,405	440,011	459,087	
ROYAL BANK OF CANADA	13,217	555,733	711,059	
ROYAL DUTCH SHELL A SHS	51,436	1,304,121	1,557,134	
ROYAL DUTCH SHELL 'A' SHS	32,352	939,276	983,225	
ROYAL DUTCH SHELL 'B' SHS	56,927	1,412,676	1,665,286	
ROYAL DUTCH SHELL PLC	100,800	5,130,972	5,859,504	
ROYAL DUTCH SHELL PLC	65,290	3,369,548	3,924,582	
RPC INC	105,995	1,034,511	1,102,348	1
RRI ENERGY INC	78,486	523,164	448,940	
RTI BIOLOGICS INC COM	113,800	479,030	436,992	
RTI INTL METALS INC COM	62,000	1,120,127	1,560,540	
RTS STOCK EXCHANGE RUB100	563	308,414	308,414	
RUBY TUESDAY INC	105,230	723,983	757,656	1,004
RUE21 INC	5,788	162,221	162,585	
RURAL/METRO CORP COM	75,200	428,465	451,200	5
RWE AG (NEU) NPV 'A'	4,142	370,256	405,473	
RYLAND GROUP INC	21,360	388,398	420,792	6,618
SAFETY INS GROUP INC	24,905	905,896	902,308	
SAFeway INC NEW COM	145,200	3,240,128	3,091,308	
SALIX PHARMACEUTICALS INC	25,915	626,884	657,982	
SALZGITTER AG ORD NPV	1,576	161,724	155,161	
SAMSUNG ELECTRONICS CO LTD	1,749	916,052	1,200,069	
SANDISK CORP	100,000	1,210,439	2,899,000	
SANDVIK AB NPV (POST SPLIT)	28,689	179,856	347,156	
SANKYO CO(OTC) NPV	46,500	2,308,329	2,317,632	
SANOFI-AVENTIS EUR2	72,215	4,672,163	5,704,793	
SAP AG ORD NPV	7,409	248,015	351,642	
SAPIENT CORP	45,430	340,231	375,706	
SBERBANK-CLS	87,587	227,525	246,032	
SBERBANK-CLS	598,575	1,348,849	1,681,397	
SBM OFFSHORE NV EUR0.25 (POST	2,139	46,054	42,275	
SCHLUMBERGER LTD COM	110,057	4,829,540	7,163,610	
SCHNEIDER ELECTRIC EUR8	2,968	231,560	348,247	
SCHNITZER STL INDS INC CL A	6,230	314,303	297,171	
SCHULMAN A INC COM	9,000	180,359	181,620	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
SCHWAB CHARLES CORP NEW COM	123,074	2,010,151	2,316,253	
SEADRILL LTD USD2	3,222	57,615	82,547	604
SEAHAWK DRILLING INC-W/I	1,746	38,235	39,355	
SEVERSTAL GDR (EACH REPR 1 ORD	2,843	21,502	27,009	4
SGS SA CHF1(REGD)	38	48,264	49,662	
SHANDONG WEIGAO GROUP MEDICAL	8,000	28,790	26,722	
SHERWIN WILLIAMS CO COM	8,100	400,099	499,365	
SHIN-ETSU CHEMICALS CO NPV	58,500	2,667,643	3,286,481	
SHINHAN FINANCIAL GROUP	9,580	335,520	355,401	
SHISEIDO Y50	4,000	72,500	76,524	
SHIZUOKA BANK Y50	18,000	179,176	155,647	
SIDER NACIONAL CIA COM NPV	5,542	165,239	178,036	
SIEMENS AG NPV REGD	68,876	4,955,092	6,361,033	
SIGNATURE BK NEW YORK N Y	19,610	611,048	625,559	
SILVER WHEATON CORP	4,281	73,992	64,847	
SINA CORPORATION	13,085	604,658	591,180	
SINGAPORE AIRLINES SGD0.50	236,000	1,687,627	2,511,371	
SINOPHARM GROUP CO-H	8,400	27,945	29,845	
SISTEMA HALS GDR 144A	5,734	5,734	9,174	
SK TELECOM KRW500	936	131,576	136,243	
SKANDINAVISKA ENSKILDA BANKEN	329,511	1,927,180	2,046,262	
SKF AB SER B NPV (POST SPLIT)	14,510	133,656	251,178	
SMART BALANCE INC COM	63,780	348,239	382,680	
SMC CORP NPV	18,700	1,967,340	2,115,162	
SMITH A O CORP	6,280	185,485	272,489	
SMURFIT KAPPA GROUP PLC ORD	150,825	374,233	1,363,296	
SMURFIT KAPPA GROUP PLC ORD	24,906	186,058	221,550	
SNAM RETE GAS EUR1	416,492	1,939,478	2,073,540	
SNAP ON INC COM	36,630	1,442,489	1,547,984	
SOCIETE GENERALE EUR1.25	11,046	663,474	775,772	
SOLUTIA INC COM NEW	61,330	167,961	778,891	
SONY CORP AMERN SH NEW ADR	52,750	1,159,362	1,529,750	
SONY CORP NPV	6,395	158,762	183,411	
SONY FINANCIAL HOLDINGS INC	1,032	3,719,051	2,684,896	
SOURCEFIRE INC COM	18,150	281,473	485,331	
SOUTH FINL GROUP INC COM	56,235	107,129	36,272	4
SOUTHWEST AIRLS CO COM	484,000	4,074,819	5,532,120	4,001
SPARTECH CORP NEW	27,444	352,907	281,575	
SPRINT NEXTEL CORP COM SER 1	88,600	162,138	324,276	
STANDARD CHARTERED ORD USD0.50	22,319	456,342	567,659	
STANDARD PAC CORP NEW COM	65,649	116,855	245,527	
STANLEY ELECTRIC CO NPV	1,200	24,141	24,053	
STARWOOD HOTELS & RESORTS COM	19,806	396,481	724,305	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
STATOIL ASA NOK2.50	32,886	570,206	824,313	
STEEL DYNAMICS INC COM	38,858	407,423	688,564	
STERLITE INDS INDIA LTD ADS	23,708	379,670	431,960	
STORA ENSO OYJ SER 'R' NPV	13,294	98,022	93,079	
STOREBRAND ASA A NOK5	15,907	104,129	108,932	
STRATTEC SEC CORP	5,060	83,237	93,610	
SUEZ ENVIRONNEMENT CO EUR4	3,061	72,982	70,817	
SULZER AG CHF0.01(REGD)	4,201	322,865	329,578	
SUMITOMO METAL INDUSTRIES NPV	24,000	62,446	64,192	
SUMITOMO MITSUI GR NPV	19,200	725,377	545,507	
SUMITOMO REALTY & DEV CO NPV	9,000	171,814	168,119	
SUMITOMO TRUST & BKG CO Y50	43,500	234,662	211,671	
SUN LIFE FINANCIAL INC	2,128	60,234	61,403	
SUNCOR ENERGY INC	23,341	519,288	828,462	
SUNCORP METWAY LTD NPV	13,000	85,462	101,600	
SUNOPTA INC	129,000	472,367	433,440	
SUNSTONE HOTEL INVS INC NEW	92,032	528,163	817,244	
SUPER MICRO COMPUTER INC COM	37,500	373,779	417,000	
SUPERTEX INC COM	17,850	461,573	531,930	
SURUGA BANK LTD Y50	3,000	30,990	25,973	
SUSQUEHANNA BANCSHARES INC PA	33,500	291,786	197,315	33,500
SUZUKI MOTOR CO NPV	15,600	264,957	382,061	
SVENSKA CELLULOZA AB-SCA SER B	16,408	138,403	219,345	
SWATCH GROUP CHF2.25 (BR)	437	67,543	110,714	
SWEDBANK AB SEK'A' NPV	10,930	104,121	108,686	
SWEDISH MATCH AB NPV	2,773	51,215	60,935	
SWIFT ENERGY CO	27,550	657,619	660,098	
SWISS REINSURANCE LTD CHF0.1	7,602	285,450	367,029	
SWISSCOM AG CHF1.00	514	152,411	196,700	
SYKES ENTERPRISES INC COM	76,489	1,462,470	1,948,175	
SYMANTEC CORP COM	113,600	1,535,947	2,032,304	3
SYNGENTA AG CHF0.1	1,411	323,421	396,786	
SYNIVERSE HLDGS INC	11,639	138,970	203,450	
SYNOVUS FINL CORP	108,000	217,599	221,400	
SYSCO CORP COM	26,200	601,028	732,028	
T-3 ENERGY SERVICES INC	35,434	362,838	903,567	
TAIWAN SEMICONDUCTOR MFG CO	261,078	2,330,513	2,986,732	4
TAKEDA PHARMACEUTICAL CO LTD	2,000	102,372	82,282	
TAKE-TWO INTERACTIVE SOFTWARE	87,965	708,998	884,048	
TALISMAN ENERGY INC	35,276	415,950	662,550	
TARGET CORP COM	71,248	2,619,046	3,446,266	
TATA MTRS LTD SPONSORED ADR	14,963	232,663	252,276	9,478
TEAM INC	22,950	432,608	431,690	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
TECHNIP SA EUR	81,831	2,617,807	5,799,907	
TECHWELL INC COM	22,050	256,551	291,281	
TECK RESOURCES LTD	10,125	246,894	355,609	
TEKELEC COM	74,670	996,098	1,140,958	
TELECOM ITALIA EUR0.55	112,898	179,900	176,235	
TELEFONICA SA EUR1	169,585	3,779,405	4,749,452	
TELEKOM AUSTRIA (TA) NPV	3,970	56,841	56,675	
TELEKOMUNIKASI INDONESIA (PERS	337,865	315,302	339,844	
TELENOR AS ORD NOK6	11,194	119,960	157,055	
TELIASONERA AB NPV	11,184	55,015	81,216	
TEMPLE INLAND INC COM	31,586	515,487	666,780	
TENNECO INC COM	27,004	322,883	478,781	
TERNOPILOBLENERGO OJSC COM STK	2,274	4,444	1,419	
TESCO ORD 5P	133,529	852,012	922,893	
TETON ADVISORS INC COM	293	25	25	
TEVA PHARMACEUTICAL INDS ADR	36,300	1,696,026	2,039,334	
TEXAS INSTRS INC COM	238,725	4,014,403	6,221,174	
THORATEC CORP COM NEW	21,535	619,777	579,722	
TJX COS INC NEW COM	18,800	388,596	687,140	
TNS INC	17,350	238,529	445,722	
TNT NV EUR0.48	170,927	3,465,701	5,272,607	
TOKIO MARINE HOLDINGS INC NPV	3,000	82,248	81,530	
TOKYO ELECTRON NPV	71,900	2,458,798	4,572,190	
TOLL BROS INC COM	75,973	1,574,921	1,429,052	5,495
TOLL HLDGS LIMITED NPV	18,069	143,489	142,028	9,575
TORONTO-DOMINION BANK	7,328	383,442	461,063	1,475
TOSHIBA CORP NPV	41,000	211,473	225,050	
TOTAL SA ADR	65,000	3,466,500	4,162,600	
TOTAL SA EUR2.5	25,721	1,379,111	1,660,829	4
TOWER GROUP INC	26,614	643,872	623,034	
TOYOTA MOTOR CORP NPV	40,100	1,430,482	1,671,282	
TRAVELERS COS INC COM	40,100	1,955,940	1,999,386	
TRIMBLE NAV LTD	80,000	1,728,692	2,016,000	
TRIUMPH GROUP INC COM NEW	26,350	1,125,499	1,271,388	
TRUSTMARK CORP	26,693	539,265	601,660	150
TRW AUTOMOTIVE HLDGS CORP	14,600	345,385	348,648	
TSAKOS ENERGY NAVIGATOIN LTD	58,295	1,067,964	854,605	57,225
TSINGTAO BREWERY CO SER 'H'	24,000	124,030	133,092	
TSUKROVYY SOYZ UKRROS UAH1	18,706	2,803	2,802	
TYCO ELECTRONICS LTD	151,325	2,371,214	3,715,029	
TYCO INTERNATIONAL LTD SHS	97,025	2,253,508	3,461,852	
U S PHYSICAL THERAPY INC	23,500	314,187	397,855	
U STORE IT TR	62,800	359,891	459,696	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
UBS AG CHF0.1 (POST	241,490	3,399,497	3,749,373	
UGI CORP NEW COM	28,295	690,964	684,456	
UKRAINIAN INNOVATION BANK JSC	17,295,633	56,338	212	
UKRNAFTA OPEN JT STK CO	26	2,730	3,428	
UKRSOTSBANK UAH0.1	1,189,986	34,110	52,265	
UKRTELECOM GDR REP 50 ORD	1,500	2,546	3,733	
UKRTELECOM UAH0.25	1,902,588	67,643	109,500	
ULTIMATE SOFTWARE GROUP INC	31,945	887,493	938,225	
UMB FINL CORP	17,270	848,648	679,575	
UMPQUA HLDGS CORP COM	83,500	931,502	1,119,735	
UNICA CORP	43,250	298,396	335,188	
UNI-CHARM CORP NPV	1,740	147,163	162,795	
UNICREDIT CAIB AG LAONA INVT	5	11,295	2,815	
UNICREDIT EUR0.50	273,565	955,120	919,425	
UNIFIRST CORP	25,700	763,033	1,236,427	
UNILEVER NV CVA EUR0.16	21,745	578,990	709,769	
UNION PAC CORP COM	27,000	1,295,378	1,725,300	
UNITED AMER INDY LTD CL A	72,440	927,956	573,725	
UNITED MICROELECTRONICS CORP	18,072	52,556	70,119	17,258
UNITED PARCEL SVC INC CL B	123,507	6,608,417	7,085,597	
UNITED RENTALS INC COM	218,000	1,345,655	2,138,580	
UNITED TECHNOLOGIES CORP COM	28,200	1,511,520	1,957,362	
UNIVERSAL AMERICAN CORP	88,200	848,895	1,031,940	
UNIVERSAL HEALTH SVCS INC CL B	26,462	497,089	807,091	
UNUM GROUP	46,500	871,651	907,680	1
UQM TECHNOLOGIES INC COM	93,600	473,138	641,160	
URALKALI-SPON GDR-REG S	2,725	59,687	57,225	
VALE S A ADR	36,517	546,356	1,060,089	1
VALEO EUR3	1,700	56,123	59,831	
VALLOUREC (USIN A TUB DE LOR	1,050	153,277	191,399	
VANCEINFO TECHNOLOGIES INC ADR	33,895	575,537	651,123	
VECTREN CORP COM	19,737	493,622	487,109	
VEDANTA RESOURCES PLC	1,896	78,151	79,942	
VEOLIA ENVIRONMENT EUR5.0	6,996	212,056	232,117	6,016
VERIZON COMMUNICATIONS COM	37,000	1,108,819	1,225,810	
VIACOM INC NEW CL B	4,200	80,058	124,866	
VINCI EUR2.50 (POST SUBDIVISIO	100,345	4,077,032	5,682,495	2,977
VINNITSAOBLENERGO NPV	468	34,147	13,146	
VISA INC COM CL A	24,900	1,778,780	2,177,754	1,230
VIVENDI SA EUR5.5	4,878	153,304	145,538	
VOCUS INC COM	44,475	768,430	800,550	
VODAFONE GROUP	2,292,560	4,569,917	5,319,976	
VODAFONE GROUP PLC-SP ADR	154,600	3,125,514	3,569,714	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
VOESTALPINE AG	3,299	114,600	121,644	
VOLVO (AB) SER B NPV (POST	24,146	210,351	207,808	
VOLYNOBLENERGO UAH0.05	27,486	3,939	2,745	
VTB BANK (JSC) GDR (EACH REPR	56,523	219,441	266,789	
VULCAN MATLS CO COM	32,400	1,958,876	1,706,508	
WACKER CHEMIE AG NPV (BR)	287	43,718	50,109	
WAL MART STORES INC COM	122,960	6,727,054	6,572,212	
WALGREEN CO	100,040	2,450,197	3,673,469	
WANT WANT CHINA HOLDINGS LTD	218,280	150,746	153,138	
WASHINGTON FEDERAL INC	27,618	409,021	534,132	1
WASHINGTON MUTUAL INC COM	344,500	7,579	48,230	
WASHINGTON REAL ESTATE INVT TR	5,649	120,889	155,630	5,288
WASTE MGMT INC DEL COM	21,000	677,804	710,010	
WATERS CORP COM	61,500	2,260,304	3,810,540	
WEATHERFORD INTERNATIONL LTD	77,200	1,477,212	1,382,652	
WELLCARE HEALTH PLANS INC	32,200	1,194,324	1,183,672	
WELLS FARGO & CO NEW COM	221,181	5,400,601	5,969,675	
WERNER ENTERPRISES INC COM	36,570	634,124	724,086	
WESCO INTL INC COM	26,110	709,148	705,231	
WESFARMERS ORD NPV	15,436	284,096	434,102	
WEST PHARMACEUTICAL SVCS INC	26,905	1,016,202	1,054,676	1,275
WESTERN ALLIANCE	79,457	480,549	300,347	64,984
WESTPAC BANKING CORP NPV	32,138	532,490	731,254	
WESTPORT INNOVATIONS INC COM	50,100	431,395	580,158	
WEYERHAEUSER CO COM	50,295	1,549,329	2,169,726	
WHIRLPOOL CORP COM	42,000	1,761,614	3,387,720	611
WHITBREAD	3,461	72,921	78,861	
WHITING PETE CORP NEW	7,446	270,824	532,017	
WHITNEY HLDG CO	76,170	752,487	693,909	
WHOLE FOODS MKT INC	19,200	181,248	527,040	
WILLBROS GROUP INC DEL COM	33,838	404,625	570,847	
WILSHIRE BANCORP INC	75,992	585,630	622,374	
WISCONSIN ENERGY CORP COM	48,111	2,036,560	2,397,371	
WOLSELEY GBP0.10	4,058	94,741	81,717	
WORLD ACCEP CORP	27,896	509,080	999,514	9,335
WPP PLC ORD GBP0.10	60,937	351,515	599,773	
WUXI PHARMATECH CAYMAN INC	35,580	602,725	567,857	
X 5 RETAIL GROUP NV GDR EACH	3,050	79,204	97,295	
XENOPORT INC	15,150	245,430	281,033	
XL CAP LTD CL A COM	126,300	659,377	2,315,078	
XSTRATA COM STK USD0.50	89,556	604,542	1,621,184	
YAHOO INC	136,600	1,882,969	2,292,147	
YAMAHA MOTOR CO Y50	119,000	1,223,475	1,490,455	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
YANZHOU COAL MINING CO H CNY1	46,000	79,688	101,800	
YARA INTL NOK1.70	6,550	229,790	298,995	
YUE YUEN INDL HLDG HKD0.25	905,157	1,682,336	2,626,502	16
ZAKARPATYA OBLENERGO UAH0.25	29,169	29,644	28,404	
ZIONS BANCORPORATION	42,130	847,127	540,528	13,700
ZURICH FINANCIAL SERVICES AG	13,940	2,891,447	3,054,325	
TOTAL COMMON STOCKS		<u>981,460,552</u>	<u>1,207,850,962</u>	
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	57,932	154,163	143,477	
TOTAL PARTNERSHIP		<u>154,163</u>	<u>143,477</u>	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	238,731	68,206,342	69,335,673	
EB DV STOCK INDEX FUND	45,940	51,095,483	61,176,689	
TOTAL COMMON/COLLECTIVE TRUST		<u>119,301,825</u>	<u>130,512,362</u>	
REGISTERED INVESTMENT COMPANIES				
ISHARES INC MSCI TAIWAN IND FD	270,763	2,902,094	3,511,796	
ISHARES MSCI INDIA UNITS	207,672	1,190,459	1,430,860	9,657
PUTNAM PREMIER INC TR SH BEN	81,168	500,249	497,560	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>4,592,802</u>	<u>5,440,216</u>	
TOTAL EQUITY INVESTMENTS		<u>1,107,918,493</u>	<u>1,347,040,725</u>	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIXED INCOME						
ACE INA HLDG INC GTD SR NT	260,000	303,690	293,675	5/15/2036	6.70%	9
ADVANCED MICRO DEVICES 144A	120,000	107,755	119,550	12/15/2017	8.13%	
AES CORP SR NT	65,000	53,633	66,706	10/15/2017	8.00%	
AES CORP SR NT	40,000	33,600	40,600	10/15/2015	7.75%	
AES CORP SR NT 144A	380,000	357,113	416,100	4/15/2016	9.75%	
AFFINIA GROUP INC	115,000	113,619	124,631	8/15/2016	10.75%	
AFFINION GROUP INC	140,000	127,400	143,850	10/15/2013	10.13%	
AFFINION GROUP INC SR NT 144A	135,000	98,550	138,713	10/15/2013	10.13%	
ALABAMA PWR CO SR NT	60,000	60,362	62,007	12/1/2010	4.70%	
ALABAMA PWR CO SR NT SER 2008A	40,000	39,931	42,720	5/15/2038	6.13%	
ALCOA INC NT	265,000	227,958	227,428	2/1/2037	5.95%	
ALCOA INC NT	125,000	98,370	124,289	2/1/2017	5.55%	
ALLIED WASTE NORTH AMER INC SR	100,000	105,160	104,500	3/15/2015	7.25%	
ALLIED WASTE NORTH AMER INC SR	115,000	114,425	122,044	6/1/2017	6.88%	
ALLIED WASTE NORTH AMER SR NT	235,000	247,996	250,275	5/15/2016	7.13%	
ALTERNATIVE LN TR 07-26R A1	1,105,142	663,571	658,421	1/25/2037	7.00%	
ALTRA HOLDINGS INC	115,000	113,495	118,019	12/1/2016	8.13%	
ALTRIA GROUP INC GTD NT	405,000	525,338	540,286	2/6/2039	10.20%	
AMERADA HESS CORP	300,000	356,060	359,805	10/1/2029	7.88%	
AMERICAN AXLE & MFG INC 144A	275,000	274,101	279,125	1/15/2017	9.25%	
AMERICAN EXPRESS 08-2 CL A	820,000	834,350	823,500	1/18/2011	4.02%	
AMERICAN EXPRESS CO	465,000	552,866	551,053	5/20/2019	8.13%	
AMERICAN EXPRESS CR CORP MTN	260,000	237,032	279,022	5/2/2013	5.88%	
AMERICAN GEN FIN CORP MEDIUM	600,000	344,354	416,610	12/15/2017	6.90%	
AMERICAN GEN FIN CORP MTN	385,000	295,625	373,130	9/1/2010	4.63%	
AMERICAN GEN FIN MTN # 00411	260,000	137,200	178,753	12/1/2015	5.40%	
AMERICAN GEN FIN MTN #TR00378	360,000	208,313	289,480	10/1/2012	5.38%	
AMERICAN GEN FIN MTN #TR00394	250,000	166,875	226,028	3/15/2011	4.00%	
AMERICAN GEN FIN MTN #TR00415	100,000	51,750	67,514	9/15/2016	5.75%	
AMERICAN HOME 06-2 CL 1A1	853,846	445,264	431,175	9/25/2046	1.50%	
AMERICAN HOME MTG 07-1 CL A1	233,971	90,402	109,058	2/25/2047	1.24%	
AMERICAN HOME MTG 2005-2 5A4C	860,000	436,158	528,288	9/25/2035	5.41%	
AMERICAN HONDA FIN CORP 144A	150,000	177,557	171,494	10/1/2018	7.63%	
AMERICAN INTERNATIONAL GROUP I	450,000	204,900	297,000	5/15/2068	8.18%	
AMERICAN INTL GROUP INC MTN	250,000	165,220	202,328	5/18/2017	5.45%	
AMERICAN REAL ESTATE	140,000	107,800	142,800	6/1/2012	8.13%	
AMERICAN REAL ESTATE PARTNERS	420,000	289,800	428,400	2/15/2013	7.13%	
AMERICAN WTR CAP CORP SR NT	150,000	131,486	156,735	10/15/2017	6.09%	
AMERICAN WTR CAP CORP SR NT	230,000	186,956	231,523	10/15/2037	6.59%	
AMERISTAR CASINOS INC	415,000	407,597	430,563	6/1/2014	9.25%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
AMGEN INC SR NT	850,000	840,701	927,766	6/1/2037	6.38%	
AMKOR TECHNOLOGY INC SR NT	310,000	260,166	329,375	6/1/2016	9.25%	105,000
ANADARKO PETE CORP SR NT	435,000	485,835	541,105	3/15/2019	8.70%	998
ANADARKO PETROLEUM CORP	100,000	109,855	113,402	6/15/2019	6.95%	79
ANHEUSER BUSCH COS INC	280,000	327,214	316,994	10/1/2030	7.55%	
ANHEUSER BUSCH COS INC DEB	100,000	80,969	94,937	4/1/2036	5.75%	
ANHEUSER BUSCH INBEV 144A	180,000	191,023	210,742	1/15/2019	7.75%	
ANHEUSER BUSER BUSCH 144A	435,000	555,173	550,058	1/15/2039	8.20%	
ANR PIPELINE CO DEB	235,000	324,888	311,502	11/1/2021	9.63%	
ANTERO RESOURCES FINANCE 144A	385,000	382,301	392,700	12/1/2017	9.38%	
ANZ NATIONAL (INT'L) LTD	1,460,000	1,461,480	1,474,803	9/23/2011	0.95%	
AOL TIME WARNER INC DEB	750,000	660,128	871,222	4/15/2031	7.63%	
AOL TIME WARNER INC DEB	755,000	849,262	886,626	5/1/2032	7.70%	
APRIA HEALTHCARE GROUP I	335,000	325,118	367,663	11/1/2014	11.25%	
AQUILEX HDG/AQUILEX FIN	170,000	165,361	169,575	12/15/2016	11.13%	
ARCELORMITTAL	225,000	228,138	236,905	10/15/2039	7.00%	
ARCG COAL INC	190,000	185,182	200,925	8/1/2016	8.75%	
ARCHER DANIELS MIDLAND CO NT	305,000	360,504	347,551	2/1/2031	7.00%	
ARROW ELECTRONICS INC SR DEBS	30,000	30,000	29,885	1/15/2027	7.50%	
ARROW ELECTRS INC NT	50,000	45,210	54,533	7/1/2013	6.88%	
ARROW ELECTRS INC SR DEB	190,000	155,122	199,046	6/1/2018	6.88%	
ASIF GLOBAL FING XIX SR 144A	300,000	240,822	276,075	1/17/2013	4.90%	
AT & T INC GLOBAL NT	150,000	150,830	160,032	1/15/2013	4.95%	
AT & T INC NT	260,000	284,892	276,897	5/15/2036	6.80%	
AT&T BROADBAND CORP NT	92,000	95,176	106,048	3/15/2013	8.38%	
AT&T CORP SR NT	3,180,000	3,912,513	3,881,253	11/15/2031	8.00%	
AT&T INC GLOBAL NT	150,000	152,721	157,194	5/15/2018	5.60%	
AT&T WIRELESS SVCS INC SR NT	335,000	346,805	359,924	3/1/2011	7.88%	
ATLAS ENERGY OPER CO	415,000	253,150	458,575	2/1/2018	10.75%	
ATLAS PIPLINES PARTNERS L P	295,000	193,225	261,075	6/15/2018	8.75%	
ATOMS ENERGY CORP SR NT	165,000	151,343	173,672	1/15/2013	5.13%	
AUTOZONE INC	225,000	241,918	244,013	1/15/2015	5.75%	
AXCAN INTERMEDIATE HOLDINGS	210,000	176,400	226,538	3/1/2015	9.25%	
AXCAN INTERMEDIATE HOLDINGS	75,000	69,938	83,813	3/1/2016	12.75%	
BA CR CARD TR 2007-4 NT CL B	245,000	243,718	243,961	9/15/2012	0.32%	
BA CR CARD TR 2007-7 NT CL A	1,005,000	1,003,194	1,003,974	8/15/2012	0.23%	
BALDOR ELEC CO SR NT	35,000	26,075	35,788	2/15/2017	8.63%	35,000
BANC AMER COML 04-3 A5	1,615,000	1,435,816	1,626,837	6/10/2039	5.40%	
BANC AMER COML MTG 2005-6 ASB	500,000	393,420	507,160	9/10/2047	5.18%	
BANC AMER FDG 07 E CL 6-A-1	641,228	349,271	491,040	10/25/2037	7.00%	
BANC AMER MTG SECS 04-5 2A2	815,100	449,975	559,770	6/25/2034	5.50%	
BANK AMER CORP SR NT	150,000	149,766	153,603	12/1/2017	5.75%	
BANK AMER CORP SUB NT	200,000	204,772	211,704	1/15/2011	7.40%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
BANK AMER FDG CORP MED TERM	140,000	139,511	142,185	5/1/2018	5.65%	
BANK OF AMERICA CORP	135,000	134,661	145,168	8/1/2016	6.50%	
BANK OF AMERICA CORP SR NT	250,000	245,558	253,488	10/14/2016	5.63%	27
BANK OF IRELAND DISC	1,200,000	1,198,320	1,198,320	3/18/2010	0.00%	
BANK OF NEW YORK MELLON	145,000	144,717	141,723	1/15/2020	4.60%	
BARCLAYS BANK PLC	145,000	191,976	187,147	6/12/2021	10.18%	
BARCLAYS BANK PLC	100,000	102,683	108,143	9/12/2012	5.45%	
BARCLAYS BANK PLC	515,000	579,188	574,446	5/22/2019	6.75%	
BB&T CORP SUB NT	200,000	186,390	194,706	6/30/2017	4.90%	
BB&T CORPORATION	125,000	132,733	140,200	4/30/2019	6.85%	
BBVA US SENIOR SA UNIPER	840,000	840,000	839,537	5/24/2011	0.44%	
BEAR STEARNS 05-PWR9 CL A-AB	300,000	275,901	303,456	9/15/2042	4.80%	
BEAR STEARNS COML 06-PWR11 A4	360,000	307,202	351,875	3/11/2039	5.46%	
BEAR STEARNS COS INC SR GLOBAL	100,000	110,419	114,785	2/1/2018	7.25%	
BEAR STEARNS COS LLC	105,000	103,095	115,535	11/15/2014	5.70%	
BEAR STEARNS MTG 2006-PW14 A1	150,559	143,698	154,432	12/1/2038	5.04%	
BELLSOUTH CAP FUNDING CORP	400,000	414,032	403,192	2/15/2010	7.75%	
BELLSOUTH CORP NT	35,000	34,074	37,485	9/15/2014	5.20%	
BEMIS COMPANY INC	75,000	84,155	82,459	8/1/2019	6.80%	
BERKSHIRE HATHAWAY FIN CORP	150,000	154,193	156,719	5/15/2018	5.40%	
BERKSHIRE HATHAWAY FIN CORP	65,000	66,121	69,895	8/15/2013	5.00%	
BHP BILLITON FIN USA LTD GTD	50,000	44,415	53,569	3/29/2017	5.40%	
BIOMET INC SR NT	375,000	363,883	407,344	10/15/2017	10.00%	
BLACKROCK INC NT	185,000	203,726	198,916	9/15/2017	6.25%	
BLOCK COMMUNATIONS INC 144A	145,000	95,700	140,831	12/15/2015	8.25%	
BMWLT 2009-1 A2	900,000	903,094	904,868	4/15/2011	2.04%	
BOEING CAPITAL CORP	385,000	381,943	375,602	10/27/2019	4.70%	
BOISE PAPER HOLDINGS LLC 144A	170,000	168,805	176,163	11/1/2017	9.00%	
BOMBARDIER INC NT 144A	100,000	77,500	99,000	5/1/2014	6.30%	
BOMBARDIER INC SR NT 144A	75,000	57,469	77,906	11/15/2014	8.00%	
BOSTON PROPERTIES INC	350,000	353,997	351,096	10/15/2019	5.88%	
BP CAP MKTS PLC GTD NT	275,000	287,084	299,538	11/7/2013	5.25%	
BRISTOW GROUP INC SR NT	50,000	33,500	49,500	9/15/2017	7.50%	
BSKYB FIN U K PLC USD SR 144A	225,000	233,345	229,484	10/15/2035	6.50%	
BUNGE LIMITED FINANCE CO	265,000	271,801	302,076	6/15/2019	8.50%	
BURLINGTN NORTH SANTA FE	200,000	199,650	198,136	10/1/2019	4.70%	
BURLINGTON NORTHN SANTA FE	100,000	109,820	114,208	6/1/2036	7.29%	
CALENERGY INC SR BDS	250,000	318,315	306,465	9/15/2028	8.48%	
CALPINE CONSTRUCTION FINANCE	335,000	316,975	345,050	6/1/2016	8.00%	
CALPINE CORP	755,000	714,419	724,800	10/15/2017	7.25%	
CANADIAN NAT RES LTD NT	150,000	129,597	161,206	2/1/2018	5.90%	
CAPITAL ONE BANK USA NA	485,000	562,193	573,110	7/15/2019	8.80%	
CAPITAL ONE FINL CORP SR NT	220,000	188,584	238,190	11/15/2013	6.25%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CAPITAL ONE FINL CORP SR NT	100,000	93,251	105,037	9/15/2011	5.70%	
CAPITAL ONE FINL CORP SR NT	100,000	96,857	107,607	9/15/2017	6.75%	
CARMAX AUTO OWNER 09-1 CL A-2	481,938	488,866	486,796	11/15/2011	3.31%	
CAROLINA PWR & LT CO 1ST MTG	225,000	225,709	240,824	9/15/2013	5.13%	
CAROLINA PWR & LT CO 1ST MTG	60,000	63,245	62,654	1/15/2019	5.30%	
CASE CORP NT	85,000	59,075	83,938	1/15/2016	7.25%	
CASE NEW HOLLAND INC	75,000	72,797	76,688	9/1/2013	7.75%	
CASELLA WASTE SYSTEMS IN 144A	115,000	111,794	124,488	7/15/2014	11.00%	
CATERPILLAR FINL SVCS CORP MTN	360,000	402,580	412,096	10/1/2018	7.05%	3
CATERPILLAR FINL SVCS CORP MTN	50,000	47,580	52,812	3/15/2016	5.50%	
CATERPILLAR FINL SVCS CORP MTN	150,000	154,638	167,151	9/30/2013	6.20%	
CELLCO PART/VERI WIRELESS	265,000	333,166	328,701	11/15/2018	8.50%	
CEMEX FINANCE LLC	175,000	175,000	183,313	12/14/2016	9.50%	
CENOVUS ENERGY INC 144A	295,000	307,225	307,706	10/15/2019	5.70%	
CENTERPOINT ENERGY HOUSTON	160,000	151,341	172,203	1/15/2014	5.75%	
CENTERPOINT ENERGY RES CORP	50,000	42,041	51,662	11/1/2017	6.13%	
CFAST 2009-B A2	700,000	699,962	699,874	11/8/2011	1.15%	
CHART INDS INC SR SUB NT	170,000	127,500	170,000	10/15/2015	9.13%	
CHESAPEAKE ENERGY CORP SR NT	345,000	296,700	361,388	7/15/2013	7.63%	
CHL MTG P/T 07-HY5 CL 1-A-1	1,312,255	783,770	946,752	9/25/2047	5.91%	
CHS/CMNTY HEALTH SYS INC SR NT	1,285,000	1,243,879	1,329,974	7/15/2015	8.88%	
CIE GEN GEOPHYSIQUE	225,000	218,142	240,750	5/15/2016	9.50%	
CII CARBON L L C / CII 144A	350,000	224,000	352,188	11/15/2015	11.13%	
CINCINNATI BILL INC	290,000	285,830	294,350	10/15/2017	8.25%	
CINCINNATI FINL CORP SR NT	16,000	14,297	14,125	11/1/2034	6.13%	
CINCINNATI GAS & ELEC CO DEB	150,000	147,558	162,680	9/15/2012	5.70%	
CISCO SYS INC SR NT	170,000	168,913	171,885	2/15/2039	5.90%	
CISCO SYSTEMS INC	290,000	284,003	277,300	1/15/2040	5.50%	
CIT GROUP INC NEW SECD NT A	280,000	261,450	261,100	5/1/2013	7.00%	5,513
CIT GROUP INC NEW SECD SER A	220,000	201,300	204,325	5/1/2014	7.00%	
CIT GROUP INC NEW SECD SER A	470,000	421,825	420,650	5/1/2015	7.00%	
CIT GROUP INC NEW SECD SER A	775,000	673,831	682,000	5/1/2016	7.00%	
CIT GROUP INC NEW SECD SER A	490,000	414,225	425,075	5/1/2017	7.00%	
CITIBANK CR CARD 07-A1 CL A-1	1,245,000	1,243,249	1,244,115	3/22/2012	0.24%	
CITIBANK CR CARD 07-A3 CL A3	115,000	79,212	124,899	6/15/2039	6.15%	
CITIGROUP BANKING INST	875,000	995,016	1,010,405	5/22/2019	8.50%	
CITIGROUP CAP XXI ENHANCED PFD	310,000	202,380	298,375	12/21/2057	8.30%	
CITIGROUP COML MTG 2006-C4 A-1	238,836	228,743	244,929	3/15/2049	5.73%	
CITIGROUP INC	375,000	390,330	423,244	7/15/2039	8.13%	
CITIGROUP INC GLOBAL SR NT	150,000	129,453	145,541	5/29/2015	4.70%	
CITIGROUP INC GLOBAL SR NT	100,000	85,317	99,962	8/15/2017	6.00%	
CITIGROUP INC GLOBAL SUB NT	450,000	421,844	462,857	8/27/2012	5.63%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CITIGROUP INC SR NT	715,000	742,234	713,584	3/5/2038	6.88%	
CITIGROUP INC SR NT	250,000	249,313	251,353	5/15/2018	6.13%	
CITIGROUP INC SUB NT	250,000	219,895	241,005	9/15/2014	5.00%	
CLEAR CHANNEL WORLDWIDE	100,000	100,000	102,000	12/15/2017	9.25%	
CLEAR CHANNEL WORLDWIDE 144A	530,000	533,125	545,900	12/15/2017	9.25%	
CLEARWIRE CORP 144A	295,000	288,867	299,425	12/1/2015	12.00%	
CLEVELAND ELEC ILLUM CO SER D	250,000	288,910	294,453	11/1/2017	7.88%	
CME GROUP INC NT	200,000	198,664	215,842	8/1/2013	5.40%	
CMS ENERGY CORP SR NT	200,000	188,500	203,560	2/1/2012	6.30%	
CNH 2009-B A3	1,175,000	1,196,389	1,192,389	3/15/2013	2.97%	
COLUMBUS SOUTHN PWR CO SR NT	75,000	72,660	79,032	5/1/2018	6.05%	
COMCAST CORP NEW GTD NT	100,000	95,197	107,692	3/15/2016	5.90%	
COMCAST CORP NEW NT	340,000	332,806	355,800	3/15/2011	5.50%	12,847
COMCAST CORP NEW NT	805,000	840,473	834,672	11/15/2035	6.50%	
COMCAST CORP NEW NT	430,000	437,207	442,483	5/15/2038	6.40%	
COMM 2004 LNB4 COML MTG CL A-2	518,531	505,096	518,531	10/15/2037	4.05%	
COMMERCIAL BARGE LINE CO	20,000	19,174	20,800	7/15/2017	12.50%	
COMPAGNIE GENERALE DE SR NT	415,000	257,300	411,888	5/15/2015	7.50%	
COMPASS MINERALS INTERNA	200,000	194,994	206,000	6/1/2019	8.00%	
CONNECTICUT LT & PWR CO 1ST &	40,000	39,853	42,608	5/1/2018	5.65%	
CONOCO FDG CO NT	50,000	52,602	54,398	10/15/2011	6.35%	
CONOCO FDG CO NT	50,000	53,795	57,938	10/15/2031	7.25%	
CONOCO INC SR NTS	690,000	804,361	782,150	4/15/2029	6.95%	
CONOCOPHILLIPS	285,000	316,481	315,786	1/15/2020	6.00%	
CONOCOPHILLIPS CDA FDG CO I NT	150,000	153,021	162,720	10/15/2016	5.63%	
CONOCOPHILLIPS GTD NT	335,000	361,750	343,385	5/15/2038	5.90%	
CONOCOPHILLIPS GTD NT	460,000	529,487	510,526	2/1/2039	6.50%	
CONSOLIDATED EDISON CO N Y INC	390,000	441,106	419,987	8/15/2037	6.30%	
CONSOLIDATED NAT GAS CO SR NT	175,000	186,725	183,379	3/1/2014	5.00%	
CONSUMERS ENERGY CO 1ST MTG BD	405,000	469,776	456,573	9/15/2019	6.70%	13,075
CONTINENTAL AIRLS 99-2 CL A-1	55,662	42,860	54,549	3/15/2020	7.26%	
CONTINENTAL RESOURCES	145,000	143,782	152,250	10/1/2019	8.25%	
COPANO ENERGY L L C	180,000	130,500	181,800	3/1/2016	8.13%	
CORPORATE PPTY INVS NT 144A	150,000	164,789	165,621	3/15/2016	7.88%	
COX COMMUNICATIONS INC 144A	295,000	350,268	367,328	3/1/2039	8.38%	
CPM HOLDINGS INC	105,000	103,006	110,775	9/1/2014	10.63%	
CREDIT SUISSE FB USA INC NT	500,000	511,405	544,095	1/15/2012	6.50%	
CREDIT SUISSE FB USA INC SR NT	100,000	101,004	107,838	11/15/2011	6.13%	
CREDIT SUISSE N Y BRH SUB NT	550,000	502,794	575,487	2/15/2018	6.00%	
CRICKET COMMUNICATIONS I	255,000	245,142	254,363	5/15/2016	7.75%	
CROWN AMERICAS LLC	230,000	223,312	238,625	5/15/2017	7.63%	
CROWN AMERS LLC SR NT	225,000	223,875	232,875	11/15/2015	7.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CROWN CASTLE INTL CORP SR NT	50,000	45,932	53,250	1/15/2015	9.00%	
CROWN CASTLE TOWERS LLC	285,000	288,741	287,850	6/15/2035	5.61%	
CRUM & FORSTER HLDGS CORP SR	355,000	253,850	337,694	5/1/2017	7.75%	
CSC HLDGS INC SR DEB NT	175,000	136,500	180,250	7/15/2018	7.63%	
CSMC 2006-C4 A3	1,630,000	1,244,815	1,396,127	9/15/2039	5.47%	
CSX CORP NT	110,000	115,661	125,666	2/1/2019	7.38%	
CWALT INC 2004-2 1-A-9 04-2CB	1,404,093	1,141,635	787,556	3/25/2034	5.75%	
DAIMLER CHRYSLER HLDG CORP NT	190,000	224,451	233,480	1/18/2031	8.50%	
DANSKE CORP SER A IB NT	1,400,000	1,400,000	1,400,000	2/18/2010	-2.70%	
DEAN WITTER DISCOVER & CO	40,000	33,056	43,712	10/15/2013	6.75%	
DELHAIZE AMER INC DEB	241,000	314,242	308,552	4/15/2031	9.00%	
DELL COMPUTER CORP DEBS	290,000	311,025	313,612	4/15/2028	7.10%	
DELTA AIR LINES	485,000	478,031	503,794	9/15/2014	9.50%	
DELTA AIR LINES	560,000	533,277	560,000	3/15/2015	12.25%	46
DEUTSCHE ALT A SECS 05-3 4A5	768,478	578,649	643,262	6/25/2035	5.25%	
DEUTSCHE ALT B 2006 AB2 A-3	840,000	381,452	407,803	6/25/2036	6.27%	
DEUTSCHE TELEKOM INT FIN	1,090,000	1,104,858	1,163,759	7/8/2019	6.00%	
DEUTSCHE TELEKOM INTL FIN BV	125,000	161,559	160,764	6/15/2030	8.75%	
DEVON FING CORP ULC DEB	565,000	694,617	707,894	9/30/2031	7.88%	
DEVON FING CORP ULC NT	40,000	43,603	43,453	9/30/2011	6.88%	
DIAGEO CAP PLC GTD NT	260,000	284,856	279,807	10/23/2017	5.75%	
DIAGEO CAP PLC GTD NT	225,000	239,681	260,282	1/15/2014	7.38%	
DIAGEO FIN BV GTD NT	50,000	48,136	53,947	10/28/2015	5.30%	
DIGITALGLOBE INC SR SECD 144A	555,000	546,179	593,850	5/1/2014	10.50%	
DISCOVERY COMMUNICATIONS LLC	85,000	87,570	87,765	8/15/2019	5.63%	
DL FIN SUB LLC / DYNCORP	220,000	191,675	222,750	2/15/2013	9.50%	
DOLE FOODS CO	185,000	181,365	187,775	10/1/2016	8.00%	
DOLLAR FINANCIAL CORP 144A	140,000	139,157	143,150	12/15/2016	10.38%	
DOLLAR GEN CORP SR NT	53,000	53,967	58,698	7/15/2015	10.63%	
DOMINION RES INC VA NEW SR NT	96,000	96,304	103,688	6/30/2012	6.25%	
DOMINION RES INC VA NEW SR NT	375,000	449,006	432,034	6/15/2038	7.00%	
DOW CHEM CO NT	40,000	37,671	43,675	11/1/2029	7.38%	
DOW CHEM CO NT	150,000	150,204	156,371	2/1/2011	6.13%	
DOW CHEM CO NT	200,000	193,208	215,142	10/1/2012	6.00%	
DOW CHEMICAL CO/THE	175,000	230,897	231,376	5/15/2039	9.40%	
DU PONT E I DE NEMOURS & CO NT	150,000	157,544	163,676	7/15/2018	6.00%	
DUKE CAP CORP	130,000	152,766	152,142	10/1/2019	8.00%	
DUKE CAP CORP ST NT	100,000	87,575	105,135	3/1/2014	5.50%	
DUKE ENERGY CAROLINAS LLC 1ST	140,000	148,540	144,831	4/15/2018	5.10%	
DUKE ENERGY CORP SR NT	75,000	77,714	81,084	1/15/2012	6.25%	75,000
DUKE ENERGY CORP SR NT	75,000	76,381	81,878	11/30/2012	5.63%	
DUKE ENERGY IND INC FIRST MTG	365,000	410,794	398,752	8/15/2038	6.35%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
DUPONT FABROS TECH LP 144A	165,000	165,000	167,681	12/15/2017	8.50%	
DYNEGY HLDGS INC SR NT	695,000	470,050	602,913	6/1/2019	7.75%	
DYNEGY HLDGS INC SR NT	115,000	80,500	107,525	6/1/2015	7.50%	
E ON INTL FIN B V GTD NT 144A	335,000	361,281	359,790	4/30/2018	5.80%	
ECANA CORP	275,000	303,083	307,626	5/15/2019	6.50%	
EDGEN MURRAY CORP	140,000	138,683	137,550	1/15/2015	12.25%	
EDISON MISSION ENERGY SR NT	35,000	32,025	32,900	6/15/2013	7.50%	
EL PASO CORP SR NT	135,000	107,145	133,380	6/1/2018	7.25%	
EL PASO CORP SR NT	280,000	259,003	298,900	2/15/2016	8.25%	
EL PASO NAT GAS CO NT	150,000	135,155	175,034	1/15/2022	8.63%	
EL PASO NAT GAS ENERGY CORP	150,000	129,434	178,137	6/15/2032	8.38%	80
ELAN CORP PLC 144A	200,000	197,420	191,000	10/15/2016	8.75%	
ELAN FIN PLC / ELAN FIN CORP	150,000	122,063	149,250	12/1/2013	8.88%	
ELWOOD ENERGY LLC SR SECD BD	201,414	136,551	185,210	7/5/2026	8.16%	
ENCANA CORP NT	850,000	775,846	925,556	2/1/2038	6.50%	
ENEL FIN INTL SA GTD NT 144A	190,000	214,713	210,079	9/15/2037	6.80%	
ENEL FINANCE INTL SA 144A	200,000	199,652	201,236	10/7/2019	5.13%	
ENTERPRISE PRODS OPER LP GTD	140,000	65,800	128,450	1/15/2068	7.03%	
ENTERPRISE PRODUCTS OPER	275,000	270,306	265,669	10/15/2039	6.13%	
ERAC USA FIN CO GTD 144A	965,000	914,338	944,552	10/15/2037	7.00%	
ERP OPER LTD PARTNERSHIP NT	875,000	767,778	875,096	6/15/2017	5.75%	
FAIRFAX FINL HLDGS LTD NT	120,000	84,150	120,150	4/15/2018	7.38%	120,000
FAIRFAX FINL HLDGS LTD SR NT	200,000	152,000	202,750	6/15/2017	7.75%	
FBG FIN LTD NT 144A	385,000	375,937	355,640	6/15/2035	5.88%	
FEDERAL HOME LN MTG CORP DEBS	300,000	336,786	326,625	1/15/2012	5.75%	
FEDERAL HOME LN MTG CORP MTN	1,250,000	1,250,000	1,251,650	1/28/2011	0.33%	
FEDERATED RETAIL HLDGS INC GTD	75,000	62,625	76,594	3/15/2012	5.35%	
FEDERATED RETAIL HLDGS INC NT	445,000	326,863	433,875	12/1/2016	5.90%	
FELCOR LODGING TRUST INC	250,000	224,090	252,188	10/1/2014	10.00%	
FERRELLGAS PARTNERS LP	115,000	113,389	121,613	10/1/2017	9.13%	
FHLMC POOL #C9-0781	331,761	337,341	322,996	10/1/2023	3.50%	
FHLMC POOL #E9-3561	612,526	631,337	645,719	12/1/2017	5.00%	
FHLMC GROUP #C4-6008	27,479	28,633	29,729	12/1/2030	6.50%	
FHLMC MULTI MTG 2906 BO PO	131,559	89,666	127,567	4/15/2033	0.00%	
FHLMC MULTI MTG 3201 OG PO	500,000	340,760	336,410	8/15/2036	0.00%	
FHLMC MULTICLAS MTG	453,351	377,623	375,257	9/15/2036	0.00%	
FHLMC MULTICLASS 2695 OB PO	643,453	431,114	454,329	10/15/2033	0.00%	
FHLMC MULTICLASS CTF 2176 OJ	237,027	248,009	250,303	8/15/2029	7.00%	
FHLMC MULTICLASS CTF 2259 ZM	413,708	433,272	450,814	10/15/2030	7.00%	
FHLMC MULTICLASS CTF 2344 ZD	504,227	525,248	545,796	8/15/2031	6.50%	
FHLMC MULTICLASS CTFS 1608 L	734,450	772,472	793,654	9/15/2023	6.50%	
FHLMC MULTICLASS CTFS 2141 PD	1,000,000	1,054,840	1,080,310	4/15/2029	6.50%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FHLMC MULTICLASS CTFS 2163 QL	700,000	757,708	767,655	6/15/2029	7.00%	
FHLMC MULTICLASS CTFS 2391 QR	391,431	406,881	415,821	12/15/2016	5.50%	
FHLMC MULTICLASS CTFS 2594 VQ	1,000,000	1,031,190	1,046,630	8/15/2020	6.00%	
FHLMC MULTICLASS MTG	125,000	126,008	135,093	2/15/2032	15.63%	
FHLMC MULTICLASS MTG	236,172	247,518	251,956	8/15/2016	6.00%	
FHLMC MULTICLASS MTG	244,289	251,732	255,790	2/15/2022	5.50%	
FHLMC MULTICLASS MTG	82,929	82,061	89,659	2/15/2025	20.90%	
FHLMC MULTICLASS MTG	164,943	138,475	141,343	11/15/2033	8.70%	
FHLMC MULTICLASS MTG	357,693	294,732	295,823	4/15/2034	8.73%	
FHLMC MULTICLASS MTG	357,050	299,797	287,329	5/15/2034	8.58%	
FHLMC MULTICLASS MTG	476,912	379,188	403,840	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 2366 MD	277,585	287,125	294,598	10/15/2016	6.00%	
FHLMC MULTICLASS MTG 2624 QE	635,000	659,644	661,645	9/15/2028	5.00%	
FHLMC MULTICLASS MTG 2682 YS	176,900	139,519	141,065	10/15/2033	8.65%	
FHLMC MULTICLASS MTG 2690 SJ	355,026	296,946	287,376	10/15/2033	8.80%	
FHLMC MULTICLASS MTG 2762 LO P	223,317	149,631	170,808	3/15/2034	0.00%	
FHLMC MULTICLASS MTG 2953 LZ	1,328,818	1,511,793	1,390,090	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	380,127	486,528	5/15/2035	23.42%	
FHLMC MULTICLASS MTG 3607 BO	200,000	114,000	118,790	4/15/2036	0.00%	
FIFTH THIRD BANCORP SUB NT	100,000	81,978	89,524	1/15/2017	5.45%	
FIREKEEPERS DEV AUTH SR 144A	375,000	232,500	425,625	5/1/2015	13.88%	
FIRST HORIZON MTG 03-9 I A 6	1,409,119	1,215,240	1,122,503	11/25/2033	5.50%	
FIRST UNION CORP SUB NT STEP	335,000	374,440	363,395	8/1/2026	7.57%	
FIRSTENERGY CORP NT SER C	370,000	393,335	401,043	11/15/2031	7.38%	
FLEET FINL GROUP SUB DEBS	175,000	175,499	170,366	7/15/2028	6.70%	
FLEXTRONICS INTL LTD SR SUB NT	75,000	59,250	75,188	5/15/2013	6.50%	
FLORIDA PWR & LT CO 1ST MTG BD	290,000	294,428	292,358	2/1/2037	5.65%	
FLORIDA PWR & LT CO 1ST MTG BD	100,000	111,385	105,050	2/1/2038	5.95%	
FLORIDA PWR & LT CO NT	40,000	44,222	41,870	10/1/2033	5.95%	
FLORIDA PWR CORP 1ST MTG BD	190,000	217,674	207,440	6/15/2038	6.40%	
FLORIDA PWR CORP 1ST MTG DTD	20,000	20,740	21,465	6/15/2018	5.65%	
FMG FIN PTY LTD SER SECD 144A	405,000	238,950	421,200	9/1/2013	10.00%	
FMG FIN PTY LTD SR SECD 144A	150,000	87,000	165,938	9/1/2016	10.63%	
FMR LLC 144A	250,000	248,218	233,165	11/15/2039	6.45%	
FNMA POOL #0490445	235,602	244,029	252,283	3/1/2029	6.00%	
FNMA POOL #0524958	24,726	25,897	27,054	3/1/2015	7.50%	
FNMA GTD REMIC CTF 329 I PO	84,756	76,645	70,732	12/1/2032	0.00%	
FNMA GTD REMIC CTFS 02-3 PG	431,833	449,650	458,421	2/25/2017	5.50%	
FNMA GTD REMIC P/T	433,948	455,732	464,754	3/25/2017	6.00%	
FNMA GTD REMIC P/T	1,394,871	1,432,574	1,469,357	4/25/2017	5.50%	
FNMA GTD REMIC P/T	7,740	711	390	6/25/2022	5.50%	
FNMA GTD REMIC P/T 01-44 PU	296,646	319,556	324,925	9/25/2031	7.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FNMA GTD REMIC P/T 02-21 PE	452,927	478,549	490,389	4/25/2032	6.50%	
FNMA GTD REMIC P/T 02-3 OG	474,646	495,749	508,427	2/25/2017	6.00%	
FNMA GTD REMIC P/T 02-56 UC	593,739	617,844	631,405	9/25/2017	5.50%	
FNMA GTD REMIC P/T 03-35 EA PO	570,969	413,953	385,033	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	271,672	309,707	327,746	5/25/2033	19.30%	
FNMA GTD REMIC P/T 03-71 PS	110,254	83,589	83,160	8/25/2033	7.15%	
FNMA GTD REMIC P/T 03-89 XC	502,650	528,682	537,961	9/25/2014	6.00%	
FNMA GTD REMIC P/T 03-W2 2A9	795,353	822,697	836,361	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-27 HB	1,300,000	1,296,347	1,303,328	5/25/2019	4.00%	
FNMA GTD REMIC P/T 05-110 GK	2,000,000	2,053,280	2,094,320	8/25/2034	5.50%	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,033,130	1,056,960	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-69 DZ	1,024,229	1,163,094	1,092,197	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,092,740	1,093,040	8/25/2036	6.50%	
FNMA GTD REMIC P/T 09-99 SC IO	1,469,003	126,472	131,505	12/25/2039	5.95%	
FNMA GTD REMIC P/T CTF 94-40-Z	1,596,231	1,674,527	1,740,419	3/25/2024	6.50%	
FORD CR AUTO 06-B A-4	628,944	603,264	641,064	9/15/2011	5.25%	
FORD CR AUTO 07 B CL A-3A	479,831	460,844	489,096	11/15/2011	5.15%	
FORD MOTOR CREDIT CO LLC	400,000	381,250	403,384	8/1/2012	7.50%	1,267
FORD MOTOR CREDIT CO LLC	950,000	938,648	993,216	10/1/2014	8.70%	
FORD MTR CR CO GLOBAL NT	90,000	83,250	89,864	10/1/2013	7.00%	
FORD MTR CR CO LLC NT	200,000	191,250	202,150	6/1/2012	7.80%	
FOREST OIL CORP SR NT 144 A	275,000	261,663	287,375	2/15/2014	8.50%	
FPL GROUP CAP INC DEB	390,000	437,561	420,026	3/1/2019	6.00%	146
FRANCE TELECOM SA NT	250,000	263,075	267,943	3/1/2011	7.75%	
FRANCE TELECOM SA NT	375,000	517,376	499,054	3/1/2031	8.50%	
FRONTIER COMMUNICATIONS CORP	200,000	183,610	208,500	5/1/2014	8.25%	
FRONTIER OIL CORP SR NT	45,000	43,538	46,800	9/15/2016	8.50%	5
GE CAP CR CARD MSTR 05-1 CL A	1,105,000	1,103,835	1,103,353	3/15/2013	0.27%	
GENERAL CABLE CORP DEL NEW SR	35,000	23,100	34,388	4/1/2017	7.13%	
GENERAL ELEC CAP CORP	25,000	24,972	25,951	8/7/2019	6.00%	
GENERAL ELEC CAP CORP #TR00806	2,610,000	2,487,213	2,416,546	1/14/2038	5.88%	
GENERAL ELEC CAP CORP MEDIUM	415,000	405,617	391,893	8/7/2037	6.15%	
GENERAL ELEC CAP CORP MEDIUM	300,000	302,175	307,422	5/1/2018	5.63%	
GENERAL ELEC CAP MTN #TR 00528	700,000	721,901	713,692	3/15/2032	6.75%	
GENERAL ELEC CAP MTN #TR 00775	400,000	398,124	408,236	2/15/2017	5.40%	
GENERAL ELEC CAP MTN #TR00727	500,000	477,760	500,065	1/20/2010	0.35%	
GENERAL MARITIME CORP	175,000	170,646	182,219	11/15/2017	12.00%	
GENERAL MLS INC NT	50,000	51,869	53,984	2/15/2012	6.00%	
GENWORTH FINL INC JR SUB NT	140,000	67,900	99,050	11/15/2066	6.15%	
GEOEYE INC 144A	445,000	445,214	457,794	10/1/2015	9.63%	
GEOKINETICS HOLDINGS INC	285,000	279,565	280,013	12/15/2014	9.75%	
GEORGIA GULF CORPORATION 144A	190,000	189,595	191,900	1/15/2017	9.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
GLOBAL CROSSING LTD 144A	405,000	413,132	444,488	9/15/2015	12.00%	
GMACM MTG LN TR 03-J7 A7	677,319	587,575	576,135	11/25/2033	5.00%	
GNMA GTD REM P/T 07-028 BO PO	253,410	203,906	214,539	5/20/2037	0.00%	
GNMA GTD REMIC 2002-54 GB	199,963	207,828	216,828	8/20/2032	6.50%	
GNMA GTD REMIC P/T 01-8 Z	636,375	669,422	688,233	3/20/2031	6.50%	
GNMA GTD REMIC P/T 02-45 QE	99,413	103,322	107,704	6/20/2032	6.50%	
GNMA GTD REMIC P/T 05-045 ZA	719,996	721,671	721,671	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,306,500	1,268,363	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-49 NO	724,951	644,808	675,350	12/20/2035	0.00%	
GNMA GTD REMIC P/T 07-71 SB IO	627,436	50,634	70,405	7/20/2036	6.47%	
GNMA GTD REMIC P/T 09-1 KS	299,986	317,611	279,251	11/20/2034	13.03%	
GNMA GTD REMIC P/T 09-32 ZA	149,348	146,746	142,212	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	611,710	646,361	615,839	8/16/2039	6.00%	
GNMA GTD REMIC P/T 09-79 OK	487,927	408,944	394,874	11/16/2037	0.00%	
GNMA GTD REMIC P/T 2002-40 UK	315,195	329,867	341,447	6/20/2032	6.50%	
GNMA GTD REMIC P/T 2009-40 SL	1,517,328	97,204	135,740	3/16/2034	6.27%	
GOLDMAN SACHS GROUP INC NT	500,000	503,650	530,215	1/15/2011	6.88%	
GOLDMAN SACHS GROUP INC SER B	1,000,000	988,820	1,004,259	1/28/2010	7.80%	
GOLDMAN SACHS GROUP INC SR	220,000	208,597	232,313	1/18/2018	5.95%	
GOLDMAN SACHS GROUP INC SR NT	175,000	165,394	187,672	9/1/2017	6.25%	
GOLDMAN SACHS GROUP INC SUB NT	2,070,000	1,855,644	2,127,731	10/1/2037	6.75%	
GOODYEAR TIRE & RUBBER CO	195,000	186,900	215,475	5/15/2016	10.50%	
GRAPHIC PACKAGING INTL	135,000	131,344	143,100	6/15/2017	9.50%	
GRAPHIC PACKAGING INTL INC SR	190,000	131,100	196,175	8/15/2013	9.50%	14,265
GREIF INC	170,000	164,283	173,400	8/1/2019	7.75%	
GS MTG SECS CORP 03-3F 4A3	1,472,834	953,898	1,360,691	4/25/2033	5.75%	
GSAA HOME EQUITY 07 10 CL A1A	549,645	302,283	399,350	11/25/2037	6.00%	
GSAA HOME EQUITY 07 10 CL A2A	1,174,335	550,106	764,422	11/25/2037	6.50%	
HALLIBURTON CO	75,000	73,273	92,794	9/15/2039	7.45%	
HALLIBURTON CO DEB	360,000	465,905	453,848	2/15/2021	8.75%	
HANCOCK JOHN II MTN 00001 144A	150,000	154,775	154,725	7/2/2010	7.90%	
HARLEY DAVIDSON FUNDING 144A	280,000	279,828	284,276	12/15/2014	5.75%	
HAROT 2009-2 A2	410,000	409,982	412,784	5/17/2010	2.22%	
HARRAHS OPERATING CO INC	120,000	120,000	125,550	6/1/2017	11.25%	
HARRAH'S OPERATING ESCROW LLC/	55,000	56,856	57,544	6/1/2017	11.25%	
HART 2009-A A2	420,000	419,974	421,004	2/15/2012	1.11%	
HARTFORD FINL SVCS GROUP INC	300,000	220,875	288,000	6/15/2068	8.13%	
HCA INC	540,000	530,572	562,275	2/15/2020	7.88%	
HCA INC 144A	325,000	314,454	350,188	4/15/2019	8.50%	
HCA INC SR SECD NT	145,000	135,729	152,975	11/15/2014	9.13%	
HCA INC SR SECD NT 144A	275,000	260,635	303,875	2/15/2017	9.88%	
HDMOT 2009-4 A2	470,000	469,971	469,718	10/15/2012	1.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
HEALTH MGMT ASSOC INC	350,000	217,000	328,125	4/15/2016	6.13%	
HEALTHCARE RLTY TR INC SR NT	55,000	53,639	53,068	4/1/2014	5.13%	
HERTZ CORP SR NT	985,000	778,499	1,007,162	1/1/2014	8.88%	
HEWLETT PACKARD CO GLOBAL NT	150,000	150,282	159,954	3/1/2017	5.40%	
HEWLETT PACKARD CO GLOBAL NT	750,000	725,205	750,367	6/15/2010	0.31%	
HOME DEPOT INC SR NT	125,000	111,865	130,858	3/1/2016	5.40%	
HOME DEPOT INC SR NT	1,105,000	896,792	1,066,611	12/16/2036	5.88%	
HOST HOTELS & RESORTS LP	115,000	111,089	124,344	5/15/2017	9.00%	26,683
HOST MARRIOTT L P SR NT SER J	330,000	265,650	335,363	11/1/2013	7.13%	5
HOST MARRIOTT LP SR NT SER Q	50,000	36,500	49,750	6/1/2016	6.75%	34
HRPT PPTYS TR SR NT	175,000	91,284	160,482	1/15/2018	6.65%	
HSBC AUTOMOTIVE TR 06-3 CL A4	862,000	899,234	892,498	9/17/2013	5.34%	
HSBC FIN CORP NT	1,570,000	1,563,202	1,659,302	5/15/2011	6.75%	
HSBC FIN CORP NT	200,000	206,956	209,816	1/19/2016	5.50%	
HSBC FIN CORP NT	165,000	172,669	172,669	1/15/2014	5.25%	30,589
HSBC HLDGS PLC SUB NT	700,000	774,571	734,174	5/2/2036	6.50%	
HUGHES NTKW SYS/HNS FIN	190,000	172,777	194,275	4/15/2014	9.50%	
HUNTSMAN INTL LLC	140,000	112,000	124,250	6/30/2016	5.50%	
HUSKY ENERGY INC	225,000	259,706	260,010	12/15/2019	7.25%	
HUTCHINSON WHAMPOA FINANC	440,000	451,678	446,054	9/11/2019	5.75%	
IBM CORP	440,000	438,816	440,776	11/30/2039	5.60%	
INDYMAC INDX MTG 2006-AR11 3A1	882,931	428,363	396,719	6/25/2036	5.18%	
INERGY LP/INERGY FIN	145,000	138,465	148,988	3/1/2015	8.75%	
ING CAP FDG TR III GTD TR	185,000	127,838	159,100	12/29/2049	8.44%	
ING GROEP N V PERP DEBT SECS	261,000	179,070	192,798	12/29/2049	5.78%	
INMARSAT FINANCE PLC	295,000	292,805	301,638	12/1/2017	7.38%	
INTELSAT JACKSON HLDG 144A	330,000	327,248	339,900	11/1/2019	8.50%	
INTELSAT SUBSIDIARY HLDG CO	145,000	135,825	149,350	1/15/2015	8.88%	
INTELSAT SUBSIDIARY HOLDING CO	545,000	504,125	555,900	1/15/2013	8.50%	
INTERATIONAL LEASE #TR 00583	250,000	166,943	196,103	9/20/2013	5.63%	
INTERNATIONAL BUSINESS MACHS	300,000	359,757	366,342	10/15/2018	7.63%	
INTERPUBLIC GROUP COS	205,000	200,814	227,550	7/15/2017	10.00%	
INUIT SR NT	60,000	63,092	62,145	3/15/2017	5.75%	
INVERNESS MEDICAL INNOV	425,000	415,313	416,500	2/1/2016	7.88%	9
IRON MTN INC PA SR SUB NT	130,000	116,675	130,650	1/15/2015	7.75%	
JABIL CIRCUIT INC SR NT	45,000	28,575	48,150	3/15/2018	8.25%	
JDA SOFTWARE GROUP INC	115,000	113,836	117,300	12/15/2014	8.00%	
JDOT 2009-B A2	220,000	219,984	220,136	3/15/2012	0.85%	
JEFFERIES GROUP INC	30,000	31,756	32,794	7/15/2019	8.50%	
JEFFERIES GROUP INC NEW SR DEB	185,000	146,596	147,425	1/15/2036	6.25%	
JEFFERIES GROUP INC NEW SR NT	290,000	243,174	247,150	6/8/2027	6.45%	
JOHN DEERE CAP CORP MTN	60,000	56,215	63,410	4/3/2018	5.35%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
JPMCC 2009-IWST C	200,000	198,461	198,461	12/5/2027	7.44%	
JPMORGAN CHASE & CO SR NT	365,000	389,426	392,371	1/15/2018	6.00%	
KABEL DEUTSCHLAND GMBH	550,000	489,500	574,750	7/1/2014	10.63%	
KANSAS CITY SOUTHN RY CO NT	60,000	47,134	62,175	6/1/2015	8.00%	
KB HOME	190,000	186,227	199,500	9/15/2017	9.10%	
KELLOGG CO SR NT	50,000	48,420	52,416	3/6/2013	4.25%	
KERR MCGEE CORP NT	75,000	86,433	87,475	9/15/2031	7.88%	
KEYCORP MEDIUM TERM SR NTS	75,000	69,142	77,411	5/14/2013	6.50%	
KIMBERLY CLARK CORP SR NT	15,000	17,663	18,147	11/1/2018	7.50%	
KINDER MORGAN ENER PART	290,000	318,408	321,691	2/15/2020	6.85%	
KINDER MORGAN FIN CORP ULC	190,000	141,550	182,400	1/5/2016	5.70%	
KOHL'S CORP NT	460,000	400,356	521,709	12/15/2037	6.88%	
KOPPERS INC 144A	43,000	42,274	43,430	12/1/2019	7.88%	
KRAFT FOODS INC GLOBAL NT	275,000	278,765	276,246	11/1/2031	6.50%	
KRAFT FOODS INC NT	325,000	349,862	341,049	2/1/2038	6.88%	
KRAFT FOODS INC NT	335,000	347,581	352,266	2/1/2018	6.13%	
KRAFT FOODS INC NT	100,000	103,777	110,583	2/19/2014	6.75%	
KROGER CO NT	135,000	137,213	135,652	2/1/2010	8.05%	
KROGER CO SR NT	200,000	216,618	214,050	1/15/2020	6.15%	
KROGER CO SR NT SER B	145,000	169,516	169,883	6/1/2029	7.70%	
LAMAR MEDIA CORP	400,000	368,907	441,500	4/1/2014	9.75%	
LBG CAPITAL NO.1 PLC	220,000	121,000	177,063	11/1/2020	7.88%	
LEHMAN XS TR 2005-6 CL 3A2B	1,230,000	985,513	729,156	11/25/2035	5.42%	
LEUCADIA NATL CORP SR NT	235,000	186,825	235,881	8/15/2013	7.00%	
LEUCADIA NATL CORP SR NT	510,000	378,675	481,950	3/15/2017	7.13%	
LEUCADIA NATL CORP SR NT	245,000	194,163	249,900	9/15/2015	8.13%	
LEVEL 3 FING INC GTD SR NT	755,000	520,159	570,025	2/15/2015	5.47%	
LEVEL 3 FING INC GTD SR NT	610,000	484,438	556,625	2/15/2017	8.75%	
LEVI STRAUSS & CO NEW SR NT	155,000	143,763	162,750	1/15/2015	9.75%	
LIBERTY PPTY LTD PARTNERSHIP	75,000	74,830	72,732	10/1/2017	6.63%	
LINCOLN NATIONAL CORP	140,000	163,282	159,964	7/1/2019	8.75%	
LINCOLN NATIONAL CORP	85,000	84,873	83,766	2/15/2020	6.25%	
LINCOLN NATL CORP IND	160,000	102,550	132,800	5/17/2066	7.00%	
LINCOLN NATL CORP IND CAP SECS	130,000	80,021	100,750	4/20/2067	6.05%	
LINN ENERGY LLC	145,000	94,638	154,063	7/1/2018	9.88%	
LOCAL INSIGHT REGATTA SER B	145,000	37,700	93,525	12/1/2017	11.00%	
LOCKHEED MARTIN CO GTD	130,000	155,566	154,863	5/1/2026	7.75%	
LOCKHEED MARTIN CORP DEB	342,000	462,487	443,386	12/1/2029	8.50%	
LOWES COS INC NT	255,000	298,661	290,850	9/15/2037	6.65%	
LUBRIZOL CORP SR NT	120,000	150,392	149,194	2/1/2019	8.88%	
MACK CALI RLTY LP NT	720,000	692,705	688,154	1/15/2015	5.13%	
MACY'S RETAIL HLDGS INC GTD SR	75,000	60,000	77,063	1/15/2013	5.88%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
MACYS RETAIL HLDGS INC SR DEB	10,000	9,150	10,350	7/15/2017	7.45%	
MACYS RETAIL HLDGS INC SR NT	265,000	269,306	292,163	7/15/2015	8.88%	
MANUFACTURERS & TRADERS TR CO	250,000	258,265	256,383	12/4/2017	6.63%	
MARATHON OIL CORP SR NT	250,000	291,555	288,530	2/15/2019	7.50%	
MARINER ENERGY INC SR NT	105,000	54,600	100,800	5/15/2017	8.00%	
MARKWEST ENERGY	125,000	79,688	127,188	7/15/2016	8.50%	
MARKWEST ENERGY PARTNERS/LP	185,000	114,700	190,550	4/15/2018	8.75%	
MARSHALL & ILSLEY MTN # 00145	200,000	180,984	198,548	4/1/2011	5.35%	
MASSACHUSETTS ELECTRIC	271,000	270,095	275,149	11/15/2039	5.90%	
MASSMUTUAL GLOBAL FDG #TR00020	250,000	243,915	250,805	3/15/2010	3.50%	
MASTR ASSET BKD 05-AB1 CL A3B	1,440,100	994,202	1,268,194	10/25/2032	5.23%	
MAY DEPT STORES CO NT	320,000	273,600	320,800	7/15/2014	5.75%	
MBNA CR CARD 03 I CL C	100,000	67,701	99,975	6/15/2012	1.93%	
MCJUNKIN RED MAN CORP 144A	390,000	380,738	381,225	12/15/2016	9.50%	
MEDIACOM BROADBAND LLC/CRP	380,000	257,153	383,800	10/15/2015	8.50%	6,946
MEDIACOM LLC/CAPTL CORP	435,000	428,475	443,700	8/15/2019	9.13%	
MERRILL LYNCH & CO INC MEDIUM	940,000	989,729	1,012,793	4/25/2018	6.88%	
MERRILL LYNCH & CO INC MTN	200,000	200,374	210,476	8/28/2017	6.40%	
MERRILL LYNCH & CO INC SUB NT	100,000	88,596	98,017	5/2/2017	5.70%	
MERRILL LYNCH MTG 05-MCP1 A-SB	450,000	406,278	454,635	5/12/2043	4.67%	
MERRILL LYNCH MTG 2005-LC1 A4	250,000	205,080	242,858	1/12/2044	5.29%	
METLIFE INC SR DEB SECS SER A	75,000	71,430	83,532	8/15/2018	6.82%	
METLIFE INC SR DEBT SECS SER B	90,000	106,554	105,763	2/15/2019	7.72%	
METLIFE INC SR NT	500,000	509,190	493,790	6/15/2035	5.70%	
MGM MIRAGE INC	305,000	296,411	330,925	5/15/2014	10.38%	191,283
MGM MIRAGE INC	310,000	301,766	343,325	11/15/2017	11.13%	
MIDAMERICAN ENERGY HLDGS CO	785,000	901,690	844,173	9/15/2037	6.50%	
MIDAMERICAN FDG LLC SR SECD NT	175,000	176,078	182,779	3/1/2011	6.75%	
MIRANT MID-ATLANTIC LLC SER C	292,288	267,169	302,518	12/30/2028	10.06%	
MIRANT NORTH AMER LLC	195,000	169,566	192,806	12/31/2013	7.37%	
ML CFC COML MTG TR 2006-1 A4	425,000	342,278	409,139	2/12/2039	5.42%	
MOHAWK INDS INC SR NT	280,000	240,519	278,600	1/15/2016	6.63%	
MORGAN STANLEY 06-IQ12 CL A1	185,462	174,870	189,529	12/15/2043	5.26%	
MORGAN STANLEY 2006-TOP23 A-1	87,448	83,118	89,181	8/12/2041	5.68%	
MORGAN STANLEY DEAN WITTER CO	800,000	802,904	824,912	6/15/2010	8.00%	
MORGAN STANLEY DW & CO GL NT	600,000	585,000	635,688	4/15/2011	6.75%	12
MORGAN STANLEY GLOBAL NT	150,000	136,034	158,100	3/1/2013	5.30%	
MORGAN STANLEY MTG 06 7 5-A-2	950,000	495,017	534,432	6/25/2036	5.96%	
MORGAN STANLEY SR MEDIUM TERM	1,725,000	1,646,247	1,779,233	12/28/2017	5.95%	
MORGAN STANLEY SR NT	1,000,000	934,700	999,530	5/7/2010	0.34%	
MORGAN STANLEY SR NT	100,000	89,535	106,522	4/28/2015	6.00%	
MTR GAMING GROUP INC 144A	160,000	152,397	154,000	7/15/2014	12.63%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
NALCO CO 144A	220,000	215,299	233,750	5/15/2017	8.25%	
NALT 2009-A A2	650,000	650,884	653,907	4/15/2011	2.01%	
NALT 2009-B A2	350,000	349,966	350,494	9/15/2011	1.22%	
NATIONAL RURAL UTILS CORP FIN	100,000	92,858	106,207	3/1/2014	4.75%	
NAVISTAR INTL CORP	235,000	226,371	240,875	11/1/2021	8.25%	114,610
NEVADA PWR CO GENERAL & REF	295,000	332,408	329,350	3/15/2019	7.13%	
NEVADA PWR CO REF & MTG NT SER	165,000	172,067	176,811	8/1/2018	6.50%	
NEW JERSEY BELL TEL DEB	700,000	667,611	744,436	11/15/2029	7.85%	
NEWPAGE CORP	555,000	521,678	560,550	12/31/2014	11.38%	
NEWS AMER HLDGS	100,000	105,119	117,755	10/17/2016	8.00%	
NEWS AMER INC GTD DEBS	35,000	39,797	39,830	5/18/2018	7.25%	
NEWS AMER INC GTD SR DEBS	450,000	453,371	471,200	4/30/2028	7.30%	
NEWS AMER INC GTD SR NT	550,000	541,437	547,190	3/1/2037	6.15%	
NEWS AMER INC SR NT	90,000	93,493	92,416	12/15/2035	6.40%	
NEWS AMER INC SR NT	900,000	745,425	950,678	11/15/2037	6.65%	
NEXEN INC	275,000	298,282	315,274	7/30/2039	7.50%	
NEXTEL COMMUNICATIONS INC SR	30,000	16,875	29,175	8/1/2015	7.38%	11,084
NEXTEL COMMUNICATIONS INC SR	45,000	27,263	43,650	10/31/2013	6.88%	
NIAGARA MOHAWK POWER	150,000	150,000	148,722	8/15/2019	4.88%	
NII CAPITAL CORP	475,000	471,138	462,531	12/15/2019	8.88%	
NISOURCE FIN CORP GTD NT	140,000	146,576	149,723	1/15/2019	6.80%	
NORCRAFT COS/FINANCE	29,000	28,527	29,725	12/15/2015	10.50%	1,370
NORFOLK SOUTHERN CORP BDS	165,000	176,867	193,154	5/15/2017	7.70%	
NORFOLK SOUTHN CORP SR NT	245,000	294,470	284,896	2/15/2031	7.25%	
NORFOLK SOUTHN RY CO VA NT	255,000	346,277	339,170	6/15/2020	9.75%	
NORTHERN STATES PWR-MINN	137,000	136,740	132,224	11/1/2039	5.35%	
NORTHRUP GRUMMAN CORP DEB	250,000	322,953	308,885	2/15/2031	7.75%	
NOTHERN TRUST COMPANY	260,000	261,833	274,313	11/9/2017	5.85%	
NOVA CHEMICALS CORP	155,000	153,710	157,713	11/1/2019	8.63%	
NRG ENERGY INC	95,000	93,431	97,375	6/15/2019	8.50%	
NRG ENERGY INC SR NT	915,000	843,550	917,286	1/15/2017	7.38%	
NTL CABLE PLC SR NT	76,000	60,022	78,470	4/15/2014	8.75%	
NTL CABLE PLC SR NT	300,000	222,000	316,125	8/15/2016	9.13%	
NUCOR CORP NT	130,000	142,189	139,580	12/1/2037	6.40%	
OHIO PWR CO SR NT SER L	100,000	96,837	108,566	9/1/2013	5.75%	
OMEGA HEALTHCARE INVS INC	10,000	7,500	9,850	1/15/2016	7.00%	
OMEGA HEALTHCARE INVS INC SR	60,000	48,600	59,400	4/1/2014	7.00%	
OMINICARE INC SR SUB NT	105,000	97,913	102,900	12/15/2013	6.75%	
OMNICARE INC SR SUB NT	90,000	79,100	87,300	6/1/2013	6.13%	
ONCOR ELECTRIC DELIVERY CO	30,000	27,979	32,186	9/1/2013	5.95%	
OPTI CANADA INC	380,000	368,600	388,550	12/15/2012	9.00%	
ORACLE CORP NT	115,000	120,287	124,337	4/15/2018	5.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OWENS CORNING INC	250,000	245,965	278,795	6/15/2019	9.00%	
PACIFIC GAS & ELECTRIC	399,000	393,330	381,823	1/15/2040	5.40%	
PACIFICORP 1ST MTG BD	340,000	441,354	424,786	11/15/2031	7.70%	
PACIFICORP BD	275,000	301,554	296,703	10/15/2037	6.25%	
PACIFICORP FIRST MTG BD	100,000	107,543	107,386	7/15/2018	5.65%	
PAETEC HOLDING CORP	260,000	251,027	263,250	6/30/2017	8.87%	
PARKER-HANNIFIN CORP MEDIUM	50,000	48,339	52,674	5/15/2018	5.50%	
PARKER-HANNIFIN CORP MEDIUM	165,000	177,961	180,388	5/15/2038	6.25%	
PC FINL PARTNERSHIP GTD SR NT	100,000	83,608	104,852	11/15/2014	5.00%	
PEARSON DLR FIN TWO PLC 144A	140,000	151,336	146,997	5/6/2018	6.25%	
PENN NATIONAL GAMING INC	175,000	175,000	178,938	8/15/2019	8.75%	
PENN VIRGINIA CORP	240,000	232,807	261,600	6/15/2016	10.38%	
PEPSICO INC SR NT	455,000	538,707	558,399	11/1/2018	7.90%	
PETRO-CDA SR NT	740,000	783,786	815,702	5/15/2038	6.80%	
PETROHAWK ENERGY CORP	255,000	188,700	257,550	6/1/2015	7.88%	
PETROHAWK ENERGY CORP SR NT	145,000	117,450	151,525	7/15/2013	9.13%	
PHH ALTERNATIVE 07-1 CL 21A	525,740	266,408	320,207	2/25/2037	6.00%	
PHILIP MORRIS INTL INC NT	375,000	404,363	394,343	5/16/2018	5.65%	
PHILIP MORRIS INTL INC NT	140,000	158,353	151,330	5/16/2038	6.38%	
PIONEER NAT RES CO SR NT	105,000	73,437	103,889	5/1/2018	6.88%	
PIONEER NAT RES CO SR NT	170,000	121,312	167,666	3/15/2017	6.65%	
PLAINS ALL AMERN PIPELINE LP	145,000	152,653	147,935	1/15/2037	6.65%	
PLAINS EXPL & PRODTN CO SR NT	225,000	154,125	230,063	6/1/2018	7.63%	
PLAINS EXPLORATION & PRO	120,000	118,002	123,300	10/15/2019	8.63%	
PLASTIPAK HOLDINGS INC	120,000	117,287	132,300	8/15/2019	10.63%	
PNC FDG CORP SUB NT	175,000	168,548	179,963	11/15/2015	5.25%	
PNC FUNDING CORP	140,000	155,823	156,657	6/10/2019	6.70%	
POTOMAC ELEC PWR CO 1ST MTG BD	250,000	325,558	319,358	12/15/2038	7.90%	
PROCTER & GAMBLE ESOP DEB SR-A	87,527	108,575	106,738	1/1/2021	9.36%	
PROGRESS ENERGY INC	185,000	184,841	181,435	12/1/2039	6.00%	
PROGRESS ENERGY INC SR NT	435,000	503,895	474,006	10/30/2031	7.00%	
PRUDENTIAL FINANCIAL INC	240,000	239,486	243,365	9/17/2015	4.75%	
PRUDENTIAL FINL INC MTN	515,000	465,148	465,313	12/14/2036	5.70%	
PUB SVC ELEC & GAS	195,000	194,374	188,904	11/1/2039	5.38%	
PUBLIC SERVICE OKLAHOMA	239,000	238,324	237,286	12/1/2019	5.15%	
PUBLIC SVC CO COLO 1ST MTG BD	30,000	31,113	32,540	8/1/2018	5.80%	
PULTE HOME INC SR NT	90,000	64,350	88,425	1/15/2014	5.25%	
QUEBECOR MEDIA INC SR NT	60,000	40,500	59,850	3/15/2016	7.75%	
QUEBECOR MEDIA INC SR NT	145,000	97,875	144,638	3/15/2016	7.75%	
QUEST DIAGNOSTIC INC	290,000	283,375	281,436	1/30/2040	5.75%	
QWEST COMMUNICATIONS INTL INC	390,000	339,300	391,950	2/15/2011	7.25%	
RABOBANK NEDERLAND	755,000	755,921	754,083	8/5/2011	0.48%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
RAILAMERICA INC	476,000	456,593	506,345	7/1/2017	9.25%	
RAINBOW NATL SVCS LLC 144A	175,000	175,875	178,281	9/1/2012	8.75%	
RAINBOW NATL SVCS LLC 144A	95,000	84,550	100,225	9/1/2014	10.38%	
RALI 2003-QR24 A5	306,927	253,214	247,859	7/25/2033	4.00%	
RANGE RES CORP SR SUB NT	130,000	112,775	133,575	5/15/2016	7.50%	16
RANGE RES CORP SR SUB NT	60,000	50,100	61,200	5/1/2018	7.25%	
REED ELSEVIER CAP INC GTD NT	150,000	186,599	182,516	1/15/2019	8.63%	
REGENCY ENERGY PARTNERS	215,000	203,166	228,975	6/1/2016	9.38%	
REGIONS FINL CORP NEW SUB NT	50,000	36,786	40,928	12/10/2037	7.38%	
REICHHOLD INDS INC SR NT 144A	265,000	174,900	222,600	8/15/2014	9.00%	
REPUBLIC SERVICES INC	150,000	154,982	152,318	9/15/2019	5.50%	
RESIDENTIAL ACCREDIT 03 QS3 A2	33,500	29,247	32,745	2/25/2018	15.99%	
RESIDENTIAL ACCREDIT 03-QR19	708,794	469,258	486,452	10/25/2033	5.75%	
RESIDENTIAL FDG MTG 03-S7 A-17	361,325	326,887	335,952	5/25/2033	4.00%	
REVLON CONSUMER PRODS 144A	200,000	197,800	206,500	11/15/2015	9.75%	
REYNOLDS GROUP ESCROW 144A	400,000	394,780	409,000	10/15/2016	7.75%	
RFMSI 06-SA2 P/T II-A-I	1,426,804	716,712	906,733	8/25/2036	5.83%	
RIO TINTO FIN USA LTD NT	275,000	308,058	311,273	7/15/2028	7.13%	
ROYAL BK OF SCOTLAND PLC	1,140,000	1,140,000	1,138,996	10/28/2011	0.43%	
ROYAL CARIBBEAN CRUISE	30,000	22,800	29,475	12/1/2013	6.88%	
ROYAL CARIBBEAN CRUISES	240,000	233,758	277,500	7/15/2015	11.88%	240,000
ROYAL CARIBBEAN CRUISES SR NT	50,000	47,125	52,313	2/2/2011	8.75%	
ROYAL CARIBBEAN LTD SR NT	35,000	27,388	34,913	6/15/2013	7.00%	
RRI ENERGY INC	566,000	508,003	577,320	12/15/2014	6.75%	247,513
RSC EQUIPMENT RENTAL INC	180,000	175,176	195,750	7/15/2017	10.00%	
SABINE PASS LNP L P SR SECD NT	225,000	162,000	187,313	11/30/2016	7.50%	205,408
SANDRIDGE ENERGY INC GTD SR NT	330,000	173,250	330,000	4/1/2015	8.63%	
SBA TELECOMMUNICATIONS INC	110,000	109,263	114,950	8/15/2016	8.00%	
SBC COMMUNICATIONS INC GBL NT	300,000	305,688	324,420	2/1/2012	5.88%	
SCIENTIFIC GAMES INTERNA	340,000	329,198	357,000	6/15/2019	9.25%	
SCIENTIFIC GAMES INTL INC 144A	185,000	148,925	185,925	6/15/2016	7.88%	
SEGATE TECHNOLOGY INTL 144A	45,000	42,893	49,725	5/1/2014	10.00%	
SEMPRA ENERGY	855,000	847,873	844,270	10/15/2039	6.00%	
SEMPRA ENERGY NT	200,000	259,036	249,624	2/15/2019	9.80%	
SHELL INTERNATIONAL FIN	785,000	784,969	787,023	9/22/2011	1.30%	
SHELL INTERNATIONAL FIN	200,000	199,308	197,597	9/22/2019	4.30%	
SHELL INTL FIN B V GTD NT	175,000	196,873	197,291	12/15/2038	6.38%	
SIEMENS NV 144A	200,000	199,980	215,751	10/17/2016	5.75%	
SIEMENS NV 144A	300,000	330,789	317,838	8/17/2026	6.13%	
SIMON PPTY GROUP L P NT	80,000	53,358	82,395	8/15/2014	5.63%	
SIMON PPTY GROUP L P NT	170,000	176,030	175,714	3/1/2012	5.00%	
SIMON PPTY GROUP L P NT	50,000	51,242	50,800	5/30/2018	6.13%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
SIMON PROPERTY GROUP LP	25,000	15,976	25,514	5/1/2016	6.10%	
SINCLAIR TELEVISION GROU 144A	220,000	213,981	228,800	11/1/2017	9.25%	
SIRIUS XM RADIO INC	180,000	176,185	189,450	9/1/2015	9.75%	
SITHEINDEPENDENCE FDG CORP	534,520	449,323	548,979	12/30/2013	9.00%	
SLM COR MTN #TR 00007	115,000	84,310	108,483	1/15/2013	5.38%	
SLM CORP MTN # TR 00002	185,000	152,407	173,432	8/27/2012	5.13%	
SLM CORP MTN # TR 00077	200,000	181,038	199,988	1/15/2010	4.00%	4
SOCIETE DE FINANC 144A 3C7	295,000	300,643	300,201	3/26/2012	2.38%	
SOLUTIA INC	145,000	145,000	150,981	11/1/2017	8.75%	
SOUNDVIEW HOME LN 2007-NS1 A1	91,505	76,739	85,732	1/25/2037	0.35%	
SOUTHERN CALIF EDISON CO	120,000	125,459	128,101	8/15/2018	5.50%	120,000
SOUTHERN CALIF EDISON SER 09A	50,000	49,591	53,279	3/15/2039	6.05%	
SOUTHERN CALIF GAS CO 1ST MTG	85,000	86,163	90,987	10/1/2012	4.80%	
SPECTRA ENERGY CAP LLC SR NT	50,000	43,388	53,101	4/15/2018	6.20%	
SPEEDWAY MOTORSPORTS INC	30,000	29,588	31,650	6/1/2016	8.75%	
SPIRIT AEROSYSTEMS INC	100,000	97,804	98,500	10/1/2017	7.50%	
SPRINT CAP CORP	470,000	393,247	481,163	1/30/2011	7.63%	10,041
SPRINT CAP CORP NT	215,000	172,594	222,525	3/15/2012	8.38%	
SPRINT CAP CORP NT	690,000	539,100	650,325	3/15/2032	8.75%	
SPRINT CAPITAL CORP	180,000	127,800	165,600	5/1/2019	6.90%	
STAPLES INC	140,000	169,592	170,583	1/15/2014	9.75%	
STARWOOD HOTELS & RESORT	135,000	129,985	144,281	10/15/2014	7.88%	
STATE STR SUB NT	250,000	260,110	257,275	6/15/2010	7.65%	
STATER BROS HLDGS INC SR NT	365,000	318,600	370,475	4/15/2015	7.75%	41
STEEL DYNAMICS INC	370,000	255,908	386,650	4/15/2016	7.75%	
SUNGARD DATA SYS INC SR NT	715,000	609,088	732,875	8/15/2013	9.13%	
SUNTRUST BKS INC SR NT	1,000,000	840,070	991,239	9/11/2017	6.00%	
SUNTRUST BKS INC SR NT	150,000	146,954	155,634	11/5/2012	5.25%	
SUNTRUST BKS INC SUB NTS	150,000	128,666	121,446	2/15/2026	6.00%	
SUPERVALU INC	410,000	398,825	416,150	5/1/2016	8.00%	
SVENSKA HANDELSBANKEN AB	1,065,000	1,065,000	1,059,482	9/14/2012	1.25%	
SWEDBANK AB (PUBL) DISC	1,000,000	989,070	989,070	6/11/2010	0.00%	
TARGA RESOURCES PARTNERS LP	445,000	275,900	441,106	7/1/2016	8.25%	
TARGET CORP NT	400,000	358,796	438,616	10/15/2037	6.50%	
TARGET CORP NT	100,000	96,822	110,369	1/15/2018	6.00%	
TARGET CORP NT	100,000	92,680	116,675	1/15/2038	7.00%	
TECK COMINCO LTD SR NT	50,000	39,250	44,750	10/1/2035	6.13%	
TELCORDIA TECHNOLOGIES 144A	515,000	249,775	471,869	7/15/2012	4.03%	
TELECOM ITALIA CAP GTD SR NT	175,000	133,219	181,384	9/30/2014	4.95%	
TELECOM ITALIA CAP GTD SR NT	315,000	367,640	362,669	6/4/2038	7.72%	
TELECOM ITALIA CAP GTD SR NT B	175,000	133,438	184,063	11/15/2013	5.25%	
TELEFONICA EMISIONES S A U	375,000	441,649	428,213	6/20/2036	7.05%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TELEFONICA EMISIONES S A U SR	200,000	194,410	216,094	2/4/2013	5.86%	
TELEFONICA SA	50,000	50,000	53,592	7/15/2019	5.88%	
TENET HEALTHCARE CORP	305,000	299,673	329,400	7/1/2019	8.88%	
TENNESSEE GAS PIPELINE CO	100,000	94,881	114,750	2/1/2016	8.00%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,151,755	1,113,714	9/15/2039	5.25%	
TEREX CORP	355,000	348,400	395,825	6/1/2016	10.88%	
TERRA CAPITAL INC	240,000	235,915	256,800	11/1/2019	7.75%	
TESCO PLC SR NT 144A	435,000	476,434	451,508	11/15/2037	6.15%	
TESORO CORP	190,000	182,727	196,650	6/1/2019	9.75%	
TEXAS INDS INC SR NT	75,000	54,000	73,688	7/15/2013	7.25%	
TEXAS INDUSTRIES INC	305,000	235,613	299,663	7/15/2013	7.25%	
THOMSON REUTERS CORP	120,000	119,579	118,210	10/15/2019	4.70%	
THOMSON REUTERS CORP GTD NT	40,000	37,241	43,788	7/15/2013	5.95%	
TIAA SEASONED COML 07-C4 CL A3	500,000	458,470	518,435	8/15/2039	6.07%	
TICKETMASTER ENTERTAIN	20,000	20,550	21,550	7/28/2016	10.75%	
TIME WARNER CABLE INC DEB	425,000	475,044	471,164	7/1/2038	7.30%	
TIME WARNER CABLE INC NT	515,000	539,627	524,784	5/1/2037	6.55%	
TIME WARNER CABLE INC NT	455,000	487,271	499,844	7/1/2018	6.75%	
TIME WARNER CABLE INC NT	165,000	203,952	201,097	2/14/2019	8.75%	
TIME WARNER COS INC FA23	285,000	303,953	343,947	2/1/2023	9.15%	
TIME WARNER ENTMT CO LP SR DEB	300,000	302,118	355,377	3/15/2023	8.38%	
TOYS R US PROPERTY CO I	375,000	365,246	410,625	7/15/2017	10.75%	
TOYS R US PROPERTY CO II	320,000	315,434	325,600	12/1/2017	8.50%	
TRANSCANADA PIPELINES LTD NT	100,000	91,798	102,445	6/15/2013	4.00%	
TRANSCANADA PIPELINES LTD SR	655,000	740,179	730,620	8/15/2018	6.50%	
TRANSOCEAN INC SR NT	360,000	416,430	402,552	3/15/2038	6.80%	
TRANSOCEAN SEDCO FOREX INC NT	90,000	106,956	102,664	4/15/2031	7.50%	
TRAVELERS COS INC SR NT	50,000	48,167	53,287	5/15/2018	5.80%	
TRIUMPH GROUP INC	145,000	142,909	146,269	11/15/2017	8.00%	
TRW AUTOMOTIVE INC 144A	215,000	213,656	223,600	12/1/2017	8.88%	
TYCO INTL LTD/TYCO INTL FIN	260,000	295,534	291,707	1/15/2021	6.88%	
U S TREAS BD STRIP PRIN PMT	490,000	353,741	340,447	2/15/2019	0.00%	
U S TREAS BD STRIP PRIN PMT	150,000	97,071	96,836	5/15/2020	0.00%	
U S TREAS BD STRIP PRIN PMT	8,283,000	3,575,854	3,337,055	11/15/2028	0.00%	
U S TREAS BD STRIP PRIN PMT	51,819,000	23,822,347	15,207,817	2/15/2036	0.00%	
U S TREAS STRIP 257 INT PMT	3,200,000	1,661,607	1,586,112	8/15/2024	0.00%	
U S TREAS STRIP 259	3,700,000	1,794,223	1,774,409	2/15/2025	0.00%	
U S TREAS STRIP 264 INT PMT	600,000	285,366	268,116	5/15/2026	0.00%	
U S TREAS STRIP GEN INT PMT	5,394,000	4,302,416	4,141,621	5/15/2017	0.00%	
U S TREAS STRIP GEN TINT	1,300,000	685,762	664,027	2/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	3,750,000	3,328,875	3,324,750	8/15/2014	0.00%	
U S TREAS STRIP GENERIC TINT	700,000	600,355	606,739	2/15/2015	0.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
U S TREAS STRIP GENERIC TINT	1,500,000	1,342,755	1,344,960	5/15/2014	0.00%	
U S TREAS STRIP GENERIC TINT	3,480,000	2,950,553	2,899,153	11/15/2015	0.00%	
U S TREAS STRIP GENERIC TINT	1,160,000	961,814	950,620	2/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	470,000	388,187	379,943	5/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	2,500,000	2,020,400	1,965,900	11/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	14,156,000	11,293,125	10,987,038	2/15/2017	0.00%	
U S TREAS STRIP GENERIC TINT	1,350,000	1,039,282	1,000,836	11/15/2017	0.00%	
U S TREAS STRIP GENERIC TINT	510,000	376,681	353,675	2/15/2019	0.00%	
U S TREAS STRIP GENERIC TINT	2,000,000	1,304,680	1,286,300	5/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	16,439,000	10,300,164	10,440,159	8/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	500,000	314,040	312,200	11/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	1,000,000	618,850	617,340	2/15/2021	0.00%	
U S TREAS STRIP GENERIC TINT	1,700,000	1,021,757	982,787	2/15/2022	0.00%	
U S TREAS STRIP GENERIC TINT	3,800,000	1,988,075	1,911,362	5/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	6,300,000	3,189,252	3,074,715	11/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	1,000,000	376,027	339,820	2/15/2032	0.00%	
U S TREAS STRIP INT PMT	1,970,000	779,398	743,163	11/15/2029	0.00%	
U S TREAS STRIP INT PMT 00521	400,000	165,556	154,800	5/15/2029	0.00%	
U S TREASURY BOND	100,000	145,899	134,695	2/15/2016	9.25%	
U S TREASURY BOND	400,000	615,062	558,752	2/15/2019	8.88%	
U S TREASURY BOND	450,000	640,553	615,587	5/15/2021	8.13%	
U S TREASURY BOND	800,000	1,181,751	1,097,248	8/15/2021	8.13%	
U S TREASURY BOND	260,000	364,346	354,047	11/15/2021	8.00%	
U S TREASURY BOND	425,000	577,753	568,174	11/15/2024	7.50%	
U S TREASURY BOND	300,000	389,638	380,766	8/15/2025	6.88%	
U S TREASURY BOND	3,275,000	4,011,722	3,831,226	2/15/2026	6.00%	
U S TREASURY BOND	10,700,000	14,102,160	13,500,404	8/15/2026	6.75%	
U S TREASURY BOND	2,400,000	3,100,099	2,958,384	11/15/2026	6.50%	
U S TREASURY BOND	7,600,000	9,957,652	9,491,716	2/15/2027	6.63%	
U S TREASURY BOND	4,900,000	6,286,699	5,984,125	8/15/2027	6.38%	
U S TREASURY BOND	1,000,000	1,247,973	1,191,250	11/15/2027	6.13%	
U S TREASURY BOND	200,000	233,251	222,876	8/15/2028	5.50%	95,314
U S TREASURY BOND	2,450,000	2,695,206	2,654,796	11/15/2028	5.25%	
U S TREASURY BOND	200,000	217,595	204,376	2/15/2037	4.75%	
U S TREASURY BOND	665,000	661,884	651,181	5/15/2038	4.50%	4
U S TREASURY BOND	13,100,000	13,165,669	12,289,503	5/15/2039	4.25%	
U S TREASURY NOTE	120,000	121,043	119,016	2/15/2018	3.50%	
U S TREASURY NOTE	2,000,000	1,949,069	1,967,040	2/28/2014	1.88%	
U S TREASURY SEC STRIP INT PMT	100,000	39,746	37,240	2/15/2030	0.00%	
U S TREASURY SEC STRIP INT PMT	350,000	123,575	110,926	8/15/2033	0.00%	
UBS AG STAMFORD BRH MEDIUM	110,000	112,120	113,046	12/20/2017	5.88%	
UBS AG STAMFORD BRH MEDIUM	100,000	90,760	101,801	4/25/2018	5.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
UNION PAC CO DEBS	300,000	343,518	333,237	2/1/2016	7.00%	
UNION PAC CORP NT	125,000	119,916	132,173	5/1/2017	5.65%	
UNION PAC RES GROUP INC DEB	376,000	380,065	372,545	5/15/2028	7.15%	
UNION PACIFIC CORP BDS	225,000	255,705	241,947	2/1/2029	6.63%	
UNITED MARITIME LLC/CORP	165,000	165,000	165,413	6/15/2015	11.75%	
UNITED PARCEL SVC INC SR NT	100,000	106,814	107,789	1/15/2018	5.50%	
UNITED RENTALS NORTH AM	890,000	875,600	918,925	12/15/2019	9.25%	
UNITED RENTALS NORTH AMER	460,000	405,018	458,850	2/15/2012	6.50%	
UNITED STATES TREAS SEC STRIP	2,100,000	625,527	586,215	5/15/2036	0.00%	
UNITEDHEALTH GROUP INC SR NT	135,000	140,138	138,426	3/15/2015	4.88%	
UNIVERSAL HOSP SVCS INC NEW	50,000	30,500	42,125	6/1/2015	3.86%	
UNIVERSAL HOSP SVCS INC NEW	610,000	460,913	600,850	6/1/2015	8.50%	
UPC GERMANY GMBH	400,000	391,376	404,500	12/1/2017	8.13%	
UPC HOLDING BV	225,000	207,961	237,375	4/15/2018	9.88%	
US ONCOLOGY INC	275,000	269,297	288,750	8/15/2017	9.13%	
USG CORP	215,000	210,885	229,513	8/1/2014	9.75%	
USG CORP SR NT	100,000	93,250	103,000	1/15/2018	9.25%	
VALEANT PHARMACEUTICALS	150,000	145,196	154,500	6/15/2016	8.38%	
VALERO ENERGY CORP NEW NT	75,000	98,065	96,358	3/15/2039	10.50%	
VALOR TELECOMMUNICATIONS	150,000	125,250	154,500	2/15/2015	7.75%	
VENTAS RLTY LTD PARTNERSHIP	120,000	101,100	115,800	6/1/2016	6.50%	
VEOLIAN ENVIRONNEMENT NT	125,000	144,659	137,518	6/1/2038	6.75%	
VERIZON COMMUNICATIONS INC NT	565,000	594,606	589,616	2/15/2018	5.50%	
VERIZON COMMUNICATIONS INC NT	360,000	431,618	417,640	4/1/2039	7.35%	
VERIZON COMMUNICATIONS INC NT	335,000	372,346	369,582	4/1/2019	6.35%	
VERIZON FLA INC DEB SER F	200,000	190,610	213,504	1/15/2013	6.13%	
VERIZON GLOBAL FDG CORP NT	500,000	603,715	587,390	12/1/2030	7.75%	
VERIZON GLOBAL FDG CORP NT	428,000	424,302	417,356	9/15/2035	5.85%	
VERIZON NEW ENG INC NT	150,000	132,986	156,009	10/1/2013	4.75%	
VERSO PAPER HLDGS LLC/IN	175,000	160,944	192,500	7/1/2014	11.50%	
VIACOM INC SR NT	100,000	84,848	108,988	4/30/2016	6.25%	
VIASAT INC 144A	590,000	594,999	607,700	9/15/2016	8.88%	
VIA SYSTEMS INC	70,000	67,388	74,988	1/15/2015	12.00%	
VIDEOTRON LTEE SR NT	215,000	199,950	236,500	4/15/2018	9.13%	
VIRGIN MEDIA FINANCE PLC	315,000	301,058	338,231	8/15/2016	9.50%	
VIRGIN MEDIA FINANCE PLC	400,000	393,456	411,500	10/15/2019	8.38%	
VIRGINIA ELEC & PWR CO SR NT	80,000	80,531	86,692	9/15/2017	5.95%	
VIRGINIA ELEC & PWR CO SR NT	150,000	145,191	157,223	4/30/2018	5.40%	
VODAFONE GROUP PLC	226,000	235,994	234,025	6/10/2019	5.45%	
WACHOVIA BK COML 07 C34 CL A-3	157,150	141,926	138,352	5/15/2046	5.68%	
WACHOVIA BK COML MTG 04-C15 A2	457,613	443,565	456,867	10/15/2041	4.04%	
WACHOVIA BK NATL ASSN MTN	785,000	866,138	828,551	1/15/2038	6.60%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
WACHOVIA CORP GLOBAL MED TERM	775,000	786,415	808,766	2/1/2018	5.75%	
WACHOVIA CORP NEW SR NT	170,000	174,714	176,792	6/15/2017	5.75%	
WAL MART STORES INC NT	100,000	99,566	98,332	9/1/2035	5.25%	
WAL MART STORES INC NT	300,000	341,463	341,394	8/15/2037	6.50%	
WAMU MTG P/T 2003-S8 A-6	907,035	808,967	887,480	1/25/2018	4.50%	
WAMU MTG P/T 2006-AR14 1-A4	1,132,041	598,114	832,807	11/25/2036	5.61%	
WAMU MTG PASS THROUGH 03-S9 A8	1,035,982	914,254	900,060	10/25/2033	5.25%	
WASHINGTON MUT 06-AR8 CL 2A	150,452	44,925	65,483	10/25/2046	1.39%	
WASHINGTON MUT MTG 06-AR2 A-1A	825,966	359,023	406,334	4/25/2046	1.48%	
WASHINGTON MUT MTG PASSTHRU CT	532,291	224,595	222,141	11/25/2046	1.38%	
WASTE MANAGEMENT INC	185,000	184,012	183,846	11/30/2039	6.13%	
WASTE MGMT INC DEL SR NT	300,000	366,939	352,161	5/15/2032	7.75%	
WASTE MGMT INC DEL SR NT	50,000	49,941	57,696	3/11/2019	7.38%	
WEA FIN LLC GTD SR NT 144A	245,000	256,103	267,868	4/15/2018	7.13%	
WEA FINANCE LLC	285,000	310,584	320,708	6/2/2014	7.50%	
WEA FINANCE/WT FIN AUST	80,000	78,957	85,899	9/2/2019	6.75%	
WELLPOINT INC NT	17,000	16,095	17,514	6/15/2017	5.88%	
WELLPOINT INC NT	110,000	115,753	111,934	6/15/2037	6.38%	
WELLPOINT INC NT	11,000	10,982	12,303	2/15/2019	7.00%	
WELLS FARGO & CO NEW SR UNSECD	300,000	314,016	312,048	12/11/2017	5.63%	
WELLS FARGO MTG 2006 AR10 VA1	837,272	476,709	651,808	7/25/2036	5.59%	
WESTERN REFINING INC	420,000	384,157	380,100	6/15/2017	11.25%	
WESTERN REFINING INC	150,000	138,213	134,250	6/15/2014	7.75%	
WESTPAC BANKING CORP	330,000	329,766	325,713	11/19/2019	4.88%	
WESTPAC BANKING CORP 144A	1,085,000	1,085,000	1,084,045	10/21/2011	0.58%	
WESTPAC BANKING DISC	900,000	891,000	891,000	6/17/2010	0.00%	
WILLIAMS PARTNERS L P SR NT	250,000	242,500	255,620	6/15/2011	7.50%	
WILLIS NORTH AMERICA INC	240,000	244,610	244,382	9/29/2019	7.00%	
WIND ACQUISITION FIN S A 144A	450,000	387,000	481,500	12/11/2015	12.00%	
WIND ACQUISITION FIN SA	620,000	604,450	677,350	7/15/2017	11.75%	
WIND ACQUISITION HOLDING	500,000	491,625	492,500	7/15/2017	12.25%	
WINDSTREAM CORP SR NT	80,000	73,331	81,400	8/1/2016	8.63%	
WISCONSIN ELEC PWR CO DEB	40,000	42,107	44,666	4/1/2014	6.00%	
WISCONSIN ELEC PWR CO DEB	50,000	52,340	57,151	12/1/2015	6.25%	
WORLD OMNI AUTO RECEIV 09-A A2	920,000	929,811	927,792	10/17/2011	2.88%	
WYETH NT	150,000	164,450	156,417	4/1/2037	5.95%	
WYNN LAS VEGAS LLC / WYNN	265,000	196,773	256,056	12/1/2014	6.63%	12
WYNN LAS VEGAS LLC/CORP 144A	325,000	317,819	329,063	11/1/2017	7.88%	4
XTO ENERGY INC SR NT	150,000	162,560	169,851	8/1/2017	6.25%	
XTO ENERGY INC SR NT	340,000	365,786	381,456	6/15/2038	6.38%	
TOTAL U.S. GOV'T SECURITIES AND CORPORATE DEBT		405,220,262	409,151,890			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
COMMON/COLLECTIVE TRUSTS						
CREDIT 3-10 YEAR INDEX FUND	230,129	3,176,156	3,217,202			
CREDIT 3-10 YR INDEX SL	1,302,428	16,218,646	18,207,946			
JP MORGAN MBS FUND	347,072	34,698,502	43,647,718			
LONG CREDIT INDEX FUND	3,876,709	88,706,324	92,246,295			
LONG CREDIT INDEX SL FUND	1,122,915	23,206,324	26,719,770			
LONG US AGENCY INDEX FUND	277,054	4,048,050	3,925,858			
LONG US AGENCY INDEX SL	737,245	9,653,035	10,446,768			
LONG US TREASURY INDEX	1,874,476	25,504,607	26,053,348			
LONG US TREASURY INDEX FUND	2,781,217	40,425,458	38,656,138			
TOTAL COMMON/COLLECTIVE TRUST		<u>245,637,102</u>	<u>263,121,043</u>			
REGISTERED INVESTMENT COMPANIES						
FIRST TRUST/FIDAC MTG INCOME	17,982	287,119	327,992			
MFS INTER INCOME TR SH BEN INT	110,200	682,970	738,340			
WESTERN ASSET/CLAYMORE INFLA	31,400	359,235	386,220			
WESTERN ASSET/CLAYMORE INFLA	33,714	395,567	405,917			
TOTAL REGISTERED INVESTMENT COMPANIES		<u>1,724,891</u>	<u>1,858,469</u>			
OTHER INVESTMENTS						
BNP PARIBAS FIN REPO	214,949	214,949	214,949	1/4/2010		
CALIFORNIA ST	595,000	608,529	576,597	4/1/2039	7.55%	
ILLINOIS ST	1,570,000	1,455,879	1,307,653	6/1/2033	5.10%	
PROV OF QUEBEC	560,000	735,739	704,536	9/15/2029	7.50%	
UNITED MEXICAN STS #TR 00013	275,000	314,188	315,563	4/8/1933	7.50%	
UNITED MEXICAN STS MTN TR00011	100,000	105,753	111,000	3/3/2015	6.63%	
UNITED MEXICAN STS MTN TR00015	100,000	102,250	109,250	1/15/2014	5.88%	100,000
UNITED MEXICAN STS TR# 00010	350,000	367,500	386,750	1/16/2013	6.38%	73,139
US TREAS BD FUTURE (CBT)	9	-	(60,804)	3/22/2010		
TOTAL OTHER INVESTMENTS		<u>3,904,787</u>	<u>3,665,494</u>			
INTEREST BEARING CASH						
AUSTRALIA		32,262	32,746			
BANCO BILBAO VIZCAYA INSTL C/D		1,850,090	1,850,090	1/22/2010		
BANK NOVA SCOTIA INSTL C/D		800,000	800,000	6/28/2010	0.01%	
BANK TOKYO MITSUBISHI INSTL CD		550,000	550,000	1/5/2010	0.00%	
BARCLAYS BANK PLC INSTL C/D		1,060,000	1,060,000	8/25/2010	0.01%	
BARCLAYS BANK PLC INSTL C/D		1,085,000	1,085,000	10/20/2010	0.01%	
BRAZIL		48,910	49,453			
BSDT-LATE MONEY DEPOSIT ACCT		79,515	79,515	12/31/2049		
BULGARIA		11	11			
CANADA		8,047	8,036			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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 AS OF DECEMBER 31, 2009 (CONCLUDED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CITIBANK NA NY BRH INSTL C/D		850,000	850,000	9/3/2010	0.01%	
CZECH REPUBLIC		2	2			
DENMARK		49,836	50,001			
EURO CURRENCY GEOGRAPHIC		5,080	5,024			
HONG KONG		428,628	428,711			
HUNGARY		(66,890)	(67,239)			
INDONESIA		561	564			
JAPAN		19,460	19,209			
MEXICO		1,849	1,831			
NORDEA BANK FINALND INSTL C/D		1,360,000	1,360,000	10/14/2011	0.58%	
NORWAY		286	292			
PHILIPPINES		780	802			
ROYAL BANK CANADA INSTL C/D		955,000	954,380	6/23/2011	0.65%	
ROYAL BK SCOTLAND PLC INSTL CD		1,500,000	1,500,000	1/29/2010	0.00%	
SIGMA ERISA LIQUIDATING POOL		1,459,955	62,048			
SOUTH AFRICA		267,149	271,701			
SOUTH KOREA		4,216	4,240			
SVENSKA HANDELSBANKEN INSTL CD		795,056	795,056	6/10/2010		
SWEDEN		55,082	56,642			
SWITZERLAND		69,552	69,865			
TAIWAN		38	39			
UBS AG STAMFORD BRH INSTL C/D		1,100,000	1,100,000	9/22/2010	0.01%	
UKRAINE		171	174			
UNITED KINGDOM		59,231	59,934			
TOTAL INTEREST BEARING CASH		<u>14,428,877</u>	<u>13,038,127</u>			
TOTAL ASSETS HELD FOR INVESTMENT PURPOSES		<u>\$ 1,778,834,412</u>	<u>\$ 2,037,875,748</u>			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ADECCO SA CHF1 (REGD)	ADECCO SA CHF1 (REGD)	\$ (58,608)	\$ 63,966
AFLAC INC COM	AFLAC INC COM	(648,276)	653,193
AIR FRANCE KLM EUR8.5	AIR FRANCE KLM EUR8.5	(27,972)	22,991
ALLIED IRISH BKS DISC	ALLIED IRISH BKS DISC 7/13/2009	(3,077,868)	3,077,868
ALPHA BANK (REGD)EUR4.7	ALPHA BANK (REGD)EUR4.7	(308,759)	276,400
ALPHA BANK A.E. - RTS	ALPHA BANK A.E. - RTS	-	12,624
ALPINE SECR CORP DISC	ALPINE SECR CORP DISC 1/8/2010	(1,499,501)	1,499,700
ALTISOURCE PORTFOLIO SOLUTIONS	ALTISOURCE PORTFOLIO SOLUTIONS SA REG SHS	(189,043)	432,945
ALTRIA GROUP INC NT	ALTRIA GROUP INC NT 9.700% 11/10/2018 DD 11/10/08	(525,793)	516,736
ALUMINUM CORP OF CHINA 'H'	ALUMINUM CORP OF CHINA 'H' CNY1	(45,021)	42,849
AMBEV CIA DE BEBID PFD NPV	AMBEV CIA DE BEBID PFD NPV	(113,916)	162,664
AMC ENTERTAINMENT INC	AMC ENTERTAINMENT INC 8.750% 06/01/2019 DD 06/09/09	(273,230)	264,788
AMERICAN FINANCIAL GROUP	AMERICAN FINANCIAL GROUP 9.875% 06/15/2019 DD 06/17/09	(265,000)	264,038
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 1/28/2010	(799,504)	799,856
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 10/5/2009	(2,097,713)	2,099,959
ANGLO AMERICAN PLATINUM CORP	ANGLO AMERICAN PLATINUM CORP 1997 ZAR0.10	(64,565)	81,166
ANGLO AMERN CAP PLC SR NT 144A	ANGLO AMERN CAP PLC SR NT 144A 9.375% 04/08/2019 DD 04/08/09	(350,000)	353,000

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ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ANIXTER INC GTD SR NT	ANIXTER INC GTD SR NT 10.000% 03/15/2014 DD 03/11/09	(143,569)	143,763
ASHLAND INC	ASHLAND INC 9.125% 06/01/2017 DD 05/27/09	(378,656)	418,438
ATLANTIC ASSET DISC	ATLANTIC ASSET DISC 2/3/2010	(1,199,377)	1,199,734
ATLANTIS ONE FDG DISC	ATLANTIS ONE FDG DISC 10/2/2009	(2,098,081)	2,099,769
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 8/27/2009	(1,698,566)	1,698,566
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 11/4/2009	(1,998,773)	1,999,667
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 1/27/2010	(1,994,722)	1,999,129
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 8/20/2009	(765,413)	765,413
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 10/19/2009	(998,400)	999,728
AXA SA - RTS	AXA SA - RTS	-	80,436
BA CR CARD TR 07-13 CL A	BA CR CARD TR 07-13 CL A VAR RT 04/15/2012 DD 10/12/07	(1,495,000)	-
BALL CORP	BALL CORP 7.125% 09/01/2016 DD 08/20/09	(68,583)	68,700
BALL CORP	BALL CORP 7.375% 09/01/2019 DD 08/20/09	(68,190)	68,250
BANCO BILBAO VIZCAYA	BANCO BILBAO VIZCAYA ARGENTARIA SA EUR0.49	(1,290,571)	1,597,328
BANCO COMMERCIAL PORTUGUESE	BANCO COMMERCIAL PORTUGUESE EUR1 REG D	(29,381)	23,421
BANCO SANTANDER SA - RTS	BANCO SANTANDER SA - RTS	(12)	12

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SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BANK AMER FDG CORP MED TERM	BANK AMER FDG CORP MED TERM 4.900% 05/01/2013 DD 05/02/08	(93,559)	94,357
BANK NOVA SCOTIA DISC	BANK NOVA SCOTIA DISC 9/21/2009	(1,899,240)	1,899,240
BANK OF AMERICA CORP	BANK OF AMERICA CORP 7.375% 05/15/2014 DD 05/13/09	(64,639)	65,592
BANK OF AMERICA CORP	BANK OF AMERICA CORP 7.625% 06/01/2019 DD 06/02/09	(347,354)	350,575
BANK OF COMMUNICATIONS CO LTD	BANK OF COMMUNICATIONS CO LTD 'H' CNY1.00	(409,714)	380,778
BANK OF COMMUNICATIONS CO LTD	BANK OF COMMUNICATIONS CO LTD 'H' CNY1.00	(32,598)	-
BANK OF IRELAND DISC	BANK OF IRELAND DISC 8/17/2009	(1,849,292)	1,849,292
BANK OF IRELAND DISC	BANK OF IRELAND DISC 9/17/2009	(1,899,067)	1,899,067
BANK OF IRELAND DISC	BANK OF IRELAND DISC 12/18/2009	(1,497,125)	1,499,851
BANK ONE ISSURANCE 04-A6 A6	BANK ONE ISSURANCE 04-A6 A6 3.940% 04/16/2012 DD 08/31/04	(838,686)	-
BCA POP DI MILANO RIGHTS	BCA POP DI MILANO RIGHTS 7/3/2009	-	70
BCO POPULAR ESP EUR0.10 (POST SUBD)	BCO POPULAR ESP EUR0.10 (POST SUBD)	(46,099)	47,403
BELDEN INC	BELDEN INC 9.250% 06/15/2019 DD 06/29/09	(87,179)	87,094
BELGACOM NPV	BELGACOM NPV	(24,644)	27,817
BERRY PETROLEUM CO	BERRY PETROLEUM CO 10.250% 06/01/2014 DD 05/27/09	(187,092)	190,463
BHP BILLITON FIN USA LTD GTD	BHP BILLITON FIN USA LTD GTD 6.500% 04/01/2019 DD 03/25/09	(162,411)	163,143

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BIDVEST GROUP ORD RO.05	BIDVEST GROUP ORD RO.05	(40,180)	46,707
BLOCKBUSTER INC	BLOCKBUSTER INC 11.750% 10/01/2014 DD 10/01/09	(253,800)	258,250
BNP PARIBAS FIN DISC	BNP PARIBAS FIN DISC 5/5/2010	(1,897,232)	1,898,005
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.290% 12/31/2009 DD 12/30/09	(464,120)	464,120
BNP PARIBAS RTS	BNP PARIBAS RTS	-	19
BOSTON PPTYS LTD PRTRN SR NT	BOSTON PPTYS LTD PRTRN SR NT 6.250% 01/15/2013 DD 12/13/02	(345,235)	349,557
BPCE DISC	BPCE DISC 3/17/2010	(1,199,190)	1,199,281
BPCE DISC	BPCE DISC 12/16/2009	(1,698,626)	1,699,251
BRYANT PARK FDG DISC	BRYANT PARK FDG DISC 9/9/2009	(1,598,600)	1,598,600
BUMBLE BEE FOODS LLC	BUMBLE BEE FOODS LLC 7.750% 12/15/2015 DD 12/17/09	(143,293)	145,188
BUREAU VERITAS EUR0.12	BUREAU VERITAS EUR0.12	(13,803)	14,354
C&C GROUP ORD EUR0.01	C&C GROUP ORD EUR0.01	(1,708,359)	1,492,031
CAFCO LLC DISC	CAFCO LLC DISC 2/4/2010	(599,500)	599,864
CAFCO LLC DISC	CAFCO LLC DISC 4/14/2010	(1,397,713)	1,398,901
CALYON N A INC DISC	CALYON N A INC DISC 5/13/2010	(898,544)	899,069
CALYON N A INC DISC	CALYON N A INC DISC 11/10/2009	(1,998,405)	1,999,650

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CALYON NEW YORK BRH INSTL C/D	CALYON NEW YORK BRH INSTL C/D 0.900% 08/24/2010 DD 08/24/09	(1,060,000)	1,063,542
CANADIAN NATURAL RESOURCES LTD	CANADIAN NATURAL RESOURCES LTD	(260,248)	365,861
CAPITAL ONE CAPITAL V	CAPITAL ONE CAPITAL V 10.250% 08/15/2039 DD 08/05/09	(172,981)	175,930
CARLSBERG 'B' DKK20	CARLSBERG 'B' DKK20	(7,929)	8,190
CASCADES INC	CASCADES INC 7.750% 12/15/2017 DD 12/03/09	(241,408)	243,375
CASINO GUICHARD-PERRACHON	CASINO GUICHARD-PERRACHON EUR1.53(STK DIVIDEND MERCIALYS	(6,857)	6,783
CBA (DELAWARE) DISC	CBA (DELAWARE) DISC 4/14/2009	(3,994,889)	3,995,014
CBA (DELAWARE) DISC	CBA (DELAWARE) DISC 4/29/2010	(2,496,208)	2,497,922
CC HOLDINGS GS V LLC 144A	CC HOLDINGS GS V LLC 144A 7.750% 05/01/2017 DD 04/30/09	(325,524)	348,406
CELLU TISSUE HOLDINGS INC	CELLU TISSUE HOLDINGS INC 11.500% 06/01/2014 DD 06/03/09	(202,373)	208,519
CHARTA CORP DISC	CHARTA CORP DISC 9/17/2009	(1,899,143)	1,899,989
CHESAPEAKE ENERGY CORP SR NT	CHESAPEAKE ENERGY CORP SR NT 9.500% 02/15/2015 DD 02/02/09	(576,088)	592,975
CHEUNG KONG(HLDGS) HK\$0.50	CHEUNG KONG(HLDGS) HK\$0.50	(268,428)	318,630
CHINA MERCHANTS BK 'H' CNY1	CHINA MERCHANTS BK 'H' CNY1	(264,382)	279,989
CHINA MOBILE LTD	CHINA MOBILE LTD	(890,682)	897,992
CHINA MOBILE LTD	CHINA MOBILE LTD	(217,933)	-
CHINA OVERSEAS LAND & INVEST	CHINA OVERSEAS LAND & INVEST ORD HK\$0.10	(117,270)	107,127

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SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CHINA PETROLEUM AND CHEMICAL	CHINA PETROLEUM AND CHEMICAL CORP (SINOPEC) 'H' CNY1	(471,315)	524,131
CHINA PETROLEUM AND CHEMICAL	CHINA PETROLEUM AND CHEMICAL CORP (SINOPEC) 'H' CNY1	(174,563)	-
CHINA RAILWAY CONSTRUCTION	CHINA RAILWAY CONSTRUCTION CORP 'H' CNY1	(27,109)	24,756
CHINA RESOURCES LAND LTD	CHINA RESOURCES LAND LTD HKD0.10	(120,029)	123,689
CHINA SHIPPING DEV H CNY1	CHINA SHIPPING DEV H CNY1	(28,859)	29,587
CIA BRASILEIRA DE MEIOS DE	CIA BRASILEIRA DE MEIOS DE	(95,020)	106,809
CIA ENERGETICA MINAS GERAIS-CE	CIA ENERGETICA MINAS GERAIS-CE PREF BRL5.00	(44,635)	55,234
CIE DE ST-GOBAIN WARRANTS	CIE DE ST-GOBAIN WARRANTS 09-MAR-2009 EUR4	(13,439)	3,500
CIESCO L P DISC	CIESCO L P DISC 8/26/2009	(1,698,582)	1,698,582
CINEMARK USA INC	CINEMARK USA INC 8.625% 06/15/2019 DD 06/29/09	(73,170)	73,731
CIT GROUP INC MTN #SR00074	CIT GROUP INC MTN #SR00074 4.750% 12/15/2010 DD 12/09/03	(307,544)	281,619
CIT GROUP INC NEW GLOBAL NT	CIT GROUP INC NEW GLOBAL NT 5.000% 02/01/2015 DD 02/01/05	(97,913)	94,713
CIT GROUP INC NEW NT	CIT GROUP INC NEW NT 5.400% 01/30/2016 DD 01/30/06	(172,900)	140,650
CIT GROUP INC NEW SR NT	CIT GROUP INC NEW SR NT 5.125% 09/30/2014 DD 09/20/04	(203,025)	167,750
CIT GROUP INC NEW SR NT	CIT GROUP INC NEW SR NT 5.800% 07/28/2011 DD 07/28/06	(170,444)	167,250
CIT GROUP INC SR NT	CIT GROUP INC SR NT 5.400% 02/13/2012 DD 02/13/07	(184,219)	176,300

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CIT GROUP INC SR NT	CIT GROUP INC SR NT 5.650% 02/13/2017 DD 02/13/07	(57,969)	54,625
CIT GROUP INC SR NT	CIT GROUP INC SR NT 7.625% 11/30/2012 DD 11/30/07	(53,150)	56,400
CIT GROUP NEW SUB NT 144A	CIT GROUP NEW SUB NT 144A 12.000% 12/18/2018 DD 12/24/08	(62,963)	54,625
CITY DEVELOPMENTS SGD0.50	CITY DEVELOPMENTS SGD0.50	(61,541)	64,066
CLEAN HARBORS INC	CLEAN HARBORS INC 7.625% 08/15/2016 DD 08/14/09	(82,764)	84,150
CLIPPER REC CORP DISC	CLIPPER REC CORP DISC 7/27/2009	(1,995,111)	1,995,111
CLIPPER REV CORP DISC	CLIPPER REV CORP DISC 1/7/2010	(1,298,879)	1,299,740
CLP HLDGS HKD5	CLP HLDGS HKD5	(56,849)	57,237
CMS ENERGY CORP	CMS ENERGY CORP 8.750% 06/15/2019 DD 06/15/09	(59,024)	60,863
CNOOC LTD HKD0.02	CNOOC LTD HKD0.02	(182,166)	265,201
COCA-COLA HELLENIC BOTTLING CO	COCA-COLA HELLENIC BOTTLING CO EUR0.5	(38,868)	37,227
COLT DEFENSE/FINANCE 144A	COLT DEFENSE/FINANCE 144A 8.750% 11/15/2017 DD 11/10/09	(157,746)	158,544
COMMERZBANK AG NPV	COMMERZBANK AG NPV	(122,981)	133,646
CONAGRA FOODS INC SR NT	CONAGRA FOODS INC SR NT 7.000% 04/15/2019 DD 04/14/09	(79,677)	81,201
CONNECTICUT LT & PWR CO	CONNECTICUT LT & PWR CO 5.500% 02/01/2019 DD 02/13/09	(261,374)	261,866
COSCO DEVELOPMENT CO 'H'	COSCO DEVELOPMENT CO 'H' CNY1.00	(135,733)	122,280

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COSCO PACIFIC ORD HK\$0.1	COSCO PACIFIC ORD HK\$0.1	(75,593)	59,544
COTT BEVERAGES INC 144A	COTT BEVERAGES INC 144A 8.375% 11/15/2017 DD 11/13/09	(70,974)	71,190
COX COMMUNICATIONS INC 144A	COX COMMUNICATIONS INC 144A 6.250% 06/01/2018 DD 06/05/08	(317,940)	317,679
CRC FDG LLC DISC	CRC FDG LLC DISC 11/18/2009	(1,898,153)	1,899,536
CRICKET COMMUNICATIONS I	CRICKET COMMUNICATIONS I 7.750% 05/15/2016 DD 06/05/09	(278,789)	290,050
CRICKET COMMUNICATIONS INC	CRICKET COMMUNICATIONS INC 10.000% 07/15/2015 DD 01/15/09	(130,000)	131,363
CSC HLDGS INC SR NT 144A	CSC HLDGS INC SR NT 144A 8.500% 04/15/2014 DD 01/13/09	(355,540)	399,600
CSL ORD NPV	CSL ORD NPV	(176,206)	192,526
DANONE S.A. RIGHTS	DANONE S.A. RIGHTS	-	44,367
DBS HLDGS SGD1	DBS HLDGS SGD1	(189,796)	195,549
DENBURY RES INC SR SUB NT	DENBURY RES INC SR SUB NT 9.750% 03/01/2016 DD 02/13/09	(180,991)	183,731
DEUTSCHE BANK LLC DISC	DEUTSCHE BANK LLC DISC 4/22/2010	(1,697,508)	1,698,514
DEUTSCHE BANK LLC DISC	DEUTSCHE BANK LLC DISC 10/22/2009	(1,898,623)	1,899,892
DEXIA NPV	DEXIA NPV	(158,941)	130,328
DIAMOND OFFSHORE DRILL	DIAMOND OFFSHORE DRILL 5.875% 05/01/2019 DD 05/04/09	(54,918)	55,310
DOMTAR CORP	DOMTAR CORP 10.750% 06/01/2017 DD 06/09/09	(206,738)	207,784
DONNELLEY R R & SONS CO NT	DONNELLEY R R & SONS CO NT 11.250% 02/01/2019 DD 01/20/09	(169,986)	168,675

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DOOSAN HEAVY INDUSTRY KRW5000	DOOSAN HEAVY INDUSTRY KRW5000	(44,908)	37,864
DOW CHEMICAL CO	DOW CHEMICAL CO 8.550% 05/15/2019 DD 05/13/09	(648,661)	652,056
DOW CHEMICAL COMPANY	DOW CHEMICAL COMPANY 7.600% 05/15/2014 DD 05/13/09	(523,362)	536,282
DRUMMOND CO INC 144A	DRUMMOND CO INC 144A 9.000% 10/15/2014 DD 10/20/09	(205,911)	211,050
EASTON-BELL SPORTS INC	EASTON-BELL SPORTS INC 9.750% 12/01/2016 DD 12/03/09	(143,209)	148,625
EINSTEIN NOAH RESTAURANT GROUP	EINSTEIN NOAH RESTAURANT GROUP INC COM	(103,516)	103,507
ELAN FIN PLC / ELAN FIN CORP	ELAN FIN PLC / ELAN FIN CORP 7.750% 11/15/2011 DD 05/15/05	(59,325)	69,257
ENEL	ENEL EUR SUB RIGHTS 17/06/09	-	24,855
ENERGY FUTURE HLDGS CORP SR NT	ENERGY FUTURE HLDGS CORP SR NT 10.875% 11/01/2017 DD 11/01/08	(575,881)	520,763
ENTERPRISE FDG CP DISC	ENTERPRISE FDG CP DISC 1/20/2010	(1,998,667)	1,999,510
ENTERPRISE FDG CP DISC	ENTERPRISE FDG CP DISC 10/16/2009	(1,898,462)	1,899,840
ENTERPRISE PRODS OPER LLC GTD	ENTERPRISE PRODS OPER LLC GTD 6.500% 01/31/2019 DD 04/03/08	(275,845)	272,415
ERAMET EUR3.05	ERAMET EUR3.05	(28,624)	27,842
EXPEDIA INC DEL SR NT 144A	EXPEDIA INC DEL SR NT 144A 8.500% 07/01/2016 DD 06/24/08	(161,025)	201,450
EXPORT-IMPORT BK KOREA	EXPORT-IMPORT BK KOREA 5.875% 01/14/2015 DD 07/14/09	(203,823)	204,525
FAIRPOINT COMMUNICATIONS INC	FAIRPOINT COMMUNICATIONS INC 13.125% 04/01/2018 DD 04/01/09	(161,655)	39,250

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FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 1/6/2010	(874,272)	874,934
FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 9/15/2009	(1,598,507)	1,598,507
FALCON ASSET SEC DISC	FALCON ASSET SEC DISC 9/22/2009	(1,698,613)	1,699,949
FIRSTRAND ZAR0.01	FIRSTRAND ZAR0.01	(67,425)	73,538
FOMENTO DE CONSTRUCCIONES Y	FOMENTO DE CONSTRUCCIONES Y CONTRA EURI	(28,192)	24,367
FONDIARIA SAI SPA EURI	FONDIARIA SAI SPA EURI	(56,236)	39,278
FORD MTR CR CO GBL LANDMARK	FORD MTR CR CO GBL LANDMARK 7.375% 02/01/2011 DD 01/30/01	-	74,400
FORD MTR CR CO GBL LANDMARK	FORD MTR CR CO GBL LANDMARK 7.250% 10/25/2011 DD 10/25/01	(178,838)	186,388
FOSTERS GROUP ORD NPV	FOSTERS GROUP ORD NPV	(91,847)	130,442
FRESENIUS US FIN II 144A	FRESENIUS US FIN II 144A 9.000% 07/15/2015 DD 01/21/09	(293,189)	336,063
FUGRO NV CVZ EURO0.05	FUGRO NV CVZ EURO0.05 (POST-SUBDIVISION)	(29,343)	27,156
FUJITSU NPV	FUJITSU NPV	(133,504)	128,506
GAMESA CORP TECNO EUR0.17	GAMESA CORP TECNO EUR0.17	(75,388)	70,704
GE CAP CR CARD MSTR 06-1 CL A	GE CAP CR CARD MSTR 06-1 CL A 5.080% 09/15/2012 DD 09/27/06	(652,641)	-
GEMINI SECR DISC	GEMINI SECR DISC 1/19/2010	(1,199,295)	1,199,696
GEMINI SECR DISC	GEMINI SECR DISC 9/29/2009	(2,098,122)	2,098,122
GENERAL ELEC CAP DISC	GENERAL ELEC CAP DISC 2/17/2010	(1,896,960)	1,897,506

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GENERAL ELEC CO NT	GENERAL ELEC CO NT 5.250% 12/06/2017 DD 12/06/07	(205,266)	205,902
GIVAUDAN AG WTS 27/04/09	GIVAUDAN AG WTS 27/04/09	(1,548)	1,493
GIVAUDAN SA-RTS	GIVAUDAN SA-RTS	-	2,475
GOLDMAN SACHS GROUP INC BD	GOLDMAN SACHS GROUP INC BD 6.150% 04/01/2018 DD 04/01/08	(79,600)	80,148
GOODMAN GLOBAL GRP INC	GOODMAN GLOBAL GRP INC 0.000% 12/15/2014 DD 12/16/09	(92,832)	95,900
GOTHAM FDG CORP DISC	GOTHAM FDG CORP DISC 1/7/2010	(2,498,500)	2,499,525
GOVCO INC DISC	GOVCO INC DISC 1/27/2010	(1,344,434)	1,349,580
GOVCO INC DISC	GOVCO INC DISC 8/27/2009	(1,898,319)	1,899,631
GOVCO INC DISC	GOVCO INC DISC 12/22/2009	(678,165)	679,933
GREAT ATLANTIC & PAC TEA	GREAT ATLANTIC & PAC TEA 11.375% 08/01/2015 DD 08/04/09	(116,862)	119,719
GRUPO FERROVIAL SA EUR1	GRUPO FERROVIAL SA EUR1	(9,421)	8,744
GXS WORLDWIDE INC 144A	GXS WORLDWIDE INC 144A 9.750% 06/15/2015 DD 12/23/09	(137,127)	137,400
HANA FINANCIAL HOLDINGS	HANA FINANCIAL HOLDINGS KRW5000	(68,871)	66,763
HANESBRAND INC	HANESBRAND INC 8.000% 12/15/2016 DD 12/10/09	(167,766)	171,700
HANG LUNG PROPERTIES LTD	HANG LUNG PROPERTIES LTD	(124,143)	140,221
HEADWATERS INC 144A	HEADWATERS INC 144A 11.375% 11/01/2014 DD 10/27/09	(113,927)	117,850
HENDERSON LAND DEVELOPMENT CO	HENDERSON LAND DEVELOPMENT CO HK \$2	(116,945)	144,375

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HESS CORP NT	HESS CORP NT 8.125% 02/15/2019 DD 02/03/09	(109,645)	112,764
HOLCIM LTD	HOLCIM LTD	-	4,571
HONG KONG EXCHANGES & CLEARING	HONG KONG EXCHANGES & CLEARING HKDI	(177,239)	194,724
HUANENG POWER INTL INC H SHS	HUANENG POWER INTL INC H SHS CNY1	(26,843)	21,005
HYNIX SEMICONDUCTOR INC	HYNIX SEMICONDUCTOR INC KRW5000	(44,960)	44,875
HYUNDI STEEL CO KRW5000	HYUNDI STEEL CO KRW5000	(121,526)	108,533
INERGY L P & INERGY FIN CORP	INERGY L P & INERGY FIN CORP 8.750% 03/01/2015 DD 02/02/09	(85,681)	94,100
ING (US) FUNDING DISC	ING (US) FUNDING DISC 4/16/2009	(2,796,565)	2,796,565
ING (US) FUNDING DISC	ING (US) FUNDING DISC 9/22/2009	(1,698,393)	1,698,393
INGERSOLL-RAND GLOBAL HLDG CO	INGERSOLL-RAND GLOBAL HLDG CO 9.500% 04/15/2014 DD 04/03/09	(249,980)	256,581
INGLES FOOD MARKET INC	INGLES FOOD MARKET INC 8.875% 05/15/2017 DD 05/12/09	(125,512)	127,675
INTER RAO UES JSC SP GDR	INTER RAO UES JSC SP GDR	(23,701)	24,584
INTESA FDG LLC DISC	INTESA FDG LLC DISC 1/8/2010	(1,896,054)	1,898,747
INTL GAME TECHNOLOGY	INTL GAME TECHNOLOGY 7.500% 06/15/2019 DD 06/15/09	(74,590)	75,130
INTL PAPER CO	INTL PAPER CO 9.375% 05/15/2019 DD 05/11/09	(136,688)	137,950

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IRON MTN INC DEL GTD SR SUB NT	IRON MTN INC DEL GTD SR SUB NT 8.000% 06/15/2020 DD 06/05/08	(133,425)	136,900
ISHARES CDN S&P/TSX 60 INDEX	ISHARES CDN S&P/TSX 60 INDEX FUND	(1,048,919)	900,120
JBS USA LLC GTD SR NT 144A	JBS USA LLC GTD SR NT 144A 11.625% 05/01/2014 DD 04/27/09	(275,633)	275,450
JOHNSON MATTHEY ORD GBP1	JOHNSON MATTHEY ORD GBP1	(53,018)	48,210
JOHNSON DIVERSEY HOLD INC	JOHNSON DIVERSEY HOLD INC 8.250% 11/15/2019 DD 11/24/09	(143,797)	144,125
JP MORGAN CHASE & CO GLOBAL NT	JP MORGAN CHASE & CO GLOBAL NT 5.750% 01/02/2013 DD 11/25/02	(172,061)	172,590
K HOVNANIAN ENTERPRISES	K HOVNANIAN ENTERPRISES 10.625% 10/15/2016 DD 10/20/09	(186,595)	188,456
K&S AG NPV	K&S AG NPV	(190,864)	192,101
KEPPEL CORP NPV	KEPPEL CORP NPV	(61,870)	69,001
KIA MOTORS CORP KSWN5000	KIA MOTORS CORP KSWN5000	(52,875)	58,805
KONE CORPORATION NPV ORD B	KONE CORPORATION NPV ORD B	(48,973)	85,075
KOREA ELEC PWR CORP KSWN5000	KOREA ELEC PWR CORP KSWN5000	(51,820)	56,356
KT CORP KRW5000	KT CORP KRW5000	(51,362)	52,899
KT&G CORP	KT&G CORP	(148,113)	165,330
LEIGHTON HOLDINGS LTD ORD	LEIGHTON HOLDINGS LTD ORD A\$0.50	(78,477)	79,728
LENNAR CORP	LENNAR CORP 12.250% 06/01/2017 DD 04/30/09	(279,579)	284,019
LINCOLN EDL SVCS CORP	LINCOLN EDL SVCS CORP COM	(308,342)	367,835
LIONS GATE ENTERTAINMENT	LIONS GATE ENTERTAINMENT 10.250% 11/01/2016 DD 10/21/09	(119,028)	122,663

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LLOYDS BANKING GROUP-NPR	LLOYDS BANKING GROUP-NPR	(1)	1
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 2/17/2010	(1,299,269)	1,299,599
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 4/16/2009	(2,892,743)	2,892,743
LONMIN	LONMIN NEW ORD USD1(N/P 03/06/2009)	-	526
MARKET STREET FDG DISC	MARKET STREET FDG DISC 10/20/2009	(1,898,591)	1,899,799
MATRIX SVC CO	MATRIX SVC CO	(459,417)	716,569
MDC PARTNERS INC 144A	MDC PARTNERS INC 144A 11.000% 11/01/2016 DD 10/23/09	(26,694)	28,420
METROPCS WIRELESS INC	METROPCS WIRELESS INC 9.250% 11/01/2014 DD 05/01/09	(174,525)	195,494
METROPCS WIRELESS INC SR NT	METROPCS WIRELESS INC SR NT 9.250% 11/01/2014 DD 11/01/07	(187,200)	195,038
METROPCS WIRELESS SR NT 144A	METROPCS WIRELESS SR NT 144A 9.250% 11/01/2014 DD 01/20/09	(116,350)	129,450
MIZUHO FINL GP NPV	MIZUHO FINL GP NPV	(464,320)	393,822
MOHEGAN TRIBAL GAMING 144A	MOHEGAN TRIBAL GAMING 144A 11.500% 11/01/2017 DD 10/26/09	(101,046)	102,225
MTN GROUP LTD ZAR 0.0001	MTN GROUP LTD ZAR 0.0001	(245,653)	248,407
MTR CORP HKD1	MTR CORP HKD1	(65,284)	65,719
MURRAY ENERGY CORP 144A	MURRAY ENERGY CORP 144A 10.250% 10/15/2015 DD 10/29/09	(207,667)	211,863
NASPERS N ZAR0.02	NASPERS N ZAR0.02	(118,019)	143,964
NATEXIS BANQUES DISC	NATEXIS BANQUES DISC 1/27/2010	(1,199,030)	1,199,642

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NATIONAL BANK OF GREECE-RTS	NATIONAL BANK OF GREECE-RTS	-	18
NATIONAL BK OF GREECE	NATIONAL BK OF GREECE EUR5.0 REGD	(141,530)	183,877
NATIXIS EUR1.6	NATIXIS EUR1.6 (POST SUBDU)	(101,379)	95,934
NEBRASKA BOOK CO	NEBRASKA BOOK CO 10.000% 12/01/2011 DD 10/02/09	(139,300)	140,025
NETFLIX INC 144A	NETFLIX INC 144A 8.500% 11/15/2017 DD 11/06/09	(145,000)	147,438
NEW WORLD DEVELOPMENT CO HK\$1	NEW WORLD DEVELOPMENT CO HK\$1	(56,597)	65,967
NEWELL RUBBERMAID INC NT	NEWELL RUBBERMAID INC NT 10.600% 04/15/2019 DD 03/31/09	(151,268)	156,163
NISOURCE FIN CORP GTD NT	NISOURCE FIN CORP GTD NT 10.750% 03/15/2016 DD 03/09/09	(182,771)	201,875
NOKIA CORP	NOKIA CORP 5.375% 05/15/2019 DD 05/07/09	(74,306)	74,625
NOKIAN RENKAAT OYJ (NOKIA TYRE	NOKIAN RENKAAT OYJ (NOKIA TYRE NPV	(27,268)	27,939
NORDEA BANK AB EUR0.39632	NORDEA BANK AB EUR0.39632	(113,752)	113,220
NORDEA NORTH AMER DISC	NORDEA NORTH AMER DISC 11/2/2009	(1,998,747)	1,999,704
NORFOLK SOUTHN CORP SR NT	NORFOLK SOUTHN CORP SR NT 5.750% 04/01/2018 DD 04/04/08	(291,100)	285,678
NOVA CHEMICALS CORP	NOVA CHEMICALS CORP 8.375% 11/01/2016 DD 10/16/09	(84,439)	85,119
OGK-2 GDR EACH REPR 100 ORD	OGK-2 GDR EACH REPR 100 ORD '144A'	-	11.662
OLIN CORP	OLIN CORP 8.875% 08/19/2019 DD 08/19/09	(114,069)	115,650

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OUTOKUMPU OY ORD A FIM10	OUTOKUMPU OY ORD A FIM10	(41,365)	35,840
OVERSEA-CHINESE BKG CORP	OVERSEA-CHINESE BKG CORP SGD0.5 (POST SUBDIVISION)	(133,417)	137,628
OWENS-BROCKWAY	OWENS-BROCKWAY 7.375% 05/15/2016 DD 05/12/09	(203,120)	202,525
OXFORD INDUSTRIES INC	OXFORD INDUSTRIES INC 11.375% 07/15/2015 DD 06/30/09	(246,875)	245,938
PEARSON ORD GBP0.25	PEARSON ORD GBP0.25	(223,803)	244,727
PENINSULA GAMING LLC	PENINSULA GAMING LLC 8.375% 08/15/2015 DD 08/06/09	(97,701)	99,019
PENINSULA GAMING LLC	PENINSULA GAMING LLC 10.750% 08/15/2017 DD 08/06/09	(141,223)	141,538
PETRO-CANADA	PETRO-CANADA	(67,424)	111,509
PETROCHINA CO 'H'CNY1	PETROCHINA CO 'H'CNY1	(605,232)	817,830
PETROHAWK ENERGY CORP SR 144A	PETROHAWK ENERGY CORP SR 144A 10.500% 08/01/2014 DD 01/27/09	(164,302)	165,744
PEUGEOT SA EUR1	PEUGEOT SA EUR1	(109,304)	139,012
PFIZER INC NT	PFIZER INC NT 4.450% 03/15/2012 DD 03/24/09	(574,212)	601,720
PINNACLE ENTERTAINMENT	PINNACLE ENTERTAINMENT 8.625% 08/01/2017 DD 08/10/09	(216,913)	217,263
PKO BANK POLSKI SA-PDA-ALLT	PKO BANK POLSKI SA-PDA-ALLT	(1,027)	1,756
PLAINS ALL AMER PIPELINE	PLAINS ALL AMER PIPELINE 8.750% 05/01/2019 DD 04/20/09	(59,996)	61,320
PRAXAIR INC NT	PRAXAIR INC NT 5.250% 11/15/2014 DD 11/13/07	(182,784)	182,290
PRINCIPAL FINANCIAL GROUP INC	PRINCIPAL FINANCIAL GROUP INC COM	(330,886)	335,052

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PROCTER & GAMBLE CO NT	PROCTER & GAMBLE CO NT 5.550% 03/05/2037 DD 03/05/07	(307,021)	300,589
PROLOGIS	PROLOGIS 7.375% 10/30/2019 DD 10/30/09	(149,592)	150,296
PROTECTIVE LIFE CORP	PROTECTIVE LIFE CORP 8.450% 10/15/2039 DD 10/09/09	(114,984)	115,081
PROTECTIVE LIFE CORP	PROTECTIVE LIFE CORP 7.375% 10/15/2019 DD 10/09/09	(109,929)	109,927
QBE INS GROUP A\$1	QBE INS GROUP A\$1	(121,137)	159,400
QUICKSILVER RESOURCES IN	QUICKSILVER RESOURCES IN 11.750% 01/01/2016 DD 06/25/09	(135,404)	141,156
QWEST CORP NT 144A	QWEST CORP NT 144A 8.375% 05/01/2016 DD 04/13/09	(212,745)	228,694
RABOBANK USA FINL DISC	RABOBANK USA FINL DISC 10/8/2009	(1,598,111)	1,599,950
RAILAMERICA INC	RAILAMERICA INC 9.250% 07/01/2017 DD 06/23/09	(110,311)	118,850
RAILAMERICA INC	RAILAMERICA INC 9.250% 07/01/2017 DD 06/23/09	(51,798)	-
RANGER FDG CO LLC DISC	RANGER FDG CO LLC DISC 11/19/2009	(1,399,055)	1,399,055
REGAL CINEMAS CORP	REGAL CINEMAS CORP 8.625% 07/15/2019 DD 07/15/09	(63,415)	64,594
RESOLUTION LTD	RESOLUTION LTD ORD NPV	(81,772)	69,582
RIO TINTO FIN USA LTD	RIO TINTO FIN USA LTD 9.000% 05/01/2019 DD 04/17/09	(414,741)	427,572
ROCHE HLDGS INC GTD NT 144A	ROCHE HLDGS INC GTD NT 144A 4.500% 03/01/2012 DD 02/25/09	(547,085)	563,651
ROGERS COMMUNICATIONS INC	ROGERS COMMUNICATIONS INC CL B	(58,860)	60,348

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ROLLS ROYCE GROUP SHS C	ROLLS ROYCE GROUP SHS C ENTITLEMENT JULY 09	(2,800)	3,153
ROLLS-ROYCE GROUP-C	ROLLS-ROYCE GROUP-C	(22,977)	-
ROYAL BK SCOTLAND GROUP PLC	ROYAL BK SCOTLAND GROUP PLC VAR RT 03/31/2049 DD 10/04/07	(276,750)	308,625
RRI ENERGY INC	RRI ENERGY INC 7.625% 06/15/2014 DD 06/13/07	(141,438)	130,975
RRI ENERGY INC	RRI ENERGY INC 7.875% 06/15/2017 DD 06/13/07	(139,306)	127,475
RYLAND GROUP	RYLAND GROUP 8.400% 05/15/2017 DD 05/05/09	(294,133)	321,375
SAINSBURY (J) ORD GBP0.2857142	SAINSBURY (J) ORD GBP0.2857142 (POST REC	(119,597)	124,845
SAIPEM EURI	SAIPEM EURI	(30,771)	44,025
SAMPO OYJ SER'A'NPV	SAMPO OYJ SER'A'NPV	(140,249)	163,985
SAMSUNG SDI KRW5000	SAMSUNG SDI KRW5000	(67,563)	73,399
SAN PAOLO US FINL DISC	SAN PAOLO US FINL DISC 4/6/2009	(3,996,230)	3,996,230
SASOL NVP	SASOL NVP	(248,247)	263,458
SBA TELECOMMUNICATIONS INC	SBA TELECOMMUNICATIONS INC 8.250% 08/15/2019 DD 07/24/09	(94,194)	99,900
SEAGATE TECHNOLOGY ESCROW	SEAGATE TECHNOLOGY ESCROW	-	3,650
SEALED AIR CORP	SEALED AIR CORP 7.875% 06/15/2017 DD 06/18/09	(239,701)	240,769
SEALY CORPORATION 144A	SEALY CORPORATION 144A 10.875% 04/15/2016 DD 05/29/09	(148,763)	156,309
SHEFFIELD REC CP DISC	SHEFFIELD REC CP DISC 12/8/2009	(1,495,500)	1,499,959

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR) AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SHINSEGAE CO LTD KRW5000	SHINSEGAE CO LTD KRW5000	(148,257)	170,487
SHOPPERS DRUG MART CORP	SHOPPERS DRUG MART CORP	(63,591)	64,538
SILGAN HOLDINGS INC	SILGAN HOLDINGS INC 7.250% 08/15/2016 DD 05/12/09	(204,288)	204,563
SINGAPORE AIRPORT SGD0.10	SINGAPORE AIRPORT SGD0.10	(280,577)	259,579
SINGAPORE TELECOMMUNICATIONS	SINGAPORE TELECOMMUNICATIONS SGD0.15 (POST RE-ORGANIZATION)	(228,716)	215,237
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 1/22/2010	(2,698,740)	2,698,967
SKANSKA AB B SER 'B' SEK3	SKANSKA AB B SER 'B' SEK3	(38,065)	45,946
SMITHFIELD FOODS INC	SMITHFIELD FOODS INC 10.000% 07/15/2014 DD 07/02/09	(283,793)	301,688
SNAM RETE GAS SPA	SNAM RETE GAS SPA EUR1 SUB RIGHTS 15/05/09	(4,812)	3,994
SOCIETE GEN N A DISC	SOCIETE GEN N A DISC 5/13/2010	(1,497,801)	1,498,166
SOCIETE GENERALE RTS	SOCIETE GENERALE RTS	-	20
SOLO CUP COMPANY	SOLO CUP COMPANY 10.500% 11/01/2013 DD 07/02/09	(73,446)	75,281
SOLVAY NPV	SOLVAY NPV	(35,999)	40,840
SSAB AB	SSAB AB NPV	(78,864)	70,063
STADSHYPOTEK DEL DISC	STADSHYPOTEK DEL DISC 9/3/2009	(1,598,400)	1,598,400
STANDARD BK GR LTD ZAR0.1	STANDARD BK GR LTD ZAR0.1	(130,214)	139,832
STAPLES INC SR NT	STAPLES INC SR NT 7.750% 04/01/2011 DD 03/27/09	(110,000)	111,705

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
STONEMOR CORNERSTN OSIR	STONEMOR CORNERSTN OSIR 10.250% 12/01/2017 DD 11/24/09	(126,558)	128,700
SUN HUNG KAI PROPERTIES	SUN HUNG KAI PROPERTIES HK\$0.50	(274,260)	364,358
SUNOCO INC NT	SUNOCO INC NT 9.625% 04/15/2015 DD 03/31/09	(163,400)	177,833
SURREY FDG CORP DISC	SURREY FDG CORP DISC 4/14/2010	(998,534)	998,883
SVENSKA HANDELSBANKEN SER A	SVENSKA HANDELSBANKEN SER A NPV	(57,602)	53,610
SWIRE PACIFIC A HKD0.60	SWIRE PACIFIC A HKD0.60	(121,933)	148,659
SXC HEALTH SOLUTIONS CORP COM	SXC HEALTH SOLUTIONS CORP COM	(326,913)	383,856
TARGA RES PARTNERS LP 144A	TARGA RES PARTNERS LP 144A 11.250% 07/15/2017 DD 07/06/09	(56,984)	57,750
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 9.750% 05/15/2014 DD 05/08/09	(548,363)	639,225
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.250% 05/15/2016 DD 05/08/09	(151,446)	164,400
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.750% 05/15/2019 DD 05/08/09	(265,559)	318,906
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.750% 05/15/2019 DD 05/08/09	(704,940)	861,775
TELSTRA CORP NPV	TELSTRA CORP NPV	(277,484)	324,658
TEREX CORP NEW SR SUB NT	TEREX CORP NEW SR SUB NT 8.000% 11/15/2017 DD 11/13/07	(248,200)	252,375
TESORO CORP SR NT SER B	TESORO CORP SR NT SER B 6.625% 11/01/2015 DD 05/01/06	(285,900)	342,900
TEXAS COMPETITIVE ELECTRIC HOL	TEXAS COMPETITIVE ELECTRIC HOL 10.250% 11/01/2015 DD 11/01/08	(962,767)	702,919

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
TEXAS COMPETITIVE ELECTRIC HOL	TEXAS COMPETITIVE ELECTRIC HOL 10.250% 11/01/2015 DD 11/01/08	(181,377)	140,600
TGC-14	TGC-14 - 1 SPON GDR EA REP 1 ORD	-	948
TGC-2 HOLDING JSC GDR EACH	TGC-2 HOLDING JSC GDR EACH REPR 1 ORD '144A'	-	2,507
THAMES ASSET #1 DISC	THAMES ASSET #1 DISC 10/14/2009	(1,798,344)	1,799,860
THOMSON REUTERS CORP	THOMSON REUTERS CORP	(58,049)	65,688
THYSSENKRUPP AG NPV	THYSSENKRUPP AG NPV	(122,515)	148,372
TICKETMASTER ENTERTAINMENT INC	TICKETMASTER ENTERTAINMENT INC	(325,029)	316,738
TIME WARNER CABLE INC NT	TIME WARNER CABLE INC NT 8.250% 04/01/2019 DD 03/26/09	(248,370)	263,916
TOKYO ELECTRIC PWR CO NPV	TOKYO ELECTRIC PWR CO NPV	(60,822)	56,430
TRANSDIGM GROUP INC	TRANSDIGM GROUP INC	(1,119,490)	1,353,877
TRICO SHIPPING AS 144A	TRICO SHIPPING AS 144A 11.875% 11/01/2014 DD 10/30/09	(79,042)	82,205
TULIP FDG CORP DISC	TULIP FDG CORP DISC 12/1/2009	(1,898,703)	1,899,278
UBI BANCA SCPA EUR2.5	UBI BANCA SCPA EUR2.5	(55,155)	47,636
UBS FIN DEL INC DISC	UBS FIN DEL INC DISC 9/23/2009	(1,697,102)	1,699,992
UNICREDIT SPA EUR0.50 (SUB	UNICREDIT SPA EUR0.50 (SUB RIGHTS 23-JAN-2009)	-	66
UNICREDITO IT IRE DISC	UNICREDITO IT IRE DISC 2/24/2010	(1,198,746)	1,199,475
UNIONE DI BANCHE I C/W 2009-20	UNIONE DI BANCHE I C/W 2009-20 (UNIONE DI BA)	(470)	469

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
UNITED OVERSEAS BANK SG\$1	UNITED OVERSEAS BANK SG\$1	(140,295)	146,877
UNITED RENTALS NORTH AM	UNITED RENTALS NORTH AM 10.875% 06/15/2016 DD 06/09/09	(242,600)	263,388
UNITED RENTALS NORTH AM	UNITED RENTALS NORTH AM 10.875% 06/15/2016 DD 06/09/09	(72,780)	80,063
UNIVERSAL CITY DEVELOPMT 144A	UNIVERSAL CITY DEVELOPMT 144A 8.875% 11/15/2015 DD 11/06/09	(370,710)	366,463
UNIVERSAL CITY DEVELOPMT 144A	UNIVERSAL CITY DEVELOPMT 144A 10.875% 11/15/2016 DD 11/06/09	(148,194)	150,713
UPM-KYMMENE OY NPV	UPM-KYMMENE OY NPV	(92,997)	89,896
VESTAS WIND SYSTEM DKK1	VESTAS WIND SYSTEM DKK1	(78,597)	85,629
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 8/20/2009	(1,698,515)	1,698,515
VIDEOTRON LTEE SR NT 144A	VIDEOTRON LTEE SR NT 144A 9.125% 04/15/2018 DD 12/15/08	(138,075)	141,000
VISTAPRINT NV	VISTAPRINT NV	(330,057)	761,540
VODAFONE GROUP PLC NEW NT	VODAFONE GROUP PLC NEW NT 6.150% 02/27/2037 DD 02/27/07	(355,730)	353,710
WACHOVIA CORP 2ND NEW NT	WACHOVIA CORP 2ND NEW NT 4.875% 02/15/2014 DD 02/06/04	(209,855)	256,995
WAMU MTG P/T SER 07-OA5 CL 1A	WAMU MTG P/T SER 07-OA5 CL 1A VAR RT 06/25/2047 DD 05/01/07	16,359	(12,914)
WAMU MTG P/T SER 07-OA5 CL 1A	WAMU MTG P/T SER 07-OA5 CL 1A VAR RT 06/25/2047 DD 05/01/07	(16,359)	-
WARNER CHILCOTT PLC CLASS A	WARNER CHILCOTT PLC CLASS A	(473,362)	453,609
WEATHERFORD INTL LTD GTD SR NT	WEATHERFORD INTL LTD GTD SR NT 9.625% 03/01/2019 DD 01/08/09	(52,601)	53,319
WESTFIELD GROUP NPV DFD	WESTFIELD GROUP NPV DFD STAPLED SECURITIES	(237,035)	282,481

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONCLUDED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
WHARF HOLDINGS HK\$1	WHARF HOLDINGS HK\$1	(127,403)	159,516
WHIRLPOOL CORP	WHIRLPOOL CORP 8.600% 05/01/2014 DD 05/04/09	(169,915)	171,731
WILMAR INTERNATIONAL LTD	WILMAR INTERNATIONAL LTD SGD0.5	(120,532)	134,742
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 1/20/2010	(2,298,589)	2,299,437
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 10/14/2009	(1,898,301)	1,899,852
WMG ACQUISITION CORP	WMG ACQUISITION CORP 9.500% 06/15/2016 DD 05/28/09	(271,282)	279,175
WOODSIDE PETROLEUM NPV	WOODSIDE PETROLEUM NPV	(554,495)	777,885
WOOLWORTHS LIMITED ORD A\$0.25	WOOLWORTHS LIMITED ORD A\$0.25	(299,261)	412,259
WOORI FINANCE KRW5000	WOORI FINANCE KRW5000	(28,969)	28,131
XEROX CORPORATION	XEROX CORPORATION 8.250% 05/15/2014 DD 05/11/09	(399,566)	408,462
XTO ENERGY INC SR NT	XTO ENERGY INC SR NT 6.750% 08/01/2037 DD 07/19/07	(297,967)	321,489
YAHOO JAPAN CORP NPV	YAHOO JAPAN CORP NPV	(66,599)	59,604
YONKERS RACING CORP	YONKERS RACING CORP 11.375% 07/15/2016 DD 07/15/09	(131,078)	138,450

* Indicates Pending Settlement

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
YEAR ENDED DECEMBER 31, 2009

TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF 5% OF THE CURRENT VALUE OF PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction	Net Gain (Loss)
SINGLE TRANSACTIONS							
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND		\$ 97,153,240	\$ -	\$ -	\$ -	\$ -
SERIES IN SAME SECURITY							
The Bank of New York Mellon*	JP MORGAN MBS FUND	29	\$ -	\$ 85,000,000	\$ 68,556,897	\$ 68,556,897	\$ 16,443,103
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND	113	576,843,395	-	-	-	-
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND	135	-	586,988,538	586,988,538	586,988,538	-
The Bank of New York Mellon*	LONG CREDIT INDEX FUND	11	88,706,324	-	-	-	-

*Indicates a party-in-interest.

Attachment to 2009 Schedule SB
Statement by Enrolled Actuary

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Sponsor	Rockwell Collins
EIN/PN	52-2314475/001
Plan Name	Rockwell Collins Pension Plan
Valuation Date	January 1, 2009
Enrolled Actuary	James P. Neal
Enrollment Number	08-06418

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

EIN: 52-2314475
PN: 001

Attachment to 2009 Schedule SB, Line 22
Description of Weighted Average Retirement Age

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

<u>Sub-Plan Name</u>	<u>Active Participant Counts at 1/1/2009</u>	<u>Weighted Average Retirement Age</u>
Certain Salaried	96	62.600
Electronics Salaried	8,510	62.980
Collins Production	1,500	61.930
Coralville	654	63.640
Kaiser Ret Plan	995	64.200
Offsite Electronics	580	62.390
TOTAL	12,335	62.955

Weighted Average Retirement Age is determined using the actual active population (excluding participants in leave or layoff status as of January 1, 2009) and the Plan's retirement decrement assumptions.

Attachment to 2009 Schedule SB, line 26 - Schedule of Active Participant Data
Summary of Active Employee Data at Collins Sub-Plans as of January 1, 2009¹

EIN: 52-2314475
PN: 001

ROCKWELL COLLINS, INC.
Rockwell Collins Pension Plan

Attained Age	0	1	2	3	4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40 & Over	Total
Under 25	105	89	24	14	8	1	0	0	0	0	0	0	0	241
25-29	250	341	189	66	45	145	3	0	0	0	0	0	0	1,039
30-34	145	157	104	45	40	524	116	0	0	0	0	0	0	1,131
35-39	143	115	75	35	42	440	229	50	2	0	0	0	0	1,131
40-44	138	153	87	39	49	407	257	351	132	0	0	0	0	1,613
45-49	153	126	97	60	55	575	246	332	434	181	2	0	0	2,261
50-54	97	98	73	34	47	440	208	267	292	559	197	42	0	2,354
55-59	46	66	47	21	35	253	140	152	171	261	266	95	15	1,568
60-64	26	20	25	20	15	152	57	76	73	101	76	61	126	828
65-69	3	8	3	2	2	33	13	12	17	13	7	7	23	143
70 & over	1	0	3	0	1	3	6	3	2	2	1	0	4	26
Total	1,107	1,173	727	336	339	2,973	1,275	1,243	1,123	1,117	549	205	168	12,335

¹ Counts include participants who are active as of the valuation date and do not include participants on leave/layoff or transferred employees.

Attachment to 2009 Schedule SB, Line 32
Schedule of Amortization Bases as of January 1, 2009

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Type of Base	Date Established	Initial Amount	Remaining Amortization Period (Years)	Outstanding Balance	Amortization Payment
1 Shortfall	01/01/2008	306,128,088	6	253,762,817	50,583,715
2 Shortfall	01/01/2009	141,128,380	7	141,128,380	25,043,054
Total				394,891,197	75,626,769

EIN: 52-2314475
PN: 001

Attachment to 2009 Schedule SB, Line 25
Change in Method

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

The asset valuation method was changed to use asset smoothing, as amended by WREERA, as elected by Rockwell Collins under PPA. The prior valuation used market value.

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Sponsor Rockwell Collins

EIN/PN 52-2314475/001

Funding Target Methodology

The funding liability as of January 1, 2009 was determined using census data as of that date under the provisions of the Pension Protection Act of 2006. The discount rates and mortality tables used for this calculation were based on PPA elections made by Rockwell Collins.

Asset method

Under this method, the valuation assets are equal to the average of three values (all determined without regard to receivable contributions), the result increased by the discounted present value of contributions expected to be made after the valuation date based on the prior plan year PPA effective interest rate. This amount is then subject to a 10% corridor around the market value of assets (including the discounted present value of receivable contributions) as of the valuation date.

The three values used to develop the average value are: the market value of assets as of the current valuation date, the adjusted market value of assets as of the prior valuation date and the adjusted market value of assets as of the second prior valuation date. The adjusted market value as of a relevant valuation date is equal to the market value of assets as of the prior valuation date increased by actual plan contributions and assumed return on plan assets and decreased by actual plan disbursements after that date until the current valuation date.

The assumed rate of return on plan assets for the prior plan year (pursuant to IRS Notice 2009-22) was 6.41%, which is the applicable limitation for the plan year. The assumed rate of return on plan assets for the second prior plan year (pursuant to IRS Notice 2009-22) was 6.09%, which is the applicable limitation for the plan year. The algebraically equivalent development of this method (pursuant to IRS Notice 2009-22) is shown in Section 2.4.

Key Valuation Assumptions:

- | | |
|---|--|
| 1. Discount Rates: | Funding Target: Full yield curve for October 2008, as attached.
Effective interest rate: 8.160% |
| 2. Salary Increases | N/A |
| 3. Future Increases in Maximum
Benefit Limits and Plan
Compensation Limits: | N/A |
| 4. Social Security Wage Base
Increases: | N/A |

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

- | | |
|------------------------------------|--|
| 5. Retirement: | Retirement rates varying by age, service and sub-plan. Sample rates are attached. |
| 6. Turnover: | Turnover rates varying by age, service and sub-plan. Sample rates are attached. |
| 7. Mortality: | Static mortality table as defined in PPA of 2006 and IRS Notice 2008-85. |
| 8. Disability: | Disability incidence rates varying by age and sub-plan. Sample rates are attached. |
| 9. Optional Forms of Payment: | Election rates varying by sub-plan. See attached table. |
| 10. Layoff Benefits: | Laid off participants eligible to bridge to retirement assumed to retire on their earliest eligible retirement age. Laid off participants not eligible to bridge to retirement assumed to return to work. |
| 11. Participants Missing Benefits: | Participants in payments and terminated vested participants with \$0 benefits are assumed to have the average benefit for participants of the same status within the sub-plan. Transfers with \$0 benefits are assumed to have an annual benefit of \$600. |
| 12. Administrative Expenses: | Assumed administrative expenses are equal to 0.16% of the beginning of year market value of assets, excluding receivable contributions, plus estimated PBGC variable rate premium. |
| 13. Measurement Date: | January 1. |

Participant data

Participant data was supplied by Rockwell Collins as of the census date.

Tax policy

The actuarial valuation performed for the plan year ending December 31, 2009 is used to determine the maximum deductible contribution for the tax year ending September 30, 2009.

PBGC Premium Funding Target

For purposes of determining the PBGC Premium Funding Target in Section 2.2D, the Alternative Premium Funding Target was determined using the PPA assumptions described below. The Alternative Method was first elected for the 2008 plan year.

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Nature of actuarial calculations

The results documented in this report are estimates based on data that may be imperfect and on assumptions about future events. Certain plan provisions may be approximated or deemed insignificant and therefore are not valued. Assumptions may be made about participant data or other factors. Reasonable efforts were made in this valuation to ensure that items that are significant in the context of the actuarial liabilities or costs are treated appropriately, and not excluded or included inappropriately.

A range of results, different from those presented in this report could be considered reasonable. The numbers are not rounded, but this is for convenience only and should not imply precision, which is not inherent in actuarial calculations.

The assumptions selected for this valuation other than those prescribed by law, generally reflect long-term average expectations. If overall future plan experience is less favorable than assumed, the relative level of plan costs or contribution requirements determined in this valuation will likely increase in future valuations. Future actuarial measurements may differ significantly from the current measurements presented in this report due to such factors as the following: plan experience differing from that anticipated by the economic or demographic assumptions; increases or decreases expected as part of the natural operation of the methodology used for the measurements (such as the end of an amortization period); and changes in plan provisions or applicable law. It is beyond the scope of this actuarial valuation to analyze the potential range of future pension contributions, but we can do so upon request.

Credit Balance Usage

The results assume an election to use available Credit Balance(s) to offset the 2009 Minimum Required Contribution.

Changes from Prior ERISA Valuation

- The lookback month for the full yield curve was changed from the month prior to the valuation (December) to three months prior to the valuation (October), as elected by Rockwell Collins.
- The mortality table was updated from the PPA static table for 2008 valuations to the static table for 2009 valuations, as prescribed by the IRS.
- The asset valuation method was changed to use asset smoothing, as amended by WRERA, as elected by Rockwell Collins under PPA.

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Retirement - Actives

<u>Age</u>	<u>Employees Born Before 1941</u> <u>Years of Service</u>			<u>Employees Born 1941 and later</u> <u>Years of Service</u>		
	<u>10</u>	<u>20</u>	<u>30</u>	<u>10</u>	<u>20</u>	<u>30</u>
<u>Aerospace Salaried Employees Sub-Plan</u>						
55	0.149	0.120	0.156	0.074	0.060	0.078
60	0.189	0.328	0.333	0.094	0.164	0.167
62	0.215	0.187	0.191	0.107	0.093	0.096
65	0.446	0.418	0.423	0.223	0.209	0.211
<u>Certain Salaried Employees Sub-Plan</u> <u>Electronics Salaried Employees Sub-Plan</u> <u>Kaiser Aerospace Employees Sub-Plan</u>						
55	0.085	0.090	0.164	0.042	0.045	0.082
60	0.116	0.186	0.196	0.058	0.093	0.098
62	0.190	0.195	0.205	0.095	0.098	0.103
65	0.336	0.341	0.351	0.168	0.170	0.175
<u>Collins Radio Production Employees Sub-Plan</u>						
55	0.087	0.140	0.195	0.043	0.070	0.098
60	0.071	0.124	0.179	0.036	0.062	0.090
62	0.300	0.353	0.408	0.150	0.177	0.204
65	0.402	0.455	0.510	0.201	0.228	0.255
<u>Coralville Hourly Employees Sub-Plan</u> <u>Electronics Offsite Hourly Employees Sub-Plan</u>						
55	0.170	0.088	0.066	0.085	0.044	0.033
60	0.068	0.052	0.034	0.034	0.026	0.017
62	0.529	0.367	0.280	0.264	0.184	0.140
65	1.000	0.682	0.556	1.000	0.341	0.278

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Turnover

	<u>Salaried Sub-Plans</u>	<u>Collins Radio Production</u>	<u>Coralville & Electronics Offsite</u>
<u>Service</u>		<u>Select Rates</u>	
0 to 1	0.2025	0.1725	0.1350
1 to 2	0.2025	0.1525	0.1300
2 to 3	0.1850	0.1475	0.1250
3 to 4	0.1400	0.1250	0.1200
4 to 5	0.1250	0.1000	0.1150
<u>Age</u>		<u>Ultimate Rates</u>	
20	0.1150	0.0900	0.1100
25	0.1150	0.0900	0.1100
30	0.0950	0.0850	0.1100
35	0.0750	0.0650	0.0850
40	0.0500	0.0400	0.0550
45	0.0400	0.0350	0.0450
50	0.0350	0.0250	0.0300
55	-	-	-

Annual Rate of Retirement - Deferred Vested Participants

Certain Salaried
Electronics Salaried
Kaiser Salaried

25% of terminated employees with deferred vested benefits are assumed to retire at earliest eligibility.
75% of terminated employees with deferred vested benefits are assumed to retire at age 62.

Collins Production
Coralville
Electronics Offsite

Participants with 10+ years of vesting service:
40% are assumed to retire at earliest eligibility
60% are assumed to retire at age 62

Participants with less than 10 years of vesting service are assumed to retire at age 65.

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
Rockwell Collins Pension Plan

Annual Rate of Disability

<u>Age</u>	<u>Rate</u>
Electronics Salaried Employees Sub-Plan	
Kaiser Aerospace Employees Sub-Plan	
<u>Collins Radio Production Employees Sub-Plan</u>	
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	-
Certain Salaried Employees Sub-Plan	
Coralville Hourly Employees Sub-Plan	
<u>Electronics Offsite Hourly Employees Sub-Plan</u>	
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	0.01596

EIN: 52-2314475
PN: 001

Attachment to 2009 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Optional Forms of Payment

Certain Salaried Employees Sub-Plan
Electronics Salaried Employees Sub-Plan
Kaiser Aerospace Employees Sub-Plan
Collins Radio Production Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	65% of employees.
Age of Beneficiary:	Females 3 years younger than males.

Coralville Hourly Employees Sub-Plan
Electronics Offsite Hourly Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	85% of employees.
Age of Beneficiary:	Females 3 years younger than males.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Outline of Benefit Provisions

Qualified Plan Benefits Valued

The valuation is based on benefit provisions in effect on the valuation date, including future increases under existing bargaining agreements.

1. Active Employees:

a. Non-Bargained Employees -

Entitled to vested, accrued benefit as of September 30, 2006. Accrue eligibility service toward early retirement benefits.

b. Bargained Employees -

Accrue benefits under one of the sub-plans, descriptions of which are attached.

2. Inactive Participants:

Entitled to vested, accrued benefit determined as of the date of separation.

Changes from Prior Valuation

Effective May 2008, the monthly benefit unit for the Coralville Sub-Plan was changed from \$27.00 to \$32.00

Effective May 2008, the pre-5/1/1992 benefit multiplier for the Collins Radio Production Sub-Plan of 6% that applied for retirements occurring between 5/1/2003 and 4/30/2008 was extended to apply to retirements between 5/1/2008 and 3/31/2010; in addition, a 3% increase applies to retirements after 3/31/2010.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - December 31, 1940.
 - b. Latest Amendment - October 31, 2005.
Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Employees on certain salaried payrolls are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 Service	No Pre-1993 Service
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

3. Retirement Dates:
- a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
- a. Normal - Annual benefit equal to the greatest of:
 - Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.
 - Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:
 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):

a. Normal (cont.'d) -

- ii. The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following un-reduced retirement ages:

Benefit Attributable To	With Pre-1993 Service	No Pre-1993 Service
Pre-2003 Service	60	62
Post-2002 Service	62	62

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):

b. Regular Early (cont.'d) -

Supplemental Benefit: If employee is at least age 60 and has 15 years of service (or an employee with pre-1993 service is at least age 55 and has 30 years of service), a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years).

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):
c. 55/Rule of 55 (cont.'d) -

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):
c. 55/Rule of 55 (cont.'d) -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.

- iv. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/ 2002 to such projected service.

(B) Amount determined in ii.(B) above.

- d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):
 - e. Disability -

Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of determination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
 - a. Lump Sum -

On death of employee prior to age 65, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006, or death benefit accrued as of December 31, 1971 if greater, is payable to the employee's designated beneficiary.
 - b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

 - i. On death after age 55 and prior to age 65, the greater of 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee) or the survivor benefit the spouse would have received if the employee had retired and elected the joint and survivor annuity in 7.c. below.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

6. Death Benefits Before Retirement (cont.'d):
- b. Survivor Benefit - (Cont'd)
 - ii. On death after 10 years of service and prior to age 55, 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced by 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - iii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Bargaining unit employees of Collins Radio.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before age 65.
 - c. Late - First day of any month after normal retirement date.
 - d. Disability - On total and permanent disability at any age after 10 years of vesting service.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals the post-4/30/92 benefit unit times post 4/30/92 service (maximum 30 years), plus the pre-5/1/92 benefit unit times total service (maximum 30 years) in excess of post 4/30/92 service, plus a lifetime supplement of \$50. 12/31/92 is used instead of 4/30/92 for any employee eligible to retire before 1/1/93.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

4. Retirement Benefits (cont.'d):
a. Normal (cont.'d) -

Post 4/30/92 benefit unit:

Labor Grade	Cedar Rapids IBEW 1362	Cedar Rapids IBT 238	Cedar Rapids IBEW 1429	Dallas IUE 787
1	\$44.00			\$44.00
2	48.00			45.75
3	49.50			47.25
4	51.75			49.75
5	54.75		\$52.00	52.75
6	57.75	\$48.00		56.50
7	60.75	49.50	47.00	60.00
8	64.00	51.75		63.75
9	66.50	53.25		67.25
10	69.00	54.75		69.00
11		57.75		
12		60.75		

Pre-5/1/92 benefit unit: 102% of the greater of \$18 or 1/12 the sum of \$44.20 plus 1.9% of the highest average W-2 pay for 3 consecutive calendar years out of the 10 calendar years preceding the earlier of the date of termination or 5/1/92. Pre-5/1/92 benefit unit further increased by 6% for retirements between 5/1/2003 and 3/31/2010 and 3% for retirements on 4/1/2010 and thereafter.

- b. Early - Benefit determined as for normal retirement, based on credited service and labor grade at early retirement date, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.
- c. Late - Benefit determined as for normal retirement, based on credited service and labor grade at actual retirement date.
- d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and labor grade at date of termination. The deferred vested benefit is payable without reduction beginning at age 65 or with subsidized reduction from age 62 at any time after age 55 if the employee had at least 10 years of vesting service at termination. Lifetime supplement payable without reduction.
6. Death Benefits Before Retirement: Upon death after 1 year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if the employee had retired on the first day of the month in which the employee died and had taken the joint and survivor annuity. If death occurs prior to the employee's earliest retirement date, the benefit will commence when the employee would have been eligible to retire and will be determined as if the employee had survived to that date and then died.
7. Death Benefits After Retirement:
 - a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants -

A married employee who does not elect a straight life annuity will automatically receive a benefit payable during the employee's lifetime consisting of the lifetime supplement plus 88% of the balance of the otherwise determined retirement benefit. On the employee's death, 50% of the reduced income will continue to the surviving spouse for the spouse's remaining lifetime. The employee's percentage is reduced 1/2% for each year that the employee's age exceeds the spouse's age, or increased 1/2% for each year that the spouse's age exceeds the employee's age (maximum increase is 10%).
 - c. Optional Forms - Actuarially equivalent joint and survivor annuity for 50%, 75% or 100% or Social Security leveling option. In addition, each of the joint and survivor annuity options is available with and without a pop up feature.
8. Employee Contributions: None.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - April 1, 1986.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Hourly payroll employees of the Coralville, Iowa Plant are covered by the sub-plan.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals \$32.00 per year of credited service (maximum 30 years).
 - b. Early - Monthly benefit determined as for normal retirement, based on service credited to early retirement date, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.
 - c. Late - Benefit determined as for normal retirement, based on employee's credited service to actual retirement date.
 - d. Disability - Benefit determined as for normal retirement, based on credited service at date of disability, payable immediately.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to his date of termination. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.
6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage. In addition, the joint and survivor annuity option is available with and without a pop up feature
8. Employee Contributions: None.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees at certain locations of Electronics Operations are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 Service	No Pre-1993 Service
<u>Year of Birth</u>		<u>Service</u>
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

3. Retirement Dates:
- a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
- a. Normal - Annual benefit equal to the greatest of:
 - Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.
 - Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:
 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):

a. Normal (cont.'d) -

- ii The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit Attributable To	With Pre-1993 Service	No Pre-1993 Service
Pre-2003 Service	60	62
Post-2002 Service	62	62

Supplemental Benefit: If employee is at least age 60 and has 15 years of service (or an employee with pre-1993 service is at least age 55 and has 30 years of service), a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years).

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. Sum of (A) plus (B):
 - (A) $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years), the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of

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Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

credited service at 12/31/2002 to such projected
service.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):
c. 55/Rule of 85 -

minus

- (B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.

- iv. Sum of (A) plus (B):

- (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (B) Amount determined in ii.(B) above.

- d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits (cont.'d):
e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
a. Lump Sum - On death of employee prior to age 65, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
- b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
- i. On death after age 55 and prior to age 65, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
- ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
a. Lump Sum - None.
- b. Normal Form for Single

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

Participants -	Single life annuity.
c. Normal Form for Married Participants -	Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
d. Optional Forms -	Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions:	None.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan - January 1, 1976.
 - b. Latest Amendment - October 31, 2004. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees of the Kaiser Aerospace operations acquired by Rockwell Collins are covered by the plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

<u>Year of Birth</u>	
1937 & earlier	.480%
1938 thru 1954	.455%
1955 & later	.430%
3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

3. Retirement Dates: (Cont'd)
- b. Early - First day of any month after employee reaches age 55, but before age 65.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None
4. Retirement Benefits:
- a. Normal - Annual benefit equal to the ^bgreatest of:
 - Career-Average ("Tack-On") Benefit: Benefit accrued under the prior formula as of 12/31/2000 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.
 - 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).
 - Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service, earned prior to September 30, 2006 (no maximum).
 - b. Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes age 62.
 - Supplemental Benefit: If employee is at least age 60 and has 15 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.
 - c. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
 - d. Disability - None.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
- a. Lump Sum - On death of employee prior to age 65, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
- b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
- i. On death after age 55 and prior to age 65, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
- ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
- b. Normal Form for Single Participants - Single life annuity.
- c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.

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Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

- | | |
|----------------------------|--|
| d. Optional Forms - | Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction. |
| 8. Employee Contributions: | None. |

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan Merger - December 31, 1993.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Eligibility: Employees entitled to benefits under the following former retirement plans:
 - Bellevue, Iowa Hourly Payroll
 - Decorah, Iowa Hourly Payroll
 - Manchester, Iowa Hourly Payroll
 - Mason City, Iowa Hourly Payroll
 - Sumner, Iowa Hourly Payroll
 - Maine Electronics Inc. Hourly Payroll
 - Maine Electronics Inc. Salary Payroll
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month following normal retirement date.
 - d. Disability - On total and permanent disability after 10 years of vesting service.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

4. Retirement Benefits:

a. Normal -

Monthly benefit equals the following benefit unit for each year of credited service earned prior to September 30, 2006 (maximum 30 years):

<u>Labor Grade</u>	Bellevue Decorah	Mason City
	<u>Manchester</u>	<u>Sumner</u>
A	\$18.00	\$12.00
B	19.00	13.00
C	20.00	14.00

For employees of the former Maine Hourly and Maine Salaried plans, the benefit unit is \$10.00 and the maximum service is 35 years.

b. Early -

Monthly benefit determined as for normal retirement, based on service credited to earlier of early retirement date and September 30, 2006, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.

c. Late -

Benefit determined as for normal retirement, based on employee's credited service to earlier of his actual retirement date and September 30, 2006.

d. Disability -

Monthly benefit determined as for normal retirement, based on service credited to earlier of date of disability and September 30, 2006, payable without reduction after 6 months of disability.

5. Termination Benefits:

On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to earlier of his date of termination and September 30, 2006. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.

Attachment to 2009 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

6. Death Benefits Before Retirement:

Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.

7. Death Benefits After Retirement:

a. Lump Sum -

\$1,000 payable to the beneficiary of an early retiree and, if the retiree is not covered by group insurance, to the beneficiary of a disabled, late or normal retiree. The beneficiary of a terminated vested retiree will not receive the lump sum. If the former plan is Maine Salaried, the amount payable is \$1,000 to \$5,000 depending on pay. This benefit will be eliminated for active employees as of October 1, 2006 and retirees as of January 1, 2007.

b. Normal Form for Single Participants -

Single life annuity.

c. Normal Form for Married Participants -

Reduced joint and survivor annuity providing 50% (55% if former plan is Maine) of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.

8. Employee Contributions:

None.

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <hr/> <small>Department of Labor Employee Benefits Security Administration</small> <hr/> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> <hr/> 2009 <hr/> This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 01/01/2009 and ending 12/31/2009

- **Round off amounts to nearest dollar.**
- **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Rockwell Collins Pension Plan	B Three-digit plan number (PN) ► <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Rockwell Collins, Inc.	D Employer Identification Number (EIN) 52-2314475
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500	

Part I Basic Information			
1 Enter the valuation date: Month <u>1</u> Day <u>1</u> Year <u>2009</u>			
2 Assets:			
a Market value	2a	1,638,399,077	
b Actuarial value	2b	1,802,238,985	
3 Funding target/participant count breakdown		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment	3a	9,577	1,196,620,629
b For terminated vested participants	3b	13,493	303,432,256
c For active participants:			
(1) Non-vested benefits	3c(1)		88,388,886
(2) Vested benefits	3c(2)		575,350,670
(3) Total active	3c(3)	13,569	663,739,556
d Total	3d	36,639	2,163,792,441
4 If the plan is at-risk, check the box and complete items (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been at-risk for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	8.16 %	
6 Target normal cost	6	10,498,917	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary James P Neal Type or print name of actuary Towers Watson Delaware Inc Firm name 901 N. Glebe Road Arlington VA 22203-8215 Address of the firm	<u>8/4/2010</u> Date 08-06418 Most recent enrollment number (703) 258-8000 Telephone number (including area code)
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Part II Beginning of year carryover and prefunding balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (Item 13 from prior year)	100,963,281	N/A
8 Portion used to offset prior year's funding requirement (Item 35 from prior year)	55,738,101	0
9 Amount remaining (Item 7 minus item 8)	45,225,180	0
10 Interest on item 9 using prior year's actual return of <u>(26.29)</u> %	(11,887,439)	
11 Prior year's excess contributions to be added to prefunding balance:		
a Excess contributions (Item 38 from prior year)		0
b Interest on (a) using prior year's effective rate of <u>6.34</u> %		
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Reduction in balances due to elections or deemed elections	0	
13 Balance at beginning of current year (item 9 + item 10 + item 11d - item 12)	33,337,741	0

Part III Funding percentages

14 Funding target attainment percentage	14	81.75 %
15 Adjusted funding target attainment percentage	15	81.75 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	92.06 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and liquidity shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/08/2009	75,000,000				
09/29/2009	50,000,000				
10/08/2009	98,000,000				
Totals ▶			18(b)	223,000,000	18(c) 0

19 Discounted employer contributions - see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contribution from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	214,309,588

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No
- b** If 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No
- c** If 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of Quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions used to determine funding target and target normal cost

21 Discount rate:				
a Segment rates:	1st segment: %	2nd segment: %	3rd segment: %	☒ N/A, full yield curve used
b Applicable month (enter code)				21b 3
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is eligible for (and is using) alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of unpaid minimum required contributions for prior years

28 Unpaid minimum required contribution for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (item 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (item 28 minus item 29)	30	0

Part VIII Minimum required contribution for current year

31 Target normal cost, adjusted, if applicable (see instructions)	31	10,498,917
32 Amortization installments:	Outstanding Balance	Installment
a Net shortfall amortization installment	394,891,197	75,626,769
b Waiver amortization installment		0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0
34 Total funding requirement before reflecting carryover/prefunding balances (item 31 + item 32a + item 32b - item 33)	34	86,125,686
	Carryover balance	Prefunding balance
35 Balances used to offset funding requirement	0	0
36 Additional cash requirement (item 34 minus item 35)	36	86,125,686
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (Item 19c)	37	214,309,588
38 Interest-adjusted excess contributions for current year (see instructions)	38	128,183,902
39 Unpaid minimum required contribution for current year (excess, if any, of item 36 over item 37)	39	0
40 Unpaid minimum required contribution for all years	40	0

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
YEAR ENDED DECEMBER 31, 2009

TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF 5% OF THE CURRENT VALUE OF PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction	Net Gain (Loss)
SINGLE TRANSACTIONS							
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND		\$ 97,153,240	\$ -	\$ -	\$ -	\$ -
SERIES IN SAME SECURITY							
The Bank of New York Mellon*	JP MORGAN MBS FUND	29	\$ -	\$ 85,000,000	\$ 68,556,897	\$ 68,556,897	\$ 16,443,103
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND	113	576,843,395	-	-	-	-
The Bank of New York Mellon*	EB SMAM SHORT TERM INV FUND	135	-	586,988,538	586,988,538	586,988,538	-
The Bank of New York Mellon*	LONG CREDIT INDEX FUND	11	88,706,324	-	-	-	-

*Indicates a party-in-interest.

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
ANTHOUSA LTD SPONSORED GDR	1,516	\$ 63,746	\$ 11,379	
BANK OF AMERICA CORP SER K	785,000	426,253	755,751	
BCO BRADESCO SA PRF NPV	9,078	144,554	189,455	
CENTRAIS ELECTRICAS BRAS PREF	1,429	23,047	26,003	
GERDAU SA SIDERURGIA PREF NPV	18,252	239,983	305,107	
HENKEL AG & CO KGAA NON VTG	2,181	110,408	113,308	
ITAU UNIBANCO HOLDING SA	12,438	195,091	276,059	
LOJAS AMERICANAS SA PREF SHS	4,025	36,296	35,858	
PETROLEO BRASILEIRO SA	37,203	629,972	783,030	
PORSCHE AUTOMOBIL HOLDING SE	1,020	78,302	63,367	
USINAS SID MINAIS GERAIS	7,270	177,224	205,981	
VIVO PARTICIPACOES SA	2,901	62,099	90,665	
VOLKSWAGEN AG NON VTG PREF NPV	2,545	222,176	237,745	
TOTAL PREFERRED STOCKS		<u>2,409,151</u>	<u>3,093,708</u>	
COMMON STOCK				
3PAR INC COM	74,685	798,278	885,017	
7 DAYS GROUP HOLDINGS LT ADR	32,335	403,864	403,541	
99 CENTS ONLY STORES	45,000	487,913	588,150	
A P MOLLER - MAERSK A/S	32	211,573	225,828	
ABB LTD CHF1.54 (REGD)	31,076	428,074	599,425	
ABBOTT LABS COM	93,190	4,722,270	5,031,327	
ACCIONA SA EUR1.00	328	44,111	42,871	
ACCOR EUR3	43,867	2,242,432	2,407,384	
ACTUANT CORP CL A NEW	27,966	344,712	518,210	
ADC TELECOMMUNICATIONS INC	54,275	298,241	337,048	9,336
ADIDAS AG NPV	4,664	175,072	253,882	
ADOBE SYS INC DEL COM	130,284	2,778,592	4,791,845	1,388
ADP PROMESSES EUR3	5,705	382,202	461,075	
ADVANCED ENERGY INDS INC	143,939	1,523,978	2,170,600	
AEGON NV EUR0.12	33,718	261,809	219,631	
AEON MALL CO NPV	1,900	41,665	36,614	
AEROVIRONMENT INC COM	14,650	341,443	426,022	
AFFYMAX INC	10,485	240,840	259,399	
AFFYMETRIX INC OC-CAP STK	10,200	30,498	59,568	
AGILENT TECHNOLOGIES INC	632	9,878	19,636	380
AGNICO-EAGLE MINES LTD	1,133	71,327	61,516	
AGRIUM INC	4,151	211,464	259,034	
AIRGAS INC	14,542	567,762	692,199	
AISIN SEIKI CO Y50	4,700	78,083	133,788	

(Continued)

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
AK STL HLDG CORP COM	16,984	309,024	362,608	
AKAMAI TECHNOLOGIES INC	8,700	151,807	220,458	2,791
AKER SOLUTIONS NOK2 (POST	337,781	2,159,015	4,411,711	
AKZO NOBEL NV EUR2	74,307	3,040,120	4,946,794	
ALBEMARLE CORP	17,716	394,872	644,331	
ALCATEL LUCENT EUR2 SER'A'	38,153	156,041	130,391	23
ALCOA INC COM	17,100	192,546	275,652	
ALCON INC COM SHS	9,343	798,222	1,535,522	
ALEXANDER & BALDWIN INC	41,965	1,048,835	1,436,462	100
ALFA LAVAL AB ORD NPV	3,229	42,527	44,771	
ALL AMERICA LATINA UNITS	3,183	28,769	29,763	
ALLIANZ SE (SOCIETAS EUROPEAE)	6,343	637,013	797,487	
ALLSTATE CORP COM	83,000	2,377,243	2,493,320	
ALSTOM EUR7 (POST	3,263	200,308	229,679	
ALTERA CORP COM	80,360	1,588,605	1,818,547	11,060
ALTRA HLDGS INC COM	49,750	399,652	614,413	
ALTRIA GROUP INC	189,170	2,867,395	3,713,407	
ALUMINA LTD NPV	97,104	162,792	160,688	
AMERCO INC COM	12,800	441,984	636,416	
AMERICA MOVIL SAB DE C V	19,230	784,681	903,425	
AMERICAN EXPRESS CO COM	48,850	906,168	1,979,402	
AMERICAN FINL GROUP INC OHIO	34,117	776,010	851,219	
AMERICAN ITALIAN PASTA CO CL A	31,000	753,086	1,078,490	
AMERICAN PHYSICIANS CAP INC	21,680	782,106	657,338	
AMERIGROUP CORP	6,765	199,703	182,384	
AMERISAFE INC JONES DAY COM	52,830	1,084,600	949,355	
AMGEN INC	203,200	11,551,802	11,495,023	
AMP LIMITED AUS0.40	27,420	150,224	166,949	1
AMPCO PITTSBURGH CORP	13,100	288,137	413,043	
AMR CORP DEL COM	116,500	1,286,796	900,545	
AMSURG CORP COM	16,700	285,675	367,734	
AMYLIN PHARMACEUTICALS INC COM	48,970	699,781	694,884	
ANADARKO PETE CORP COM	67,374	3,450,618	4,205,484	
ANDERSONS INC COM	10,450	152,170	269,819	
ANDRITZ AG NPV (POST SPLIT)	144	7,640	8,372	
ANGANG STEEL CO LTD H SHS	74,000	158,404	163,383	1,926
ANGLO AMERICAN	31,548	704,256	1,381,127	
ANGLOGOLD ASHANTI LIMITED	1,575	73,827	65,511	
ANHEUSER-BUSCH INBEV NV	2,896	111,078	151,223	
ANHUI CONCH CEMENT H CNY1	10,000	68,411	64,353	
ANIKA THERAPEUTICS INC COM	58,850	359,504	449,026	
ANSYS INC COM	15,495	635,140	673,413	
APACHE CORP COM	4,600	407,734	474,582	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
APPLE INC	43,300	5,129,232	9,124,695	
APPLIED MATLS INC COM	302,974	3,541,926	4,223,457	
APPLIED SIGNAL TECHNOLOGY INC	23,150	478,219	446,332	
ARCELORMITTAL	10,480	307,371	483,864	466
ARCH CAPITAL GROUP LTD SHS	14,580	1,016,853	1,043,199	
ARCH COAL INC COM	60,000	977,400	1,335,000	1
ARCSIGHT INC COM	17,405	423,638	445,220	
ARENA PHARMACEUTICALS INC COM	51,320	196,042	182,186	
ARROW ELECTRS INC COM	26,961	543,878	798,315	
ART TECHNOLOGY GRP INC OC-COM	146,050	485,257	658,686	
ASAHI BREWERIES NPV	8,300	133,602	152,635	
ASAHI GLASS CO Y50	8,000	65,993	75,450	
ASCIANO GROUP NPV(STAPLED)	67,578	102,100	110,613	
ASHLAND INC NEW	18,965	626,139	751,393	
ASML HLDG NV EUR0.09	84,755	1,502,125	2,918,454	
ASML HOLDING NV NY REGISTERED	56,211	998,584	1,916,233	
ASSICURAZIONI GENERALI EUR1	11,969	266,160	323,187	
ASSOCIATED BANC CORP COM	6,700	74,200	73,767	
ASSURED GUARANTY LTD SHS	54,000	615,600	1,175,040	
ASTORIA FINL CORP COM	57,395	653,293	713,420	
ASTRAZENECA ORD USD0.25	8,200	368,427	385,402	
ATLANTIA SPA	5,627	124,715	147,338	
ATLAS COPCO AB SER A NPV	10,898	91,401	160,720	
ATMEL CORP COM	79,360	268,077	365,850	
ATP OIL & GAS CORP	54,900	978,236	1,003,572	
ATRICURE INC	88,750	463,933	536,050	
ATS MED INC	147,650	461,361	476,910	1
AU OPTRONICS CORP SPONSORED	9,533	95,170	114,301	2,544
AUSTRALIA & NEW ZEALAND BANK	29,345	397,956	603,836	
AUTODESK INC COM	85,300	1,798,847	2,167,473	
AUTOLIV INC SDR USD1	2,001	80,983	89,259	
AUTOMATIC DATA PROCESSING INC	40,600	1,602,711	1,738,491	
AVISTA CORP COM	77,370	1,499,431	1,670,417	
AVIVA PLC GBP0.25	527,158	2,699,334	3,387,247	
AXA EUR2.29	142,458	2,677,812	3,380,636	3,389
AXIATA GROUP BERHAD	32,100	28,695	28,594	
BALDOR ELEC CO	17,720	473,626	497,755	4,563
BANCA MONTE DEI PASCHI DI	51,107	111,241	90,044	482
BANCA POPOLARE DI MILANO	16,977	139,490	121,302	
BANCO DO BRASIL SA COM NPV	1,794	29,606	30,566	
BANCO POPOLARE SOC COOPERATIVA	7,620	70,949	57,616	
BANCO SANTANDER (BRASIL) SA	6,376	81,975	87,418	
BANCO SANTANDER SA EUR0.50	98,501	1,177,642	1,632,296	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BANK CENTRAL ASIA IDR62.50	84,500	43,640	43,622	
BANK FORUM JSC UAH10	118,117	67,715	88,477	
BANK NEW YORK MELLON CORP COM	19,477	550,804	544,772	
BANK OF AMERICA CORP	638,293	8,437,138	9,612,692	
BANK OF CHINA LTD CNY1	577,000	302,135	312,533	
BANK OF MONTREAL	8,952	383,982	476,911	
BANK OF NOVA SCOTIA	11,792	447,328	553,634	
BANK OF YOKOHAMA Y50	24,300	126,596	110,152	
BANK PEKAO PLN1	14,977	844,484	846,274	
BANK ZACHODNI WBK PLN10	1,196	81,324	79,407	
BARCLAYS ORD GBP0.25	139,492	727,909	621,714	
BARRICK GOLD CORP	15,477	677,414	612,082	
BARRICK GOLD CORP	37,634	1,377,224	1,482,027	
BAYER AG ORD NPV	36,052	2,131,499	2,906,462	
BE AEROSPACE INC	32,979	255,206	775,007	
BEAZER HOMES USA INC	48,890	203,999	236,628	44,026
BECTON DICKINSON & CO COM	51,600	3,528,747	4,069,175	
BED BATH & BEYOND INC COM	88,000	2,243,999	3,397,680	
BEIERSDORF AG NPV	495	20,997	32,783	
BEIJING CAP INTL ARPT CO	93,259	46,809	61,819	22
BEIJING ENTERPRISE HLDGS H SHS	1,500	9,058	10,881	
BELLE INTERNATIONAL HLDGS HKD0	37,000	46,414	43,232	
BENCHMARK ELECTRS INC	37,723	477,348	713,342	
BENESSE HOLDINGS INC JPY50	1,400	61,957	58,499	
BERKSHIRE HATHAWAY INC DEL B	1,024	3,280,717	3,364,864	
BERRY PETE CO CL A	14,175	315,382	413,201	
BG GROUP PLC ORD GBP0.10	10,489	178,579	190,046	
BHP BILLITON LIMITED	40,943	961,808	1,587,768	
BHP BILLITON PLC USD0.50	30,490	567,379	982,274	
BIOCLINICA INC	113,150	465,771	478,625	
BIOGEN IDEC INC COM	90,500	4,304,291	4,841,750	
BIOMARIN PHARMACEUTICAL COM	50,100	868,756	942,381	2
BIOMIMETIC THERAPEUTICS INC	37,269	444,226	444,619	
BK OF IRELAND ORD STK EUR0.64	22,846	112,236	43,431	
BLUE COAT SYS INC COM NEW	60,250	506,100	1,719,535	
BLUESCOPE STEEL LTD	53,705	156,989	150,212	
BNP PARIBAS EUR2	43,384	2,170,755	3,479,506	694
BOEING CO COM	50,800	2,021,885	2,749,804	
BOMBARDIER INC	36,610	155,057	167,623	
BORAL LIMITED NEW NPV	5,451	25,787	29,169	7
BOSKALIS WESTMINSTER GROEP NV	816	29,045	31,669	551
BOSTON SCIENTIFIC CORP COM	392,293	3,210,683	3,530,637	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BOTTOMLINE TECHNOLOGIES DEL	27,150	194,312	477,026	
BOUYGUES EUR1	2,954	125,211	154,378	2,954
BP PLC ORD USD.25	156,765	1,209,545	1,518,912	
BRAMBLES LTD NPV	12,963	68,278	79,043	
BRF-BRASIL FOODS SA	2,932	66,081	76,311	
BRIDGESTONE CO Y50	13,400	217,402	234,045	
BRISTOL MYERS SQUIBB CO COM	197,400	4,442,097	4,984,350	
BRISTOW GROUP INC COM	4,500	120,555	173,025	89
BRITISH AMERICAN TOBACCO ORD	77,519	2,006,153	2,524,285	
BROWN SHOE INC NEW COM	39,547	334,963	390,329	
BRUSH ENGINEERED MATERIALS INC	27,500	454,077	509,850	
BT GROUP ORD GBP0.05	41,941	54,935	91,433	
BULGARIA COMPENSATION NOTES	93,791	23,868	15,137	
BULGARIAN TELECOMMUNICATION CO	112,439	399,707	262,714	
BUZZI UNICEM SPA EUR0.60	4,704	84,381	76,129	7
C H ROBINSON WORLDWIDE INC	12,800	649,209	751,744	122
CA INC COM	210,321	3,990,628	4,723,810	
CABELAS INC	58,960	709,949	840,770	36,995
CADBURY PLC ORD GBP0.10	28,310	254,369	364,588	
CALIFORNIA PIZZA KITCHEN INC	50,275	645,531	676,199	
CALLAWAY GOLF CO COM	47,659	406,440	359,349	2,321
CALLIDUS SOFTWARE INC	119,000	384,529	359,380	
CAMPBELL SOUP CO COM	68,100	2,084,991	2,301,780	
CANADIAN IMPERIAL BK OF COMM	5,017	260,291	326,140	
CANADIAN OIL SANDS TR	2,282	72,671	65,107	
CANADIAN PACIFIC RAILWAY LTD	5,048	220,491	273,454	
CANON INC NPV	17,300	589,806	726,602	
CARDINAL FINL CORP COM	50,648	392,522	442,664	
CARDIOME PHARMA CORP	11,838	51,145	52,679	
CARMAX INC	157,500	1,240,603	3,819,375	
CARNIVAL CORP PAIRED CTF I COM	9,200	223,744	291,548	
CARNIVAL PLC ORD USD1.66	2,880	97,376	99,294	
CARREFOUR EUR2.5	8,446	346,719	406,677	
CARRIZO OIL & GAS INC COM	17,934	286,892	475,430	4,111
CASINO GUICH-PERR EUR1.53	356	25,162	31,939	
CATALYST HEALTH SOLUTIONS INC	19,015	704,506	693,477	
CATERPILLAR INC	117,600	4,661,678	6,702,023	44
CAVIUM NETWORKS INC COM	31,230	655,518	744,211	
CBL & ASSOC PPTYS INC COM	87,420	899,059	845,351	2,403
CDC SOFTWARE CORP-ADR	27,065	249,677	261,448	
CEC ENTMT INC COM	18,681	478,240	596,298	
CELANESE CORP DEL COM SER A	55,869	994,356	1,793,394	
CELESIO AG	1,518	41,572	38,768	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
CELESTICA INC SUB VTG SHS	109,930	506,777	1,037,738	
CEMEX SA DE CV PTG CERT NPV	142,831	152,380	170,451	
CEMEX SAB DE CV SPONS ADR NEW	25,099	337,116	296,670	
CENOVUS ENERGY INC	6,592	140,228	166,631	
CENOVUS ENERGY INC W/I	20,000	463,580	504,000	
CENTRAIS ELETR BRAS-ELETROBRAS	1,634	30,373	34,064	
CENTRAL JAPAN RY Y5000	18	150,401	120,264	
CERNER CORP	6,200	222,834	511,128	419
CF INDS HLDGS INC	4,938	252,396	448,272	
CFAO	2,859	112,062	117,603	
CHART INDS INC COM PAR \$0.01	33,800	603,522	558,376	
CHELSEA THERAPEUTICS INTL LTD	86,875	195,469	234,563	
CHEVRON CORPORATION COM	70,060	5,179,478	5,393,919	
CHIBA BANK Y50	12,500	76,175	74,386	
CHICAGO BRIDGE & IRON NY	4,200	42,210	84,924	1
CHINA CITIC BANK CORPORATION H	391,000	327,104	334,319	
CHINA COAL ENERGY COMPANY H	174,300	275,193	320,095	
CHINA COMMUNICATIONS CONST-H	62,000	67,202	59,409	
CHINA CONSTRUCTION BANK 'H'	2,037,000	1,705,530	1,752,219	
CHINA DONGXIANG (GROUP) CO LTD	105,000	68,745	81,248	5,717
CHINA LIFE INSURANCE CO-H	156,000	675,251	771,545	
CHINA MENGNIU DAIR HKD0.1	95,000	287,738	339,984	
CHINA MERCHANTS HOLDINGS INTL	60,722	141,765	197,733	
CHINA NATIONAL BUILDING	40,000	88,455	82,847	
CHINA PACIFIC INSURANCE GR-H	21,000	76,572	83,685	
CHINA RESOURCES ENTERPRISE ORD	38,000	127,241	138,934	
CHINA SHENHUA ENERGY CO LTD	62,500	283,614	306,292	
CHINA TELECOM CORP 'H' CNY1	97,288	47,740	40,651	
CHINA TRANSINFO TECHNOLOGY	25,700	159,033	209,969	
CHINA UNICOM (HONG KONG) LTD	234,000	321,628	310,228	
CHINA YURUN FOOD GROUP LTD	27,000	71,438	80,087	
CHUBB CORP COM	32,750	1,494,265	1,610,645	
CHUNGHWA TELECOM CO LTD	6,648	114,986	123,453	
CIA BRASILEIRA DISTR PAO DE	1,326	44,329	49,459	
CINCINNATI BELL INC	110,841	213,923	382,401	
CIRRUS LOGIC INC COM	124,350	647,755	848,067	
CISCO SYS INC COM	195,100	3,180,130	4,670,694	
CITIGROUP INC COM	721,069	2,015,640	2,386,738	
CITRIX SYS INC COM	45,530	1,073,142	1,894,503	
CLEAN HBRS INC	20,430	1,191,069	1,217,832	
CLECO CORP NEW COM	19,921	454,796	544,441	881
COCA COLA CO COM	145,410	6,682,678	8,288,370	
COGNEX CORP COM	46,840	693,232	829,536	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
COHU INC	34,700	467,803	484,065	
COLGATE PALMOLIVE CO	7,600	491,197	624,340	
COLLECTIVE BRANDS INC COM	99,000	1,160,280	2,254,230	
COLONIAL PPTYS TR COM SHS BEN	36,460	366,629	427,676	
COMCAST CORP NEW CL A SPL	209,100	3,250,711	3,347,691	
COMMONWEALTH BANK OF AUSTRALIA	16,443	538,960	811,122	
COMMScope INC COM	15,211	198,407	403,548	
COMPAGNIE DE SAINT-GOBAIN	7,288	290,430	398,077	
COMPAGNIE FINANCIERE	105,423	1,659,684	3,541,805	
COMPAGNIE GENERALE DE	1,199	27,596	25,684	
COMPUTER PROGRAMS & SYSTEMS	8,450	325,915	389,123	220
COMSTOCK RES INC NEW	7,620	302,303	309,143	
COMTECH TELECOMMUNICATIONS	13,310	423,654	466,382	
COMVERGE INC COM	43,250	465,543	486,130	122
CONCUR TECHNOLOGIES INC	11,025	448,166	471,319	
CONOCOPHILLIPS	149,400	7,093,737	7,629,857	
CONSOLIDATED GRAPHICS INC	14,838	334,009	519,627	
CONTANGO OIL & GAS CO	12,929	587,218	607,792	
CONTINENTAL AIRLIS INC CL B	36,230	606,339	649,242	6,903
COOPER TIRE & RUBR CO	21,025	209,756	421,551	2,429
CORE LABORATORIES N V	6,585	728,960	777,820	
CORNING INC COM	60,000	584,562	1,158,600	
CORPORATE EXECUTIVE BD CO COM	37,000	943,433	844,340	
COSTCO WHSL CORP NEW COM	41,700	2,186,125	2,467,389	
CRAY INC COM NEW	43,384	330,961	278,525	
CREDIT AGRICOLE SA EUR3	31,736	531,296	562,791	
CREDIT SUISSE GROUP AG CHF0.04	13,887	528,184	687,801	
CREE INC COM	69,900	1,111,235	3,940,263	
CRH ORD EUR0.32	18,578	439,299	509,106	
CRYOLIFE INC COM	86,100	604,092	552,762	
CUMMINS INC COM	59,300	1,936,420	2,719,498	
CVS CAREMARK CORP	56,698	1,678,087	1,826,243	
CYNOSURE INC CL A	40,350	319,544	463,622	
CYPRESS BIOSCIENCES INC	25,480	136,828	147,020	
D R HORTON INC	44,250	457,710	480,998	5,729
DAIKIN INDUSTRIES Y50	2,100	61,931	82,561	
DAIMLER AG ORD NPV	17,536	758,052	935,189	
DAIWA SECS GROUP NPV	26,300	161,450	131,366	
DANONE	11,386	638,565	699,674	
DANSKE BANK AS DKK10	3,563	51,684	81,067	
DAVENTO LTD SPONSORED GDR 144A	27,916	102,478	9,010	
DEAN FOODS CO	21,224	381,395	382,881	
DEERE & CO COM	6,000	229,920	324,540	3,760

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
DEMANDTEC INC COM NEW	45,400	389,986	398,158	
DENSO CORPORATION NPV	10,971	265,231	327,616	
DENWAY MOTORS LTD HKD0.10	438,000	231,827	279,044	
DESCARTES SYS GROUP INC COM	18,100	54,119	107,333	
DEUTSCHE BANK AG ORD NPV REGD	8,204	490,844	583,591	81
DEUTSCHE BOERSE AG NPV	2,498	188,678	207,586	
DEUTSCHE POST AG NPV (REGD)	164,384	2,466,288	3,195,766	
DEUTSCHE TELEKOM AG NPV (REGD)	28,237	354,870	418,905	8,623
DEVELOPERS DIVERSIFIED RLTY	36,958	304,369	342,231	18,845
DEXCOM INC	103,800	800,298	837,666	
DG FASTCHANNEL INC COM	15,950	304,608	445,484	
DIAGEO ORD 28 101/108P	46,302	718,477	810,515	
DIEBOLD INC	17,630	493,911	501,574	
DIGITAL RIV INC COM	26,315	681,559	710,242	
DIGITAL RLTY TR INC	10,060	330,471	505,817	2,689
DIODES INC	36,210	224,477	739,046	
DIRECTV COM CL A	135,462	3,094,083	4,517,658	71,991
DISCOVER FINL SVCS COM	101,900	935,884	1,498,949	
DISNEY WALT CO COM	33,250	754,723	1,072,313	
DIVX INC COM	67,500	366,726	380,700	
DNB NOR ASA NOK10	17,315	136,755	188,083	
DOMTAR CORP COM NEW	1,458	29,218	80,788	499
DONGFANG ELECTRICAL CO LTD H	8,600	44,171	46,138	
DOUGLAS EMMETT INC COM	68,000	649,761	969,000	2
DRAGON OIL LTD ORD EUR0.10	11,082	69,948	69,525	
DSV DKK1 (POST SUBDIVISION)	4,334	74,815	78,553	
DUOYUAN GLOBAL WTR INC	15,045	569,303	538,310	
DYCOM INDS INC	49,047	292,262	393,847	
DZI INSURANCE AND REINSURANCE	1,013	135,374	115,185	
E.ON AG NPV	103,773	3,721,045	4,334,139	
EADS EURO AERO DEF EUR 1	72,518	1,377,367	1,465,477	1,430
EAST JAPAN RAILWAY CO Y50000	3,500	262,295	220,689	
EAST WEST BANCORP INC COM	44,000	702,680	695,200	3
EASTMAN KODAK CO COM	38,590	253,922	162,850	3,717
ECHOSTAR CORPORATION	60,000	1,101,448	1,208,400	
EDF EUR0.5	4,023	216,557	239,884	
EDISON INTL COM	52,300	1,552,048	1,818,993	
EDP ENERGIAS PORTUGAL	24,921	99,475	111,128	
EDUCATION RLTY TR INC	86,290	394,453	417,644	
EISAI CO Y50	2,000	81,633	73,473	
ELECTRONIC ARTS	71,200	1,261,060	1,263,800	
ELLIS PERRY INTL INC COM	27,950	403,979	420,927	
EMBRAER EMPRESA BRASILEIRA	66,400	1,078,334	1,468,104	1

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EMC CORP MASS	399,000	4,514,499	6,970,530	
EMERALD DAIRY INC	200,850	333,411	361,530	
ENAGAS SA EUR1.50	79,856	1,397,834	1,767,295	
ENCANA CORP	20,000	453,892	647,800	
ENCANA CORPORATION	7,103	169,803	231,109	
ENCORE ACQUISITION CO COM	10,388	266,325	498,832	
ENDOLOGIX INC	39,400	199,526	208,032	
ENI EUR1	36,027	793,454	920,077	
ENSCO PLC ADR	20,000	848,131	798,800	
ENTEGRIS INC COM	137,046	452,752	723,603	
ENTERCOM COMMUNICATIONS CORP	38,263	47,063	270,519	18,800
ENTROPIC COMMUNICATIONS INC	154,050	478,867	472,934	
ENZON PHARMACEUTICALS INC	21,360	220,862	224,921	
EOG RES INC COM	17,300	1,144,679	1,683,290	
ERICSSON (L.M.)	58,788	437,121	542,588	1
ERICSSON L M TEL CO ADR CL B	246,100	2,194,720	2,261,659	197
ERSTE GROUP BANK AG	17,668	761,121	660,599	
ESPRIT HLDGS ORD HKD0.10	23,610	146,105	157,572	
ESTEE LAUDER COS INC CL A	79,720	2,497,089	3,855,259	2,163
EURONET WORLDWIDE INC COM	30,910	670,438	678,475	
EVERCORE PARTNERS INC CL A	15,835	467,924	481,384	
EVRAZ GROUP SA GDR EACH REPR	9,224	268,877	260,578	
EXACTECH INC	33,650	572,400	582,482	
EXELON CORP COM	99,197	5,036,903	4,847,757	
EXPEDITORS INTL WASH INC COM	25,600	810,733	890,112	
EXPRESSJET HLDGS INC COM NEW	57,650	238,365	277,873	
EXXON MOBIL CORP	159,560	12,737,675	10,880,395	
FAIRCHILD SEMICONDUCTOR INTL	51,880	482,946	518,281	
FALCONSTOR SOFTWARE INC COM	80,950	273,283	328,657	133
FAMILYMART Y50	800	26,008	23,589	
FANUC CO NPV	64,100	4,711,839	5,942,134	
FEDERAL RLTY INVT TR SHS BEN	5,620	324,088	380,586	980
FEDEX CORP COM	60,355	3,838,656	5,036,625	
FERROVIAL SA EUR0.2	13,463	153,208	158,874	
FIAT SPA EUR5	9,921	137,556	145,900	
FIDELITY NATL FINANCIAL INC	26,417	469,650	355,573	
FINNING INTERNATIONAL INC	1,783	29,377	28,369	
FIRST FINL BANCORP	47,964	365,375	698,356	1
FIRST MERCURY FINL CORP COM	50,569	693,049	693,301	
FIRST NIAGARA FINL GROUP INC	62,990	1,018,548	876,191	
FIRSTMERIT CORP	24,731	501,140	498,082	
FLEXTRONICS INTERNATIONAL LTD	234,800	1,357,094	1,716,388	
FLSMIDTH & CO A/S COM SHS	751	42,224	53,144	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
FLUGHAFEN WIEN AG NPV	4,698	208,403	234,568	
FLUGHAFEN ZURICH AG CHF50	737	172,245	221,902	
FOMENTO ECONOMICO MEXICANO SAB	23,919	816,603	1,145,242	
FOOT LOCKER INC COM	46,727	406,057	520,539	
FORD MTR CO DEL COM PAR \$0.01	60,600	481,081	606,000	
FORTESCUE METALS G NPV	11,737	42,923	46,867	
FORTIS NPV	62,140	260,514	233,855	
FORTUM OYJ FIM20	3,587	89,144	97,628	
FORTUNE BRANDS INC COM	15,300	545,692	660,960	
FOXCONN INTERNATIONAL HLDGC LT	71,000	61,813	82,592	
FPIC INS GROUP INC COM	16,665	729,594	643,602	
FPL GROUP INC COM	44,300	2,237,121	2,339,926	
FRANCE TELECOM EUR4	162,566	4,442,959	4,065,400	
FRAPORT AG NPV	31,105	1,322,865	1,603,927	
FREDS INC CL A	66,000	832,779	673,200	3,800
FREEPORT MCMORAN COPPER & GOLD	62,900	3,658,129	5,050,240	
FREIGHTCAR AMER INC	46,000	1,207,679	912,180	
FRESENIUS SE NPV	1,996	98,443	124,430	
FUKUOKA FINANCIAL GROUP INC	13,000	57,563	44,965	
GALP ENERGIA SGPS EUR1	4,154	72,752	71,996	
GAMCO INVS INC	19,625	536,130	947,691	
GANNETT INC COM	43,293	503,528	642,901	
GAP INC COM	26,600	363,851	557,270	
GASTAR EXPLORATION LTD NEW	67,420	173,947	322,942	
GAZPROM NEFT LEVEL 1 ADR REPR	2,150	58,040	58,910	
GAZPROM OAO-SPON ADR	49,772	1,063,623	1,269,186	
GDF SUEZ EUR1	10,545	436,591	458,195	343
GENERAL CABLE CORP DEL COM NEW	9,139	348,793	268,869	3,751
GENERAL DYNAMICS CORP COM	15,000	863,850	1,022,550	
GENERAL ELEC CO COM	359,033	5,720,450	5,432,169	
GENESCO INC COM	20,900	477,200	573,914	6,270
GENWORTH FINL INC	298,145	1,424,903	3,383,946	
GENZYME CORP COM	30,500	2,024,285	1,494,805	
GEOKINETICS INC COM PAR \$0.01	48,650	220,422	468,013	
GIVAUDAN AG CHF10	2,065	1,680,177	1,651,001	
GKN ORD GBP0.01	22,771	41,736	43,023	
GLAXOSMITHKLINE ORD GBP0.25	65,740	1,241,591	1,400,784	
GLAXOSMITHKLINE PLC SPONSORED	27,400	978,023	1,157,650	3,671
GLG LIFE TECH CORP	27,700	210,520	214,121	
GLOBAL INDS LTD COM	119,116	415,715	849,297	
GOLDCORP INC	6,982	315,600	275,391	
GOME ELECTRICAL AP HKD0.1	423,273	105,452	153,936	2
GOOGLE INC CL A	12,850	4,249,872	7,966,743	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
GRANITE CONSTR INC COM	11,659	386,699	392,442	4,176
GREAT LAKES DREDGE & DOCK CORP	179,022	930,600	1,160,063	
GREATBATCH INC COM	7,300	193,158	140,379	1
GROUPE CGI INC CL A SUB VTG	108,200	843,960	1,467,192	
GRUPO FINANCIERO BANORTE SAB	43,697	160,173	160,123	
GRUPO MODELO SAB DE CV MXP	30,124	129,378	168,417	
GRUPO TELEvisa SA DE CV PTG	17,703	60,808	73,535	
GRUPO TELEvisa SA DE CV SPON	12,176	228,930	252,774	
GULFPORT ENERGY CORP	47,200	450,547	540,440	
HALLIBURTON CO COM	134,700	2,529,203	4,053,123	
HALOZYME THERAPEUTICS INC	58,550	354,135	343,689	
HAMBURGER HAFEN UND LOGISTIK A	320	14,305	12,405	
HANCOCK HLDG CO	15,584	681,158	682,735	195
HANOVER INS GROUP INC COM	21,010	893,712	933,474	999
HANSEN MED INC COM	109,895	320,893	332,982	
HARLEY DAVIDSON INC COM	24,700	396,945	622,440	870
HARRIS STRATEX NETWORKS INC	19,494	95,733	134,704	
HASEKO CORP Y50	1,425,726	1,487,304	1,010,773	
HDFC BK LTD ADR REPSTG 3 SHS	2,551	279,314	331,834	17
HEALTH CARE REIT INC COM	14,425	604,774	639,316	2,399
HEALTH GRADES INC	94,450	426,350	405,191	
HEALTHTRONICS INC	195,450	401,971	515,988	
HEIDELBERGCEMENT AG	7,114	453,976	487,273	
HEIDRICK & STRUGGLES INTL INC	17,550	329,763	548,262	
HEINEKEN NV EUR1.60	12,416	397,780	592,578	
HEINZ H J CO COM	11,200	388,299	478,912	
HELEN OF TROY LTD NEW	42,975	746,046	1,051,169	
HELLENIC TELECOM ORGANIZATION	8,190	135,806	120,914	
HENGAN INTL GROUP CO LTD	35,000	239,555	259,993	
HENKEL AG & CO KGAA NPV(BR)	743	30,099	33,047	
HENNES & MAURITZ AB 'B' NPV	2,482	94,443	138,142	
HERTZ GLOBAL HLDGS INC COM	161,000	1,055,609	1,919,120	9,945
HESS CORP	36,510	1,974,188	2,208,855	
HEWLETT PACKARD CO COM	27,000	979,830	1,390,770	
HEXCEL CORP NEW	61,295	533,373	795,609	
HIGHWOODS PPTYS INC COM	22,400	670,283	747,040	1,186
HITACHI CONSTRUCTION MACHINERY	1,361	22,413	35,306	1,361
HOCHTIEF AG NPV	865	72,225	65,962	
HOLCIM CHF2 (REGD)	2,491	134,624	193,979	
HOME DEPOT INC COM	146,188	3,485,824	4,229,219	
HONDA MOTOR CO NPV	79,776	1,786,083	2,665,056	
HONEYWELL INTL INC COM	52,700	1,730,906	2,065,840	
HORNBECK OFFSHORE SVCS INC	16,732	273,401	389,521	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
HOYA PENTAX HD CORPORATION	5,900	133,475	155,905	
HRPT PPTYS TR COM SBI	179,135	1,146,533	1,159,003	
HSBC HLDG PLC SPON ADR NEW	43,350	1,725,018	2,474,852	
HSBC HLDGS ORD USD0.50 (UK)	174,710	1,654,915	1,999,741	
HSBC HLDGS USD0.50 HONGKONG	237,388	1,845,728	2,736,955	
HUMAN GENOME SCIENCES INC COM	32,180	909,085	984,064	
HUNT J B TRANS SVCS INC	9,623	268,453	310,534	
HUTCHISON WHAMPOA HK\$0.25	23,000	124,723	158,395	6
HYUNDAI HEAVY IND CO KRW5000	23,372	3,661,034	3,482,292	
HYUNDAI MTR CO	3,552	167,590	369,086	
IBERIABANK CORP COM	5,000	240,393	269,050	163
IBM CORP COM	23,700	2,401,956	3,102,330	
ICICI BANK LTD SPON ADR	12,378	416,442	466,774	
IGM FINANCIAL INC	1,098	43,541	44,419	
ILIAD NPV	609	70,562	72,977	
ILLINOIS TOOL WKS INC COM	7,700	236,209	369,523	
IMPALA PLATINUM ZAR0.025	5,412	121,531	149,188	
IMPERIAL TOBACCO GROUP ORD 10P	13,873	399,107	439,095	
IND & COMM BK OF CHINA -H	1,637,000	1,281,036	1,359,584	
INDUSTRIA DE DISENO TEXTIL SA	3,784	183,014	235,568	
INFINERA CORP COM	80,425	743,127	713,370	
INFOSYS TECHNOLOGIES SP ADR	6,334	279,904	350,080	
ING GROEP N.V. CVA EUR0.24	78,149	819,660	773,658	
INGERSOLL-RAND PUBLIC LIMITED	25,000	784,196	893,500	
INLAND REAL ESTATE CORP	43,868	323,968	357,524	
INNOPHOS HLDGS INC COM	30,291	464,006	696,087	
INSITUFORM TECHNOLOGIES INC	40,545	963,755	921,182	
INSPIRE PHARMACEUTICALS INC	136,505	641,198	753,508	
INSURANCE AUSTRALIA GROUP PLC	21,615	67,330	78,341	
INTEGRATED DEVICE TECH INC	116,139	661,759	751,419	
INTEL CORP	584,880	8,874,608	11,931,552	
INTERFACE INC CL A	67,700	367,380	562,587	
INTERMUNE PHARMACEUTICALS INC	29,010	362,915	378,290	
INTERNATIONAL PAPER CO COM	38,800	457,840	1,039,064	
INTERNET BRANDS INC CL A	45,700	273,098	357,831	
INTERSIL CORP CL A	12,600	115,794	193,284	
INTESA SANPAOLO EUR0.52	120,925	482,728	546,516	
INTOLL GROUP NPV	31,915	41,378	38,318	
INTREPID POTASH INC COM	22,951	570,087	669,481	10,241
INTUIT COM	139,300	3,338,315	4,280,689	
INVESCO LTD SHS	58,378	879,455	1,371,299	
INVESTMENT TECH GRP COM NEW	18,607	415,738	366,558	
IRIS INTL INC	51,600	558,927	637,776	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ISILON SYS INC COM	38,900	261,360	266,854	
ITALCEMENTI EUR1	6,420	104,857	88,196	
ITOCHU CORP Y50	40,000	188,056	293,034	
ITRON INC	13,350	899,471	902,060	
ITT CORP	39,900	1,835,001	1,984,626	
IVANHOE MINES LTD	6,507	80,260	96,269	
JABIL CIRCUIT INC COM	131,018	1,183,633	2,275,783	
JAMBA INC COM	135,600	252,625	227,808	
JAPAN STEEL WORKS Y50	1,840	22,893	23,303	
JBS SA	6	0	0	
JBS SA COM NPV	4,599	25,380	24,589	
JC PENNEY CO INC COM	70,700	1,490,623	1,881,327	
JDA SOFTWARE GROUP INC	17,996	428,836	458,358	
JETBLUE AIRWAYS CORP	69,200	491,320	377,140	1,125
JFE HOLDINGS INC NPV	6,700	232,028	262,689	
JM SMUCKER COMPANY	6,194	337,977	382,480	
JOHN BEAN TECHNOLOGIES CORP	28,300	384,741	481,383	
JOHNSON & JOHNSON COM	214,340	12,589,846	13,805,639	
JONES APPAREL GROUP INC COM	117,110	839,708	1,880,787	
JPMCB EXTENDED DURATION FUND	140,169	18,000,000	17,891,167	
JPMORGAN CHASE & CO COM	235,020	7,528,507	9,793,283	
JSC MMC NORILSK NI ADR EACH	8,010	112,792	112,855	
JSC MMC NORILSK NICKEL	40,960	420,403	561,152	
JUNIPER NETWORKS INC COM	6,600	110,284	176,022	
K&S AG SUB RIGHTS	1,446	0	4,651	
K12 INC COM	26,015	526,023	527,324	
KANSAS CITY SOUTHERN COM NEW	22,935	725,205	763,506	
KAOLIN AD BGN1.00	1,038	2,546	4,378	
KAR AUCTION SERVICES INC	36,950	470,521	509,541	
KAWASAKI HEAVY INDUSTRIES Y50	14,000	38,290	35,190	
KB FINANCIAL GROUP INC KRW5000	10,575	504,503	542,156	
KBC GROUPE NPV	7,639	376,397	332,912	
KBW INC COM	10,064	231,472	275,351	10,064
KELLOGG CO COM	23,000	1,170,349	1,223,600	
KENEXA CORP	54,750	627,615	713,393	
KENNAMETAL INC COM	24,720	659,501	640,742	
KESKO OYJ EUR2 SER'B'	4,138	139,416	137,026	
KEY ENERGY SERVICES INC COM	94,299	694,490	828,888	
KEYCORP NEW COM	299,800	2,103,210	1,663,890	
KHARKIVOBLENERGO JSC UAH0.25	69,576	18,040	32,573	
KHMELNITSKOBLENERGOUAH0.25	6,447	5,040	2,012	
KINETIC CONCEPTS INC	106,178	2,313,386	3,997,602	490
KING PHARMACEUTICALS INC COM	178,959	1,817,296	2,195,827	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
KINGFISHER ORD GBP0.157142857	26,216	95,230	96,947	
KINROSS GOLD CORP	6,879	144,507	127,101	
KIRBY CORP COM	58,400	1,597,824	2,034,072	430
KIRIN HOLDINGSCO LTD Y50	15,800	224,587	252,881	
KLA TENCOR CORP	30,000	658,463	1,084,800	2
KNIGHT CAP GROUP INC	27,190	438,881	418,726	
KNOT INC COM	48,450	474,108	487,892	
KOHL'S CORP COM	31,800	1,160,475	1,714,974	
KOMATSU Y50	16,100	245,960	334,469	
KOMERCNI BANKA ORD CZK500	8,425	1,295,667	1,798,626	
KONICA MINOLTA HLDGS INC Y50	3,500	35,929	35,791	
KONINKLIJKE KPN NV EUR0.24	48,058	703,563	816,382	
KONINKLIJKE PHILIPS ELECTRONIC	17,435	349,855	517,307	
KOREA EXCHANGE BK KSWN5000	2,310	27,306	28,764	
KORYUKIVSKAS TECHNIKAL PAPER	2,678	8,025	11,702	
KRAFT FOODS INC CL A	138,197	3,710,589	3,756,194	
KROGER CO COM	60,200	1,220,240	1,235,906	
KRYMENERGO	26,598	6,585	12,286	
KUBOTA CORPORATION Y50	12,496	99,881	114,094	
KUNLUN ENERGY COMPANY LTD	79,000	89,508	105,142	
KURITA WATER INDUSTRIES NPV	743	24,712	23,145	
KYIVMEDPREPARAT UAH25	1,282	22,550	3,841	
KYOCERA CORP Y50	800	56,304	70,208	
LACLEDE GROUP INC COM	25,400	1,189,736	857,758	
LAFARGE SA EUR4.00	4,330	317,647	359,143	
LAM RESH CORP COM	38,600	1,037,481	1,513,506	
LAWSON INC NPV	600	26,164	26,425	
LEAPFROG ENTERPRISES INC CL A	76,175	298,606	297,844	
LEGAL & GENERAL GROUP ORD 2.5P	88,660	123,585	115,397	
LENOVO GROUP LTD HKD0.025	382,000	221,578	239,426	
LG CHEMICAL KRW5000	114	19,382	22,370	
LG DISPLAY CO LTD	12,650	391,848	426,383	
LG ELECTRONICS INC	5,351	523,456	558,317	
LI & FUNG HKD0.025	26,000	80,771	108,137	
LIFE TECHNOLOGIES CORP COM	25,585	596,386	1,336,049	
LILLY ELI & CO COM	360,850	13,772,485	12,885,954	
LIMITED BRANDS INC	137,400	1,740,151	2,643,576	
LINDE AG NPV	35,002	2,788,953	4,210,873	
LIONS GATE ENTMT CORP COM NEW	116,670	655,337	677,853	
LIVEPERSON INC COM	105,350	369,577	734,290	
LIZ CLAIBORNE INC COM	70,400	353,725	396,352	
LKQ CORP	37,195	713,028	728,650	
LLOYDS BANKING GROUP PLC	762,481	704,935	624,142	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
LOCKHEED MARTIN CORP COM	63,200	4,768,694	4,762,120	
LOGITECH INTL SA CHF0.25(REGD)	1,536	29,303	26,641	
LONGTOP FINL TECHNOLOGIES LTD	20,130	735,148	745,213	
LONMIN ORD USD1	3,377	87,986	106,831	
LOWES COS INC COM	156,558	3,240,972	3,661,892	
LUKOIL OAO-SPON ADR	19,646	1,017,065	1,125,716	
LUMINEX CORP DEL COM	23,400	395,625	349,362	2
LVIVOBLENERGO UAH0.25	1,338	384	879	
LVMH MOET HENNESSY EUR0.30	3,517	279,296	395,507	264
MACQUARIE GROUP LIMITED NPV	1,623	49,895	70,647	1,623
MAGNIT GDR(5 GDRS REP 1 ORD)	1,900	29,182	30,115	
MAIDENFORM BRANDS INC	32,250	512,218	538,253	
MAKITA CORP Y50	1,400	33,184	47,672	
MAKO SURGICAL CORP COM	62,981	641,776	699,089	
MAN SE ORD NPV	2,977	130,429	232,228	
MANITOWOC INC COM	31,057	224,151	309,638	2,259
MANULIFE FINANCIAL CORP	15,154	287,608	279,417	
MAP GROUP	114,284	195,321	311,427	
MAP PHARMACEUTICALS INC COM	22,125	212,400	210,851	
MARKS & SPENCER GROUP ORD	23,260	110,028	150,997	
MARSH & MCLENNAN COS INC COM	159,500	3,678,955	3,521,760	
MATTEL INC COM	90,000	1,390,490	1,798,200	
MAXYGEN INC COM	74,000	519,303	450,660	
MBW IM (MEDIIOBANCA SPA-CW11)	5,127	207	797	
MCAFEЕ INC	3,800	151,338	154,166	
MCDERMOTT INTL INC COM	130,000	1,403,625	3,121,300	
MCDONALDS CORP COM	128,600	7,702,664	8,029,784	
MCGRAW HILL COS INC COM	73,620	1,707,248	2,467,006	
MDU RESOURCES GROUP INC	40,214	716,843	949,050	
MEAD JOHNSON NUTRITION CO COM	12,000	514,458	524,400	
MECHEL OAO SPONSORED	7,724	145,180	145,366	
MEDCATH CORP COM	51,000	419,369	403,410	
MEDIASET EUR0.52	6,506	36,330	53,533	
MEDICIS PHARMACEUTICAL CORP	59,000	751,985	1,595,950	
MEDIIOBANCA SPA EUR0.5	22,737	288,851	271,251	
MEDIVATION INC COM	9,155	316,397	344,686	
MEDTRONIC INC COM	255,510	8,048,072	11,237,330	
MENS WEARHOUSE INC COM	15,750	213,255	331,695	443
MERCADOLIBRE INC COM	8,780	426,532	455,419	
MERCK & CO INC NEW	185,068	5,296,236	6,762,385	
MERIDIAN BIOSCIENCE INC COM	14,181	305,395	305,601	
METLIFE INC COM	56,030	1,552,574	1,980,661	
METRO AG ORD NPV	4,286	235,327	263,807	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
METSO OYJ EUR1.70	2,749	78,769	97,144	
MGIC INVT CORP WIS COM	65,899	485,114	380,896	53,775
MGM MIRAGE	50,811	506,011	463,396	50,073
MICHAEL PAGE INTL ORD GBP0.01	272,945	904,568	1,670,059	
MICHELIN CIE GLE DES ETABL	1,831	82,022	140,756	
MICROCHIP TECHNOLOGY INC COM	60,000	1,171,800	1,743,000	12,023
MICRON TECHNOLOGY INC COM	34,413	90,850	363,401	2,193
MICROSOFT CORP COM	506,360	10,322,492	15,433,853	
MICRUS ENDOVASCULAR CORP	25,300	228,898	379,500	
MILLIPORE CORP COM	16,100	829,472	1,164,835	
MINDRAY MED INTL LTD SPONSORED	931	26,992	31,580	931
MITSUBISHI CORP NPV	27,800	370,779	688,318	
MITSUBISHI ELECTRIC CORP Y50	19,000	121,215	139,803	
MITSUBISHI ESTATE CO NPV	14,000	232,282	222,268	
MITSUBISHI GAS & CHEML CO Y50	468,000	2,106,300	2,342,639	
MITSUBISHI HEAVY IND NPV	14,000	57,842	49,025	13,900
MITSUBISHI UFJ FINANCIAL GROUP	582,800	3,452,049	2,829,643	
MITSUBISHI UFJ LEASE & FINANCE	790	25,464	23,718	
MITSUMI & CO Y50	40,000	399,142	563,296	
MITSUMI FUDOSAN CO Y50	11,000	197,852	184,092	
MKS INSTRS INC	88,099	1,305,832	1,532,923	
MOBILE TELESYSTEMS OJSC SPONS	2,198	101,239	107,460	
MONSANTO CO NEW COM	61,858	4,490,143	5,056,892	
MONTPELIER RE HOLDINGS LTD CDT	70,000	1,183,478	1,212,400	
MOOG INC CL A	22,415	819,717	655,190	
MORRISON (W) SUPMKT ORD 10P	31,419	143,704	140,744	
MOTOROLA INC COM	289,406	1,986,454	2,245,791	
MSCI INC CL A	22,170	733,605	705,006	
MUELLER INDS INC COM	57,045	1,430,689	1,416,998	
MUELLER WTR PRODS INC COM SER	99,795	382,823	518,934	
MUENCHENER RUECKVERSICHERUNGS	920	116,603	143,705	
MYER HOLDINGS LTD	31,543	115,647	103,260	
MYERS INDS INC	80,956	662,064	736,700	
MYLAN INC COM	46,850	892,024	863,446	
NATIONAL BANK OF CANADA	1,535	74,079	88,204	
NATIONAL OILWELL VARCO INC	60,000	1,537,061	2,645,400	
NATIONAL PENN BANCHARES INC	87,074	512,889	504,158	9,146
NATIONAL RETAIL PPTYS INC COM	23,911	432,218	507,391	
NATL AUSTRALIA BK NPV	27,325	539,169	673,348	
NESTE OIL OYJ EUR3.4	6,930	126,917	123,490	
NESTLE SA CHF0.1 (REGD)	39,940	1,687,394	1,939,529	
NESTLE SA SPON ADR REPSTG REG	154,900	6,143,957	7,522,099	
NEUROMETRIX INC	105,600	264,987	260,832	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
NEUSTAR INC CL A	72,500	1,342,721	1,670,400	
NEWCREST MINING LTD ORD NPV	6,629	234,240	210,630	
NEWMONT MINING CORP HOLDING CO	52,700	2,129,211	2,493,237	
NEWS CORPORATION CL A	99,181	885,988	1,357,788	
NEWS CORPORATION CL B	45,700	437,806	727,544	15,210
NEXEN INC	4,615	116,541	111,022	
NGK INSULATORS Y50	9,000	132,490	195,284	
NHN CORP	515	71,727	84,914	
NIC INC	46,450	257,656	424,553	
NIDEC CORP NPV	8,400	554,669	770,568	
NIKE INC CL B COM	30,000	1,873,782	1,982,100	
NIKON CORP NPV	8,300	148,679	162,978	
NINTENDO CO	560	195,360	132,579	
NIPPON BUILDING FUND INC	4	36,459	30,335	
NIPPON STEEL CORP NPV	42,000	167,823	169,182	
NIPPON TEL & TEL CORP Y50000	3,500	153,854	137,225	
NISSAN MOTOR CO Y50	30,400	156,612	264,504	
NOBEL BIOCARE AG CHF0.40(BR)	2,401	53,939	80,780	
NOBLE ENERGY INC	12,000	582,976	854,640	
NOKIA (AB) OY EUR0.06	9,244	141,678	118,304	
NOMURA HOLDING NPV	53,800	434,598	393,553	
NORFOLK SOUTHN CORP COM	20,000	938,950	1,048,400	
NORSK HYDRO ASA NOK3.6666	55,551	369,898	468,406	6,175
NORTHEAST UTILS COM	34,845	810,177	898,653	
NORTHERN TR CORP COM	32,136	1,753,686	1,683,926	
NORTHROP GRUMMAN CORP COM	37,700	1,701,880	2,105,545	
NORTHWEST PIPE CO COM	24,235	632,049	650,952	
NOVARTIS AG CHF0.50 (REGD)	85,756	3,583,045	4,687,027	
NOVARTIS AG SPON ADR	123,950	6,136,076	6,746,599	
NOVATEK OAO GDR EACH REPR	2,987	169,301	197,142	
NOVATEL WIRELESS INC COM NEW	75,000	473,060	597,750	75,000
NOVO NORDISK A S ADR	33,000	1,664,337	2,107,050	
NOVO-NORDISK AS DKK1	7,138	371,179	456,942	
NOVOROSSIYSK SEA TRADE PORT	6,350	72,411	73,089	
NTT DOCOMO INC NPV	121	212,334	168,447	
NUCOR CORP	46,400	1,801,499	2,164,560	
NVIDIA CORP COM	73,700	594,759	1,376,716	
OCCIDENTAL PETE CORP COM	54,400	3,577,790	4,425,440	
OCEANEERING INTL INC COM	71,660	2,428,484	4,193,543	
OCWEN FINL CORP COM NEW	33,885	227,871	324,279	
ODESSAOBLENERGO UAH0.25	14,191	7,972	4,199	
OLD DOMINION FGHT LINES INC	12,913	366,721	396,429	773
OLD MUTUAL ORD GBP0.10	98,414	126,107	173,545	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
OLIN CORP COM PAR \$1.00	33,725	609,748	590,862	
OLYMPIC STL INC	40,650	1,014,510	1,324,377	
OMV AG NPV	17,294	474,233	761,746	
ON SEMICONDUCTOR CORP COM	12,650	43,010	111,573	
ONCOGENEX PHARMACEUTICALS INC	10,045	277,089	223,803	
OPAP SA EUR0.3 (CR)	137,387	3,723,761	3,023,759	
OPEN JT STK CO-VIMPEL	7,827	136,873	145,504	
OPTIMER PHARMACEUTICALS INC	32,700	407,401	368,529	
ORACLE CORPORATION COM	216,338	3,928,324	5,306,771	
ORANTA INSURANCE	6,204	30,717	29,432	
OREXIGEN THERAPEUTICS INC COM	33,975	257,870	252,774	
ORIENTAL FINL GROUP INC COM	57,910	350,356	625,428	
ORION MARINE GROUP INC COM	25,100	280,989	528,606	
ORIX CORP Y50	1,060	66,881	71,392	
ORKLA ASA NOK1.25 (POST	28,259	255,424	278,099	
ORTHOFIX INTERNATIONAL N V	20,300	499,254	627,879	
OTP BANK HUF100	33,792	537,708	978,883	
OTTER TAIL CORP	52,615	1,227,508	1,305,904	9,571
OYO GEOSPACE COM	14,650	267,078	628,339	4,052
PACCAR INC	82,000	2,475,905	2,974,140	358
PACWEST BANCORP DEL COM	8,110	179,507	163,417	573
PANASONIC CORP	31,712	460,001	451,350	
PARAMETRIC TECHNOLOGY CORP COM	45,850	554,318	749,189	
PARKSON RETAIL GROUP LTD	33,500	61,032	59,102	
PARMALAT S P A EUR1	20,941	57,594	58,678	
PATTERSON UTI ENERGY INC COM	42,225	434,380	648,154	2,486
PAYCHEX INC COM	28,000	835,041	857,920	
PEABODY ENERGY CORP COM	27,200	618,800	1,229,712	
PENN NATL GAMING INC COM	24,473	592,799	665,421	
PENNANTPARK INVT CORP COM	63,550	240,155	566,866	
PEPSICO INC COM	123,310	6,753,689	7,497,248	
PERKINELMER INC COM	42,513	658,085	875,343	
PERNOD-RICARD EUR1.55	915	66,953	78,650	
PETMED EXPRESS INC COM	38,155	696,710	673,817	
PFIZER INC	251,500	4,134,514	4,574,785	
PHI INC COM NON VTG	24,850	363,697	514,395	
PHILIP MORRIS INTL INC COM	238,030	10,440,441	11,470,666	
PHILLIPS VAN HEUSEN CORP COM	6,888	138,655	280,204	
PIER 1 IMPORTS INC COM	51,050	247,766	259,845	
PING AN INSURANCE SHS	30,965	239,333	271,551	
PLAINS EXPLORATION & PRODTN	58,000	1,357,900	1,604,280	
PLEXUS CORP	21,861	371,599	622,601	33
PNC FINANCIAL SERVICES GROUP	45,555	1,601,835	2,404,848	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
PNM RES INC	44,321	534,588	560,661	36
POLTAVAOBLENERGOUAH0.25	2,982	2,292	1,489	
POSCO	1,945	647,816	1,032,233	
POST PPTYS INC COM	19,623	353,036	384,611	
POTASH CORP OF SASKATCHEWAN	5,524	528,861	602,748	
POTASH CORP SASK INC COM	34,000	2,492,172	3,689,000	
POWELL INDS INC COM	11,630	389,024	366,694	
POWSZECHNA KASA OSZCZED BK	35,179	412,629	467,136	
PPG INDS INC COM	39,600	1,460,575	2,318,184	
PPR EUR4.00	2,334	243,409	282,095	
PRAXAIR INC COM	53,000	3,468,223	4,256,430	
PRECISION DRILLING TRUST	402,064	2,437,642	2,933,934	
PREMIERE GLOBAL SVCS INC	4,981	37,732	41,093	
PRIDE INTL INC DEL COM	26,200	473,092	836,042	1
PROCTER & GAMBLE CO COM	109,140	6,511,558	6,617,158	
PROGRESS SOFTWARE CORP	18,448	413,048	539,051	
PROGRESSIVE CORP OHIO COM	21,000	310,795	377,790	
PRUDENTIAL PLC GBP0.05	38,151	280,917	394,292	
PUBLICIS GROUPE SA EUR.4	4,672	122,788	191,040	901
QANTAS AIRWAYS NPV	11,572	29,976	31,118	
QUAKER CHEM CORP COM	920	18,948	18,989	
QUALCOMM INC	85,800	3,160,814	3,969,108	
QUIKSILVER INC COM	53,900	99,176	108,878	
RADIAN GROUP INC	131,151	820,564	958,714	253
RAIFFEISEN BANK AVAL JSC	1,008,605	31,539	30,963	
RAIFFEISEN INTL BK NPV	936	57,097	53,046	936
RAMBUS INC DEL COM	24,800	396,319	605,120	4,346
RANDSTAD HLDGS EUR0.1	2,648	125,075	132,593	
RANGE RES CORP COM	1,800	92,872	89,730	
RAYTHEON CO COM NEW	24,200	1,129,536	1,246,784	
REED ELSEVIER NV EUR0.07	406,428	4,727,845	5,015,437	
REGIONS FINL CORP	211,500	873,052	1,118,835	38,271
RENAULT REGIE NATIONALE DES	7,593	271,268	394,365	1,247
REPSOL YPF SA EUR1	5,069	143,161	136,182	
RESEARCH IN MOTION LTD COM	35,955	1,595,399	2,428,401	
RESONA HOLDINGS INC	4,400	63,743	44,333	
RESOURCES CONNECTION INC COM	45,955	985,735	975,165	
RETAIL GROUP UAH0.25	176	29,012	2,686	
REXAM ORD 64 2/7P	447,624	2,039,118	2,100,589	
RICOH Y50	9,000	117,288	127,032	
RIGHTNOW TECHNOLOGIES INC	35,550	330,433	617,504	
RIO TINTO LIMITED NPV	42,462	1,390,492	2,859,914	
RIO TINTO ORD GBP0.10	87,602	2,366,485	4,795,633	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
RIO TINTO PLC SPONSORED ADR	8,600	764,626	1,852,354	375
ROBBINS & MYERS INC COM	46,935	908,276	1,103,911	
ROCHE HLDG AG GENUSSSCHEINE NPV	26,842	3,698,133	4,564,763	
ROCKWELL AUTOMATION INC	13,100	445,505	615,438	
ROCKWOOD HLDGS INC	36,200	364,654	852,872	
RODOVID BANK OJSC UAH1000	216	13,790	2	
ROLLS ROYCE GROUP ORD GBP0.20	258,451	1,543,871	2,017,934	
ROSNEFT OJSC GDR EACH REPR 1	132,596	1,012,076	1,140,326	
ROVI CORP	14,405	440,011	459,087	
ROYAL BANK OF CANADA	13,217	555,733	711,059	
ROYAL DUTCH SHELL A SHS	51,436	1,304,121	1,557,134	
ROYAL DUTCH SHELL 'A' SHS	32,352	939,276	983,225	
ROYAL DUTCH SHELL 'B' SHS	56,927	1,412,676	1,665,286	
ROYAL DUTCH SHELL PLC	100,800	5,130,972	5,859,504	
ROYAL DUTCH SHELL PLC	65,290	3,369,548	3,924,582	
RPC INC	105,995	1,034,511	1,102,348	1
RRI ENERGY INC	78,486	523,164	448,940	
RTI BIOLOGICS INC COM	113,800	479,030	436,992	
RTI INTL METALS INC COM	62,000	1,120,127	1,560,540	
RTS STOCK EXCHANGE RUB100	563	308,414	308,414	
RUBY TUESDAY INC	105,230	723,983	757,656	1,004
RUE21 INC	5,788	162,221	162,585	
RURAL/METRO CORP COM	75,200	428,465	451,200	5
RWE AG (NEU) NPV 'A'	4,142	370,256	405,473	
RYLAND GROUP INC	21,360	388,398	420,792	6,618
SAFETY INS GROUP INC	24,905	905,896	902,308	
SAFEWAY INC NEW COM	145,200	3,240,128	3,091,308	
SALIX PHARMACEUTICALS INC	25,915	626,884	657,982	
SALZGITTER AG ORD NPV	1,576	161,724	155,161	
SAMSUNG ELECTRONICS CO LTD	1,749	916,052	1,200,069	
SANDISK CORP	100,000	1,210,439	2,899,000	
SANDVIK AB NPV (POST SPLIT)	28,689	179,856	347,156	
SANKYO CO(OTC) NPV	46,500	2,308,329	2,317,632	
SANOFI-AVENTIS EUR2	72,215	4,672,163	5,704,793	
SAP AG ORD NPV	7,409	248,015	351,642	
SAPIENT CORP	45,430	340,231	375,706	
SBERBANK-CLS	87,587	227,525	246,032	
SBERBANK-CLS	598,575	1,348,849	1,681,397	
SBM OFFSHORE NV EUR0.25 (POST	2,139	46,054	42,275	
SCHLUMBERGER LTD COM	110,057	4,829,540	7,163,610	
SCHNEIDER ELECTRIC EUR8	2,968	231,560	348,247	
SCHNITZER STL INDS INC CL A	6,230	314,303	297,171	
SCHULMAN A INC COM	9,000	180,359	181,620	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
SCHWAB CHARLES CORP NEW COM	123,074	2,010,151	2,316,253	
SEADRILL LTD USD2	3,222	57,615	82,547	604
SEAHAWK DRILLING INC-W/I	1,746	38,235	39,355	
SEVERSTAL GDR (EACH REPR 1 ORD	2,843	21,502	27,009	4
SGS SA CHF1(REGD)	38	48,264	49,662	
SHANDONG WEIGAO GROUP MEDICAL	8,000	28,790	26,722	
SHERWIN WILLIAMS CO COM	8,100	400,099	499,365	
SHIN-ETSU CHEMICALS CO NPV	58,500	2,667,643	3,286,481	
SHINHAN FINANCIAL GROUP	9,580	335,520	355,401	
SHISEIDO Y50	4,000	72,500	76,524	
SHIZUOKA BANK Y50	18,000	179,176	155,647	
SIDER NACIONAL CIA COM NPV	5,542	165,239	178,036	
SIEMENS AG NPV REGD	68,876	4,955,092	6,361,033	
SIGNATURE BK NEW YORK N Y	19,610	611,048	625,559	
SILVER WHEATON CORP	4,281	73,992	64,847	
SINA CORPORATION	13,085	604,658	591,180	
SINGAPORE AIRLINES SGD0.50	236,000	1,687,627	2,511,371	
SINOPHARM GROUP CO-H	8,400	27,945	29,845	
SISTEMA HALS GDR 144A	5,734	5,734	9,174	
SK TELECOM KRW500	936	131,576	136,243	
SKANDINAVISKA ENSKILDA BANKEN	329,511	1,927,180	2,046,262	
SKF AB SER B NPV (POST SPLIT)	14,510	133,656	251,178	
SMART BALANCE INC COM	63,780	348,239	382,680	
SMC CORP NPV	18,700	1,967,340	2,115,162	
SMITH A O CORP	6,280	185,485	272,489	
SMURFIT KAPPA GROUP PLC ORD	150,825	374,233	1,363,296	
SMURFIT KAPPA GROUP PLC ORD	24,906	186,058	221,550	
SNAM RETE GAS EUR1	416,492	1,939,478	2,073,540	
SNAP ON INC COM	36,630	1,442,489	1,547,984	
SOCIETE GENERALE EUR1.25	11,046	663,474	775,772	
SOLUTIA INC COM NEW	61,330	167,961	778,891	
SONY CORP AMERN SH NEW ADR	52,750	1,159,362	1,529,750	
SONY CORP NPV	6,395	158,762	183,411	
SONY FINANCIAL HOLDINGS INC	1,032	3,719,051	2,684,896	
SOURCEFIRE INC COM	18,150	281,473	485,331	
SOUTH FINL GROUP INC COM	56,235	107,129	36,272	4
SOUTHWEST AIRLS CO COM	484,000	4,074,819	5,532,120	4,001
SPARTECH CORP NEW	27,444	352,907	281,575	
SPRINT NEXTEL CORP COM SER 1	88,600	162,138	324,276	
STANDARD CHARTERED ORD USD0.50	22,319	456,342	567,659	
STANDARD PAC CORP NEW COM	65,649	116,855	245,527	
STANLEY ELECTRIC CO NPV	1,200	24,141	24,053	
STARWOOD HOTELS & RESORTS COM	19,806	396,481	724,305	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
STATOIL ASA NOK2.50	32,886	570,206	824,313	
STEEL DYNAMICS INC COM	38,858	407,423	688,564	
STERLITE INDS INDIA LTD ADS	23,708	379,670	431,960	
STORA ENSO OYJ SER 'R' NPV	13,294	98,022	93,079	
STOREBRAND ASA A NOK5	15,907	104,129	108,932	
STRATTEC SEC CORP	5,060	83,237	93,610	
SUEZ ENVIRONNEMENT CO EUR4	3,061	72,982	70,817	
SULZER AG CHF0.01(REGD)	4,201	322,865	329,578	5
SUMITOMO METAL INDUSTRIES NPV	24,000	62,446	64,192	
SUMITOMO MITSUI GR NPV	19,200	725,377	545,507	
SUMITOMO REALTY & DEV CO NPV	9,000	171,814	168,119	
SUMITOMO TRUST & BKG CO Y50	43,500	234,662	211,671	
SUN LIFE FINANCIAL INC	2,128	60,234	61,403	
SUNCOR ENERGY INC	23,341	519,288	828,462	
SUNCORP METWAY LTD NPV	13,000	85,462	101,600	
SUNOPTA INC	129,000	472,367	433,440	
SUNSTONE HOTEL INVS INC NEW	92,032	528,163	817,244	
SUPER MICRO COMPUTER INC COM	37,500	373,779	417,000	
SUPERTEX INC COM	17,850	461,573	531,930	
SURUGA BANK LTD Y50	3,000	30,990	25,973	
SUSQUEHANNA BANCSHARES INC PA	33,500	291,786	197,315	33,500
SUZUKI MOTOR CO NPV	15,600	264,957	382,061	
SVENSKA CELLULOSE AB-SCA SER B	16,408	138,403	219,345	
SWATCH GROUP CHF2.25 (BR)	437	67,543	110,714	
SWEDBANK AB SEK'A' NPV	10,930	104,121	108,686	
SWEDISH MATCH AB NPV	2,773	51,215	60,935	
SWIFT ENERGY CO	27,550	657,619	660,098	
SWISS REINSURANCE LTD CHF0.1	7,602	285,450	367,029	
SWISSCOM AG CHF1.00	514	152,411	196,700	
SYKES ENTERPRISES INC COM	76,489	1,462,470	1,948,175	
SYMANTEC CORP COM	113,600	1,535,947	2,032,304	3
SYNGENTA AG CHF0.1	1,411	323,421	396,786	
SYNIVERSE HLDGS INC	11,639	138,970	203,450	
SYNOVUS FINL CORP	108,000	217,599	221,400	
SYSCO CORP COM	26,200	601,028	732,028	
T-3 ENERGY SERVICES INC	35,434	362,838	903,567	
TAIWAN SEMICONDUCTOR MFG CO	261,078	2,330,513	2,986,732	4
TAKEDA PHARMACEUTICAL CO LTD	2,000	102,372	82,282	
TAKE-TWO INTERACTIVE SOFTWARE	87,965	708,998	884,048	
TALISMAN ENERGY INC	35,276	415,950	662,550	
TARGET CORP COM	71,248	2,619,046	3,446,266	
TATA MTRS LTD SPONSORED ADR	14,963	232,663	252,276	9,478
TEAM INC	22,950	432,608	431,690	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
TECHNIP SA EUR	81,831	2,617,807	5,799,907	
TECHWELL INC COM	22,050	256,551	291,281	
TECK RESOURCES LTD	10,125	246,894	355,609	
TEKELEC COM	74,670	996,098	1,140,958	
TELECOM ITALIA EUR0.55	112,898	179,900	176,235	
TELEFONICA SA EURI	169,585	3,779,405	4,749,452	
TELEKOM AUSTRIA (TA) NPV	3,970	56,841	56,675	
TELEKOMUNIKASI INDONESIA (PERS	337,865	315,302	339,844	
TELENOR AS ORD NOK6	11,194	119,960	157,055	
TELIASONERA AB NPV	11,184	55,015	81,216	
TEMPLE INLAND INC COM	31,586	515,487	666,780	
TENNECO INC COM	27,004	322,883	478,781	
TERNOPILOBLENERGO OJSC COM STK	2,274	4,444	1,419	
TESCO ORD 5P	133,529	852,012	922,893	
TETON ADVISORS INC COM	293	25	25	
TEVA PHARMACEUTICAL INDS ADR	36,300	1,696,026	2,039,334	
TEXAS INSTRS INC COM	238,725	4,014,403	6,221,174	
THORATEC CORP COM NEW	21,535	619,777	579,722	
TJX COS INC NEW COM	18,800	388,596	687,140	
TNS INC	17,350	238,529	445,722	
TNT NV EUR0.48	170,927	3,465,701	5,272,607	
TOKIO MARINE HOLDINGS INC NPV	3,000	82,248	81,530	
TOKYO ELECTRON NPV	71,900	2,458,798	4,572,190	
TOLL BROS INC COM	75,973	1,574,921	1,429,052	5,495
TOLL HLDGS LIMITED NPV	18,069	143,489	142,028	9,575
TORONTO-DOMINION BANK	7,328	383,442	461,063	1,475
TOSHIBA CORP NPV	41,000	211,473	225,050	
TOTAL SA ADR	65,000	3,466,500	4,162,600	
TOTAL SA EUR2.5	25,721	1,379,111	1,660,829	4
TOWER GROUP INC	26,614	643,872	623,034	
TOYOTA MOTOR CORP NPV	40,100	1,430,482	1,671,282	
TRAVELERS COS INC COM	40,100	1,955,940	1,999,386	
TRIMBLE NAV LTD	80,000	1,728,692	2,016,000	
TRIUMPH GROUP INC COM NEW	26,350	1,125,499	1,271,388	
TRUSTMARK CORP	26,693	539,265	601,660	150
TRW AUTOMOTIVE HLDGS CORP	14,600	345,385	348,648	
TSAKOS ENERGY NAVIGATOIN LTD	58,295	1,067,964	854,605	57,225
TSINGTAO BREWERY CO SER 'H'	24,000	124,030	133,092	
TSUKROVYY SOYZ UKRROS UAH1	18,706	2,803	2,802	
TYCO ELECTRONICS LTD	151,325	2,371,214	3,715,029	
TYCO INTERNATIONAL LTD SHS	97,025	2,253,508	3,461,852	
U S PHYSICAL THERAPY INC	23,500	314,187	397,855	
U STORE IT TR	62,800	359,891	459,696	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
UBS AG CHF0.1 (POST	241,490	3,399,497	3,749,373	
UGI CORP NEW COM	28,295	690,964	684,456	
UKRAINIAN INNOVATION BANK JSC	17,295,633	56,338	212	
UKRNAFTA OPEN JT STK CO	26	2,730	3,428	
UKRSOTSBANK UAH0.1	1,189,986	34,110	52,265	
UKRTELECOM GDR REP 50 ORD	1,500	2,546	3,733	
UKRTELECOM UAH0.25	1,902,588	67,643	109,500	
ULTIMATE SOFTWARE GROUP INC	31,945	887,493	938,225	
UMB FINL CORP	17,270	848,648	679,575	
UMPQUA HLDGS CORP COM	83,500	931,502	1,119,735	
UNICA CORP	43,250	298,396	335,188	
UNI-CHARM CORP NPV	1,740	147,163	162,795	
UNICREDIT CAIB AG LAONA INVT	5	11,295	2,815	
UNICREDIT EUR0.50	273,565	955,120	919,425	
UNIFIRST CORP	25,700	763,033	1,236,427	
UNILEVER NV CVA EUR0.16	21,745	578,990	709,769	
UNION PAC CORP COM	27,000	1,295,378	1,725,300	
UNITED AMER INDY LTD CL A	72,440	927,956	573,725	
UNITED MICROELECTRONICS CORP	18,072	52,556	70,119	17,258
UNITED PARCEL SVC INC CL B	123,507	6,608,417	7,085,597	
UNITED RENTALS INC COM	218,000	1,345,655	2,138,580	
UNITED TECHNOLOGIES CORP COM	28,200	1,511,520	1,957,362	
UNIVERSAL AMERICAN CORP	88,200	848,895	1,031,940	
UNIVERSAL HEALTH SVCS INC CL B	26,462	497,089	807,091	
UNUM GROUP	46,500	871,651	907,680	1
UQM TECHNOLOGIES INC COM	93,600	473,138	641,160	
URALKALI-SPON GDR-REG S	2,725	59,687	57,225	
VALE S A ADR	36,517	546,356	1,060,089	1
VALEO EUR3	1,700	56,123	59,831	
VALLOUREC (USIN A TUB DE LOR	1,050	153,277	191,399	
VANCEINFO TECHNOLOGIES INC ADR	33,895	575,537	651,123	
VECTREN CORP COM	19,737	493,622	487,109	
VEDANTA RESOURCES PLC	1,896	78,151	79,942	
VEOLIA ENVIRONMENT EUR5.0	6,996	212,056	232,117	6,016
VERIZON COMMUNICATIONS COM	37,000	1,108,819	1,225,810	
VIACOM INC NEW CL B	4,200	80,058	124,866	
VINCI EUR2.50 (POST SUBDIVISIO	100,345	4,077,032	5,682,495	2,977
VINNITSAOBLENERGO NPV	468	34,147	13,146	
VISA INC COM CL A	24,900	1,778,780	2,177,754	1,230
VIVENDI SA EUR5.5	4,878	153,304	145,538	
VOCUS INC COM	44,475	768,430	800,550	
VODAFONE GROUP	2,292,560	4,569,917	5,319,976	
VODAFONE GROUP PLC-SP ADR	154,600	3,125,514	3,569,714	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
VOESTALPINE AG	3,299	114,600	121,644	
VOLVO (AB) SER B NPV (POST	24,146	210,351	207,808	
VOLYNBLENERGO UAH0.05	27,486	3,939	2,745	
VTB BANK (JSC) GDR (EACH REPR	56,523	219,441	266,789	
VULCAN MATLS CO COM	32,400	1,958,876	1,706,508	
WACKER CHEMIE AG NPV (BR)	287	43,718	50,109	
WAL MART STORES INC COM	122,960	6,727,054	6,572,212	
WALGREEN CO	100,040	2,450,197	3,673,469	
WANT WANT CHINA HOLDINGS LTD	218,280	150,746	153,138	
WASHINGTON FEDERAL INC	27,618	409,021	534,132	1
WASHINGTON MUTUAL INC COM	344,500	7,579	48,230	
WASHINGTON REAL ESTATE INVT TR	5,649	120,889	155,630	5,288
WASTE MGMT INC DEL COM	21,000	677,804	710,010	
WATERS CORP COM	61,500	2,260,304	3,810,540	
WEATHERFORD INTERNATIONAL LTD	77,200	1,477,212	1,382,652	
WELLCARE HEALTH PLANS INC	32,200	1,194,324	1,183,672	
WELLS FARGO & CO NEW COM	221,181	5,400,601	5,969,675	
WERNER ENTERPRISES INC COM	36,570	634,124	724,086	
WESCO INTL INC COM	26,110	709,148	705,231	
WESFARMERS ORD NPV	15,436	284,096	434,102	
WEST PHARMACEUTICAL SVCS INC	26,905	1,016,202	1,054,676	1,275
WESTERN ALLIANCE	79,457	480,549	300,347	64,984
WESTPAC BANKING CORP NPV	32,138	532,490	731,254	
WESTPORT INNOVATIONS INC COM	50,100	431,395	580,158	
WEYERHAEUSER CO COM	50,295	1,549,329	2,169,726	
WHIRLPOOL CORP COM	42,000	1,761,614	3,387,720	611
WHITBREAD	3,461	72,921	78,861	
WHITING PETE CORP NEW	7,446	270,824	532,017	
WHITNEY HLDG CO	76,170	752,487	693,909	
WHOLE FOODS MKT INC	19,200	181,248	527,040	
WILLBROS GROUP INC DEL COM	33,838	404,625	570,847	
WILSHIRE BANCORP INC	75,992	585,630	622,374	
WISCONSIN ENERGY CORP COM	48,111	2,036,560	2,397,371	
WOLSELEY GBP0.10	4,058	94,741	81,717	
WORLD ACCEP CORP	27,896	509,080	999,514	9,335
WPP PLC ORD GBP0.10	60,937	351,515	599,773	
WUXI PHARMATECH CAYMAN INC	35,580	602,725	567,857	
X 5 RETAIL GROUP NV GDR EACH	3,050	79,204	97,295	
XENOPORT INC	15,150	245,430	281,033	
XL CAP LTD CL A COM	126,300	659,377	2,315,078	
XSTRATA COM STK USD0.50	89,556	604,542	1,621,184	
YAHOO INC	136,600	1,882,969	2,292,147	
YAMAHA MOTOR CO Y50	119,000	1,223,475	1,490,455	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
YANZHOU COAL MINING CO H CNY1	46,000	79,688	101,800	
YARA INTL NOK1.70	6,550	229,790	298,995	
YUE YUEN INDL HLDG HKD0.25	905,157	1,682,336	2,626,502	16
ZAKARPATTYA OBLENERGO UAH0.25	29,169	29,644	28,404	
ZIONS BANCORPORATION	42,130	847,127	540,528	13,700
ZURICH FINANCIAL SERVICES AG	13,940	2,891,447	3,054,325	
TOTAL COMMON STOCKS		<u>981,460,552</u>	<u>1,207,850,962</u>	
PARTNERSHIP			b	
COMPASS TECHNOLOGY PARTNERS LP	57,932	154,163	143,477	
TOTAL PARTNERSHIP		<u>154,163</u>	<u>143,477</u>	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	238,731	68,206,342	69,335,673	
EB DV STOCK INDEX FUND	45,940	51,095,483	61,176,689	
TOTAL COMMON/COLLECTIVE TRUST		<u>119,301,825</u>	<u>130,512,362</u>	
REGISTERED INVESTMENT COMPANIES				
ISHARES INC MSCI TAIWAN IND FD	270,763	2,902,094	3,511,796	
ISHARES MSCI INDIA UNITS	207,672	1,190,459	1,430,860	9,657
PUTNAM PREMIER INC TR SH BEN	81,168	500,249	497,560	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>4,592,802</u>	<u>5,440,216</u>	
TOTAL EQUITY INVESTMENTS		<u>1,107,918,493</u>	<u>1,347,040,725</u>	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4I

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIXED INCOME						
ACE INA HLDG INC GTD SR NT	260,000	303,690	293,675	5/15/2036	6.70%	
ADVANCED MICRO DEVICES 144A	120,000	107,755	119,550	12/15/2017	8.13%	9
AES CORP SR NT	65,000	53,633	66,706	10/15/2017	8.00%	
AES CORP SR NT	40,000	33,600	40,600	10/15/2015	7.75%	
AES CORP SR NT 144A	380,000	357,113	416,100	4/15/2016	9.75%	
AFFINIA GROUP INC	115,000	113,619	124,631	8/15/2016	10.75%	
AFFINION GROUP INC	140,000	127,400	143,850	10/15/2013	10.13%	
AFFINION GROUP INC SR NT 144A	135,000	98,550	138,713	10/15/2013	10.13%	
ALABAMA PWR CO SR NT	60,000	60,362	62,007	12/1/2010	4.70%	
ALABAMA PWR CO SR NT SER 2008A	40,000	39,931	42,720	5/15/2038	6.13%	
ALCOA INC NT	265,000	227,958	227,428	2/1/2037	5.95%	
ALCOA INC NT	125,000	98,370	124,289	2/1/2017	5.55%	
ALLIED WASTE NORTH AMER INC SR	100,000	105,160	104,500	3/15/2015	7.25%	
ALLIED WASTE NORTH AMER INC SR	115,000	114,425	122,044	6/1/2017	6.88%	
ALLIED WASTE NORTH AMER SR NT	235,000	247,996	250,275	5/15/2016	7.13%	
ALTERNATIVE LN TR 07-26R A1	1,105,142	663,571	658,421	1/25/2037	7.00%	
ALTRA HOLDINGS INC	115,000	113,495	118,019	12/1/2016	8.13%	
ALTRIA GROUP INC GTD NT	405,000	525,338	540,286	2/6/2039	10.20%	
AMERADA HESS CORP	300,000	356,060	359,805	10/1/2029	7.88%	
AMERICAN AXLE & MFG INC 144A	275,000	274,101	279,125	1/15/2017	9.25%	
AMERICAN EXPRESS 08-2 CL A	820,000	834,350	823,500	1/18/2011	4.02%	
AMERICAN EXPRESS CO	465,000	552,866	551,053	5/20/2019	8.13%	
AMERICAN EXPRESS CR CORP MTN	260,000	237,032	279,022	5/2/2013	5.88%	
AMERICAN GEN FIN CORP MEDIUM	600,000	344,354	416,610	12/15/2017	6.90%	
AMERICAN GEN FIN CORP MTN	385,000	295,625	373,130	9/1/2010	4.63%	
AMERICAN GEN FIN MTN # 00411	260,000	137,200	178,753	12/1/2015	5.40%	
AMERICAN GEN FIN MTN #TR00378	360,000	208,313	289,480	10/1/2012	5.38%	
AMERICAN GEN FIN MTN #TR00394	250,000	166,875	226,028	3/15/2011	4.00%	
AMERICAN GEN FIN MTN #TR00415	100,000	51,750	67,514	9/15/2016	5.75%	
AMERICAN HOME 06-2 CL 1A1	853,846	445,264	431,175	9/25/2046	1.50%	
AMERICAN HOME MTG 07-1 CL A1	233,971	90,402	109,058	2/25/2047	1.24%	
AMERICAN HOME MTG 2005-2 5A4C	860,000	436,158	528,288	9/25/2035	5.41%	
AMERICAN HONDA FIN CORP 144A	150,000	177,557	171,494	10/1/2018	7.63%	
AMERICAN INTERNATIONAL GROUP I	450,000	204,900	297,000	5/15/2068	8.18%	
AMERICAN INTL GROUP INC MTN	250,000	165,220	202,328	5/18/2017	5.45%	
AMERICAN REAL ESTATE	140,000	107,800	142,800	6/1/2012	8.13%	
AMERICAN REAL ESTATE PARTNERS	420,000	289,800	428,400	2/15/2013	7.13%	
AMERICAN WTR CAP CORP SR NT	150,000	131,486	156,735	10/15/2017	6.09%	
AMERICAN WTR CAP CORP SR NT	230,000	186,956	231,523	10/15/2037	6.59%	
AMERISTAR CASINOS INC	415,000	407,597	430,563	6/1/2014	9.25%	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
AMGEN INC SR NT	850,000	840,701	927,766	6/1/2037	6.38%	
AMKOR TECHNOLOGY INC SR NT	310,000	260,166	329,375	6/1/2016	9.25%	105,000
ANADARKO PETE CORP SR NT	435,000	485,835	541,105	3/15/2019	8.70%	998
ANADARKO PETROLEUM CORP	100,000	109,855	113,402	6/15/2019	6.95%	79
ANHEUSER BUSCH COS INC	280,000	327,214	316,994	10/1/2030	7.55%	
ANHEUSER BUSCH COS INC DEB	100,000	80,969	94,937	4/1/2036	5.75%	
ANHEUSER BUSCH INBEV 144A	180,000	191,023	210,742	1/15/2019	7.75%	
ANHEUSER BUSER BUSCH 144A	435,000	555,173	550,058	1/15/2039	8.20%	
ANR PIPELINE CO DEB	235,000	324,888	311,502	11/1/2021	9.63%	
ANTERO RESOURCES FINANCE 144A	385,000	382,301	392,700	12/1/2017	9.38%	
ANZ NATIONAL (INT'L) LTD	1,460,000	1,461,480	1,474,803	9/23/2011	0.95%	
AOL TIME WARNER INC DEB	750,000	660,128	871,222	4/15/2031	7.63%	
AOL TIME WARNER INC DEB	755,000	849,262	886,626	5/1/2032	7.70%	
APRIA HEALTHCARE GROUP I	335,000	325,118	367,663	11/1/2014	11.25%	
AQUILEX HDG/AQUILEX FIN	170,000	165,361	169,575	12/15/2016	11.13%	
ARCELORMITTAL	225,000	228,138	236,905	10/15/2039	7.00%	
ARCG COAL INC	190,000	185,182	200,925	8/1/2016	8.75%	
ARCHER DANIELS MIDLAND CO NT	305,000	360,504	347,551	2/1/2031	7.00%	
ARROW ELECTRONICS INC SR DEBS	30,000	30,000	29,885	1/15/2027	7.50%	
ARROW ELECTRS INC NT	50,000	45,210	54,533	7/1/2013	6.88%	
ARROW ELECTRS INC SR DEB	190,000	155,122	199,046	6/1/2018	6.88%	
ASIF GLOBAL FING XIX SR 144A	300,000	240,822	276,075	1/17/2013	4.90%	
AT & T INC GLOBAL NT	150,000	150,830	160,032	1/15/2013	4.95%	
AT & T INC NT	260,000	284,892	276,897	5/15/2036	6.80%	
AT&T BROADBAND CORP NT	92,000	95,176	106,048	3/15/2013	8.38%	
AT&T CORP SR NT	3,180,000	3,912,513	3,881,253	11/15/2031	8.00%	
AT&T INC GLOBAL NT	150,000	152,721	157,194	5/15/2018	5.60%	
AT&T WIRELESS SVCS INC SR NT	335,000	346,805	359,924	3/1/2011	7.88%	
ATLAS ENERGY OPER CO	415,000	253,150	458,575	2/1/2018	10.75%	
ATLAS PIPLINES PARTNERS L P	295,000	193,225	261,075	6/15/2018	8.75%	
ATOMS ENERGY CORP SR NT	165,000	151,343	173,672	1/15/2013	5.13%	
AUTOZONE INC	225,000	241,918	244,013	1/15/2015	5.75%	
AXCAN INTERMEDIATE HOLDINGS	210,000	176,400	226,538	3/1/2015	9.25%	
AXCAN INTERMEDIATE HOLDINGS	75,000	69,938	83,813	3/1/2016	12.75%	
BA CR CARD TR 2007-4 NT CL B	245,000	243,718	243,961	9/15/2012	0.32%	
BA CR CARD TR 2007-7 NT CL A	1,005,000	1,003,194	1,003,974	8/15/2012	0.23%	
BALDOR ELEC CO SR NT	35,000	26,075	35,788	2/15/2017	8.63%	35,000
BANC AMER COML 04-3 A5	1,615,000	1,435,816	1,626,837	6/10/2039	5.40%	
BANC AMER COML MTG 2005-6 ASB	500,000	393,420	507,160	9/10/2047	5.18%	
BANC AMER FDG 07 E CL 6-A-1	641,228	349,271	491,040	10/25/2037	7.00%	
BANC AMER MTG SECS 04-5 2A2	815,100	449,975	559,770	6/25/2034	5.50%	
BANK AMER CORP SR NT	150,000	149,766	153,603	12/1/2017	5.75%	
BANK AMER CORP SUB NT	200,000	204,772	211,704	1/15/2011	7.40%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
BANK AMER FDG CORP MED TERM	140,000	139,511	142,185	5/1/2018	5.65%	
BANK OF AMERICA CORP	135,000	134,661	145,168	8/1/2016	6.50%	
BANK OF AMERICA CORP SR NT	250,000	245,558	253,488	10/14/2016	5.63%	27
BANK OF IRELAND DISC	1,200,000	1,198,320	1,198,320	3/18/2010	0.00%	
BANK OF NEW YORK MELLON	145,000	144,717	141,723	1/15/2020	4.60%	
BARCLAYS BANK PLC	145,000	191,976	187,147	6/12/2021	10.18%	
BARCLAYS BANK PLC	100,000	102,683	108,143	9/12/2012	5.45%	
BARCLAYS BANK PLC	515,000	579,188	574,446	5/22/2019	6.75%	
BB&T CORP SUB NT	200,000	186,390	194,706	6/30/2017	4.90%	
BB&T CORPORATION	125,000	132,733	140,200	4/30/2019	6.85%	
BBVA US SENIOR SA UNIPER	840,000	840,000	839,537	5/24/2011	0.44%	
BEAR STEARNS 05-PWR9 CL A-AB	300,000	275,901	303,456	9/15/2042	4.80%	
BEAR STEARNS COML 06-PWR11 A4	360,000	307,202	351,875	3/11/2039	5.46%	
BEAR STEARNS COS INC SR GLOBAL	100,000	110,419	114,785	2/1/2018	7.25%	
BEAR STEARNS COS LLC	105,000	103,095	115,535	11/15/2014	5.70%	
BEAR STEARNS MTG 2006-PW14 A1	150,559	143,698	154,432	12/1/2038	5.04%	
BELLSOUTH CAP FUNDING CORP	400,000	414,032	403,192	2/15/2010	7.75%	
BELLSOUTH CORP NT	35,000	34,074	37,485	9/15/2014	5.20%	
BEMIS COMPANY INC	75,000	84,155	82,459	8/1/2019	6.80%	
BERKSHIRE HATHAWAY FIN CORP	150,000	154,193	156,719	5/15/2018	5.40%	
BERKSHIRE HATHAWAY FIN CORP	65,000	66,121	69,895	8/15/2013	5.00%	
BHP BILLITON FIN USA LTD GTD	50,000	44,415	53,569	3/29/2017	5.40%	
BIOMET INC SR NT	375,000	363,883	407,344	10/15/2017	10.00%	
BLACKROCK INC NT	185,000	203,726	198,916	9/15/2017	6.25%	
BLOCK COMMUNATIONS INC 144A	145,000	95,700	140,831	12/15/2015	8.25%	
BMWLT 2009-1 A2	900,000	903,094	904,868	4/15/2011	2.04%	
BOEING CAPITAL CORP	385,000	381,943	375,602	10/27/2019	4.70%	
BOISE PAPER HOLDINGS LLC 144A	170,000	168,805	176,163	11/1/2017	9.00%	
BOMBARDIER INC NT 144A	100,000	77,500	99,000	5/1/2014	6.30%	
BOMBARDIER INC SR NT 144A	75,000	57,469	77,906	11/15/2014	8.00%	
BOSTON PROPERTIES INC	350,000	353,997	351,096	10/15/2019	5.88%	
BP CAP MKTS PLC GTD NT	275,000	287,084	299,538	11/7/2013	5.25%	
BRISTOW GROUP INC SR NT	50,000	33,500	49,500	9/15/2017	7.50%	
BSKYB FIN U K PLC USD SR 144A	225,000	233,345	229,484	10/15/2035	6.50%	
BUNGE LIMITED FINANCE CO	265,000	271,801	302,076	6/15/2019	8.50%	
BURLINGTN NORTH SANTA FE	200,000	199,650	198,136	10/1/2019	4.70%	
BURLINGTON NORTHN SANTA FE	100,000	109,820	114,208	6/1/2036	7.29%	
CALENERGY INC SR BDS	250,000	318,315	306,465	9/15/2028	8.48%	
CALPINE CONSTRUCTION FINANCE	335,000	316,975	345,050	6/1/2016	8.00%	
CALPINE CORP	755,000	714,419	724,800	10/15/2017	7.25%	
CANADIAN NAT RES LTD NT	150,000	129,597	161,206	2/1/2018	5.90%	
CAPITAL ONE BANK USA NA	485,000	562,193	573,110	7/15/2019	8.80%	
CAPITAL ONE FINL CORP SR NT	220,000	188,584	238,190	11/15/2013	6.25%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CAPITAL ONE FINL CORP SR NT	100,000	93,251	105,037	9/15/2011	5.70%	
CAPITAL ONE FINL CORP SR NT	100,000	96,857	107,607	9/15/2017	6.75%	
CARMAX AUTO OWNER 09-1 CL A-2	481,938	488,866	486,796	11/15/2011	3.31%	
CAROLINA PWR & LT CO 1ST MTG	225,000	225,709	240,824	9/15/2013	5.13%	
CAROLINA PWR & LT CO 1ST MTG	60,000	63,245	62,654	1/15/2019	5.30%	
CASE CORP NT	85,000	59,075	83,938	1/15/2016	7.25%	
CASE NEW HOLLAND INC	75,000	72,797	76,688	9/1/2013	7.75%	
CASELLA WASTE SYSTEMS IN 144A	115,000	111,794	124,488	7/15/2014	11.00%	
CATERPILLAR FINL SVCS CORP MTN	360,000	402,580	412,096	10/1/2018	7.05%	3
CATERPILLAR FINL SVCS CORP MTN	50,000	47,580	52,812	3/15/2016	5.50%	
CATERPILLAR FINL SVCS CORP MTN	150,000	154,638	167,151	9/30/2013	6.20%	
CELLCO PART/VERI WIRELSS	265,000	333,166	328,701	11/15/2018	8.50%	
CEMEX FINANCE LLC	175,000	175,000	183,313	12/14/2016	9.50%	
CENOVUS ENERGY INC 144A	295,000	307,225	307,706	10/15/2019	5.70%	
CENTERPOINT ENERGY HOUSTON	160,000	151,341	172,203	1/15/2014	5.75%	
CENTERPOINT ENERGY RES CORP	50,000	42,041	51,662	11/1/2017	6.13%	
CFAST 2009-B A2	700,000	699,962	699,874	11/8/2011	1.15%	
CHART INDS INC SR SUB NT	170,000	127,500	170,000	10/15/2015	9.13%	
CHESAPEAKE ENERGY CORP SR NT	345,000	296,700	361,388	7/15/2013	7.63%	
CHL MTG P/T 07-HY5 CL I-A-1	1,312,255	783,770	946,752	9/25/2047	5.91%	
CHS/CMNTY HEALTH SYS INC SR NT	1,285,000	1,243,879	1,329,974	7/15/2015	8.88%	
CIE GEN GEOPHYSIQUE	225,000	218,142	240,750	5/15/2016	9.50%	
CII CARBON L L C / CII 144A	350,000	224,000	352,188	11/15/2015	11.13%	
CINCINNATI BILL INC	290,000	285,830	294,350	10/15/2017	8.25%	
CINCINNATI FINL CORP SR NT	16,000	14,297	14,125	11/1/2034	6.13%	
CINCINNATI GAS & ELEC CO DEB	150,000	147,558	162,680	9/15/2012	5.70%	
CISCO SYS INC SR NT	170,000	168,913	171,885	2/15/2039	5.90%	
CISCO SYSTEMS INC	290,000	284,003	277,300	1/15/2040	5.50%	
CIT GROUP INC NEW SECD NT A	280,000	261,450	261,100	5/1/2013	7.00%	5,513
CIT GROUP INC NEW SECD SER A	220,000	201,300	204,325	5/1/2014	7.00%	
CIT GROUP INC NEW SECD SER A	470,000	421,825	420,650	5/1/2015	7.00%	
CIT GROUP INC NEW SECD SER A	775,000	673,831	682,000	5/1/2016	7.00%	
CIT GROUP INC NEW SECD SER A	490,000	414,225	425,075	5/1/2017	7.00%	
CITIBANK CR CARD 07-A1 CL A-1	1,245,000	1,243,249	1,244,115	3/22/2012	0.24%	
CITIBANK CR CARD 07-A3 CL A3	115,000	79,212	124,899	6/15/2039	6.15%	
CITIGROUP BANKING INST	875,000	995,016	1,010,405	5/22/2019	8.50%	
CITIGROUP CAP XXI ENHANCED PFD	310,000	202,380	298,375	12/21/2057	8.30%	
CITIGROUP COML MTG 2006-C4 A-1	238,836	228,743	244,929	3/15/2049	5.73%	
CITIGROUP INC	375,000	390,330	423,244	7/15/2039	8.13%	
CITIGROUP INC GLOBAL SR NT	150,000	129,453	145,541	5/29/2015	4.70%	
CITIGROUP INC GLOBAL SR NT	100,000	85,317	99,962	8/15/2017	6.00%	
CITIGROUP INC GLOBAL SUB NT	450,000	421,844	462,857	8/27/2012	5.63%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CITIGROUP INC SR NT	715,000	742,234	713,584	3/5/2038	6.88%	
CITIGROUP INC SR NT	250,000	249,313	251,353	5/15/2018	6.13%	
CITIGROUP INC SUB NT	250,000	219,895	241,005	9/15/2014	5.00%	
CLEAR CHANNEL WORLDWIDE	100,000	100,000	102,000	12/15/2017	9.25%	
CLEAR CHANNEL WORLDWIDE 144A	530,000	533,125	545,900	12/15/2017	9.25%	
CLEARWIRE CORP 144A	295,000	288,867	299,425	12/1/2015	12.00%	
CLEVELAND ELEC ILLUM CO SER D	250,000	288,910	294,453	11/1/2017	7.88%	
CME GROUP INC NT	200,000	198,664	215,842	8/1/2013	5.40%	
CMS ENERGY CORP SR NT	200,000	188,500	203,560	2/1/2012	6.30%	
CNH 2009-B A3	1,175,000	1,196,389	1,192,389	3/15/2013	2.97%	
COLUMBUS SOUTH N PWR CO SR NT	75,000	72,660	79,032	5/1/2018	6.05%	
COMCAST CORP NEW GTD NT	100,000	95,197	107,692	3/15/2016	5.90%	
COMCAST CORP NEW NT	340,000	332,806	355,800	3/15/2011	5.50%	12,847
COMCAST CORP NEW NT	805,000	840,473	834,672	11/15/2035	6.50%	
COMCAST CORP NEW NT	430,000	437,207	442,483	5/15/2038	6.40%	
COMM 2004 LNB4 COML MTG CL A-2	518,531	505,096	518,531	10/15/2037	4.05%	
COMMERCIAL BARGE LINE CO	20,000	19,174	20,800	7/15/2017	12.50%	
COMPAGNIE GENERALE DE SR NT	415,000	257,300	411,888	5/15/2015	7.50%	
COMPASS MINERALS INTERNA	200,000	194,994	206,000	6/1/2019	8.00%	
CONNECTICUT LT & PWR CO 1ST &	40,000	39,853	42,608	5/1/2018	5.65%	
CONOCO FDG CO NT	50,000	52,602	54,398	10/15/2011	6.35%	
CONOCO FDG CO NT	50,000	53,795	57,938	10/15/2031	7.25%	
CONOCO INC SR NTS	690,000	804,361	782,150	4/15/2029	6.95%	
CONOCOPHILLIPS	285,000	316,481	315,786	1/15/2020	6.00%	
CONOCOPHILLIPS CDA FDG CO I NT	150,000	153,021	162,720	10/15/2016	5.63%	
CONOCOPHILLIPS GTD NT	335,000	361,750	343,385	5/15/2038	5.90%	
CONOCOPHILLIPS GTD NT	460,000	529,487	510,526	2/1/2039	6.50%	
CONSOLIDATED EDISON CO N Y INC	390,000	441,106	419,987	8/15/2037	6.30%	
CONSOLIDATED NAT GAS CO SR NT	175,000	186,725	183,379	3/1/2014	5.00%	
CONSUMERS ENERGY CO 1ST MTG BD	405,000	469,776	456,573	9/15/2019	6.70%	13,075
CONTINENTAL AIRLS 99-2 CL A-1	55,662	42,860	54,549	3/15/2020	7.26%	
CONTINENTAL RESOURCES	145,000	143,782	152,250	10/1/2019	8.25%	
COPANO ENERGY L L C	180,000	130,500	181,800	3/1/2016	8.13%	
CORPORATE PPTY INVS NT 144A	150,000	164,789	165,621	3/15/2016	7.88%	
COX COMMUNICATIONS INC 144A	295,000	350,268	367,328	3/1/2039	8.38%	
CPM HOLDINGS INC	105,000	103,006	110,775	9/1/2014	10.63%	
CREDIT SUISSE FB USA INC NT	500,000	511,405	544,095	1/15/2012	6.50%	
CREDIT SUISSE FB USA INC SR NT	100,000	101,004	107,838	11/15/2011	6.13%	
CREDIT SUISSE N Y BRH SUB NT	550,000	502,794	575,487	2/15/2018	6.00%	
CRICKET COMMUNICATIONS I	255,000	245,142	254,363	5/15/2016	7.75%	
CROWN AMERICAS LLC	230,000	223,312	238,625	5/15/2017	7.63%	
CROWN AMERS LLC SR NT	225,000	223,875	232,875	11/15/2015	7.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CROWN CASTLE INTL CORP SR NT	50,000	45,932	53,250	1/15/2015	9.00%	
CROWN CASTLE TOWERS LLC	285,000	288,741	287,850	6/15/2035	5.61%	
CRUM & FORSTER HLDGS CORP SR	355,000	253,850	337,694	5/1/2017	7.75%	
CSC HLDGS INC SR DEB NT	175,000	136,500	180,250	7/15/2018	7.63%	
CSMC 2006-C4 A3	1,630,000	1,244,815	1,396,127	9/15/2039	5.47%	
CSX CORP NT	110,000	115,661	125,666	2/1/2019	7.38%	
CWALT INC 2004-2 1-A-9 04-2CB	1,404,093	1,141,635	787,556	3/25/2034	5.75%	
DAIMLER CHRYSLER HLDG CORP NT	190,000	224,451	233,480	1/18/2031	8.50%	
DANSKE CORP SER A IB NT	1,400,000	1,400,000	1,400,000	2/18/2010	-2.70%	
DEAN WITTER DISCOVER & CO	40,000	33,056	43,712	10/15/2013	6.75%	
DELHAIZE AMER INC DEB	241,000	314,242	308,552	4/15/2031	9.00%	
DELL COMPUTER CORP DEBS	290,000	311,025	313,612	4/15/2028	7.10%	
DELTA AIR LINES	485,000	478,031	503,794	9/15/2014	9.50%	
DELTA AIR LINES	560,000	533,277	560,000	3/15/2015	12.25%	46
DEUTSCHE ALT A SECS 05-3 4A5	768,478	578,649	643,262	6/25/2035	5.25%	
DEUTSCHE ALT B 2006 AB2 A-3	840,000	381,452	407,803	6/25/2036	6.27%	
DEUTSCHE TELEKOM INT FIN	1,090,000	1,104,858	1,163,759	7/8/2019	6.00%	
DEUTSCHE TELEKOM INTL FIN BV	125,000	161,559	160,764	6/15/2030	8.75%	
DEVON FING CORP ULC DEB	565,000	694,617	707,894	9/30/2031	7.88%	
DEVON FING CORP ULC NT	40,000	43,603	43,453	9/30/2011	6.88%	
DIAGEO CAP PLC GTD NT	260,000	284,856	279,807	10/23/2017	5.75%	
DIAGEO CAP PLC GTD NT	225,000	239,681	260,282	1/15/2014	7.38%	
DIAGEO FIN BV GTD NT	50,000	48,136	53,947	10/28/2015	5.30%	
DIGITALGLOBE INC SR SECD 144A	555,000	546,179	593,850	5/1/2014	10.50%	
DISCOVERY COMMUNICATIONS LLC	85,000	87,570	87,765	8/15/2019	5.63%	
DL FIN SUB LLC / DYNCORP	220,000	191,675	222,750	2/15/2013	9.50%	
DOLE FOODS CO	185,000	181,365	187,775	10/1/2016	8.00%	
DOLLAR FINANCIAL CORP 144A	140,000	139,157	143,150	12/15/2016	10.38%	
DOLLAR GEN CORP SR NT	53,000	53,967	58,698	7/15/2015	10.63%	
DOMINION RES INC VA NEW SR NT	96,000	96,304	103,688	6/30/2012	6.25%	
DOMINION RES INC VA NEW SR NT	375,000	449,006	432,034	6/15/2038	7.00%	
DOW CHEM CO NT	40,000	37,671	43,675	11/1/2029	7.38%	
DOW CHEM CO NT	150,000	150,204	156,371	2/1/2011	6.13%	
DOW CHEM CO NT	200,000	193,208	215,142	10/1/2012	6.00%	
DOW CHEMICAL CO/THE	175,000	230,897	231,376	5/15/2039	9.40%	
DU PONT E I DE NEMOURS & CO NT	150,000	157,544	163,676	7/15/2018	6.00%	
DUKE CAP CORP	130,000	152,766	152,142	10/1/2019	8.00%	
DUKE CAP CORP ST NT	100,000	87,575	105,135	3/1/2014	5.50%	
DUKE ENERGY CAROLINAS LLC 1ST	140,000	148,540	144,831	4/15/2018	5.10%	
DUKE ENERGY CORP SR NT	75,000	77,714	81,084	1/15/2012	6.25%	75,000
DUKE ENERGY CORP SR NT	75,000	76,381	81,878	11/30/2012	5.63%	
DUKE ENERGY IND INC FIRST MTG	365,000	410,794	398,752	8/15/2038	6.35%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
DUPONT FABROS TECH LP 144A	165,000	165,000	167,681	12/15/2017	8.50%	
DYNEGY HLDGS INC SR NT	695,000	470,050	602,913	6/1/2019	7.75%	
DYNEGY HLDGS INC SR NT	115,000	80,500	107,525	6/1/2015	7.50%	
E ON INTL FIN B V GTD NT 144A	335,000	361,281	359,790	4/30/2018	5.80%	
ECANA CORP	275,000	303,083	307,626	5/15/2019	6.50%	
EDGEN MURRAY CORP	140,000	138,683	137,550	1/15/2015	12.25%	
EDISON MISSION ENERGY SR NT	35,000	32,025	32,900	6/15/2013	7.50%	
EL PASO CORP SR NT	135,000	107,145	133,380	6/1/2018	7.25%	
EL PASO CORP SR NT	280,000	259,003	298,900	2/15/2016	8.25%	
EL PASO NAT GAS CO NT	150,000	135,155	175,034	1/15/2022	8.63%	
EL PASO NAT GAS ENERGY CORP	150,000	129,434	178,137	6/15/2032	8.38%	80
ELAN CORP PLC 144A	200,000	197,420	191,000	10/15/2016	8.75%	
ELAN FIN PLC / ELAN FIN CORP	150,000	122,063	149,250	12/1/2013	8.88%	
ELWOOD ENERGY LLC SR SECD BD	201,414	136,551	185,210	7/5/2026	8.16%	
ENCANA CORP NT	850,000	775,846	925,556	2/1/2038	6.50%	
ENEL FIN INTL SA GTD NT 144A	190,000	214,713	210,079	9/15/2037	6.80%	
ENEL FINANCE INTL SA 144A	200,000	199,652	201,236	10/7/2019	5.13%	
ENTERPRISE PRODS OPER LP GTD	140,000	65,800	128,450	1/15/2068	7.03%	
ENTERPRISE PRODUCTS OPER	275,000	270,306	265,669	10/15/2039	6.13%	
ERAC USA FIN CO GTD 144A	965,000	914,338	944,552	10/15/2037	7.00%	
ERP OPER LTD PARTNERSHIP NT	875,000	767,778	875,096	6/15/2017	5.75%	
FAIRFAX FINL HLDGS LTD NT	120,000	84,150	120,150	4/15/2018	7.38%	120,000
FAIRFAX FINL HLDGS LTD SR NT	200,000	152,000	202,750	6/15/2017	7.75%	
FBG FIN LTD NT 144A	385,000	375,937	355,640	6/15/2035	5.88%	
FEDERAL HOME LN MTG CORP DEBS	300,000	336,786	326,625	1/15/2012	5.75%	
FEDERAL HOME LN MTG CORP MTN	1,250,000	1,250,000	1,251,650	1/28/2011	0.33%	
FEDERATED RETAIL HLDGS INC GTD	75,000	62,625	76,594	3/15/2012	5.35%	
FEDERATED RETAIL HLDGS INC NT	445,000	326,863	433,875	12/1/2016	5.90%	
FELCOR LODGING TRUST INC	250,000	224,090	252,188	10/1/2014	10.00%	
FERRELLGAS PARTNERS LP	115,000	113,389	121,613	10/1/2017	9.13%	
FHLMC POOL #C9-0781	331,761	337,341	322,996	10/1/2023	3.50%	
FHLMC POOL #E9-3561	612,526	631,337	645,719	12/1/2017	5.00%	
FHLMC GROUP #C4-6008	27,479	28,633	29,729	12/1/2030	6.50%	
FHLMC MULTI MTG 2906 BO PO	131,559	89,666	127,567	4/15/2033	0.00%	
FHLMC MULTI MTG 3201 OG PO	500,000	340,760	336,410	8/15/2036	0.00%	
FHLMC MULTICLAS MTG	453,351	377,623	375,257	9/15/2036	0.00%	
FHLMC MULTICLASS 2695 OB PO	643,453	431,114	454,329	10/15/2033	0.00%	
FHLMC MULTICLASS CTF 2176 OJ	237,027	248,009	250,303	8/15/2029	7.00%	
FHLMC MULTICLASS CTF 2259 ZM	413,708	433,272	450,814	10/15/2030	7.00%	
FHLMC MULTICLASS CTF 2344 ZD	504,227	525,248	545,796	8/15/2031	6.50%	
FHLMC MULTICLASS CTFS 1608 L	734,450	772,472	793,654	9/15/2023	6.50%	
FHLMC MULTICLASS CTFS 2141 PD	1,000,000	1,054,840	1,080,310	4/15/2029	6.50%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FHLMC MULTICLASS CTFS 2163 QL	700,000	757,708	767,655	6/15/2029	7.00%	
FHLMC MULTICLASS CTFS 2391 QR	391,431	406,881	415,821	12/15/2016	5.50%	
FHLMC MULTICLASS CTFS 2594 VQ	1,000,000	1,031,190	1,046,630	8/15/2020	6.00%	
FHLMC MULTICLASS MTG	125,000	126,008	135,093	2/15/2032	15.63%	
FHLMC MULTICLASS MTG	236,172	247,518	251,956	8/15/2016	6.00%	
FHLMC MULTICLASS MTG	244,289	251,732	255,790	2/15/2022	5.50%	
FHLMC MULTICLASS MTG	82,929	82,061	89,659	2/15/2025	20.90%	
FHLMC MULTICLASS MTG	164,943	138,475	141,343	11/15/2033	8.70%	
FHLMC MULTICLASS MTG	357,693	294,732	295,823	4/15/2034	8.73%	
FHLMC MULTICLASS MTG	357,050	299,797	287,329	5/15/2034	8.58%	
FHLMC MULTICLASS MTG	476,912	379,188	403,840	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 2366 MD	277,585	287,125	294,598	10/15/2016	6.00%	
FHLMC MULTICLASS MTG 2624 QE	635,000	659,644	661,645	9/15/2028	5.00%	
FHLMC MULTICLASS MTG 2682 YS	176,900	139,519	141,065	10/15/2033	8.65%	
FHLMC MULTICLASS MTG 2690 SJ	355,026	296,946	287,376	10/15/2033	8.80%	
FHLMC MULTICLASS MTG 2762 LO P	223,317	149,631	170,808	3/15/2034	0.00%	
FHLMC MULTICLASS MTG 2953 LZ	1,328,818	1,511,793	1,390,090	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	380,127	486,528	5/15/2035	23.42%	
FHLMC MULTICLASS MTG 3607 BO	200,000	114,000	118,790	4/15/2036	0.00%	
FIFTH THIRD BANCORP SUB NT	100,000	81,978	89,524	1/15/2017	5.45%	
FIREKEEPERS DEV AUTH SR 144A	375,000	232,500	425,625	5/1/2015	13.88%	
FIRST HORIZON MTG 03-9 I A 6	1,409,119	1,215,240	1,122,503	11/25/2033	5.50%	
FIRST UNION CORP SUB NT STEP	335,000	374,440	363,395	8/1/2026	7.57%	
FIRSTENERGY CORP NT SER C	370,000	393,335	401,043	11/15/2031	7.38%	
FLEET FINL GROUP SUB DEBS	175,000	175,499	170,366	7/15/2028	6.70%	
FLEXTRONICS INTL LTD SR SUB NT	75,000	59,250	75,188	5/15/2013	6.50%	
FLORIDA PWR & LT CO 1ST MTG BD	290,000	294,428	292,358	2/1/2037	5.65%	
FLORIDA PWR & LT CO 1ST MTG BD	100,000	111,385	105,050	2/1/2038	5.95%	
FLORIDA PWR & LT CO NT	40,000	44,222	41,870	10/1/2033	5.95%	
FLORIDA PWR CORP 1ST MTG BD	190,000	217,674	207,440	6/15/2038	6.40%	
FLORIDA PWR CORP 1ST MTG DTD	20,000	20,740	21,465	6/15/2018	5.65%	
FMG FIN PTY LTD SER SECD 144A	405,000	238,950	421,200	9/1/2013	10.00%	
FMG FIN PTY LTD SR SECD 144A	150,000	87,000	165,938	9/1/2016	10.63%	
FMR LLC 144A	250,000	248,218	233,165	11/15/2039	6.45%	
FNMA POOL #0490445	235,602	244,029	252,283	3/1/2029	6.00%	
FNMA POOL #0524958	24,726	25,897	27,054	3/1/2015	7.50%	
FNMA GTD REMIC CTF 329 I PO	84,756	76,645	70,732	12/1/2032	0.00%	
FNMA GTD REMIC CTFS 02-3 PG	431,833	449,650	458,421	2/25/2017	5.50%	
FNMA GTD REMIC P/T	433,948	455,732	464,754	3/25/2017	6.00%	
FNMA GTD REMIC P/T	1,394,871	1,432,574	1,469,357	4/25/2017	5.50%	
FNMA GTD REMIC P/T	7,740	711	390	6/25/2022	5.50%	
FNMA GTD REMIC P/T 01-44 PU	296,646	319,556	324,925	9/25/2031	7.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FNMA GTD REMIC P/T 02-21 PE	452,927	478,549	490,389	4/25/2032	6.50%	
FNMA GTD REMIC P/T 02-3 OG	474,646	495,749	508,427	2/25/2017	6.00%	
FNMA GTD REMIC P/T 02-56 UC	593,739	617,844	631,405	9/25/2017	5.50%	
FNMA GTD REMIC P/T 03-35 EA PO	570,969	413,953	385,033	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	271,672	309,707	327,746	5/25/2033	19.30%	
FNMA GTD REMIC P/T 03-71 PS	110,254	83,589	83,160	8/25/2033	7.15%	
FNMA GTD REMIC P/T 03-89 XC	502,650	528,682	537,961	9/25/2014	6.00%	
FNMA GTD REMIC P/T 03-W2 2A9	795,353	822,697	836,361	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-27 HB	1,300,000	1,296,347	1,303,328	5/25/2019	4.00%	
FNMA GTD REMIC P/T 05-110 GK	2,000,000	2,053,280	2,094,320	8/25/2034	5.50%	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,033,130	1,056,960	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-69 DZ	1,024,229	1,163,094	1,092,197	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,092,740	1,093,040	8/25/2036	6.50%	
FNMA GTD REMIC P/T 09-99 SC IO	1,469,003	126,472	131,505	12/25/2039	5.95%	
FNMA GTD REMIC P/T CTF 94-40-Z	1,596,231	1,674,527	1,740,419	3/25/2024	6.50%	
FORD CR AUTO 06-B A-4	628,944	603,264	641,064	9/15/2011	5.25%	
FORD CR AUTO 07 B CL A-3A	479,831	460,844	489,096	11/15/2011	5.15%	
FORD MOTOR CREDIT CO LLC	400,000	381,250	403,384	8/1/2012	7.50%	1,267
FORD MOTOR CREDIT CO LLC	950,000	938,648	993,216	10/1/2014	8.70%	
FORD MTR CR CO GLOBAL NT	90,000	83,250	89,864	10/1/2013	7.00%	
FORD MTR CR CO LLC NT	200,000	191,250	202,150	6/1/2012	7.80%	
FOREST OIL CORP SR NT 144 A	275,000	261,663	287,375	2/15/2014	8.50%	
FPL GROUP CAP INC DEB	390,000	437,561	420,026	3/1/2019	6.00%	146
FRANCE TELECOM SA NT	250,000	263,075	267,943	3/1/2011	7.75%	
FRANCE TELECOM SA NT	375,000	517,376	499,054	3/1/2031	8.50%	
FRONTIER COMMUNICATIONS CORP	200,000	183,610	208,500	5/1/2014	8.25%	
FRONTIER OIL CORP SR NT	45,000	43,538	46,800	9/15/2016	8.50%	5
GE CAP CR CARD MSTR 05-1 CL A	1,105,000	1,103,835	1,103,353	3/15/2013	0.27%	
GENERAL CABLE CORP DEL NEW SR	35,000	23,100	34,388	4/1/2017	7.13%	
GENERAL ELEC CAP CORP	25,000	24,972	25,951	8/7/2019	6.00%	
GENERAL ELEC CAP CORP #TR00806	2,610,000	2,487,213	2,416,546	1/14/2038	5.88%	
GENERAL ELEC CAP CORP MEDIUM	415,000	405,617	391,893	8/7/2037	6.15%	
GENERAL ELEC CAP CORP MEDIUM	300,000	302,175	307,422	5/1/2018	5.63%	
GENERAL ELEC CAP MTN #TR 00528	700,000	721,901	713,692	3/15/2032	6.75%	
GENERAL ELEC CAP MTN #TR 00775	400,000	398,124	408,236	2/15/2017	5.40%	
GENERAL ELEC CAP MTN #TR00727	500,000	477,760	500,065	1/20/2010	0.35%	
GENERAL MARITIME CORP	175,000	170,646	182,219	11/15/2017	12.00%	
GENERAL MLS INC NT	50,000	51,869	53,984	2/15/2012	6.00%	
GENWORTH FINL INC JR SUB NT	140,000	67,900	99,050	11/15/2066	6.15%	
GEOEYE INC 144A	445,000	445,214	457,794	10/1/2015	9.63%	
GEOKINETICS HOLDINGS INC	285,000	279,565	280,013	12/15/2014	9.75%	
GEORGIA GULF CORPORATION 144A	190,000	189,595	191,900	1/15/2017	9.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
GLOBAL CROSSING LTD 144A	405,000	413,132	444,488	9/15/2015	12.00%	
GMACM MTG LN TR 03-J7 A7	677,319	587,575	576,135	11/25/2033	5.00%	
GNMA GTD REM P/T 07-028 BO PO	253,410	203,906	214,539	5/20/2037	0.00%	
GNMA GTD REMIC 2002-54 GB	199,963	207,828	216,828	8/20/2032	6.50%	
GNMA GTD REMIC P/T 01-8 Z	636,375	669,422	688,233	3/20/2031	6.50%	
GNMA GTD REMIC P/T 02-45 QE	99,413	103,322	107,704	6/20/2032	6.50%	
GNMA GTD REMIC P/T 05-045 ZA	719,996	721,671	721,671	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,306,500	1,268,363	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-49 NO	724,951	644,808	675,350	12/20/2035	0.00%	
GNMA GTD REMIC P/T 07-71 SB IO	627,436	50,634	70,405	7/20/2036	6.47%	
GNMA GTD REMIC P/T 09-1 KS	299,986	317,611	279,251	11/20/2034	13.03%	
GNMA GTD REMIC P/T 09-32 ZA	149,348	146,746	142,212	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	611,710	646,361	615,839	8/16/2039	6.00%	
GNMA GTD REMIC P/T 09-79 OK	487,927	408,944	394,874	11/16/2037	0.00%	
GNMA GTD REMIC P/T 2002-40 UK	315,195	329,867	341,447	6/20/2032	6.50%	
GNMA GTD REMIC P/T 2009-40 SL	1,517,328	97,204	135,740	3/16/2034	6.27%	
GOLDMAN SACHS GROUP INC NT	500,000	503,650	530,215	1/15/2011	6.88%	
GOLDMAN SACHS GROUP INC SER B	1,000,000	988,820	1,004,259	1/28/2010	7.80%	
GOLDMAN SACHS GROUP INC SR	220,000	208,597	232,313	1/18/2018	5.95%	
GOLDMAN SACHS GROUP INC SR NT	175,000	165,394	187,672	9/1/2017	6.25%	
GOLDMAN SACHS GROUP INC SUB NT	2,070,000	1,855,644	2,127,731	10/1/2037	6.75%	
GOODYEAR TIRE & RUBBER CO	195,000	186,900	215,475	5/15/2016	10.50%	
GRAPHIC PACKAGING INTL	135,000	131,344	143,100	6/15/2017	9.50%	
GRAPHIC PACKAGING INTL INC SR	190,000	131,100	196,175	8/15/2013	9.50%	14,265
GREIF INC	170,000	164,283	173,400	8/1/2019	7.75%	
GS MTG SECS CORP 03-3F 4A3	1,472,834	953,898	1,360,691	4/25/2033	5.75%	
GSAA HOME EQUITY 07 10 CL A1A	549,645	302,283	399,350	11/25/2037	6.00%	
GSAA HOME EQUITY 07 10 CL A2A	1,174,335	550,106	764,422	11/25/2037	6.50%	
HALLIBURTON CO	75,000	73,273	92,794	9/15/2039	7.45%	
HALLIBURTON CO DEB	360,000	465,905	453,848	2/15/2021	8.75%	
HANCOCK JOHN II MTN 00001 144A	150,000	154,775	154,725	7/2/2010	7.90%	
HARLEY DAVIDSON FUNDING 144A	280,000	279,828	284,276	12/15/2014	5.75%	
HAROT 2009-2 A2	410,000	409,982	412,784	5/17/2010	2.22%	
HARRAHS OPERATING CO INC	120,000	120,000	125,550	6/1/2017	11.25%	
HARRAH'S OPERATING ESCROW LLC/	55,000	56,856	57,544	6/1/2017	11.25%	
HART 2009-A A2	420,000	419,974	421,004	2/15/2012	1.11%	
HARTFORD FINL SVCS GROUP INC	300,000	220,875	288,000	6/15/2068	8.13%	
HCA INC	540,000	530,572	562,275	2/15/2020	7.88%	
HCA INC 144A	325,000	314,454	350,188	4/15/2019	8.50%	
HCA INC SR SECD NT	145,000	135,729	152,975	11/15/2014	9.13%	
HCA INC SR SECD NT 144A	275,000	260,635	303,875	2/15/2017	9.88%	
HDMOT 2009-4 A2	470,000	469,971	469,718	10/15/2012	1.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
HEALTH MGMT ASSOC INC	350,000	217,000	328,125	4/15/2016	6.13%	
HEALTHCARE RLTY TR INC SR NT	55,000	53,639	53,068	4/1/2014	5.13%	
HERTZ CORP SR NT	985,000	778,499	1,007,162	1/1/2014	8.88%	
HEWLETT PACKARD CO GLOBAL NT	150,000	150,282	159,954	3/1/2017	5.40%	
HEWLETT PACKARD CO GLOBAL NT	750,000	725,205	750,367	6/15/2010	0.31%	
HOME DEPOT INC SR NT	125,000	111,865	130,858	3/1/2016	5.40%	
HOME DEPOT INC SR NT	1,105,000	896,792	1,066,611	12/16/2036	5.88%	
HOST HOTELS & RESORTS LP	115,000	111,089	124,344	5/15/2017	9.00%	26,683
HOST MARRIOTT L P SR NT SER J	330,000	265,650	335,363	11/1/2013	7.13%	5
HOST MARRIOTT LP SR NT SER Q	50,000	36,500	49,750	6/1/2016	6.75%	34
HRPT PPTYS TR SR NT	175,000	91,284	160,482	1/15/2018	6.65%	
HSBC AUTOMOTIVE TR 06-3 CL A4	862,000	899,234	892,498	9/17/2013	5.34%	
HSBC FIN CORP NT	1,570,000	1,563,202	1,659,302	5/15/2011	6.75%	
HSBC FIN CORP NT	200,000	206,956	209,816	1/19/2016	5.50%	
HSBC FIN CORP NT	165,000	172,669	172,669	1/15/2014	5.25%	30,589
HSBC HLDGS PLC SUB NT	700,000	774,571	734,174	5/2/2036	6.50%	
HUGHES NTKW SYS/HNS FIN	190,000	172,777	194,275	4/15/2014	9.50%	
HUNTSMAN INTL LLC	140,000	112,000	124,250	6/30/2016	5.50%	
HUSKY ENERGY INC	225,000	259,706	260,010	12/15/2019	7.25%	
HUTCHINSON WHAMPOA FINANC	440,000	451,678	446,054	9/11/2019	5.75%	
IBM CORP	440,000	438,816	440,776	11/30/2039	5.60%	
INDYMAC INDX MTG 2006-AR11 3A1	882,931	428,363	396,719	6/25/2036	5.18%	
INERGY LP/INERGY FIN	145,000	138,465	148,988	3/1/2015	8.75%	
ING CAP FDG TR III GTD TR	185,000	127,838	159,100	12/29/2049	8.44%	
ING GROEP N V PERP DEBT SECS	261,000	179,070	192,798	12/29/2049	5.78%	
INMARSAT FINANCE PLC	295,000	292,805	301,638	12/1/2017	7.38%	
INTELSAT JACKSON HLDG 144A	330,000	327,248	339,900	11/1/2019	8.50%	
INTELSAT SUBSIDIARY HLDG CO	145,000	135,825	149,350	1/15/2015	8.88%	
INTELSAT SUBSIDIARY HOLDING CO	545,000	504,125	555,900	1/15/2013	8.50%	
INTERATIONAL LEASE #TR 00583	250,000	166,943	196,103	9/20/2013	5.63%	
INTERNATIONAL BUSINESS MACHS	300,000	359,757	366,342	10/15/2018	7.63%	
INTERPUBLIC GROUP COS	205,000	200,814	227,550	7/15/2017	10.00%	
INUIT SR NT	60,000	63,092	62,145	3/15/2017	5.75%	
INVERNESS MEDICAL INNOV	425,000	415,313	416,500	2/1/2016	7.88%	9
IRON MTN INC PA SR SUB NT	130,000	116,675	130,650	1/15/2015	7.75%	
JABIL CIRCUIT INC SR NT	45,000	28,575	48,150	3/15/2018	8.25%	
JDA SOFTWARE GROUP INC	115,000	113,836	117,300	12/15/2014	8.00%	
JDOT 2009-B A2	220,000	219,984	220,136	3/15/2012	0.85%	
JEFFERIES GROUP INC	30,000	31,756	32,794	7/15/2019	8.50%	
JEFFERIES GROUP INC NEW SR DEB	185,000	146,596	147,425	1/15/2036	6.25%	
JEFFERIES GROUP INC NEW SR NT	290,000	243,174	247,150	6/8/2027	6.45%	
JOHN DEERE CAP CORP MTN	60,000	56,215	63,410	4/3/2018	5.35%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
JPMCC 2009-1WST C	200,000	198,461	198,461	12/5/2027	7.44%	
JPMORGAN CHASE & CO SR NT	365,000	389,426	392,371	1/15/2018	6.00%	
KABEL DEUTSCHLAND GMBH	550,000	489,500	574,750	7/1/2014	10.63%	
KANSAS CITY SOUTH N RY CO NT	60,000	47,134	62,175	6/1/2015	8.00%	
KB HOME	190,000	186,227	199,500	9/15/2017	9.10%	
KELLOGG CO SR NT	50,000	48,420	52,416	3/6/2013	4.25%	
KERR MCGEE CORP NT	75,000	86,433	87,475	9/15/2031	7.88%	
KEYCORP MEDIUM TERM SR NTS	75,000	69,142	77,411	5/14/2013	6.50%	
KIMBERLY CLARK CORP SR NT	15,000	17,663	18,147	11/1/2018	7.50%	
KINDER MORGAN ENER PART	290,000	318,408	321,691	2/15/2020	6.85%	
KINDER MORGAN FIN CORP ULC	190,000	141,550	182,400	1/5/2016	5.70%	
KOHL'S CORP NT	460,000	400,356	521,709	12/15/2037	6.88%	
KOPPERS INC 144A	43,000	42,274	43,430	12/1/2019	7.88%	
KRAFT FOODS INC GLOBAL NT	275,000	278,765	276,246	11/1/2031	6.50%	
KRAFT FOODS INC NT	325,000	349,862	341,049	2/1/2038	6.88%	
KRAFT FOODS INC NT	335,000	347,581	352,266	2/1/2018	6.13%	
KRAFT FOODS INC NT	100,000	103,777	110,583	2/19/2014	6.75%	
KROGER CO NT	135,000	137,213	135,652	2/1/2010	8.05%	
KROGER CO SR NT	200,000	216,618	214,050	1/15/2020	6.15%	
KROGER CO SR NT SER B	145,000	169,516	169,883	6/1/2029	7.70%	
LAMAR MEDIA CORP	400,000	368,907	441,500	4/1/2014	9.75%	
LBG CAPITAL NO.1 PLC	220,000	121,000	177,063	11/1/2020	7.88%	
LEHMAN XS TR 2005-6 CL 3A2B	1,230,000	985,513	729,156	11/25/2035	5.42%	
LEUCADIA NATL CORP SR NT	235,000	186,825	235,881	8/15/2013	7.00%	
LEUCADIA NATL CORP SR NT	510,000	378,675	481,950	3/15/2017	7.13%	
LEUCADIA NATL CORP SR NT	245,000	194,163	249,900	9/15/2015	8.13%	
LEVEL 3 FING INC GTD SR NT	755,000	520,159	570,025	2/15/2015	5.47%	
LEVEL 3 FING INC GTD SR NT	610,000	484,438	556,625	2/15/2017	8.75%	
LEVI STRAUSS & CO NEW SR NT	155,000	143,763	162,750	1/15/2015	9.75%	
LIBERTY PPTY LTD PARTNERSHIP	75,000	74,830	72,732	10/1/2017	6.63%	
LINCOLN NATIONAL CORP	140,000	163,282	159,964	7/1/2019	8.75%	
LINCOLN NATIONAL CORP	85,000	84,873	83,766	2/15/2020	6.25%	
LINCOLN NATL CORP IND	160,000	102,550	132,800	5/17/2066	7.00%	
LINCOLN NATL CORP IND CAP SECS	130,000	80,021	100,750	4/20/2067	6.05%	
LINN ENERGY LLC	145,000	94,638	154,063	7/1/2018	9.88%	
LOCAL INSIGHT REGATTA SER B	145,000	37,700	93,525	12/1/2017	11.00%	
LOCKHEED MARTIN CO GTD	130,000	155,566	154,863	5/1/2026	7.75%	
LOCKHEED MARTIN CORP DEB	342,000	462,487	443,386	12/1/2029	8.50%	
LOWES COS INC NT	255,000	298,661	290,850	9/15/2037	6.65%	
LUBRIZOL CORP SR NT	120,000	150,392	149,194	2/1/2019	8.88%	
MACK CALI RLTY LP NT	720,000	692,705	688,154	1/15/2015	5.13%	
MACY'S RETAIL HLDGS INC GTD SR	75,000	60,000	77,063	1/15/2013	5.88%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
MACYS RETAIL HLDGS INC SR DEB	10,000	9,150	10,350	7/15/2017	7.45%	
MACYS RETAIL HLDGS INC SR NT	265,000	269,306	292,163	7/15/2015	8.88%	
MANUFACTURERS & TRADERS TR CO	250,000	258,265	256,383	12/4/2017	6.63%	
MARATHON OIL CORP SR NT	250,000	291,555	288,530	2/15/2019	7.50%	
MARINER ENERGY INC SR NT	105,000	54,600	100,800	5/15/2017	8.00%	
MARKWEST ENERGY	125,000	79,688	127,188	7/15/2016	8.50%	
MARKWEST ENERGY PARTNERS/LP	185,000	114,700	190,550	4/15/2018	8.75%	
MARSHALL & ILSLEY MTN # 00145	200,000	180,984	198,548	4/1/2011	5.35%	8
MASSACHUSETTS ELECTRIC	271,000	270,095	275,149	11/15/2039	5.90%	
MASSMUTUAL GLOBAL FDG #TR00020	250,000	243,915	250,805	3/15/2010	3.50%	
MASTR ASSET BKD 05-AB1 CL A3B	1,440,100	994,202	1,268,194	10/25/2032	5.23%	
MAY DEPT STORES CO NT	320,000	273,600	320,800	7/15/2014	5.75%	
MBNA CR CARD 03 1 CL C	100,000	67,701	99,975	6/15/2012	1.93%	
MCJUNKIN RED MAN CORP 144A	390,000	380,738	381,225	12/15/2016	9.50%	
MEDIACOM BROADBAND LLC/CRP	380,000	257,153	383,800	10/15/2015	8.50%	6,946
MEDIACOM LLC/CAPTL CORP	435,000	428,475	443,700	8/15/2019	9.13%	
MERRILL LYNCH & CO INC MEDIUM	940,000	989,729	1,012,793	4/25/2018	6.88%	
MERRILL LYNCH & CO INC MTN	200,000	200,374	210,476	8/28/2017	6.40%	
MERRILL LYNCH & CO INC SUB NT	100,000	88,596	98,017	5/2/2017	5.70%	
MERRILL LYNCH MTG 05-MCP1 A-SB	450,000	406,278	454,635	5/12/2043	4.67%	
MERRILL LYNCH MTG 2005-LC1 A4	250,000	205,080	242,858	1/12/2044	5.29%	
METLIFE INC SR DEB SECS SER A	75,000	71,430	83,532	8/15/2018	6.82%	
METLIFE INC SR DEBT SECS SER B	90,000	106,554	105,763	2/15/2019	7.72%	
METLIFE INC SR NT	500,000	509,190	493,790	6/15/2035	5.70%	
MGM MIRAGE INC	305,000	296,411	330,925	5/15/2014	10.38%	191,283
MGM MIRAGE INC	310,000	301,766	343,325	11/15/2017	11.13%	
MIDAMERICAN ENERGY HLDGS CO	785,000	901,690	844,173	9/15/2037	6.50%	
MIDAMERICAN FDG LLC SR SECD NT	175,000	176,078	182,779	3/1/2011	6.75%	
MIRANT MID-ATLANTIC LLC SER C	292,288	267,169	302,518	12/30/2028	10.06%	
MIRANT NORTH AMER LLC	195,000	169,566	192,806	12/31/2013	7.37%	
ML CFC COML MTG TR 2006-1 A4	425,000	342,278	409,139	2/12/2039	5.42%	
MOHAWK INDS INC SR NT	280,000	240,519	278,600	1/15/2016	6.63%	
MORGAN STANLEY 06-IQ12 CL A1	185,462	174,870	189,529	12/15/2043	5.26%	
MORGAN STANLEY 2006-TOP23 A-1	87,448	83,118	89,181	8/12/2041	5.68%	
MORGAN STANLEY DEAN WITTER CO	800,000	802,904	824,912	6/15/2010	8.00%	
MORGAN STANLEY DW & CO GL NT	600,000	585,000	635,688	4/15/2011	6.75%	12
MORGAN STANLEY GLOBAL NT	150,000	136,034	158,100	3/1/2013	5.30%	
MORGAN STANLEY MTG 06 7 5-A-2	950,000	495,017	534,432	6/25/2036	5.96%	
MORGAN STANLEY SR MEDIUM TERM	1,725,000	1,646,247	1,779,233	12/28/2017	5.95%	
MORGAN STANLEY SR NT	1,000,000	934,700	999,530	5/7/2010	0.34%	
MORGAN STANLEY SR NT	100,000	89,535	106,522	4/28/2015	6.00%	
MTR GAMING GROUP INC 144A	160,000	152,397	154,000	7/15/2014	12.63%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
NALCO CO 144A	220,000	215,299	233,750	5/15/2017	8.25%	
NALT 2009-A A2	650,000	650,884	653,907	4/15/2011	2.01%	
NALT 2009-B A2	350,000	349,966	350,494	9/15/2011	1.22%	
NATIONAL RURAL UTILS CORP FIN	100,000	92,858	106,207	3/1/2014	4.75%	
NAVISTAR INTL CORP	235,000	226,371	240,875	11/1/2021	8.25%	114,610
NEVADA PWR CO GENERAL & REF	295,000	332,408	329,350	3/15/2019	7.13%	
NEVADA PWR CO REF & MTG NT SER	165,000	172,067	176,811	8/1/2018	6.50%	
NEW JERSEY BELL TEL DEB	700,000	667,611	744,436	11/15/2029	7.85%	
NEWPAGE CORP	555,000	521,678	560,550	12/31/2014	11.38%	
NEWS AMER HLDGS	100,000	105,119	117,755	10/17/2016	8.00%	
NEWS AMER INC GTD DEBS	35,000	39,797	39,830	5/18/2018	7.25%	
NEWS AMER INC GTD SR DEBS	450,000	453,371	471,200	4/30/2028	7.30%	
NEWS AMER INC GTD SR NT	550,000	541,437	547,190	3/1/2037	6.15%	
NEWS AMER INC SR NT	90,000	93,493	92,416	12/15/2035	6.40%	
NEWS AMER INC SR NT	900,000	745,425	950,678	11/15/2037	6.65%	
NEXEN INC	275,000	298,282	315,274	7/30/2039	7.50%	
NEXTEL COMMUNICATIONS INC SR	30,000	16,875	29,175	8/1/2015	7.38%	11,084
NEXTEL COMMUNICATIONS INC SR	45,000	27,263	43,650	10/31/2013	6.88%	
NIAGARA MOHAWK POWER	150,000	150,000	148,722	8/15/2019	4.88%	
NII CAPITAL CORP	475,000	471,138	462,531	12/15/2019	8.88%	
NISOURCE FIN CORP GTD NT	140,000	146,576	149,723	1/15/2019	6.80%	
NORCRAFT COS/FINANCE	29,000	28,527	29,725	12/15/2015	10.50%	1,370
NORFOLK SOUTHERN CORP BDS	165,000	176,867	193,154	5/15/2017	7.70%	
NORFOLK SOUTHN CORP SR NT	245,000	294,470	284,896	2/15/2031	7.25%	
NORFOLK SOUTHN RY CO VA NT	255,000	346,277	339,170	6/15/2020	9.75%	
NORTHERN STATES PWR-MINN	137,000	136,740	132,224	11/1/2039	5.35%	
NORTHRUP GRUMMAN CORP DEB	250,000	322,953	308,885	2/15/2031	7.75%	
NOTHERN TRUST COMPANY	260,000	261,833	274,313	11/9/2017	5.85%	
NOVA CHEMICALS CORP	155,000	153,710	157,713	11/1/2019	8.63%	
NRG ENERGY INC	95,000	93,431	97,375	6/15/2019	8.50%	
NRG ENERGY INC SR NT	915,000	843,550	917,286	1/15/2017	7.38%	
NTL CABLE PLC SR NT	76,000	60,022	78,470	4/15/2014	8.75%	
NTL CABLE PLC SR NT	300,000	222,000	316,125	8/15/2016	9.13%	
NUCOR CORP NT	130,000	142,189	139,580	12/1/2037	6.40%	
OHIO PWR CO SR NT SER L	100,000	96,837	108,566	9/1/2013	5.75%	
OMEGA HEALTHCARE INVS INC	10,000	7,500	9,850	1/15/2016	7.00%	
OMEGA HEALTHCARE INVS INC SR	60,000	48,600	59,400	4/1/2014	7.00%	
OMINICARE INC SR SUB NT	105,000	97,913	102,900	12/15/2013	6.75%	
OMNICARE INC SR SUB NT	90,000	79,100	87,300	6/1/2013	6.13%	
ONCOR ELECTRIC DELIVERY CO	30,000	27,979	32,186	9/1/2013	5.95%	
OPTI CANADA INC	380,000	368,600	388,550	12/15/2012	9.00%	
ORACLE CORP NT	115,000	120,287	124,337	4/15/2018	5.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

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AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OWENS CORNING INC	250,000	245,965	278,795	6/15/2019	9.00%	
PACIFIC GAS & ELECTRIC	399,000	393,330	381,823	1/15/2040	5.40%	
PACIFICORP 1ST MTG BD	340,000	441,354	424,786	11/15/2031	7.70%	
PACIFICORP BD	275,000	301,554	296,703	10/15/2037	6.25%	
PACIFICORP FIRST MTG BD	100,000	107,543	107,386	7/15/2018	5.65%	
PAETEC HOLDING CORP	260,000	251,027	263,250	6/30/2017	8.87%	
PARKER-HANNIFIN CORP MEDIUM	50,000	48,339	52,674	5/15/2018	5.50%	
PARKER-HANNIFIN CORP MEDIUM	165,000	177,961	180,388	5/15/2038	6.25%	
PC FINL PARTNERSHIP GTD SR NT	100,000	83,608	104,852	11/15/2014	5.00%	
PEARSON DLR FIN TWO PLC 144A	140,000	151,336	146,997	5/6/2018	6.25%	
PENN NATIONAL GAMING INC	175,000	175,000	178,938	8/15/2019	8.75%	
PENN VIRGINIA CORP	240,000	232,807	261,600	6/15/2016	10.38%	
PEPSICO INC SR NT	455,000	538,707	558,399	11/1/2018	7.90%	
PETRO-CDA SR NT	740,000	783,786	815,702	5/15/2038	6.80%	
PETROHAWK ENERGY CORP	255,000	188,700	257,550	6/1/2015	7.88%	
PETROHAWK ENERGY CORP SR NT	145,000	117,450	151,525	7/15/2013	9.13%	
PHH ALTERNATIVE 07-1 CL 21A	525,740	266,408	320,207	2/25/2037	6.00%	
PHILIP MORRIS INTL INC NT	375,000	404,363	394,343	5/16/2018	5.65%	
PHILIP MORRIS INTL INC NT	140,000	158,353	151,330	5/16/2038	6.38%	
PIONEER NAT RES CO SR NT	105,000	73,437	103,889	5/1/2018	6.88%	
PIONEER NAT RES CO SR NT	170,000	121,312	167,666	3/15/2017	6.65%	
PLAINS ALL AMERN PIPELINE LP	145,000	152,653	147,935	1/15/2037	6.65%	
PLAINS EXPL & PRODTN CO SR NT	225,000	154,125	230,063	6/1/2018	7.63%	
PLAINS EXPLORATION & PRO	120,000	118,002	123,300	10/15/2019	8.63%	
PLASTIPAK HOLDINGS INC	120,000	117,287	132,300	8/15/2019	10.63%	
PNC FDG CORP SUB NT	175,000	168,548	179,963	11/15/2015	5.25%	
PNC FUNDING CORP	140,000	155,823	156,657	6/10/2019	6.70%	
POTOMAC ELEC PWR CO 1ST MTG BD	250,000	325,558	319,358	12/15/2038	7.90%	
PROCTER & GAMBLE ESOP DEB SR-A	87,527	108,575	106,738	1/1/2021	9.36%	
PROGRESS ENERGY INC	185,000	184,841	181,435	12/1/2039	6.00%	
PROGRESS ENERGY INC SR NT	435,000	503,895	474,006	10/30/2031	7.00%	
PRUDENTIAL FINANCIAL INC	240,000	239,486	243,365	9/17/2015	4.75%	
PRUDENTIAL FINL INC MTN	515,000	465,148	465,313	12/14/2036	5.70%	
PUB SVC ELEC & GAS	195,000	194,374	188,904	11/1/2039	5.38%	
PUBLIC SERVICE OKLAHOMA	239,000	238,324	237,286	12/1/2019	5.15%	
PUBLIC SVC CO COLO 1ST MTG BD	30,000	31,113	32,540	8/1/2018	5.80%	
PULTE HOME INC SR NT	90,000	64,350	88,425	1/15/2014	5.25%	
QUEBECOR MEDIA INC SR NT	60,000	40,500	59,850	3/15/2016	7.75%	
QUEBECOR MEDIA INC SR NT	145,000	97,875	144,638	3/15/2016	7.75%	
QUEST DIAGNOSTIC INC	290,000	283,375	281,436	1/30/2040	5.75%	
QWEST COMMUNICATIONS INTL INC	390,000	339,300	391,950	2/15/2011	7.25%	
RABOBANK NEDERLAND	755,000	755,921	754,083	8/5/2011	0.48%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

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AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
RAILAMERICA INC	476,000	456,593	506,345	7/1/2017	9.25%	
RAINBOW NATL SVCS LLC 144A	175,000	175,875	178,281	9/1/2012	8.75%	
RAINBOW NATL SVCS LLC 144A	95,000	84,550	100,225	9/1/2014	10.38%	
RALI 2003-QR24 A5	306,927	253,214	247,859	7/25/2033	4.00%	
RANGE RES CORP SR SUB NT	130,000	112,775	133,575	5/15/2016	7.50%	16
RANGE RES CORP SR SUB NT	60,000	50,100	61,200	5/1/2018	7.25%	
REED ELSEVIER CAP INC GTD NT	150,000	186,599	182,516	1/15/2019	8.63%	
REGENCY ENERGY PARTNERS	215,000	203,166	228,975	6/1/2016	9.38%	
REGIONS FINL CORP NEW SUB NT	50,000	36,786	40,928	12/10/2037	7.38%	
REICHOLD INDS INC SR NT 144A	265,000	174,900	222,600	8/15/2014	9.00%	
REPUBLIC SERVICES INC	150,000	154,982	152,318	9/15/2019	5.50%	
RESIDENTIAL ACCREDIT 03 QS3 A2	33,500	29,247	32,745	2/25/2018	15.99%	
RESIDENTIAL ACCREDIT 03-QR19	708,794	469,258	486,452	10/25/2033	5.75%	
RESIDENTIAL FDG MTG 03-S7 A-17	361,325	326,887	335,952	5/25/2033	4.00%	
REVLON CONSUMER PRODS 144A	200,000	197,800	206,500	11/15/2015	9.75%	
REYNOLDS GROUP ESCROW 144A	400,000	394,780	409,000	10/15/2016	7.75%	
RFMSI 06-SA2 P/T II-A-1	1,426,804	716,712	906,733	8/25/2036	5.83%	
RIO TINTO FIN USA LTD NT	275,000	308,058	311,273	7/15/2028	7.13%	
ROYAL BK OF SCOTLAND PLC	1,140,000	1,140,000	1,138,996	10/28/2011	0.43%	
ROYAL CARIBBEAN CRUISE	30,000	22,800	29,475	12/1/2013	6.88%	
ROYAL CARIBBEAN CRUISES	240,000	233,758	277,500	7/15/2015	11.88%	240,000
ROYAL CARIBBEAN CRUISES SR NT	50,000	47,125	52,313	2/2/2011	8.75%	
ROYAL CARIBBEAN LTD SR NT	35,000	27,388	34,913	6/15/2013	7.00%	
RRI ENERGY INC	566,000	508,003	577,320	12/15/2014	6.75%	247,513
RSC EQUIPMENT RENTAL INC	180,000	175,176	195,750	7/15/2017	10.00%	
SABINE PASS LNP L P SR SECD NT	225,000	162,000	187,313	11/30/2016	7.50%	205,408
SANDRIDGE ENERGY INC GTD SR NT	330,000	173,250	330,000	4/1/2015	8.63%	
SBA TELECOMMUNICATIONS INC	110,000	109,263	114,950	8/15/2016	8.00%	
SBC COMMUNICATIONS INC GBL NT	300,000	305,688	324,420	2/1/2012	5.88%	
SCIENTIFIC GAMES INTERNA	340,000	329,198	357,000	6/15/2019	9.25%	
SCIENTIFIC GAMES INTL INC 144A	185,000	148,925	185,925	6/15/2016	7.88%	
SEGATE TECHNOLOGY INTL 144A	45,000	42,893	49,725	5/1/2014	10.00%	
SEMPRA ENERGY	855,000	847,873	844,270	10/15/2039	6.00%	
SEMPRA ENERGY NT	200,000	259,036	249,624	2/15/2019	9.80%	
SHELL INTERNATIONAL FIN	785,000	784,969	787,023	9/22/2011	1.30%	
SHELL INTERNATIONAL FIN	200,000	199,308	197,597	9/22/2019	4.30%	
SHELL INTL FIN B V GTD NT	175,000	196,873	197,291	12/15/2038	6.38%	
SIEMENS NV 144A	200,000	199,980	215,751	10/17/2016	5.75%	
SIEMENS NV 144A	300,000	330,789	317,838	8/17/2026	6.13%	
SIMON PPTY GROUP L P NT	80,000	53,358	82,395	8/15/2014	5.63%	
SIMON PPTY GROUP L P NT	170,000	176,030	175,714	3/1/2012	5.00%	
SIMON PPTY GROUP L P NT	50,000	51,242	50,800	5/30/2018	6.13%	

ROCKWELL COLLINS PENSION PLAN

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
SIMON PROPERTY GROUP LP	25,000	15,976	25,514	5/1/2016	6.10%	
SINCLAIR TELEVISION GROU 144A	220,000	213,981	228,800	11/1/2017	9.25%	
SIRIUS XM RADIO INC	180,000	176,185	189,450	9/1/2015	9.75%	
SITHE\INDEPENDENCE FDG CORP	534,520	449,323	548,979	12/30/2013	9.00%	
SLM COR MTN #TR 00007	115,000	84,310	108,483	1/15/2013	5.38%	
SLM CORP MTN # TR 00002	185,000	152,407	173,432	8/27/2012	5.13%	
SLM CORP MTN # TR 00077	200,000	181,038	199,988	1/15/2010	4.00%	4
SOCIETE DE FINANC 144A 3C7	295,000	300,643	300,201	3/26/2012	2.38%	
SOLUTIA INC	145,000	145,000	150,981	11/1/2017	8.75%	
SOUNDVIEW HOME LN 2007-NS1 A1	91,505	76,739	85,732	1/25/2037	0.35%	
SOUTHERN CALIF EDISON CO	120,000	125,459	128,101	8/15/2018	5.50%	120,000
SOUTHERN CALIF EDISON SER 09A	50,000	49,591	53,279	3/15/2039	6.05%	
SOUTHERN CALIF GAS CO 1ST MTG	85,000	86,163	90,987	10/1/2012	4.80%	
SPECTRA ENERGY CAP LLC SR NT	50,000	43,388	53,101	4/15/2018	6.20%	
SPEEDWAY MOTORSPORTS INC	30,000	29,588	31,650	6/1/2016	8.75%	
SPIRIT AEROSYSTEMS INC	100,000	97,804	98,500	10/1/2017	7.50%	
SPRINT CAP CORP	470,000	393,247	481,163	1/30/2011	7.63%	10,041
SPRINT CAP CORP NT	215,000	172,594	222,525	3/15/2012	8.38%	
SPRINT CAP CORP NT	690,000	539,100	650,325	3/15/2032	8.75%	
SPRINT CAPITAL CORP	180,000	127,800	165,600	5/1/2019	6.90%	
STAPLES INC	140,000	169,592	170,583	1/15/2014	9.75%	
STARWOOD HOTELS & RESORT	135,000	129,985	144,281	10/15/2014	7.88%	
STATE STR SUB NT	250,000	260,110	257,275	6/15/2010	7.65%	
STATER BROS HLDGS INC SR NT	365,000	318,600	370,475	4/15/2015	7.75%	41
STEEL DYNAMICS INC	370,000	255,908	386,650	4/15/2016	7.75%	
SUNGARD DATA SYS INC SR NT	715,000	609,088	732,875	8/15/2013	9.13%	
SUNTRUST BKS INC SR NT	1,000,000	840,070	991,239	9/11/2017	6.00%	
SUNTRUST BKS INC SR NT	150,000	146,954	155,634	11/5/2012	5.25%	
SUNTRUST BKS INC SUB NTS	150,000	128,666	121,446	2/15/2026	6.00%	
SUPERVALU INC	410,000	398,825	416,150	5/1/2016	8.00%	
SVENSKA HANDELSBANKEN AB	1,065,000	1,065,000	1,059,482	9/14/2012	1.25%	
SWEDBANK AB (PUBL) DISC	1,000,000	989,070	989,070	6/11/2010	0.00%	
TARGA RESOURCES PARTNERS LP	445,000	275,900	441,106	7/1/2016	8.25%	
TARGET CORP NT	400,000	358,796	438,616	10/15/2037	6.50%	
TARGET CORP NT	100,000	96,822	110,369	1/15/2018	6.00%	
TARGET CORP NT	100,000	92,680	116,675	1/15/2038	7.00%	
TECK COMINCO LTD SR NT	50,000	39,250	44,750	10/1/2035	6.13%	
TELCORDIA TECHNOLOGIES 144A	515,000	249,775	471,869	7/15/2012	4.03%	
TELECOM ITALIA CAP GTD SR NT	175,000	133,219	181,384	9/30/2014	4.95%	
TELECOM ITALIA CAP GTD SR NT	315,000	367,640	362,669	6/4/2038	7.72%	
TELECOM ITALIA CAP GTD SR NT B	175,000	133,438	184,063	11/15/2013	5.25%	
TELEFONICA EMISIONES S A U	375,000	441,649	428,213	6/20/2036	7.05%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TELEFONICA EMISIONES S A U SR	200,000	194,410	216,094	2/4/2013	5.86%	
TELEFONICA SA	50,000	50,000	53,592	7/15/2019	5.88%	
TENET HEALTHCARE CORP	305,000	299,673	329,400	7/1/2019	8.88%	
TENNESSEE GAS PIPELINE CO	100,000	94,881	114,750	2/1/2016	8.00%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,151,755	1,113,714	9/15/2039	5.25%	
TEREX CORP	355,000	348,400	395,825	6/1/2016	10.88%	
TERRA CAPITAL INC	240,000	235,915	256,800	11/1/2019	7.75%	
TESCO PLC SR NT 144A	435,000	476,434	451,508	11/15/2037	6.15%	
TESORO CORP	190,000	182,727	196,650	6/1/2019	9.75%	
TEXAS INDS INC SR NT	75,000	54,000	73,688	7/15/2013	7.25%	
TEXAS INDUSTRIES INC	305,000	235,613	299,663	7/15/2013	7.25%	
THOMSON REUTERS CORP	120,000	119,579	118,210	10/15/2019	4.70%	
THOMSON REUTERS CORP GTD NT	40,000	37,241	43,788	7/15/2013	5.95%	
TIAA SEASONED COML 07-C4 CL A3	500,000	458,470	518,435	8/15/2039	6.07%	
TICKETMASTER ENTERTAIN	20,000	20,550	21,550	7/28/2016	10.75%	
TIME WARNER CABLE INC DEB	425,000	475,044	471,164	7/1/2038	7.30%	
TIME WARNER CABLE INC NT	515,000	539,627	524,784	5/1/2037	6.55%	
TIME WARNER CABLE INC NT	455,000	487,271	499,844	7/1/2018	6.75%	
TIME WARNER CABLE INC NT	165,000	203,952	201,097	2/14/2019	8.75%	
TIME WARNER COS INC FA23	285,000	303,953	343,947	2/1/2023	9.15%	
TIME WARNER ENTMT CO LP SR DEB	300,000	302,118	355,377	3/15/2023	8.38%	
TOYS R US PROPERTY CO I	375,000	365,246	410,625	7/15/2017	10.75%	
TOYS R US PROPERTY CO II	320,000	315,434	325,600	12/1/2017	8.50%	
TRANSCANADA PIPELINES LTD NT	100,000	91,798	102,445	6/15/2013	4.00%	
TRANSCANADA PIPELINES LTD SR	655,000	740,179	730,620	8/15/2018	6.50%	
TRANSOCEAN INC SR NT	360,000	416,430	402,552	3/15/2038	6.80%	
TRANSOCEAN SEDCO FOREX INC NT	90,000	106,956	102,664	4/15/2031	7.50%	
TRAVELERS COS INC SR NT	50,000	48,167	53,287	5/15/2018	5.80%	
TRIUMPH GROUP INC	145,000	142,909	146,269	11/15/2017	8.00%	
TRW AUTOMOTIVE INC 144A	215,000	213,656	223,600	12/1/2017	8.88%	
TYCO INTL LTD/TYCO INTL FIN	260,000	295,534	291,707	1/15/2021	6.88%	
U S TREAS BD STRIP PRIN PMT	490,000	353,741	340,447	2/15/2019	0.00%	
U S TREAS BD STRIP PRIN PMT	150,000	97,071	96,836	5/15/2020	0.00%	
U S TREAS BD STRIP PRIN PMT	8,283,000	3,575,854	3,337,055	11/15/2028	0.00%	
U S TREAS BD STRIP PRIN PMT	51,819,000	23,822,347	15,207,817	2/15/2036	0.00%	
U S TREAS STRIP 257 INT PMT	3,200,000	1,661,607	1,586,112	8/15/2024	0.00%	
U S TREAS STRIP 259	3,700,000	1,794,223	1,774,409	2/15/2025	0.00%	
U S TREAS STRIP 264 INT PMT	600,000	285,366	268,116	5/15/2026	0.00%	
U S TREAS STRIP GEN INT PMT	5,394,000	4,302,416	4,141,621	5/15/2017	0.00%	
U S TREAS STRIP GEN TINT	1,300,000	685,762	664,027	2/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	3,750,000	3,328,875	3,324,750	8/15/2014	0.00%	
U S TREAS STRIP GENERIC TINT	700,000	600,355	606,739	2/15/2015	0.00%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
U S TREAS STRIP GENERIC TINT	1,500,000	1,342,755	1,344,960	5/15/2014	0.00%	
U S TREAS STRIP GENERIC TINT	3,480,000	2,950,553	2,899,153	11/15/2015	0.00%	
U S TREAS STRIP GENERIC TINT	1,160,000	961,814	950,620	2/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	470,000	388,187	379,943	5/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	2,500,000	2,020,400	1,965,900	11/15/2016	0.00%	
U S TREAS STRIP GENERIC TINT	14,156,000	11,293,125	10,987,038	2/15/2017	0.00%	
U S TREAS STRIP GENERIC TINT	1,350,000	1,039,282	1,000,836	11/15/2017	0.00%	
U S TREAS STRIP GENERIC TINT	510,000	376,681	353,675	2/15/2019	0.00%	
U S TREAS STRIP GENERIC TINT	2,000,000	1,304,680	1,286,300	5/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	16,439,000	10,300,164	10,440,159	8/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	500,000	314,040	312,200	11/15/2020	0.00%	
U S TREAS STRIP GENERIC TINT	1,000,000	618,850	617,340	2/15/2021	0.00%	
U S TREAS STRIP GENERIC TINT	1,700,000	1,021,757	982,787	2/15/2022	0.00%	
U S TREAS STRIP GENERIC TINT	3,800,000	1,988,075	1,911,362	5/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	6,300,000	3,189,252	3,074,715	11/15/2024	0.00%	
U S TREAS STRIP GENERIC TINT	1,000,000	376,027	339,820	2/15/2032	0.00%	
U S TREAS STRIP INT PMT	1,970,000	779,398	743,163	11/15/2029	0.00%	
U S TREAS STRIP INT PMT 00521	400,000	165,556	154,800	5/15/2029	0.00%	
U S TREASURY BOND	100,000	145,899	134,695	2/15/2016	9.25%	
U S TREASURY BOND	400,000	615,062	558,752	2/15/2019	8.88%	
U S TREASURY BOND	450,000	640,553	615,587	5/15/2021	8.13%	
U S TREASURY BOND	800,000	1,181,751	1,097,248	8/15/2021	8.13%	
U S TREASURY BOND	260,000	364,346	354,047	11/15/2021	8.00%	
U S TREASURY BOND	425,000	577,753	568,174	11/15/2024	7.50%	
U S TREASURY BOND	300,000	389,638	380,766	8/15/2025	6.88%	
U S TREASURY BOND	3,275,000	4,011,722	3,831,226	2/15/2026	6.00%	
U S TREASURY BOND	10,700,000	14,102,160	13,500,404	8/15/2026	6.75%	
U S TREASURY BOND	2,400,000	3,100,099	2,958,384	11/15/2026	6.50%	
U S TREASURY BOND	7,600,000	9,957,652	9,491,716	2/15/2027	6.63%	
U S TREASURY BOND	4,900,000	6,286,699	5,984,125	8/15/2027	6.38%	
U S TREASURY BOND	1,000,000	1,247,973	1,191,250	11/15/2027	6.13%	
U S TREASURY BOND	200,000	233,251	222,876	8/15/2028	5.50%	95,314
U S TREASURY BOND	2,450,000	2,695,206	2,654,796	11/15/2028	5.25%	
U S TREASURY BOND	200,000	217,595	204,376	2/15/2037	4.75%	
U S TREASURY BOND	665,000	661,884	651,181	5/15/2038	4.50%	4
U S TREASURY BOND	13,100,000	13,165,669	12,289,503	5/15/2039	4.25%	
U S TREASURY NOTE	120,000	121,043	119,016	2/15/2018	3.50%	
U S TREASURY NOTE	2,000,000	1,949,069	1,967,040	2/28/2014	1.88%	
U S TREASURY SEC STRIP INT PMT	100,000	39,746	37,240	2/15/2030	0.00%	
U S TREASURY SEC STRIP INT PMT	350,000	123,575	110,926	8/15/2033	0.00%	
UBS AG STAMFORD BRH MEDIUM	110,000	112,120	113,046	12/20/2017	5.88%	
UBS AG STAMFORD BRH MEDIUM	100,000	90,760	101,801	4/25/2018	5.75%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
UNION PAC CO DEBS	300,000	343,518	333,237	2/1/2016	7.00%	
UNION PAC CORP NT	125,000	119,916	132,173	5/1/2017	5.65%	
UNION PAC RES GROUP INC DEB	376,000	380,065	372,545	5/15/2028	7.15%	
UNION PACIFIC CORP BDS	225,000	255,705	241,947	2/1/2029	6.63%	
UNITED MARITIME LLC/CORP	165,000	165,000	165,413	6/15/2015	11.75%	
UNITED PARCEL SVC INC SR NT	100,000	106,814	107,789	1/15/2018	5.50%	
UNITED RENTALS NORTH AM	890,000	875,600	918,925	12/15/2019	9.25%	
UNITED RENTALS NORTH AMER	460,000	405,018	458,850	2/15/2012	6.50%	
UNITED STATES TREAS SEC STRIP	2,100,000	625,527	586,215	5/15/2036	0.00%	
UNITEDHEALTH GROUP INC SR NT	135,000	140,138	138,426	3/15/2015	4.88%	
UNIVERSAL HOSP SVCS INC NEW	50,000	30,500	42,125	6/1/2015	3.86%	
UNIVERSAL HOSP SVCS INC NEW	610,000	460,913	600,850	6/1/2015	8.50%	
UPC GERMANY GMBH	400,000	391,376	404,500	12/1/2017	8.13%	
UPC HOLDING BV	225,000	207,961	237,375	4/15/2018	9.88%	
US ONCOLOGY INC	275,000	269,297	288,750	8/15/2017	9.13%	
USG CORP	215,000	210,885	229,513	8/1/2014	9.75%	
USG CORP SR NT	100,000	93,250	103,000	1/15/2018	9.25%	
VALEANT PHARMACEUTICALS	150,000	145,196	154,500	6/15/2016	8.38%	
VALERO ENERGY CORP NEW NT	75,000	98,065	96,358	3/15/2039	10.50%	
VALOR TELECOMMUNICATIONS	150,000	125,250	154,500	2/15/2015	7.75%	
VENTAS RLTY LTD PARTNERSHIP	120,000	101,100	115,800	6/1/2016	6.50%	
VEOLIAN ENVIRONNEMENT NT	125,000	144,659	137,518	6/1/2038	6.75%	
VERIZON COMMUNICATIONS INC NT	565,000	594,606	589,616	2/15/2018	5.50%	
VERIZON COMMUNICATIONS INC NT	360,000	431,618	417,640	4/1/2039	7.35%	
VERIZON COMMUNICATIONS INC NT	335,000	372,346	369,582	4/1/2019	6.35%	
VERIZON FLA INC DEB SER F	200,000	190,610	213,504	1/15/2013	6.13%	
VERIZON GLOBAL FDG CORP NT	500,000	603,715	587,390	12/1/2030	7.75%	
VERIZON GLOBAL FDG CORP NT	428,000	424,302	417,356	9/15/2035	5.85%	
VERIZON NEW ENG INC NT	150,000	132,986	156,009	10/1/2013	4.75%	
VERSO PAPER HLDGS LLC/IN	175,000	160,944	192,500	7/1/2014	11.50%	
VIACOM INC SR NT	100,000	84,848	108,988	4/30/2016	6.25%	
VIASAT INC 144A	590,000	594,999	607,700	9/15/2016	8.88%	
VIASYSTEMS INC	70,000	67,388	74,988	1/15/2015	12.00%	
VIDEOTRON LTEE SR NT	215,000	199,950	236,500	4/15/2018	9.13%	
VIRGIN MEDIA FINANCE PLC	315,000	301,058	338,231	8/15/2016	9.50%	
VIRGIN MEDIA FINANCE PLC	400,000	393,456	411,500	10/15/2019	8.38%	2
VIRGINIA ELEC & PWR CO SR NT	80,000	80,531	86,692	9/15/2017	5.95%	
VIRGINIA ELEC & PWR CO SR NT	150,000	145,191	157,223	4/30/2018	5.40%	
VODAFONE GROUP PLC	226,000	235,994	234,025	6/10/2019	5.45%	
WACHOVIA BK COML 07 C34 CL A-3	157,150	141,926	138,352	5/15/2046	5.68%	
WACHOVIA BK COML MTG 04-C15 A2	457,613	443,565	456,867	10/15/2041	4.04%	
WACHOVIA BK NATL ASSN MTN	785,000	866,138	828,551	1/15/2038	6.60%	

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
WACHOVIA CORP GLOBAL MED TERM	775,000	786,415	808,766	2/1/2018	5.75%	
WACHOVIA CORP NEW SR NT	170,000	174,714	176,792	6/15/2017	5.75%	
WAL MART STORES INC NT	100,000	99,566	98,332	9/1/2035	5.25%	
WAL MART STORES INC NT	300,000	341,463	341,394	8/15/2037	6.50%	
WAMU MTG P/T 2003-S8 A-6	907,035	808,967	887,480	1/25/2018	4.50%	
WAMU MTG P/T 2006-AR14 1-A4	1,132,041	598,114	832,807	11/25/2036	5.61%	
WAMU MTG PASS THROUGH 03-S9 A8	1,035,982	914,254	900,060	10/25/2033	5.25%	
WASHINGTON MUT 06-AR8 CL 2A	150,452	44,925	65,483	10/25/2046	1.39%	
WASHINGTON MUT MTG 06-AR2 A-1A	825,966	359,023	406,334	4/25/2046	1.48%	
WASHINGTON MUT MTG PASSTHRU CT	532,291	224,595	222,141	11/25/2046	1.38%	
WASTE MANAGEMENT INC	185,000	184,012	183,846	11/30/2039	6.13%	
WASTE MGMT INC DEL SR NT	300,000	366,939	352,161	5/15/2032	7.75%	
WASTE MGMT INC DEL SR NT	50,000	49,941	57,696	3/11/2019	7.38%	
WEA FIN LLC GTD SR NT 144A	245,000	256,103	267,868	4/15/2018	7.13%	
WEA FINANCE LLC	285,000	310,584	320,708	6/2/2014	7.50%	
WEA FINANCE/WT FIN AUST	80,000	78,957	85,899	9/2/2019	6.75%	
WELLPOINT INC NT	17,000	16,095	17,514	6/15/2017	5.88%	
WELLPOINT INC NT	110,000	115,753	111,934	6/15/2037	6.38%	
WELLPOINT INC NT	11,000	10,982	12,303	2/15/2019	7.00%	
WELLS FARGO & CO NEW SR UNSECD	300,000	314,016	312,048	12/11/2017	5.63%	
WELLS FARGO MTG 2006 AR10 VA1	837,272	476,709	651,808	7/25/2036	5.59%	
WESTERN REFINING INC	420,000	384,157	380,100	6/15/2017	11.25%	
WESTERN REFINING INC	150,000	138,213	134,250	6/15/2014	7.75%	
WESTPAC BANKING CORP	330,000	329,766	325,713	11/19/2019	4.88%	
WESTPAC BANKING CORP 144A	1,085,000	1,085,000	1,084,045	10/21/2011	0.58%	
WESTPAC BANKING DISC	900,000	891,000	891,000	6/17/2010	0.00%	
WILLIAMS PARTNERS L P SR NT	250,000	242,500	255,620	6/15/2011	7.50%	
WILLIS NORTH AMERICA INC	240,000	244,610	244,382	9/29/2019	7.00%	
WIND ACQUISITION FIN S A 144A	450,000	387,000	481,500	12/1/2015	12.00%	
WIND ACQUISITION FIN SA	620,000	604,450	677,350	7/15/2017	11.75%	
WIND ACQUISITION HOLDING	500,000	491,625	492,500	7/15/2017	12.25%	
WINDSTREAM CORP SR NT	80,000	73,331	81,400	8/1/2016	8.63%	
WISCONSIN ELEC PWR CO DEB	40,000	42,107	44,666	4/1/2014	6.00%	
WISCONSIN ELEC PWR CO DEB	50,000	52,340	57,151	12/1/2015	6.25%	
WORLD OMNI AUTO RECEIV 09-A A2	920,000	929,811	927,792	10/17/2011	2.88%	
WYETH NT	150,000	164,450	156,417	4/1/2037	5.95%	
WYNN LAS VEGAS LLC / WYNN	265,000	196,773	256,056	12/1/2014	6.63%	12
WYNN LAS VEGAS LLC/CORP 144A	325,000	317,819	329,063	11/1/2017	7.88%	4
XTO ENERGY INC SR NT	150,000	162,560	169,851	8/1/2017	6.25%	
XTO ENERGY INC SR NT	340,000	365,786	381,456	6/15/2038	6.38%	
TOTAL U.S. GOV'T SECURITIES AND CORPORATE DEBT		405,220,262	409,151,890			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
COMMON/COLLECTIVE TRUSTS						
CREDIT 3-10 YEAR INDEX FUND	230,129	3,176,156	3,217,202			
CREDIT 3-10 YR INDEX SL	1,302,428	16,218,646	18,207,946			
JP MORGAN MBS FUND	347,072	34,698,502	43,647,718			
LONG CREDIT INDEX FUND	3,876,709	88,706,324	92,246,295			
LONG CREDIT INDEX SL FUND	1,122,915	23,206,324	26,719,770			
LONG US AGENCY INDEX FUND	277,054	4,048,050	3,925,858			
LONG US AGENCY INDEX SL	737,245	9,653,035	10,446,768			
LONG US TREASURY INDEX	1,874,476	25,504,607	26,053,348			
LONG US TREASURY INDEX FUND	2,781,217	40,425,458	38,656,138			
TOTAL COMMON/COLLECTIVE TRUST		245,637,102	263,121,043			
REGISTERED INVESTMENT COMPANIES						
FIRST TRUST/FIDAC MTG INCOME	17,982	287,119	327,992			
MFS INTER INCOME TR SH BEN INT	110,200	682,970	738,340			
WESTERN ASSET/CLAYMORE INFLA	31,400	359,235	386,220			
WESTERN ASSET/CLAYMORE INFLA	33,714	395,567	405,917			
TOTAL REGISTERED INVESTMENT COMPANIES		1,724,891	1,858,469			
OTHER INVESTMENTS						
BNP PARIBAS FIN REPO	214,949	214,949	214,949	1/4/2010		
CALIFORNIA ST	595,000	608,529	576,597	4/1/2039	7.55%	
ILLINOIS ST	1,570,000	1,455,879	1,307,653	6/1/2033	5.10%	
PROV OF QUEBEC	560,000	735,739	704,536	9/15/2029	7.50%	
UNITED MEXICAN STS #TR 00013	275,000	314,188	315,563	4/8/1933	7.50%	
UNITED MEXICAN STS MTN TR00011	100,000	105,753	111,000	3/3/2015	6.63%	
UNITED MEXICAN STS MTN TR00015	100,000	102,250	109,250	1/15/2014	5.88%	100,000
UNITED MEXICAN STS TR# 00010	350,000	367,500	386,750	1/16/2013	6.38%	73,139
US TREAS BD FUTURE (CBT)	9	-	(60,804)	3/22/2010		
TOTAL OTHER INVESTMENTS		3,904,787	3,665,494			
INTEREST BEARING CASH						
AUSTRALIA		32,262	32,746			
BANCO BILBAO VIZCAYA INSTL C/D		1,850,090	1,850,090	1/22/2010		
BANK NOVA SCOTIA INSTL C/D		800,000	800,000	6/28/2010	0.01%	
BANK TOKYO MITSUBISHI INSTL CD		550,000	550,000	1/5/2010	0.00%	
BARCLAYS BANK PLC INSTL C/D		1,060,000	1,060,000	8/25/2010	0.01%	
BARCLAYS BANK PLC INSTL C/D		1,085,000	1,085,000	10/20/2010	0.01%	
BRAZIL		48,910	49,453			
BSDT-LATE MONEY DEPOSIT ACCT		79,515	79,515	12/31/2049		
BULGARIA		11	11			
CANADA		8,047	8,036			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2009 (CONCLUDED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
CITIBANK NA NY BRH INSTL C/D		850,000	850,000	9/3/2010	0.01%	
CZECH REPUBLIC		2	2			
DENMARK		49,836	50,001			
EURO CURRENCY GEOGRAPHIC		5,080	5,024			
HONG KONG		428,628	428,711			
HUNGARY		(66,890)	(67,239)			
INDONESIA		561	564			
JAPAN		19,460	19,209			
MEXICO		1,849	1,831			
NORDEA BANK FINALND INSTL C/D		1,360,000	1,360,000	10/14/2011	0.58%	
NORWAY		286	292			
PHILIPPINES		780	802			
ROYAL BANK CANADA INSTL C/D		955,000	954,380	6/23/2011	0.65%	
ROYAL BK SCOTLAND PLC INSTL CD		1,500,000	1,500,000	1/29/2010	0.00%	
SIGMA ERISA LIQUIDATING POOL		1,459,955	62,048			
SOUTH AFRICA		267,149	271,701			
SOUTH KOREA		4,216	4,240			
SVENSKA HANDELSBANKEN INSTL CD		795,056	795,056	6/10/2010		
SWEDEN		55,082	56,642			
SWITZERLAND		69,552	69,865			
TAIWAN		38	39			
UBS AG STAMFORD BRH INSTL C/D		1,100,000	1,100,000	9/22/2010	0.01%	
UKRAINE		171	174			
UNITED KINGDOM		59,231	59,934			
TOTAL INTEREST BEARING CASH		<u>14,428,877</u>	<u>13,038,127</u>			
TOTAL ASSETS HELD FOR INVESTMENT PURPOSES		<u>\$ 1,778,834,412</u>	<u>\$ 2,037,875,748</u>			

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR) AS OF DECEMBER 31, 2009

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ADECCO SA CHF1 (REGD)	ADECCO SA CHF1 (REGD)	\$ (58,608)	\$ 63,966
AFLAC INC COM	AFLAC INC COM	(648,276)	653,193
AIR FRANCE KLM EUR8.5	AIR FRANCE KLM EUR8.5	(27,972)	22,991
ALLIED IRISH BKS DISC	ALLIED IRISH BKS DISC 7/13/2009	(3,077,868)	3,077,868
ALPHA BANK (REGD)EUR4.7	ALPHA BANK (REGD)EUR4.7	(308,759)	276,400
ALPHA BANK A.E. - RTS	ALPHA BANK A.E. - RTS	-	12,624
ALPINE SECR CORP DISC	ALPINE SECR CORP DISC 1/8/2010	(1,499,501)	1,499,700
ALTISOURCE PORTFOLIO SOLUTIONS	ALTISOURCE PORTFOLIO SOLUTIONS SA REG SHS	(189,043)	432,945
ALTRIA GROUP INC NT	ALTRIA GROUP INC NT 9.700% 11/10/2018 DD 11/10/08	(525,793)	516,736
ALUMINUM CORP OF CHINA 'H'	ALUMINUM CORP OF CHINA 'H' CNY1	(45,021)	42,849
AMBEV CIA DE BEBID PFD NPV	AMBEV CIA DE BEBID PFD NPV	(113,916)	162,664
AMC ENTERTAINMENT INC	AMC ENTERTAINMENT INC 8.750% 06/01/2019 DD 06/09/09	(273,230)	264,788
AMERICAN FINANCIAL GROUP	AMERICAN FINANCIAL GROUP 9.875% 06/15/2019 DD 06/17/09	(265,000)	264,038
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 1/28/2010	(799,504)	799,856
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 10/5/2009	(2,097,713)	2,099,959
ANGLO AMERICAN PLATINUM CORP	ANGLO AMERICAN PLATINUM CORP 1997 ZAR0.10	(64,565)	81,166
ANGLO AMERN CAP PLC SR NT 144A	ANGLO AMERN CAP PLC SR NT 144A 9.375% 04/08/2019 DD 04/08/09	(350,000)	353,000

(Continued)

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ANIXTER INC GTD SR NT	ANIXTER INC GTD SR NT 10.000% 03/15/2014 DD 03/11/09	(143,569)	143,763
ASHLAND INC	ASHLAND INC 9.125% 06/01/2017 DD 05/27/09	(378,656)	418,438
ATLANTIC ASSET DISC	ATLANTIC ASSET DISC 2/3/2010	(1,199,377)	1,199,734
ATLANTIS ONE FDG DISC	ATLANTIS ONE FDG DISC 10/2/2009	(2,098,081)	2,099,769
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 8/27/2009	(1,698,566)	1,698,566
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 11/4/2009	(1,998,773)	1,999,667
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 1/27/2010	(1,994,722)	1,999,129
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 8/20/2009	(765,413)	765,413
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 10/19/2009	(998,400)	999,728
AXA SA - RTS	AXA SA - RTS	-	80,436
BA CR CARD TR 07-13 CL A	BA CR CARD TR 07-13 CL A VAR RT 04/15/2012 DD 10/12/07	(1,495,000)	-
BALL CORP	BALL CORP 7.125% 09/01/2016 DD 08/20/09	(68,583)	68,700
BALL CORP	BALL CORP 7.375% 09/01/2019 DD 08/20/09	(68,190)	68,250
BANCO BILBAO VIZCAYA	BANCO BILBAO VIZCAYA ARGENTARIA SA EUR0.49	(1,290,571)	1,597,328
BANCO COMMERCIAL PORTUGUESE	BANCO COMMERCIAL PORTUGUESE EUR1 REG D	(29,381)	23,421
BANCO SANTANDER SA - RTS	BANCO SANTANDER SA - RTS	(12)	12

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BANK AMER FDG CORP MED TERM	BANK AMER FDG CORP MED TERM 4.900% 05/01/2013 DD 05/02/08	(93,559)	94,357
BANK NOVA SCOTIA DISC	BANK NOVA SCOTIA DISC 9/21/2009	(1,899,240)	1,899,240
BANK OF AMERICA CORP	BANK OF AMERICA CORP 7.375% 05/15/2014 DD 05/13/09	(64,639)	65,592
BANK OF AMERICA CORP	BANK OF AMERICA CORP 7.625% 06/01/2019 DD 06/02/09	(347,354)	350,575
BANK OF COMMUNICATIONS CO LTD	BANK OF COMMUNICATIONS CO LTD 'H' CNY1.00	(409,714)	380,778
BANK OF COMMUNICATIONS CO LTD	BANK OF COMMUNICATIONS CO LTD 'H' CNY1.00	(32,598)	-
BANK OF IRELAND DISC	BANK OF IRELAND DISC 8/17/2009	(1,849,292)	1,849,292
BANK OF IRELAND DISC	BANK OF IRELAND DISC 9/17/2009	(1,899,067)	1,899,067
BANK OF IRELAND DISC	BANK OF IRELAND DISC 12/18/2009	(1,497,125)	1,499,851
BANK ONE ISSURANCE 04-A6 A6	BANK ONE ISSURANCE 04-A6 A6 3.940% 04/16/2012 DD 08/31/04	(838,686)	-
BCA POP DI MILANO RIGHTS	BCA POP DI MILANO RIGHTS 7/3/2009	-	70
BCO POPULAR ESP EUR0.10 (POST SUBD)	BCO POPULAR ESP EUR0.10 (POST SUBD)	(46,099)	47,403
BELDEN INC	BELDEN INC 9.250% 06/15/2019 DD 06/29/09	(87,179)	87,094
BELGACOM NPV	BELGACOM NPV	(24,644)	27,817
BERRY PETROLEUM CO	BERRY PETROLEUM CO 10.250% 06/01/2014 DD 05/27/09	(187,092)	190,463
BHP BILLITON FIN USA LTD GTD	BHP BILLITON FIN USA LTD GTD 6.500% 04/01/2019 DD 03/25/09	(162,411)	163,143

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BIDVEST GROUP ORD RO.05	BIDVEST GROUP ORD RO.05	(40,180)	46,707
BLOCKBUSTER INC	BLOCKBUSTER INC 11.750% 10/01/2014 DD 10/01/09	(253,800)	258,250
BNP PARIBAS FIN DISC	BNP PARIBAS FIN DISC 5/5/2010	(1,897,232)	1,898,005
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.290% 12/31/2009 DD 12/30/09	(464,120)	464,120
BNP PARIBAS RTS	BNP PARIBAS RTS	-	19
BOSTON PPTYS LTD PRTR SR NT	BOSTON PPTYS LTD PRTR SR NT 6.250% 01/15/2013 DD 12/13/02	(345,235)	349,557
BPCE DISC	BPCE DISC 3/17/2010	(1,199,190)	1,199,281
BPCE DISC	BPCE DISC 12/16/2009	(1,698,626)	1,699,251
BRYANT PARK FDG DISC	BRYANT PARK FDG DISC 9/9/2009	(1,598,600)	1,598,600
BUMBLE BEE FOODS LLC	BUMBLE BEE FOODS LLC 7.750% 12/15/2015 DD 12/17/09	(143,293)	145,188
BUREAU VERITAS EUR0.12	BUREAU VERITAS EUR0.12	(13,803)	14,354
C&C GROUP ORD EUR0.01	C&C GROUP ORD EUR0.01	(1,708,359)	1,492,031
CAFECO LLC DISC	CAFECO LLC DISC 2/4/2010	(599,500)	599,864
CAFECO LLC DISC	CAFECO LLC DISC 4/14/2010	(1,397,713)	1,398,901
CALYON N A INC DISC	CALYON N A INC DISC 5/13/2010	(898,544)	899,069
CALYON N A INC DISC	CALYON N A INC DISC 11/10/2009	(1,998,405)	1,999,650

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR) AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CALYON NEW YORK BRH INSTL C/D	CALYON NEW YORK BRH INSTL C/D 0.900% 08/24/2010 DD 08/24/09	(1,060,000)	1,063,542
CANADIAN NATURAL RESOURCES LTD	CANADIAN NATURAL RESOURCES LTD	(260,248)	365,861
CAPITAL ONE CAPITAL V	CAPITAL ONE CAPITAL V 10.250% 08/15/2039 DD 08/05/09	(172,981)	175,930
CARLSBERG 'B' DKK20	CARLSBERG 'B' DKK20 b	(7,929)	8,190
CASCADES INC	CASCADES INC 7.750% 12/15/2017 DD 12/03/09	(241,408)	243,375
CASINO GUICHARD-PERRACHON	CASINO GUICHARD-PERRACHON EURI.53(STK DIVIDEND MERCIALYS	(6,857)	6,783
CBA (DELAWARE) DISC	CBA (DELAWARE) DISC 4/14/2009	(3,994,889)	3,995,014
CBA (DELAWARE) DISC	CBA (DELAWARE) DISC 4/29/2010	(2,496,208)	2,497,922
CC HOLDINGS GS V LLC 144A	CC HOLDINGS GS V LLC 144A 7.750% 05/01/2017 DD 04/30/09	(325,524)	348,406
CELLU TISSUE HOLDINGS INC	CELLU TISSUE HOLDINGS INC 11.500% 06/01/2014 DD 06/03/09	(202,373)	208,519
CHARTA CORP DISC	CHARTA CORP DISC 9/17/2009	(1,899,143)	1,899,989
CHESAPEAKE ENERGY CORP SR NT	CHESAPEAKE ENERGY CORP SR NT 9.500% 02/15/2015 DD 02/02/09	(576,088)	592,975
CHEUNG KONG(HLDGS) HK\$0.50	CHEUNG KONG(HLDGS) HK\$0.50	(268,428)	318,630
CHINA MERCHANTS BK 'H' CNY1	CHINA MERCHANTS BK 'H' CNY1	(264,382)	279,989
CHINA MOBILE LTD	CHINA MOBILE LTD	(890,682)	897,992
CHINA MOBILE LTD	CHINA MOBILE LTD	(217,933)	-
CHINA OVERSEAS LAND & INVEST	CHINA OVERSEAS LAND & INVEST ORD HK\$0.10	(117,270)	107,127

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR) AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CHINA PETROLEUM AND CHEMICAL	CHINA PETROLEUM AND CHEMICAL CORP (SINOPEC) 'H' CNY1	(471,315)	524,131
CHINA PETROLEUM AND CHEMICAL	CHINA PETROLEUM AND CHEMICAL CORP (SINOPEC) 'H' CNY1	(174,563)	-
CHINA RAILWAY CONSTRUCTION	CHINA RAILWAY CONSTRUCTION CORP 'H' CNY1	(27,109)	24,756
CHINA RESOURCES LAND LTD	CHINA RESOURCES LAND LTD HKD0.10	(120,029)	123,689
CHINA SHIPPING DEV H CNY1	CHINA SHIPPING DEV H CNY1	(28,859)	29,587
CIA BRASILEIRA DE MEIOS DE	CIA BRASILEIRA DE MEIOS DE	(95,020)	106,809
CIA ENERGETICA MINAS GERAIS-CE	CIA ENERGETICA MINAS GERAIS-CE PREF BRL5.00	(44,635)	55,234
CIE DE ST-GOBAIN WARRANTS	CIE DE ST-GOBAIN WARRANTS 09-MAR-2009 EUR4	(13,439)	3,500
CIESCO L P DISC	CIESCO L P DISC 8/26/2009	(1,698,582)	1,698,582
CINEMARK USA INC	CINEMARK USA INC 8.625% 06/15/2019 DD 06/29/09	(73,170)	73,731
CIT GROUP INC MTN #SR00074	CIT GROUP INC MTN #SR00074 4.750% 12/15/2010 DD 12/09/03	(307,544)	281,619
CIT GROUP INC NEW GLOBAL NT	CIT GROUP INC NEW GLOBAL NT 5.000% 02/01/2015 DD 02/01/05	(97,913)	94,713
CIT GROUP INC NEW NT	CIT GROUP INC NEW NT 5.400% 01/30/2016 DD 01/30/06	(172,900)	140,650
CIT GROUP INC NEW SR NT	CIT GROUP INC NEW SR NT 5.125% 09/30/2014 DD 09/20/04	(203,025)	167,750
CIT GROUP INC NEW SR NT	CIT GROUP INC NEW SR NT 5.800% 07/28/2011 DD 07/28/06	(170,444)	167,250
CIT GROUP INC SR NT	CIT GROUP INC SR NT 5.400% 02/13/2012 DD 02/13/07	(184,219)	176,300

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CIT GROUP INC SR NT	CIT GROUP INC SR NT 5.650% 02/13/2017 DD 02/13/07	(57,969)	54,625
CIT GROUP INC SR NT	CIT GROUP INC SR NT 7.625% 11/30/2012 DD 11/30/07	(53,150)	56,400
CIT GROUP NEW SUB NT 144A	CIT GROUP NEW SUB NT 144A 12.000% 12/18/2018 DD 12/24/08	(62,963)	54,625
CITY DEVELOPMENTS SGD0.50	CITY DEVELOPMENTS SGD0.50	(61,541)	64,066
CLEAN HARBORS INC	CLEAN HARBORS INC 7.625% 08/15/2016 DD 08/14/09	(82,764)	84,150
CLIPPER REC CORP DISC	CLIPPER REC CORP DISC 7/27/2009	(1,995,111)	1,995,111
CLIPPER REV CORP DISC	CLIPPER REV CORP DISC 1/7/2010	(1,298,879)	1,299,740
CLP HLDGS HKD5	CLP HLDGS HKD5	(56,849)	57,237
CMS ENERGY CORP	CMS ENERGY CORP 8.750% 06/15/2019 DD 06/15/09	(59,024)	60,863
CNOOC LTD HKD0.02	CNOOC LTD HKD0.02	(182,166)	265,201
COCA-COLA HELLENIC BOTTLING CO	COCA-COLA HELLENIC BOTTLING CO EUR0.5	(38,868)	37,227
COLT DEFENSE/FINANCE 144A	COLT DEFENSE/FINANCE 144A 8.750% 11/15/2017 DD 11/10/09	(157,746)	158,544
COMMERZBANK AG NPV	COMMERZBANK AG NPV	(122,981)	133,646
CONAGRA FOODS INC SR NT	CONAGRA FOODS INC SR NT 7.000% 04/15/2019 DD 04/14/09	(79,677)	81,201
CONNECTICUT LT & PWR CO	CONNECTICUT LT & PWR CO 5.500% 02/01/2019 DD 02/13/09	(261,374)	261,866
COSCO DEVELOPMENT CO 'H'	COSCO DEVELOPMENT CO 'H' CNY1.00	(135,733)	122,280

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
COSCO PACIFIC ORD HK\$0.1	COSCO PACIFIC ORD HK\$0.1	(75,593)	59,544
COTT BEVERAGES INC 144A	COTT BEVERAGES INC 144A 8.375% 11/15/2017 DD 11/13/09	(70,974)	71,190
COX COMMUNICATIONS INC 144A	COX COMMUNICATIONS INC 144A 6.250% 06/01/2018 DD 06/05/08	(317,940)	317,679
CRC FDG LLC DISC	CRC FDG LLC DISC 11/18/2009	(1,898,153)	1,899,536
CRICKET COMMUNICATIONS I	CRICKET COMMUNICATIONS I 7.750% 05/15/2016 DD 06/05/09	(278,789)	290,050
CRICKET COMMUNICATIONS INC	CRICKET COMMUNICATIONS INC 10.000% 07/15/2015 DD 01/15/09	(130,000)	131,363
CSC HLDGS INC SR NT 144A	CSC HLDGS INC SR NT 144A 8.500% 04/15/2014 DD 01/13/09	(355,540)	399,600
CSL ORD NPV	CSL ORD NPV	(176,206)	192,526
DANONE S.A. RIGHTS	DANONE S.A. RIGHTS	-	44,367
DBS HLDGS SGD1	DBS HLDGS SGD1	(189,796)	195,549
DENBURY RES INC SR SUB NT	DENBURY RES INC SR SUB NT 9.750% 03/01/2016 DD 02/13/09	(180,991)	183,731
DEUTSCHE BANK LLC DISC	DEUTSCHE BANK LLC DISC 4/22/2010	(1,697,508)	1,698,514
DEUTSCHE BANK LLC DISC	DEUTSCHE BANK LLC DISC 10/22/2009	(1,898,623)	1,899,892
DEXIA NPV	DEXIA NPV	(158,941)	130,328
DIAMOND OFFSHORE DRILL	DIAMOND OFFSHORE DRILL 5.875% 05/01/2019 DD 05/04/09	(54,918)	55,310
DOMTAR CORP	DOMTAR CORP 10.750% 06/01/2017 DD 06/09/09	(206,738)	207,784
DONNELLEY R R & SONS CO NT	DONNELLEY R R & SONS CO NT 11.250% 02/01/2019 DD 01/20/09	(169,986)	168,675

ROCKWELL COLLINS PENSION PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DOOSAN HEAVY INDUSTRY KRW5000	DOOSAN HEAVY INDUSTRY KRW5000	(44,908)	37,864
DOW CHEMICAL CO	DOW CHEMICAL CO 8.550% 05/15/2019 DD 05/13/09	(648,661)	652,056
DOW CHEMICAL COMPANY	DOW CHEMICAL COMPANY 7.600% 05/15/2014 DD 05/13/09	(523,362)	536,282
DRUMMOND CO INC 144A	DRUMMOND CO INC 144A 9.000% 10/15/2014 DD 10/20/09	(205,911)	211,050
EASTON-BELL SPORTS INC	EASTON-BELL SPORTS INC 9.750% 12/01/2016 DD 12/03/09	(143,209)	148,625
EINSTEIN NOAH RESTAURANT GROUP	EINSTEIN NOAH RESTAURANT GROUP INC COM	(103,516)	103,507
ELAN FIN PLC / ELAN FIN CORP	ELAN FIN PLC / ELAN FIN CORP 7.750% 11/15/2011 DD 05/15/05	(59,325)	69,257
ENEL	ENEL EUR SUB RIGHTS 17/06/09	-	24,855
ENERGY FUTURE HLDGS CORP SR NT	ENERGY FUTURE HLDGS CORP SR NT 10.875% 11/01/2017 DD 11/01/08	(575,881)	520,763
ENTERPRISE FDG CP DISC	ENTERPRISE FDG CP DISC 1/20/2010	(1,998,667)	1,999,510
ENTERPRISE FDG CP DISC	ENTERPRISE FDG CP DISC 10/16/2009	(1,898,462)	1,899,840
ENTERPRISE PRODS OPER LLC GTD	ENTERPRISE PRODS OPER LLC GTD 6.500% 01/31/2019 DD 04/03/08	(275,845)	272,415
ERAMET EUR3.05	ERAMET EUR3.05	(28,624)	27,842
EXPEDIA INC DEL SR NT 144A	EXPEDIA INC DEL SR NT 144A 8.500% 07/01/2016 DD 06/24/08	(161,025)	201,450
EXPORT-IMPORT BK KOREA	EXPORT-IMPORT BK KOREA 5.875% 01/14/2015 DD 07/14/09	(203,823)	204,525
FAIRPOINT COMMUNICATIONS INC	FAIRPOINT COMMUNICATIONS INC 13.125% 04/01/2018 DD 04/01/09	(161,655)	39,250

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FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 1/6/2010	(874,272)	874,934
FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 9/15/2009	(1,598,507)	1,598,507
FALCON ASSET SEC DISC	FALCON ASSET SEC DISC 9/22/2009	(1,698,613)	1,699,949
FIRSTRAND ZAR0.01	FIRSTRAND ZAR0.01	(67,425)	73,538
FOMENTO DE CONSTRUCCIONES Y	FOMENTO DE CONSTRUCCIONES Y CONTRA EUR1	(28,192)	24,367
FONDIARIA SAI SPA EUR1	FONDIARIA SAI SPA EUR1	(56,236)	39,278
FORD MTR CR CO GBL LANDMARK	FORD MTR CR CO GBL LANDMARK 7.375% 02/01/2011 DD 01/30/01	-	74,400
FORD MTR CR CO GBL LANDMARK	FORD MTR CR CO GBL LANDMARK 7.250% 10/25/2011 DD 10/25/01	(178,838)	186,388
FOSTERS GROUP ORD NPV	FOSTERS GROUP ORD NPV	(91,847)	130,442
FRESENIUS US FIN II 144A	FRESENIUS US FIN II 144A 9.000% 07/15/2015 DD 01/21/09	(293,189)	336,063
FUGRO NV CVZ EURO0.05	FUGRO NV CVZ EURO0.05 (POST-SUBDIVISION)	(29,343)	27,156
FUJITSU NPV	FUJITSU NPV	(133,504)	128,506
GAMESA CORP TECNO EUR0.17	GAMESA CORP TECNO EUR0.17	(75,388)	70,704
GE CAP CR CARD MSTR 06-I CL A	GE CAP CR CARD MSTR 06-I CL A 5.080% 09/15/2012 DD 09/27/06	(652,641)	-
GEMINI SECR DISC	GEMINI SECR DISC 1/19/2010	(1,199,295)	1,199,696
GEMINI SECR DISC	GEMINI SECR DISC 9/29/2009	(2,098,122)	2,098,122
GENERAL ELEC CAP DISC	GENERAL ELEC CAP DISC 2/17/2010	(1,896,960)	1,897,506

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GENERAL ELEC CO NT	GENERAL ELEC CO NT 5.250% 12/06/2017 DD 12/06/07	(205,266)	205,902
GIVAUDAN AG WTS 27/04/09	GIVAUDAN AG WTS 27/04/09	(1,548)	1,493
GIVAUDAN SA-RTS	GIVAUDAN SA-RTS	-	2,475
GOLDMAN SACHS GROUP INC BD	GOLDMAN SACHS GROUP INC BD 6.150% 04/01/2018 DD 04/01/08	(79,600)	80,148
GOODMAN GLOBAL GRP INC	GOODMAN GLOBAL GRP INC 0.000% 12/15/2014 DD 12/16/09	(92,832)	95,900
GOTHAM FDG CORP DISC	GOTHAM FDG CORP DISC 1/7/2010	(2,498,500)	2,499,525
GOVCO INC DISC	GOVCO INC DISC 1/27/2010	(1,344,434)	1,349,580
GOVCO INC DISC	GOVCO INC DISC 8/27/2009	(1,898,319)	1,899,631
GOVCO INC DISC	GOVCO INC DISC 12/22/2009	(678,165)	679,933
GREAT ATLANTIC & PAC TEA	GREAT ATLANTIC & PAC TEA 11.375% 08/01/2015 DD 08/04/09	(116,862)	119,719
GRUPO FERROVIAL SA EUR1	GRUPO FERROVIAL SA EUR1	(9,421)	8,744
GXS WORLDWIDE INC 144A	GXS WORLDWIDE INC 144A 9.750% 06/15/2015 DD 12/23/09	(137,127)	137,400
HANA FINANCIAL HOLDINGS	HANA FINANCIAL HOLDINGS KRW5000	(68,871)	66,763
HANESBRAND INC	HANESBRAND INC 8.000% 12/15/2016 DD 12/10/09	(167,766)	171,700
HANG LUNG PROPERTIES LTD	HANG LUNG PROPERTIES LTD	(124,143)	140,221
HEADWATERS INC 144A	HEADWATERS INC 144A 11.375% 11/01/2014 DD 10/27/09	(113,927)	117,850
HENDERSON LAND DEVELOPMENT CO	HENDERSON LAND DEVELOPMENT CO HK \$2	(116,945)	144,375

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HESS CORP NT	HESS CORP NT 8.125% 02/15/2019 DD 02/03/09	(109,645)	112,764
HOLCIM LTD	HOLCIM LTD	-	4,571
HONG KONG EXCHANGES & CLEARING	HONG KONG EXCHANGES & CLEARING HKDI	(177,239)	194,724
HUANENG POWER INTL INC H SHS	HUANENG POWER INTL INC H SHS CNY1	(26,843)	21,005
HYNIX SEMICONDUCTOR INC	HYNIX SEMICONDUCTOR INC KRW5000	(44,960)	44,875
HYUNDI STEEL CO KRW5000	HYUNDI STEEL CO KRW5000	(121,526)	108,533
INERGY L P & INERGY FIN CORP	INERGY L P & INERGY FIN CORP 8.750% 03/01/2015 DD 02/02/09	(85,681)	94,100
ING (US) FUNDING DISC	ING (US) FUNDING DISC 4/16/2009	(2,796,565)	2,796,565
ING (US) FUNDING DISC	ING (US) FUNDING DISC 9/22/2009	(1,698,393)	1,698,393
INGERSOLL-RAND GLOBAL HLDG CO	INGERSOLL-RAND GLOBAL HLDG CO 9.500% 04/15/2014 DD 04/03/09	(249,980)	256,581
INGLES FOOD MARKET INC	INGLES FOOD MARKET INC 8.875% 05/15/2017 DD 05/12/09	(125,512)	127,675
INTER RAO UES JSC SP GDR	INTER RAO UES JSC SP GDR	(23,701)	24,584
INTESA FDG LLC DISC	INTESA FDG LLC DISC 1/8/2010	(1,896,054)	1,898,747
INTL GAME TECHNOLOGY	INTL GAME TECHNOLOGY 7.500% 06/15/2019 DD 06/15/09	(74,590)	75,130
INTL PAPER CO	INTL PAPER CO 9.375% 05/15/2019 DD 05/11/09	(136,688)	137,950

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IRON MTN INC DEL GTD SR SUB NT	IRON MTN INC DEL GTD SR SUB NT 8.000% 06/15/2020 DD 06/05/08	(133,425)	136,900
ISHARES CDN S&P/TSX 60 INDEX	ISHARES CDN S&P/TSX 60 INDEX FUND	(1,048,919)	900,120
JBS USA LLC GTD SR NT 144A	JBS USA LLC GTD SR NT 144A 11.625% 05/01/2014 DD 04/27/09	(275,633)	275,450
JOHNSON MATTHEY ORD GBP1	JOHNSON MATTHEY ORD GBP1	(53,018)	48,210
JOHNSON DIVERSEY HOLD INC	JOHNSON DIVERSEY HOLD INC 8.250% 11/15/2019 DD 11/24/09	(143,797)	144,125
JP MORGAN CHASE & CO GLOBAL NT	JP MORGAN CHASE & CO GLOBAL NT 5.750% 01/02/2013 DD 11/25/02	(172,061)	172,590
K HOVNANIAN ENTERPRISES	K HOVNANIAN ENTERPRISES 10.625% 10/15/2016 DD 10/20/09	(186,595)	188,456
K&S AG NPV	K&S AG NPV	(190,864)	192,101
KEPPEL CORP NPV	KEPPEL CORP NPV	(61,870)	69,001
KIA MOTORS CORP KSWN5000	KIA MOTORS CORP KSWN5000	(52,875)	58,805
KONE CORPORATION NPV ORD B	KONE CORPORATION NPV ORD B	(48,973)	85,075
KOREA ELEC PWR CORP KSWN5000	KOREA ELEC PWR CORP KSWN5000	(51,820)	56,356
KT CORP KRW5000	KT CORP KRW5000	(51,362)	52,899
KT&G CORP	KT&G CORP	(148,113)	165,330
LEIGHTON HOLDINGS LTD ORD	LEIGHTON HOLDINGS LTD ORD A\$0.50	(78,477)	79,728
LENNAR CORP	LENNAR CORP 12.250% 06/01/2017 DD 04/30/09	(279,579)	284,019
LINCOLN EDL SVCS CORP	LINCOLN EDL SVCS CORP COM	(308,342)	367,835
LIONS GATE ENTERTAINMENT	LIONS GATE ENTERTAINMENT 10.250% 11/01/2016 DD 10/21/09	(119,028)	122,663

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LLOYDS BANKING GROUP-NPR	LLOYDS BANKING GROUP-NPR	(1)	1
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 2/17/2010	(1,299,269)	1,299,599
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 4/16/2009	(2,892,743)	2,892,743
LONMIN	LONMIN NEW ORD USD1(N/P 03/06/2009)	-	526
MARKET STREET FDG DISC	MARKET STREET FDG DISC 10/20/2009	(1,898,591)	1,899,799
MATRIX SVC CO	MATRIX SVC CO	(459,417)	716,569
MDC PARTNERS INC 144A	MDC PARTNERS INC 144A 11.000% 11/01/2016 DD 10/23/09	(26,694)	28,420
METROPCS WIRELESS INC	METROPCS WIRELESS INC 9.250% 11/01/2014 DD 05/01/09	(174,525)	195,494
METROPCS WIRELESS INC SR NT	METROPCS WIRELESS INC SR NT 9.250% 11/01/2014 DD 11/01/07	(187,200)	195,038
METROPCS WIRELESS SR NT 144A	METROPCS WIRELESS SR NT 144A 9.250% 11/01/2014 DD 01/20/09	(116,350)	129,450
MIZUHO FINL GP NPV	MIZUHO FINL GP NPV	(464,320)	393,822
MOHEGAN TRIBAL GAMING 144A	MOHEGAN TRIBAL GAMING 144A 11.500% 11/01/2017 DD 10/26/09	(101,046)	102,225
MTN GROUP LTD ZAR 0.0001	MTN GROUP LTD ZAR 0.0001	(245,653)	248,407
MTR CORP HKD1	MTR CORP HKD1	(65,284)	65,719
MURRAY ENERGY CORP 144A	MURRAY ENERGY CORP 144A 10.250% 10/15/2015 DD 10/29/09	(207,667)	211,863
NASPERS N ZAR0.02	NASPERS N ZAR0.02	(118,019)	143,964
NATEXIS BANQUES DISC	NATEXIS BANQUES DISC 1/27/2010	(1,199,030)	1,199,642

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NATIONAL BANK OF GREECE-RTS	NATIONAL BANK OF GREECE-RTS	-	18
NATIONAL BK OF GREECE	NATIONAL BK OF GREECE EUR5.0 REGD	(141,530)	183,877
NATIXIS EUR1.6	NATIXIS EUR1.6 (POST SUBDU)	(101,379)	95,934
NEBRASKA BOOK CO	NEBRASKA BOOK CO 10.000% 12/01/2011 DD 10/02/09	(139,300)	140,025
NETFLIX INC 144A	NETFLIX INC 144A 8.500% 11/15/2017 DD 11/06/09	(145,000)	147,438
NEW WORLD DEVELOPMENT CO HK\$1	NEW WORLD DEVELOPMENT CO HK\$1	(56,597)	65,967
NEWELL RUBBERMAID INC NT	NEWELL RUBBERMAID INC NT 10.600% 04/15/2019 DD 03/31/09	(151,268)	156,163
NISOURCE FIN CORP GTD NT	NISOURCE FIN CORP GTD NT 10.750% 03/15/2016 DD 03/09/09	(182,771)	201,875
NOKIA CORP	NOKIA CORP 5.375% 05/15/2019 DD 05/07/09	(74,306)	74,625
NOKIAN RENKAAT OYJ (NOKIA TYRE	NOKIAN RENKAAT OYJ (NOKIA TYRE NPV	(27,268)	27,939
NORDEA BANK AB EUR0.39632	NORDEA BANK AB EUR0.39632	(113,752)	113,220
NORDEA NORTH AMER DISC	NORDEA NORTH AMER DISC 11/2/2009	(1,998,747)	1,999,704
NORFOLK SOUTHN CORP SR NT	NORFOLK SOUTHN CORP SR NT 5.750% 04/01/2018 DD 04/04/08	(291,100)	285,678
NOVA CHEMICALS CORP	NOVA CHEMICALS CORP 8.375% 11/01/2016 DD 10/16/09	(84,439)	85,119
OGK-2 GDR EACH REPR 100 ORD	OGK-2 GDR EACH REPR 100 ORD '144A'	-	11,662
OLIN CORP	OLIN CORP 8.875% 08/19/2019 DD 08/19/09	(114,069)	115,650

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OUTOKUMPU OY ORD A FIM10	OUTOKUMPU OY ORD A FIM10	(41,365)	35,840
OVERSEA-CHINESE BKG CORP	OVERSEA-CHINESE BKG CORP SGD0.5 (POST SUBDIVISION)	(133,417)	137,628
OWENS-BROCKWAY	OWENS-BROCKWAY 7.375% 05/15/2016 DD 05/12/09	(203,120)	202,525
OXFORD INDUSTRIES INC	OXFORD INDUSTRIES INC 11.375% 07/15/2015 DD 06/30/09	(246,875)	245,938
PEARSON ORD GBP0.25	PEARSON ORD GBP0.25	(223,803)	244,727
PENINSULA GAMING LLC	PENINSULA GAMING LLC 8.375% 08/15/2015 DD 08/06/09	(97,701)	99,019
PENINSULA GAMING LLC	PENINSULA GAMING LLC 10.750% 08/15/2017 DD 08/06/09	(141,223)	141,538
PETRO-CANADA	PETRO-CANADA	(67,424)	111,509
PETROCHINA CO 'H'CNY1	PETROCHINA CO 'H'CNY1	(605,232)	817,830
PETROHAWK ENERGY CORP SR 144A	PETROHAWK ENERGY CORP SR 144A 10.500% 08/01/2014 DD 01/27/09	(164,302)	165,744
PEUGEOT SA EUR1	PEUGEOT SA EUR1	(109,304)	139,012
PFIZER INC NT	PFIZER INC NT 4.450% 03/15/2012 DD 03/24/09	(574,212)	601,720
PINNACLE ENTERTAINMENT	PINNACLE ENTERTAINMENT 8.625% 08/01/2017 DD 08/10/09	(216,913)	217,263
PKO BANK POLSKI SA-PDA-ALLT	PKO BANK POLSKI SA-PDA-ALLT	(1,027)	1,756
PLAINS ALL AMER PIPELINE	PLAINS ALL AMER PIPELINE 8.750% 05/01/2019 DD 04/20/09	(59,996)	61,320
PRAXAIR INC NT	PRAXAIR INC NT 5.250% 11/15/2014 DD 11/13/07	(182,784)	182,290
PRINCIPAL FINANCIAL GROUP INC	PRINCIPAL FINANCIAL GROUP INC COM	(330,886)	335,052

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PROCTER & GAMBLE CO NT	PROCTER & GAMBLE CO NT 5.550% 03/05/2037 DD 03/05/07	(307,021)	300,589
PROLOGIS	PROLOGIS 7.375% 10/30/2019 DD 10/30/09	(149,592)	150,296
PROTECTIVE LIFE CORP	PROTECTIVE LIFE CORP 8.450% 10/15/2039 DD 10/09/09	(114,984)	115,081
PROTECTIVE LIFE CORP	PROTECTIVE LIFE CORP 7.375% 10/15/2019 DD 10/09/09	(109,929)	109,927
QBE INS GROUP A\$1	QBE INS GROUP A\$1	(121,137)	159,400
QUICKSILVER RESOURCES IN	QUICKSILVER RESOURCES IN 11.750% 01/01/2016 DD 06/25/09	(135,404)	141,156
QWEST CORP NT 144A	QWEST CORP NT 144A 8.375% 05/01/2016 DD 04/13/09	(212,745)	228,694
RABOBANK USA FINL DISC	RABOBANK USA FINL DISC 10/8/2009	(1,598,111)	1,599,950
RAILAMERICA INC	RAILAMERICA INC 9.250% 07/01/2017 DD 06/23/09	(110,311)	118,850
RAILAMERICA INC	RAILAMERICA INC 9.250% 07/01/2017 DD 06/23/09	(51,798)	-
RANGER FDG CO LLC DISC	RANGER FDG CO LLC DISC 11/19/2009	(1,399,055)	1,399,055
REGAL CINEMAS CORP	REGAL CINEMAS CORP 8.625% 07/15/2019 DD 07/15/09	(63,415)	64,594
RESOLUTION LTD	RESOLUTION LTD ORD NPV	(81,772)	69,582
RIO TINTO FIN USA LTD	RIO TINTO FIN USA LTD 9.000% 05/01/2019 DD 04/17/09	(414,741)	427,572
ROCHE HLDGS INC GTD NT 144A	ROCHE HLDGS INC GTD NT 144A 4.500% 03/01/2012 DD 02/25/09	(547,085)	563,651
ROGERS COMMUNICATIONS INC	ROGERS COMMUNICATIONS INC CL B	(58,860)	60,348

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ROLLS ROYCE GROUP SHS C	ROLLS ROYCE GROUP SHS C ENTITLEMENT JULY 09	(2,800)	3,153
ROLLS-ROYCE GROUP-C	ROLLS-ROYCE GROUP-C	(22,977)	-
ROYAL BK SCOTLAND GROUP PLC	ROYAL BK SCOTLAND GROUP PLC VAR RT 03/31/2049 DD 10/04/07	(276,750)	308,625
RRI ENERGY INC	RRI ENERGY INC 7.625% 06/15/2014 DD 06/13/07	(141,438)	130,975
RRI ENERGY INC	RRI ENERGY INC 7.875% 06/15/2017 DD 06/13/07	(139,306)	127,475
RYLAND GROUP	RYLAND GROUP 8.400% 05/15/2017 DD 05/05/09	(294,133)	321,375
SAINSBURY (J) ORD GBP0.2857142	SAINSBURY (J) ORD GBP0.2857142 (POST REC	(119,597)	124,845
SAIPEM EUR1	SAIPEM EUR1	(30,771)	44,025
SAMPO OYJ SER'A'NPV	SAMPO OYJ SER'A'NPV	(140,249)	163,985
SAMSUNG SDI KRW5000	SAMSUNG SDI KRW5000	(67,563)	73,399
SAN PAOLO US FINL DISC	SAN PAOLO US FINL DISC 4/6/2009	(3,996,230)	3,996,230
SASOL NVP	SASOL NVP	(248,247)	263,458
SBA TELECOMMUNICATIONS INC	SBA TELECOMMUNICATIONS INC 8.250% 08/15/2019 DD 07/24/09	(94,194)	99,900
SEAGATE TECHNOLOGY ESCROW	SEAGATE TECHNOLOGY ESCROW	-	3,650
SEALED AIR CORP	SEALED AIR CORP 7.875% 06/15/2017 DD 06/18/09	(239,701)	240,769
SEALY CORPORATION 144A	SEALY CORPORATION 144A 10.875% 04/15/2016 DD 05/29/09	(148,763)	156,309
SHEFFIELD REC CP DISC	SHEFFIELD REC CP DISC 12/8/2009	(1,495,500)	1,499,959

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SHINSEGAE CO LTD KRW5000	SHINSEGAE CO LTD KRW5000	(148,257)	170,487
SHOPPERS DRUG MART CORP	SHOPPERS DRUG MART CORP	(63,591)	64,538
SILGAN HOLDINGS INC	SILGAN HOLDINGS INC 7.250% 08/15/2016 DD 05/12/09	(204,288)	204,563
SINGAPORE AIRPORT SGD0.10	SINGAPORE AIRPORT SGD0.10	(280,577)	259,579
SINGAPORE TELECOMMUNICATIONS	SINGAPORE TELECOMMUNICATIONS SGD0.15 (POST RE-ORGANIZATION)	(228,716)	215,237
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 1/22/2010	(2,698,740)	2,698,967
SKANSKA AB B SER 'B' SEK3	SKANSKA AB B SER 'B' SEK3	(38,065)	45,946
SMITHFIELD FOODS INC	SMITHFIELD FOODS INC 10.000% 07/15/2014 DD 07/02/09	(283,793)	301,688
SNAM RETE GAS SPA	SNAM RETE GAS SPA EUR1 SUB RIGHTS 15/05/09	(4,812)	3,994
SOCIETE GEN N A DISC	SOCIETE GEN N A DISC 5/13/2010	(1,497,801)	1,498,166
SOCIETE GENERALE RTS	SOCIETE GENERALE RTS	-	20
SOLO CUP COMPANY	SOLO CUP COMPANY 10.500% 11/01/2013 DD 07/02/09	(73,446)	75,281
SOLVAY NPV	SOLVAY NPV	(35,999)	40,840
SSAB AB	SSAB AB NPV	(78,864)	70,063
STADSHYPOTEK DEL DISC	STADSHYPOTEK DEL DISC 9/3/2009	(1,598,400)	1,598,400
STANDARD BK GR LTD ZAR0.1	STANDARD BK GR LTD ZAR0.1	(130,214)	139,832
STAPLES INC SR NT	STAPLES INC SR NT 7.750% 04/01/2011 DD 03/27/09	(110,000)	111,705

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SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

AS OF DECEMBER 31, 2009 (CONTINUED)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
STONEMOR CORNERSTN OSIR	STONEMOR CORNERSTN OSIR 10.250% 12/01/2017 DD 11/24/09	(126,558)	128,700
SUN HUNG KAI PROPERTIES	SUN HUNG KAI PROPERTIES HK\$0.50	(274,260)	364,358
SUNOCO INC NT	SUNOCO INC NT 9.625% 04/15/2015 DD 03/31/09	(163,400)	177,833
SURREY FDG CORP DISC	SURREY FDG CORP DISC 4/14/2010	(998,534)	998,883
SVENSKA HANDELSBANKEN SER A	SVENSKA HANDELSBANKEN SER A NPV	(57,602)	53,610
SWIRE PACIFIC A HKD0.60	SWIRE PACIFIC A HKD0.60	(121,933)	148,659
SXC HEALTH SOLUTIONS CORP COM	SXC HEALTH SOLUTIONS CORP COM	(326,913)	383,856
TARGA RES PARTNERS LP 144A	TARGA RES PARTNERS LP 144A 11.250% 07/15/2017 DD 07/06/09	(56,984)	57,750
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 9.750% 05/15/2014 DD 05/08/09	(548,363)	639,225
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.250% 05/15/2016 DD 05/08/09	(151,446)	164,400
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.750% 05/15/2019 DD 05/08/09	(265,559)	318,906
TECK RESOURCES LIMITED	TECK RESOURCES LIMITED 10.750% 05/15/2019 DD 05/08/09	(704,940)	861,775
TELSTRA CORP NPV	TELSTRA CORP NPV	(277,484)	324,658
TEREX CORP NEW SR SUB NT	TEREX CORP NEW SR SUB NT 8.000% 11/15/2017 DD 11/13/07	(248,200)	252,375
TESORO CORP SR NT SER B	TESORO CORP SR NT SER B 6.625% 11/01/2015 DD 05/01/06	(285,900)	342,900
TEXAS COMPETITIVE ELECTRIC HOL	TEXAS COMPETITIVE ELECTRIC HOL 10.250% 11/01/2015 DD 11/01/08	(962,767)	702,919

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
TEXAS COMPETITIVE ELECTRIC HOL	TEXAS COMPETITIVE ELECTRIC HOL 10.250% 11/01/2015 DD 11/01/08	(181,377)	140,600
TGC-14	TGC-14 - 1 SPON GDR EA REP 1 ORD	-	948
TGC-2 HOLDING JSC GDR EACH	TGC-2 HOLDING JSC GDR EACH REPR 1 ORD '144A'	-	2,507
THAMES ASSET #1 DISC	THAMES ASSET #1 DISC 10/14/2009	(1,798,344)	1,799,860
THOMSON REUTERS CORP	THOMSON REUTERS CORP	(58,049)	65,688
THYSSENKRUPP AG NPV	THYSSENKRUPP AG NPV	(122,515)	148,372
TICKETMASTER ENTERTAINMENT INC	TICKETMASTER ENTERTAINMENT INC	(325,029)	316,738
TIME WARNER CABLE INC NT	TIME WARNER CABLE INC NT 8.250% 04/01/2019 DD 03/26/09	(248,370)	263,916
TOKYO ELECTRIC PWR CO NPV	TOKYO ELECTRIC PWR CO NPV	(60,822)	56,430
TRANSDIGM GROUP INC	TRANSDIGM GROUP INC	(1,119,490)	1,353,877
TRICO SHIPPING AS 144A	TRICO SHIPPING AS 144A 11.875% 11/01/2014 DD 10/30/09	(79,042)	82,205
TULIP FDG CORP DISC	TULIP FDG CORP DISC 12/1/2009	(1,898,703)	1,899,278
UBI BANCA SCPA EUR2.5	UBI BANCA SCPA EUR2.5	(55,155)	47,636
UBS FIN DEL INC DISC	UBS FIN DEL INC DISC 9/23/2009	(1,697,102)	1,699,992
UNICREDIT SPA EUR0.50 (SUB	UNICREDIT SPA EUR0.50 (SUB RIGHTS 23-JAN-2009)	-	66
UNICREDITO IT IRE DISC	UNICREDITO IT IRE DISC 2/24/2010	(1,198,746)	1,199,475
UNIONE DI BANCHE I C/W 2009-20	UNIONE DI BANCHE I C/W 2009-20 (UNIONE DI BA)	(470)	469

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
UNITED OVERSEAS BANK SG\$1	UNITED OVERSEAS BANK SG\$1	(140,295)	146,877
UNITED RENTALS NORTH AM	UNITED RENTALS NORTH AM 10.875% 06/15/2016 DD 06/09/09	(242,600)	263,388
UNITED RENTALS NORTH AM	UNITED RENTALS NORTH AM 10.875% 06/15/2016 DD 06/09/09	(72,780)	80,063
UNIVERSAL CITY DEVELOPMT 144A	UNIVERSAL CITY DEVELOPMT 144A 8.875% 11/15/2015 DD 11/06/09	(370,710)	366,463
UNIVERSAL CITY DEVELOPMT 144A	UNIVERSAL CITY DEVELOPMT 144A 10.875% 11/15/2016 DD 11/06/09	(148,194)	150,713
UPM-KYMMENE OY NPV	UPM-KYMMENE OY NPV	(92,997)	89,896
VESTAS WIND SYSTEM DKK1	VESTAS WIND SYSTEM DKK1	(78,597)	85,629
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 8/20/2009	(1,698,515)	1,698,515
VIDEOTRON LTEE SR NT 144A	VIDEOTRON LTEE SR NT 144A 9.125% 04/15/2018 DD 12/15/08	(138,075)	141,000
VISTAPRINT NV	VISTAPRINT NV	(330,057)	761,540
VODAFONE GROUP PLC NEW NT	VODAFONE GROUP PLC NEW NT 6.150% 02/27/2037 DD 02/27/07	(355,730)	353,710
WACHOVIA CORP 2ND NEW NT	WACHOVIA CORP 2ND NEW NT 4.875% 02/15/2014 DD 02/06/04	(209,855)	256,995
WAMU MTG P/T SER 07-OA5 CL 1A	WAMU MTG P/T SER 07-OA5 CL 1A VAR RT 06/25/2047 DD 05/01/07	16,359	(12,914)
WAMU MTG P/T SER 07-OA5 CL 1A	WAMU MTG P/T SER 07-OA5 CL 1A VAR RT 06/25/2047 DD 05/01/07	(16,359)	-
WARNER CHILCOTT PLC CLASS A	WARNER CHILCOTT PLC CLASS A	(473,362)	453,609
WEATHERFORD INTL LTD GTD SR NT	WEATHERFORD INTL LTD GTD SR NT 9.625% 03/01/2019 DD 01/08/09	(52,601)	53,319
WESTFIELD GROUP NPV DFD	WESTFIELD GROUP NPV DFD STAPLED SECURITIES	(237,035)	282,481

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
WHARF HOLDINGS HK\$1	WHARF HOLDINGS HK\$1	(127,403)	159,516
WHIRLPOOL CORP	WHIRLPOOL CORP 8.600% 05/01/2014 DD 05/04/09	(169,915)	171,731
WILMAR INTERNATIONAL LTD	WILMAR INTERNATIONAL LTD SGD0.5	(120,532)	134,742
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 1/20/2010	(2,298,589)	2,299,437
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 10/14/2009	(1,898,301)	1,899,852
WMG ACQUISITION CORP	WMG ACQUISITION CORP 9.500% 06/15/2016 DD 05/28/09	(271,282)	279,175
WOODSIDE PETROLEUM NPV	WOODSIDE PETROLEUM NPV	(554,495)	777,885
WOOLWORTHS LIMITED ORD A\$0.25	WOOLWORTHS LIMITED ORD A\$0.25	(299,261)	412,259
WOORI FINANCE KRW5000	WOORI FINANCE KRW5000	(28,969)	28,131
XEROX CORPORATION	XEROX CORPORATION 8.250% 05/15/2014 DD 05/11/09	(399,566)	408,462
XTO ENERGY INC SR NT	XTO ENERGY INC SR NT 6.750% 08/01/2037 DD 07/19/07	(297,967)	321,489
YAHOO JAPAN CORP NPV	YAHOO JAPAN CORP NPV	(66,599)	59,604
YONKERS RACING CORP	YONKERS RACING CORP 11.375% 07/15/2016 DD 07/15/09	(131,078)	138,450

* Indicates Pending Settlement