Form 5500-SF

Department of the Treasury Internal Revenue Service

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of 2011

OMB Nos. 1210-0110

1210-0089

Department of Labor This Form is Open to Public the Internal Revenue Code (the Code). Employee Benefits Security Administration Inspection Pension Benefit Guaranty Corporation ▶ Complete all entries in accordance with the instructions to the Form 5500-SF **Annual Report Identification Information** For calendar plan year 2011 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan A This return/report is for: the first return/report **B** This return/report is: the final return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 automatic extension DFVC program C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit plan number ROYAL COPENHAGEN PORCELAIN PROFIT SHARING AND SAVINGS PLAN (PN) ▶ 001 1c Effective date of plan 10/01/2005 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number ROYAL COPENHAGEN PORCELAIN, INC. 20-0153765 (EIN) 2c Sponsor's telephone number 212-532-5051 63 PAGE PARK DRIVE POUGHKEEPSIE, NY 12603-2583 2d Business code (see instructions) 424910 3a Plan administrator's name and address (if same as plan sponsor, enter "Same") 3b Administrator's EIN ROYAL COPENHAGEN PORCELAIN, INC. **63 PAGE PARK DRIVE** 20-0153765 POUGHKEEPSIE, NY 12603-2583 3c Administrator's telephone number 212-532-5051 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN Sponsor's name 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... 11 Number of participants with account balances as of the end of the plan year (defined benefit plans do not 10 complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 438859 486910 Total plan assets..... 7a 0 7b Total plan liabilities..... 438859 486910 Net plan assets (subtract line 7b from line 7a)..... 7с Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 19054 8a(1) (1) Employers 44924 (2) Participants 8a(2) (3) Others (including rollovers)..... 8a(3) -15927 **b** Other income (loss)..... 8b 48051 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с Benefits paid (including direct rollovers and insurance premiums 0 to provide benefits)..... 8d 0 Certain deemed and/or corrective distributions (see instructions) ... 8e 0 Administrative service providers (salaries, fees, commissions)....... 8f 0 Other expenses..... 8g Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h

8i

0

Net income (loss) (subtract line 8h from line 8c).....

Transfers to (from) the plan (see instructions)

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Part IV	Plan	Characte	aristics
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- If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a 2E 2G 2J 2K 3H 3D
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	v (Compliance Questions							
0	Durin	g the plan year:		Yes	No		Am	ount	
а		Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			X				
b		there any nonexempt transactions with any party-in-interest? (Do not include transactions reported e 10a.)	10b		X				
С	Was	the plan covered by a fidelity bond?	10c	X					500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?								
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				X				
f	Has tl	he plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
h					X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
Part	VI I	Pension Funding Compliance							
	Is this	a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com					F	Yes	X No
12		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						Yes	X No
	If a wa	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) aiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruing the waiver	th						
		the minimum required contribution for this plan year			12b				
					12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).									
е	Will th	e minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
Part		Plan Terminations and Transfers of Assets							<u>r</u>
		resolution to terminate the plan been adopted in any plan year?			\	res X	No		
		s," enter the amount of any plan assets that reverted to the employer this year		- T			-1		
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought			ntrol				
	of the	PBGC?						Yes	X No
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)	he pla	n(s) to	1				
1	3c(1) N	Name of plan(s):		13	c(2) E	N(s)		13c(3)	PN(s)
Cauti	on: A	penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	estab	lished.	1		
		Ities of perjury and other penalties set forth in the instructions, I declare that I have examined this returned by the completed and signed by an enrolled actuary, as well as the electronic version of this returned.							

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/30/2012	LISA ROBERSON
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor