# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2011**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

**D** Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 01/01/1980

**2a** Plan sponsor's name and address, including room or suite number (Employer, if for single-employer plan)

FINFROCK ENTERPRISES, INC.

2400 APOPKA BOULEVARD
APOPKA, FL 32703

**2b** Employer Identification Number (EIN) 59-2954885

**2c** Sponsor's telephone number 407-293-4320

**2d** Business code (see instructions) 327300

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/03/2012 | DEBRA K. DOAN |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/03/2012 | DEBRA K. DOAN |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address (if same as plan sponsor, enter "Same")
FINFROCK ENTERPRISES, INC.

2400 APOPKA BOULEVARD
APOPKA, FL 32703

**3b** Administrator's EIN
59-2954885

**3c** Administrator's telephone number
407-293-4320

**4** If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

**a** Sponsor's name

**4b** EIN

**4c** PN

| | | | |
|---|---|---|---|
| **5** | Total number of participants at the beginning of the plan year | **5** | 246 |
| **6** | Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**). | | |
| **a** | Active participants | **6a** | 116 |
| **b** | Retired or separated participants receiving benefits | **6b** | 7 |
| **c** | Other retired or separated participants entitled to future benefits | **6c** | 13 |
| **d** | Subtotal. Add lines **6a**, **6b**, and **6c** | **6d** | 136 |
| **e** | Deceased participants whose beneficiaries are receiving or are entitled to receive benefits | **6e** | 0 |
| **f** | Total. Add lines **6d** and **6e** | **6f** | 136 |
| **g** | Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | 114 |
| **h** | Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 8 |
| **7** | Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2E 2F 2G 2J 2K 3D

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | X | Insurance |
| **(2)** | | Code section 412(e)(3) insurance contracts |
| **(3)** | X | Trust |
| **(4)** | | General assets of the sponsor |

**9b** Plan benefit arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | X | Insurance |
| **(2)** | | Code section 412(e)(3) insurance contracts |
| **(3)** | X | Trust |
| **(4)** | | General assets of the sponsor |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

| | | |
|---|---|---|
| **(1)** | X | **R** (Retirement Plan Information) |
| **(2)** | | **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary |
| **(3)** | | **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary |

**b General Schedules**

| | | | |
|---|---|---|---|
| **(1)** | X | | **H** (Financial Information) |
| **(2)** | | | **I** (Financial Information – Small Plan) |
| **(3)** | X | 1 | **A** (Insurance Information) |
| **(4)** | X | | **C** (Service Provider Information) |
| **(5)** | X | | **D** (DFE/Participating Plan Information) |
| **(6)** | | | **G** (Financial Transaction Schedules) |

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2011**

**This Form is Open to Public Inspection**

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
FINFROCK ENTERPRISES, INC.

**D** Employer Identification Number (EIN)
59-2954885

## Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
JOHN HANCOCK LIFE INSURANCE COMPANY USA

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year (f) From | Policy or contract year (g) To |
|---|---|---|---|---|---|
| 01-0233346 | 65838 | 13163 | 113 | 01/01/2011 | 12/31/2011 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 16242 | 4509 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
NFP SECURITIES, INC.

| (b) Amount of sales and base commissions paid | Fees and other commissions paid (c) Amount | Fees and other commissions paid (d) Purpose | (e) Organization code |
|---|---|---|---|
| 16242 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
PENCOR, INC.
1361 13TH STREET, SOUTH, SUITE 250
JACKSONVILLE BEACH, FL 32250

| (b) Amount of sales and base commissions paid | Fees and other commissions paid (c) Amount | Fees and other commissions paid (d) Purpose | (e) Organization code |
|---|---|---|---|
| | 4053 | TPA FEES PAID TPA FORUM COMPENSATION | 5 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

VANGUARD GROUP, INC.

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | 431 | REDEMPTION FEES | 6 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

FIDELITY MANAGEMENT & RESEARCH COMP

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | 2 | REDEMPTION FEES | 6 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

T. ROWE PRICE ASSOCIATES, INC.

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | 23 | REDEMPTION FEES | 6 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | 128738 |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | 7210839 |

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| b | Premiums paid to carrier | 6b | |
| c | Premiums due but unpaid at the end of the year | 6c | |
| d | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☒ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| b | Balance at the end of the previous year | | | 7b | 381973 |
| c | Additions: (1) Contributions deposited during the year | 7c(1) | 13863 | | |
| | (2) Dividends and credits | 7c(2) | 0 | | |
| | (3) Interest credited during the year | 7c(3) | 7379 | | |
| | (4) Transferred from separate account | 7c(4) | 224 | | |
| | (5) Other (specify below) | 7c(5) | 1780 | | |
| | ▶ AMOUNT OF POSITIVE MARKET VALUE ADJ | | | | |
| | (6) Total additions | | | 7c(6) | 23246 |
| d | Total of balance and additions (add **b** and **c(6)**) | | | 7d | 405219 |
| e | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | 53299 | | |
| | (2) Administration charge made by carrier | 7e(2) | 142 | | |
| | (3) Transferred to separate account | 7e(3) | 222709 | | |
| | (4) Other (specify below) | 7e(4) | 331 | | |
| | ▶ CASH ACCT AS INVESTED MONEY AMT OF NEGATIVE MARKET VALUE ADJMNT | | | | |
| | (5) Total deductions | | | 7e(5) | 276481 |
| f | Balance at the end of the current year (subtract **e(5)** from **d**) | | | 7f | 128738 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance

**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug

**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract

**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in **c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount. | | | **10b** | |

Specify nature of costs ▶

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2011**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
FINFROCK ENTERPRISES, INC.

**D** Employer Identification Number (EIN)
59-2954885

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

JOHN HANCOCK LIFE INSURANCE COMPANY

01-0233346

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 15 28 59 60 62 63 | RECORDKEEPER | 880 | Yes [ ] No [X] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

## Part I Service Provider Information (continued)

**3** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

## Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

# SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

## DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2011**

**This Form is Open to Public Inspection.**

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan or DFE sponsor's name as shown on line 2a of Form 5500
FINFROCK ENTERPRISES, INC.

**D** Employer Identification Number (EIN)
59-2954885

**Part I** **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

**a** Name of MTIA, CCT, PSA, or 103-12 IE: 500 INDEX FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 87684 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN BALANCED FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 67928 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN CENTURY HERITAGE

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103- 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 123148 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK GLOBAL ALLOCATION

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103- 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 9518 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLUE CHIP GROWTH FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103- 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 93981 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BRANDYWINE BLUE FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103- 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 1946 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: CAPITAL APPRECIATION FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103- 12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 57372 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: CAPITAL WORLD GROWTH & INCOME

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6749 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: COLUMBIA VALUE & RESTRUCTURING

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 139205 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DAVIS NEW YORK VENTURE

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 44677 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DFA EMERGING MARKETS VALUE

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 65329 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DFA INFLATION-PROTECTED SEC

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3975 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DFA INTERNATIONAL VALUE

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 23770 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DFA U.S. SMALL CAP FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14090 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DFA US TARGETED VALUE FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24023 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: DOMINI SOCIAL EQUITY

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 11402 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: ENERGY

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 213780 |
|---|---|---|

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|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: EQUITY INCOME FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3177 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: EUROPACIFIC GROWTH FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 74302 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY ADVISOR GOLD FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 70724 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY CONTRAFUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 45857 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FINANCIAL SERVICES FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 213562 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FRANKLIN BALANCE SHEET | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 42604 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FRANKLIN SMALL-MID GROWTH | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5468 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FUNDAMENTAL ALL CAP CORE FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2434 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: FUNDAMENTAL LARGE CAP VALUE | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 171 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: GLOBAL BOND FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8893 |

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|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: HIGH YIELD FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 89 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: INTERNATIONAL VALUE FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 92746 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: INTL EQUITY INDEX FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20041 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: INTL SMALL CAP FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 50687 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: INVESTMENT COMPANY OF AMERICA | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1312 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: IVY ASSET STRATEGY FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 29741 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: JOHN HANCOCK INTL GROWTH | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 33751 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: JPM MIDCAP VALUE FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 77169 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LARGE CAP FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3262 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LEGG MASON CLEARBR AGG GROWTH | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 77212 |

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|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE AGGRESSIVE | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 606641 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE BALANCED | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 181500 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE CONSERVATIVE | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 342523 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE GROWTH | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 660901 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE MODERATE | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 89674 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MFS UTILITIES | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 152676 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MID CAP INDEX FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9358 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MID CAP STOCK FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14825 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MID VALUE FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1800 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MONEY MARKET FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1247635 |

