Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Р	Pension Benefit Guaranty Corporation Complete all entries in accordance with the instructions to the Form 5500-SF.							
Pa	art I Annual Report Identification Information							
For	For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011							
Α .	This return/report is for:	a multiple	-employer plan (not multiemployer)	Γ	a one-participant plan			
В	This return/report is: the first return/report	the final r	eturn/report	_	_			
	an amended return/report	a short pla	an year return/report (less than 12 mo	onths)				
_	H_ ' '	1	extension	г	DFVC program			
C		_	, exterision	L	_ Di ve piogram			
_	special extension (enter description)							
	art II Basic Plan Information—enter all requested inform	nation		46				
	Name of plan OPTRONICS, INC. RETIREMENT SAVINGS & INVESTMENT PLAI	N.			Three-digit olan number			
3110	OF TROMES, INC. RETIREMENT SAVINGS & INVESTMENT FEAT	•			(PN) ▶ 001			
				1c	Effective date of plan			
					10/31/1973			
	Plan sponsor's name and address; include room or suite number (employer, if	for a single-employer plan)	2b E	Employer Identification Number			
5110	OPTRONICS, INC.				EIN) 91-0790263			
				2c 3	Sponsor's telephone number			
	NORTHUP WAY			0.1.	425-827-0460			
BELL	LEVUE, WA 98004-1495			2a I	Business code (see instructions) 541519			
32	Plan administrator's name and address (if same as plan sponsor, e	ntor "Como	,"\	3h	Administrator's EIN			
	OPTRONICS, INC. 2755 NORT		;)	30 /	91-0790263			
	BELLEVUE,	WA 98004	-1495	3c /	Administrator's telephone number			
					425-827-0460			
4	If the name and/or EIN of the plan sponsor has changed since the name, EIN, and the plan number from the last return/report.	last return/	report filed for this plan, enter the	4b	EIN			
а	Sponsor's name			4c	PN			
	Total number of participants at the beginning of the plan year			5a	1			
b				5b	1			
C				30				
·	complete this item)	. , ,	•	5c	1			
6a	Were all of the plan's assets during the plan year invested in eligi	ble assets?	(See instructions.)		X Yes No			
b	3							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							
Pa	If you answered "No" to either 6a or 6b, the plan cannot use I art III Financial Information	-orm 5500-	SF and must instead use Form 550	JU.				
7	Plan Assets and Liabilities		(a) Beginning of Year		(h) End of Your			
′ ີ		7-	(a) Beginning of Year 4231959	(b) End of Year 42149				
a b			120.000					
C	·		4231959		4214912			
		/0						
8 a	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount		(b) Total			
u	(1) Employers	8a(1)						
	(2) Participants	8a(2)	24254					
	(3) Others (including rollovers)							
b	Other income (loss)		-5539					
С					18715			
d			05700					
	to provide benefits)	8d	35762					
е	,							
f	Administrative service providers (salaries, fees, commissions)	8f						
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			35762			
i	Net income (loss) (subtract line 8h from line 8c)	8i			-17047			
j	Transfers to (from) the plan (see instructions)	··· 8j						

Eorm	5500-SF 2011	
	2200-25 /011	

Page	2	- [1	
------	---	-----	---	--

Part IV	Plan	Characteristics

- 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2R 3D
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

During the plan year:						
Burning the plan year.		Yes	No		Amount	
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
Was the plan covered by a fidelity bond?	10c	Χ			50	0000
Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
Has the plan failed to provide any benefit when due under the plan?	10f		X			
Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
rt VI Pension Funding Compliance						
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and cor 5500))	nplete	Sched	ule SB	(Form	Yes	X N
Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod						X N
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver.					f the letter rulin	
					Year	
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13			Day.		_ Year	
		_	12b		_ Year	
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13		[Year	
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Description:	of a	[12b		Year	
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a	[12b 12c 12d	Yes	Year	
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Description: Enter the minimum required contribution for this plan year	of a	[12b 12c 12d			N/A
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a		12b 12c 12d		No [
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a	[12b 12c 12d	Yes	No [
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a		12b 12c 12d	Yes	No No	N/A
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 D Enter the minimum required contribution for this plan year	of a	3a the co	12b 12c 12d Y	Yes	No [N/A
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 D Enter the minimum required contribution for this plan year	of a	3a the co	12b 12c 12d Y	Yes	No No	N//
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 D Enter the minimum required contribution for this plan year	of a	3a the co	12b 12c 12d Y	Yes Yes X	No No	N/ <i>F</i>
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a	3a the co	12b 12c 12d	Yes Yes X	No No	N/ <i>F</i>
f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 Enter the minimum required contribution for this plan year	of a	33a The co	12b 12c 12d	Yes Yes X	No No	N/ <i>F</i>

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/30/2012	WILLIAM J. THAYER, III
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor