Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2011

This Form is Open to Public Inspection

	Complete all entries in accord	aance witi	n the instructions to the Form 55	JU-5F.		
	art I Annual Report Identification Information					
For	calendar plan year 2011 or fiscal plan year beginning 01/01/201	1	and ending	12/31/20	011	
Α .	This return/report is for:	a multiple-employer plan (not multiemployer) a one-participant plan				
В	This return/report is: the first return/report	the final re	eturn/report			
	an amended return/report	a short pla	an year return/report (less than 12 r	nonths)		
C	Check box if filing under: X Form 5558	automatic	extension		DFVC program	
	special extension (enter descriptio	n)		_	_	
Pa	rt II Basic Plan Information—enter all requested information	ation				
	Name of plan			1b	Three-digit	
	S GROUP, INC. 401(K) PROFIT SHARING PLAN				plan number	
					(PN) ▶ 001	
				1c	Effective date of plan 11/01/2003	
	Plan sponsor's name and address; include room or suite number (er	mployer if	for a single-employer plan)	2h	Employer Identification Number	
	S GROUP, INC.	inployer, ii	ioi a sirigie-employer plani		(EIN) 91-1621275	
					Sponsor's telephone number	
1700	7TH AVENUE, SUITE 2000			206-621-7420		
	TLE, WA 98101			2d	Business code (see instructions)	
					531390	
	Plan administrator's name and address (if same as plan sponsor, er			3b /	Administrator's EIN 91-1621275	
VII U	S GROUP, INC. 1700 7TH AV SEATTLE, W		JITE 2000	30	Administrator's telephone number	
				30 /	206-621-7420	
4	If the name and/or EIN of the plan sponsor has changed since the la	ast return/i	report filed for this plan, enter the	4b	EIN	
name, EIN, and the plan number from the last return/report.					DNI	
	Sponsor's name			4c		
5a				- Ou	28	
D	b Total number of participants at the end of the plan year			5b	26	
С	Number of participants with account balances as of the end of the p complete this item)			5c	24	
6a	Were all of the plan's assets during the plan year invested in eligible				X Yes No	
b	Are you claiming a waiver of the annual examination and report of a		,			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					
	If you answered "No" to either 6a or 6b, the plan cannot use Fo	orm 5500-	SF and must instead use Form 5	500.		
Pa	rt III Financial Information		T			
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year	
a	Total plan assets		814623		832893	
b	Total plan liabilities	7b	04.4000		22222	
<u>c</u>	Net plan assets (subtract line 7b from line 7a)	. 7c	814623		832893	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total	
а	Contributions received or receivable from: (1) Employers	8a(1)	78711			
	(2) Participants	8a(2)	100521			
	(3) Others (including rollovers)	8a(3)	133			
b	Other income (loss)		-4510			
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			174855	
d	Benefits paid (including direct rollovers and insurance premiums					
	to provide benefits)	8d	154988			
е	Certain deemed and/or corrective distributions (see instructions)	8e				
f	Administrative service providers (salaries, fees, commissions)	8f				
g	Other expenses	8g	1597			
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			156585	
i	Net income (loss) (subtract line 8h from line 8c)	. 8i			18270	
j	Transfers to (from) the plan (see instructions)	8j				

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Part IV	Plan	Characteri	stics
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- **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D
- b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions						
10	During the plan year:		Yes	No	4	Mount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c		X			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
art	VI Pension Funding Compliance						
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))							
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No							
(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling							
lf [,]	granting the waiver			Day .	'	еаі	
b Enter the minimum required contribution for this plan year							
	Enter the amount contributed by the employer to the plan for this plan year			12c			
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?						N/A
art	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	'es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	1	3a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?						
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	ne plar	n(s) to	1			
1	3c(1) Name of plan(s):		130	c(2) EI	N(s)	13c(3)	PN(s)
Caut	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonabl	le cau	se is	establ	ished.		
Unde	er penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retu	ırn/rep	ort, in	cluding	g, if applicab	le, a Sche	edule

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/30/2012	LEE CAPELL
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	07/30/2012	LEE CAPELL
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor