Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

▶ Complete all entries in accordance with the instructions to the Form 5500-SF **Annual Report Identification Information** For calendar plan year 2011 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan A This return/report is for: the first return/report **B** This return/report is: the final return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 automatic extension DFVC program C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit plan number WALLINGFORD PEDIATRICS PROFIT SHARING PLAN (PN) ▶ 001 1c Effective date of plan 01/01/1996 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number WALLINGFORD PEDIATRICS, PLLC 62-1725609 (EIN) 2c Sponsor's telephone number 206-632-0542 1122 - 20TH AVENUE EAST 2d Business code (see instructions) SEATTLE, WA 98112 621111 3a Plan administrator's name and address (if same as plan sponsor, enter "Same") 3b Administrator's EIN ACH RETIREMENT PLAN CONSULTANTS INC. 4729 E. SUNRISE DRIVE, PMB 334 45-3763537 TUCSON, AZ 85718-4534 3c Administrator's telephone number 520-751-9403 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN Sponsor's name 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Financial Information Plan Assets and Liabilities (b) End of Year (a) Beginning of Year 537690 1816 Total plan assets..... 7a n 0 7b Total plan liabilities..... 537690 1816 Net plan assets (subtract line 7b from line 7a)..... 7с Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 0 8a(1) (1) Employers 0 (2) Participants 8a(2) 0 (3) Others (including rollovers)..... 8a(3) -11709 **b** Other income (loss)..... 8b -11709 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8с Benefits paid (including direct rollovers and insurance premiums 524165 to provide benefits)..... 8d 0 Certain deemed and/or corrective distributions (see instructions) ... 8e 0 Administrative service providers (salaries, fees, commissions)....... 8f 0 Other expenses..... 8g 524165 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h -535874 Net income (loss) (subtract line 8h from line 8c)..... 8i 0 Transfers to (from) the plan (see instructions)

Form	5500.	SF.	201

Page 2 -	1
-----------------	---

Part IV	Plan	Characte	aristics
raii iv	- FIAII	Guaraci	ยเอแรอ

- If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a
 - If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

	rring the plan year:		Yes	No		Δ	Amo	unt	
	as there a failure to transmit to the plan any participant contributions within the time period described in 9 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X					
) W	ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.)	10b		X					
. W	as the plan covered by a fidelity bond?	10c	Χ						6000
	d the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud dishonesty?	10d		X					
ins	ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, surance service or other organization that provides some or all of the benefits under the plan? (See structions.)	10e		X					
На	as the plan failed to provide any benefit when due under the plan?	10f		X					
j Di	d the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
	his is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.)	10h		Χ					
If '	10h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3	10i							
t VI	Pension Funding Compliance								
Is	this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 00))							Yes	X No
le	this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code								
	· · · · · · · · · · · · · · · · · · ·	or se	ction 3	802 of	ERISA	۱?	Ш	Yes	X No
(If an Ifa	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver	ctions,	and e	nter th	ne date	e of the	e lett	er rul	ing
(If a If a gra	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruc	ctions, th	and e	nter th	ne date	e of the	e lett	er rul	ing
(If a If a gra f you	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver	ctions, th	and e	nter th	ne date	e of the	e lett	er rul	ing
(If a If a gra f you D En	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction in the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year.	ctions, th	and e	nter th Day	ne date	e of the	e lett	er rul	ing
(If a If a gra f you D En En S Su	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction in the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year.	ctions, th of a	and e	nter th Day	ne date	e of the	e lett	er rul	ing
(If a If a gra f you D En C En d Su ne	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left	ctions, th of a	and e	nter th Day 12b 12c 12d	ne date	e of the	e lett	er rul	ing
(If a graf you be a graf you b	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction to the minimum funding standard for a prior year is being amortized in this plan year, see instruction for the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount). If the minimum funding amount reported on line 12d be met by the funding deadline?	ctions, th of a	and e	nter th Day 12b 12c 12d	ne date	e of the	e lett	er rul	ing
(If a If a graf you En C En Su ne Witt VII	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount)	ctions, th of a	and e	12b 12c 12d	ne date	e of the	e lett ⁄ear	er rul	ing
(If a If a graf you of the graft you of the gra	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructionanting the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount). Il the minimum funding amount reported on line 12d be met by the funding deadline?	of a	and e	nter the Day	Ye	e of the	e lett ⁄ear	er rul	ing
(If f a grad grad grad grad grad grad grad gr	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount) Il the minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets as a resolution to terminate the plan been adopted in any plan year?	of a	and e	12b 12c 12d	Ye	e of the	e lett	er rul	ing
(If a If a grad grad grad grad grad grad grad gr	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver	of a	and e	12b 12c 12d	Ye	e of the	e lett	er rul	ing
(If a If a gray gray gray gray gray gray gray gr	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver	of a	and e	12b 12c 12d	Ye //es [e of the	e lett	er rul	ing
(If a If a grade g	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct anting the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount) If the minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets as a resolution to terminate the plan been adopted in any plan year? Yes," enter the amount of any plan assets that reverted to the employer this year are all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC? during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan assets or liabilities were transferred. (See instructions.)	of a	and e	12b 12c 12d	Ye //es [e of the	e lett	er rul	ing
(If a If a gray gray gray gray gray gray gray gr	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct anting the waiver. Mon completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. ter the minimum required contribution for this plan year. ter the amount contributed by the employer to the plan for this plan year. btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount) If the minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets as a resolution to terminate the plan been adopted in any plan year? Yes," enter the amount of any plan assets that reverted to the employer this year are all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC? during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan assets or liabilities were transferred. (See instructions.)	of a	and e	12b 12c 12d	Ye //es [e of the	e lett	er rul	ing

SIGN	Filed with authorized/valid electronic signature.	09/27/2012	ERIC L. HUGHES
HERE	Signature of plan administrator	Date Enter name of individual signing as plan administrato	
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor