Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2011

This Form is Open to Public Inspection

					Inspection			
Part I Annual Report Identification Information								
For calendar plan year 2011 or fiscal plan year beginning 04/01/2011 and ending 03/31/2012								
A This	eturn/report is for:	a multiemployer plan;	a multip	le-employer plan; or				
		x a single-employer plan;	a DFE (specify)				
		_	_					
B This	return/report is:	the first return/report;	the final	return/report;				
		an amended return/report;	a short	plan year return/report (less th	nan 12 months).			
C If the	plan is a collectively-bargained	d plan, check here						
D Chec	k box if filing under:	Form 5558;		atic extension; the DFVC program;				
	-	special extension (enter des	scription)		_			
Part	II Basic Plan Inform	ation—enter all requested information	ation					
1a Nam	ne of plan	,	<u></u>		1b Three-digit plan number (PN) ▶	001		
WARTIN	R. MUNITZ PC., DEFERRED	PROFIT SHARING PLAN			1c Effective date of plants	an		
					03/31/1987	·		
	,	including room or suite number (E	mployer, if for single	e-employer plan)	2b Employer Identification Number (EIN) 13-3303446			
MARTIN R. MUNITZ PC					2c Sponsor's telephone number 212-947-1000			
3 WEST 35TH STREET NEW YORK, NY 10001			3 WEST 35TH STREET NEW YORK, NY 10001			2d Business code (see instructions) 541110		
Caution	: A penalty for the late or inc	omplete filing of this return/repo	rt will be assessed	l unless reasonable cause is	s established.			
		nalties set forth in the instructions, s the electronic version of this return						
SIGN	Filed with authorized/valid elec	etronic signature.	09/26/2012	MARTIN MUNITZ				
HERE	Signature of plan administr	rator	Date	Enter name of individual si	gning as plan administrator			
	-							
SIGN								
HERE	Signature of employer/plan	sponsor	Date	Enter name of individual si	signing as employer or plan sponsor			
	5				J -) -: -: F.S.1 0P			
SIGN								
HERE								

Signature of DFE Date Enter name of individual signing as DFE For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

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	Plan administrator's name and address (if same as plan sponsor, enter "Sar RTIN R. MUNITZ PC	me")	3b Administrator's EIN 13-3303446				
	VEST 35TH STREET W YORK, NY 10001				ministrator's telephone mber 212-947-1000		
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	n/report filed for thi	s plan, enter the name, EIN	and	4b EIN		
а	Sponsor's name				4c PN		
5	Total number of participants at the beginning of the plan year			5	3		
6	Number of participants as of the end of the plan year (welfare plans complete	te only lines 6a, 6b	, 6c , and 6d).				
а	Active participants			6a	3		
h				6b			
b	Retired or separated participants receiving benefits			σb			
С	Other retired or separated participants entitled to future benefits			6c			
d	Subtotal. Add lines 6a, 6b, and 6c			6d	3		
е	Deceased participants whose beneficiaries are receiving or are entitled to re	eceive benefits		6e			
f	Total. Add lines 6d and 6e			6f	3		
g	Number of participants with account balances as of the end of the plan year	` •	•	C			
	complete this item)			6g			
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			6h			
7							
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:							
	2A						
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:						
9a	Plan funding arrangement (check all that apply)		t arrangement (check all that	t apply)			
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) (2)	Insurance Code section 412(e)(3) ir	nsurance	e contracts		
	(3) Trust	(3) X	Trust				
(4) General assets of the sponsor (4) General assets of the sponsor							
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a	attached, and, whe	re indicated, enter the numb	er attacl	ned. (See instructions)		
а	Pension_Schedules	b General Se	chedules				
	(1) R (Retirement Plan Information)	(1)	H (Financial Inform	ation)			
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) X	I (Financial Informa	ation – S	Small Plan)		
	Purchase Plan Actuarial Information) - signed by the plan	(3)	A (Insurance Inform	nation)			
	actuary	(4)	C (Service Provide	r Inform	ation)		
	_				ing Plan Information)		
	(-)				nsaction Schedules)		

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2011

This Form is Open to Public Inspection

For calendar plan year 2011 or fiscal plan year beginning 04/01/2011	and ending 03/31/2012						
A Name of plan MARTIN R. MUNITZ PC., DEFERRED PROFIT SHARING PLAN	B Three-digit plan number (PN)						
C Plan sponsor's name as shown on line 2a of Form 5500	D Employer Identification Number (EIN)						
MARTIN R. MUNITZ PC	13-3303446						
Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the participant rule (see instructions). Complete Schedule H if reporting							
Part I Small Plan Financial Information							
Report below the current value of assets and liabilities, income, expenses, transfers and char	nges in net assets during the plan year. Combine the value of plan						

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	749994	958703
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	. 1c	749994	958703
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)		
	(2) Participants	. 2a(2)		
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	208709	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		208709
е	Benefits paid (including direct rollovers)	. 2e		
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	20		
h	Administrative service providers (salaries, fees, and commissions)			
ï	Other expenses	2i		
i	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)			
, k	Net income (loss) (subtract line 2j from line 2d)			208709
ı	Transfers to (from) the plan (see instructions)			

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
	Participant loans			X	

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Schedule I (Form 5500) 2011

			-				
			Yes	No		Amount	
3f	Loans (other than to participants)	3f		X			
g	Tangible personal property	3g		X			
	•						
Pa	art II Compliance Questions						
4	During the plan year:		Yes	No		Amount	<u> </u>
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully	4-		X			
b	corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a 4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e		X			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	Ye	s X	lo /	Amount:		
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plar	n(s) to v	vhich assets	or liabilitie	es were
	(1) Name of plan(s)			5b(2) EIN(s)		5b(3) PN(s)