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|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MUTUAL BEACON | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 123491 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: MUTUAL GLOBAL DISCOVERY | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6374 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: NATURAL RESOURCES FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 41514 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: NEW WORLD FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9041 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: OPPENHEIMER DEVELOPING MKT | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 151801 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: OPPENHEIMER GLOBAL | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 160653 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: OPPENHEIMER INTL GROWTH FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2575 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: PARNASSUS EQUITY INCOME | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 51800 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO ALL ASSET | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 11898 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO GLOBAL BOND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 35871 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO REAL RETURN

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 99746 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO TOTAL RETURN

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 156826 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PRU JENNISON 20/20 FOCUS FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 7483 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PRU JENNISON MID GROWTH FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 115 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: REAL EST. SECURITIES FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 108848 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2010

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20729 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2020

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1292 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2025

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 92775 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2030

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10832 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2035

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1210 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2040

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 78184 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING AT 2045

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 78993 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: ROYCE OPPORTUNITY

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 68468 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SCIENCE & TECHNOLOGY FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 76381 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SHORT-TERM FEDERAL

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 33645 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP GROWTH INDEX

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5021 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP INDEX FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 28071 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP OPPORTUNITIES FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1705 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SMALL CAP VALUE FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5917 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SMALL COMPANY VALUE FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 16081 |
|---|---|---|

| | | |
|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: SSGA MID GROWTH INDEX FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 12741 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: STRATEGIC INCOME OPP FUND | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 48879 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE EQUITY INC | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 69671 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE HEALTH SCI | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 108961 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SCI & TECH | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 131213 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SML CAP VAL | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 63510 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: T. ROWE PRICE SPECTRUM INC | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9894 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: TEMPLETON WORLD | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 58745 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: THE GROWTH FUND OF AMERICA | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 56168 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: THORNBURG INTERNATIONAL VALUE | | |
| **b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA | | |
| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL BOND MARKET FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2565 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL RETURN FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3876 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL STOCK MARKET INDEX FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6688 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TURNER LARGE GROWTH FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1271 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: U.S. HIGH YIELD BOND FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

## Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

| | |
|---|---|
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2011**

**This Form is Open to Public Inspection**

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
FINFROCK ENTERPRISES, INC.

**D** Employer Identification Number (EIN)
59-2954885

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | 73054 | 50477 |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | | 214 |
| **(2)** Participant contributions | 1b(2) | | |
| **(3)** Other | 1b(3) | 2068 | 311 |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | | |
| **(2)** U.S. Government securities | 1c(2) | | |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | | |
| **(B)** All other | 1c(3)(B) | | |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | | |
| **(B)** Common | 1c(4)(B) | | |
| **(5)** Partnership/joint venture interests | 1c(5) | | |
| **(6)** Real estate (other than employer real property) | 1c(6) | | |
| **(7)** Loans (other than to participants) | 1c(7) | | |
| **(8)** Participant loans | 1c(8) | 350139 | 286737 |
| **(9)** Value of interest in common/collective trusts | 1c(9) | | |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | | |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | | |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | | |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 7480621 | 7216936 |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | 382132 | 128931 |
| **(15)** Other | 1c(15) | | |

| | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **1d** Employer-related investments: | | | |
| **(1)** Employer securities | 1d(1) | | |
| **(2)** Employer real property | 1d(2) | | |
| **e** Buildings and other property used in plan operation | 1e | | |
| **f** Total assets (add all amounts in lines 1a through 1e) | 1f | 8288014 | 7683606 |
| **Liabilities** | | | |
| **g** Benefit claims payable | 1g | | |
| **h** Operating payables | 1h | | |
| **i** Acquisition indebtedness | 1i | | |
| **j** Other liabilities | 1j | | |
| **k** Total liabilities (add all amounts in lines 1g through1j) | 1k | 0 | 0 |
| **Net Assets** | | | |
| **l** Net assets (subtract line 1k from line 1f) | 1l | 8288014 | 7683606 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| Income | | (a) Amount | (b) Total |
|---|---|---|---|
| **a Contributions:** | | | |
| **(1)** Received or receivable in cash from: **(A)** Employers | 2a(1)(A) | 214 | |
| **(B)** Participants | 2a(1)(B) | 471127 | |
| **(C)** Others (including rollovers) | 2a(1)(C) | | |
| **(2)** Noncash contributions | 2a(2) | | |
| **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | 2a(3) | | 471341 |
| **b Earnings on investments:** | | | |
| **(1)** Interest: | | | |
| **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 9049 | |
| **(B)** U.S. Government securities | 2b(1)(B) | | |
| **(C)** Corporate debt instruments | 2b(1)(C) | | |
| **(D)** Loans (other than to participants) | 2b(1)(D) | | |
| **(E)** Participant loans | 2b(1)(E) | 13719 | |
| **(F)** Other | 2b(1)(F) | 2 | |
| **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | 2b(1)(G) | | 22770 |
| **(2)** Dividends: **(A)** Preferred stock | 2b(2)(A) | | |
| **(B)** Common stock | 2b(2)(B) | | |
| **(C)** Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | | |
| **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | 2b(2)(D) | | 0 |
| **(3)** Rents | 2b(3) | | |
| **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | 2b(4)(A) | | |
| **(B)** Aggregate carrying amount (see instructions) | 2b(4)(B) | | |
| **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | 2b(4)(C) | | 0 |

| | | (a) Amount | (b) Total |
|---|---|---|---|
| **2b** (5) Unrealized appreciation (depreciation) of assets: (A) Real estate | 2b(5)(A) | | |
| (B) Other | 2b(5)(B) | | |
| (C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B) | 2b(5)(C) | | 0 |
| (6) Net investment gain (loss) from common/collective trusts | 2b(6) | | |
| (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | | |
| (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | | |
| (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | | |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | -287847 |
| **c** Other income | 2c | | |
| **d** Total income. Add all **income** amounts in column (b) and enter total | 2d | | 206264 |
| **Expenses** | | | |
| **e** Benefit payment and payments to provide benefits: | | | |
| (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 753815 | |
| (2) To insurance carriers for the provision of benefits | 2e(2) | | |
| (3) Other | 2e(3) | 2079 | |
| (4) Total benefit payments. Add lines 2e(1) through (3) | 2e(4) | | 755894 |
| **f** Corrective distributions (see instructions) | 2f | | 25656 |
| **g** Certain deemed distributions of participant loans (see instructions) | 2g | | 24617 |
| **h** Interest expense | 2h | | |
| **i** Administrative expenses: (1) Professional fees | 2i(1) | | |
| (2) Contract administrator fees | 2i(2) | 4049 | |
| (3) Investment advisory and management fees | 2i(3) | 456 | |
| (4) Other | 2i(4) | | |
| (5) Total administrative expenses. Add lines 2i(1) through (4) | 2i(5) | | 4505 |
| **j** Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 810672 |
| **Net Income and Reconciliation** | | | |
| **k** Net income (loss). Subtract line 2j from line 2d | 2k | | -604408 |
| **l** Transfers of assets: | | | |
| (1) To this plan | 2l(1) | | |
| (2) From this plan | 2l(2) | | |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified (2) [ ] Qualified (3) [X] Disclaimer (4) [ ] Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: AVERETTWARMUSDURKEEOSBURNHENNING PA (2) EIN: 59-3214308

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. (2) [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |
| **b** | Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | 4b | | X | |
| **c** | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | 4c | | X | |
| **d** | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | 4d | | X | |
| **e** | Was this plan covered by a fidelity bond? | 4e | X | | 500000 |
| **f** | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 4f | | X | |
| **g** | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4g | | X | |
| **h** | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4h | | X | |
| **i** | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | 4i | X | | |
| **j** | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) | 4j | | X | |
| **k** | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | 4k | | X | |
| **l** | Has the plan failed to provide any benefit when due under the plan? | 4l | | X | |
| **m** | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 4m | | X | |
| **n** | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | 4n | | | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2011**

**This Form is Open to Public Inspection.**

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

**A** Name of plan
FINFROCK ENTERPRISES, INC. 401K PROFIT SHARING PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
FINFROCK ENTERPRISES, INC.

**D** Employer Identification Number (EIN)
59-2954885

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... **1** 0

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 01-0233346

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. ..... **3** 0

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6** **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... **6a**

**b** Enter the amount contributed by the employer to the plan for this plan year ..... **6b**

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... **6c**

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11** **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

**14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

| | | |
|---|---|---|
| **a** The current year | **14a** | |
| **b** The plan year immediately preceding the current plan year | **14b** | |
| **c** The second preceding plan year | **14c** | |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

**19** If the total number of participants is 1,000 or more, complete items (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

**b** Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

# FINFROCK ENTERPRISES, INC.
# 401(K) PROFIT SHARING PLAN

**(Employer Identification No. 59-2954885-001)**

**Apopka, Florida**

## FINANCIAL STATEMENTS AND SUPPLEMENTAL SCHEDULE

**As of December 31, 2011 and 2010, and the Year Ended December 31, 2011**




AVERETT
WARMUS
DURKEE
OSBURN
HENNING

Certified Public Accountants and Business Advisors

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**

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AVERETT
WARMUS
DURKEE
OSBURN
HENNING
Certified Public Accountants and Business Advisors

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Orlando, Florida 32803
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## Independent Auditors' Report

To the Board of Trustees
Finfrock Enterprises, Inc.
401(k) Profit Sharing Plan
Apopka, Florida

We were engaged to audit the financial statements of Finfrock Enterprises, Inc. 401(k) Profit Sharing Plan (the "Plan") as of December 31, 2011 and 2010, and for the year ended December 31, 2011, and the supplemental schedule as of December 31, 2011, as listed in the accompanying table of contents. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by John Hancock Life Insurance Company U.S.A., the trustee of the Plan, except for comparing the information with the related information included in the financial statements and the supplemental schedule. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of December 31, 2011 and 2010, and for the year ended December 31, 2011, that the information provided to the Plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The supplemental schedule is presented for the purpose of additional analysis and is not a required part of the financial statements but is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Averett Warmus Durkee Osburn Henning

Orlando, Florida
September 5, 2012



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## FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN

### Statements of Net Assets Available for Benefits
### December 31, 2011 and 2010

| | 2011 | 2010 |
|---|---|---|
| Assets: | | |
| Investments, at fair value | $ 7,396,343 | $ 7,935,807 |
| Receivables: | | |
| Notes receivable from participants | 286,737 | 350,139 |
| Other receivable | 526 | 2,068 |
| Total assets | 7,683,606 | 8,288,014 |
| Liabilities: | | |
| Excess participant contributions payable | 8,522 | 25,656 |
| Net Assets Available for Benefits | $ 7,675,084 | $ 8,262,358 |

See accompanying notes.

## FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN

### Statement of Changes in Net Assets Available for Benefits
### December 31, 2011

| | | |
|---|---|---|
| Additions to Plan Assets Attributed to: | | |
| Investment income (loss): | | |
| Net depreciation in fair value of investments | $ | (287,858) |
| Interest on investments | | 9,049 |
| Total investment loss | | (278,809) |
| Interest income on notes receivable from participants | | 13,719 |
| Contributions: | | |
| Participants' contributions | | 471,343 |
| Participants' rollovers | | 2,073 |
| Total contributions | | 473,416 |
| Total additions | | 208,326 |
| Deductions From Net Assets Attributed to: | | |
| Benefits paid to participants | | 791,096 |
| Administrative and other expenses | | 4,504 |
| Total deductions | | 795,600 |
| Net Decrease | | (587,274) |
| Net Assets Available for Benefits: | | |
| Beginning of year | | 8,262,358 |
| End of year | $ | 7,675,084 |

See accompanying notes.

## 1. Description of Plan:

The following description of the Finfrock Enterprises, Inc. 401(k) Profit Sharing Plan (the "Plan") provides only general information regarding the Plan's provisions in effect at December 31, 2011. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

### General

The Plan is a defined-contribution plan covering all employees of Finfrock Enterprises, Inc. (the "Company" or "Plan Sponsor") who have three months of service and have attained age 18. The Plan includes a 401(k) cash or deferred arrangement. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

### Contributions

#### Participant:

Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined in the Plan, not to exceed limitations set forth in the Internal Revenue Code. Participants may also contribute amounts representing distributions from other qualified defined-benefit or defined-contribution plans.

#### Employer:

For eligible participants, the Company may make matching contributions equal to a discretionary percentage, to be determined by the Company's Board of Directors, of the participant's salary reductions. For 2011, the Board decided not to make an employer matching contribution. Additional amounts may be contributed by the Company at the option of the Company's Board of Directors.

All participant and employer contributions are subject to the limitations specified by the Plan and the Internal Revenue Code and Regulations.

### Participant Accounts

Each participant's account is credited with the participant's contribution, the employer matching contribution, and allocations of (a) the Company's discretionary contribution (if applicable), (b) Plan earnings, and (c) forfeitures. Allocations for contributions are based on participants' compensation, limited by statutory provisions. Allocations for Plan earnings are based on account balances. Forfeitures may be used to offset employer matching contributions. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

### Vesting

Participants are immediately vested in their voluntary contributions, plus actual earnings thereon. Vesting in the remainder of their accounts is based on years of continuous service. A participant is 100 percent vested after six years of credited service.

## 1. Description of Plan – Continued:

### Notes Receivable From Participants

Participants may obtain loans based upon their vested account balances. The minimum loan permitted is $1,000 and the maximum loan amount is the lesser of 50% of the participant's vested account balance or $50,000. Loan payments consisting of principal and interest are made through payroll deductions over a term not greater than five years or for a time period not to exceed thirty years for the purchase or construction of a primary residence. The loans earn interest at rates ranging from 4.25% to 5.50%. Note balances are collateralized by the borrower's vested account balances in the Plan. Maturities range from 2012 to 2016.

### Payment of Benefits

Upon termination of service, retirement, or death, a participant or his beneficiary may elect to receive either a lump-sum amount equal to the value of his account, or an annuity which provides for payments over specified periods, with any payments not paid to the participant during his lifetime being payable to his beneficiary.

### Investment Options

Upon enrollment in the Plan, a participant may direct participant and employer contributions in any of the Plan's investment options.

### Forfeited Accounts

At December 31, 2011 and 2010, forfeited non-vested accounts totaled $50,477 and $73,054, respectively. These accounts may be used to pay future administrative expenses of the Plan or to reduce future employer contributions. For the year ended December 31, 2011, the Plan sponsor decided to allocate the current year forfeitures as employer contributions in 2012.

### Plan Expenses

It is the policy of the Company to pay substantially all of the non-investment administrative expenses incurred by the Plan.

## 2. Summary of Significant Accounting Policies:

### Basis of Accounting

The financial statements of Finfrock Enterprises, Inc. 401(k) Profit Sharing Plan are presented on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

## 2. Summary of Significant Accounting Policies – Continued:

### Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires Plan management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

### Notes Receivable From Participants

Notes receivable from participants are measured at their unpaid principal balance plus accrued but unpaid interest. Delinquent notes receivable from participants are reclassified as distributions based upon the terms of the Plan document.

### Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

### Payment of Benefits

Benefits are recorded when paid.

### Subsequent Events

Management has evaluated events through September 5, 2012, the date which the financial statements were available to be issued.

## 3. Investments:

The Plan has entered into a group annuity contract with John Hancock Life Insurance Company U.S.A. ("John Hancock"). The investments are comprised of guaranteed interest accounts ("GIA") and pooled separate accounts ("PSA"). The contract assets are backed only by the general assets of John Hancock; therefore, the Plan has a concentration of credit risk in regards to this contract. The average yield for the GIAs ranged from 0.20% to 4.25% and 0.55% to 5.00% at December 31, 2011 and 2010, respectively. John Hancock determines the interest rates annually.

## 3. Investments – Continued:

The following presents investments that represent five percent or more of the Plan's net assets at December 31, 2011 and 2010:

| | 2011 | 2010 |
|---|---|---|
| John Hancock Life Insurance Company U.S.A.: | | |
| Pooled separate accounts: | | |
| Lifestyle Growth, 2,152 and 2,960 shares, respectively | $ 661,779 | $ 957,322 |
| Lifestyle Aggressive, 1,960 and 2,501 shares, respectively | 606,901 | 830,779 |
| Money Market Fund, 95,930 and 78,538 shares, respectively | 1,247,992 | 1,024,832 |

During 2011, the Plan's pooled separate accounts investments (including gains and losses on investments bought and sold, as well as held during the years) depreciated in value by $287,858.

## 4. Guaranteed Interest Accounts:

The Plan has guaranteed interest accounts which are investment options that guarantee a return of principal plus compound interest earned over a defined term. Interest rates are established on the first day of each calendar month and apply to all contributions made to the account in that month. At the end of each reporting year, a composite interest rate is determined which is the effective annual rate earned. During 2011, the annual rates ranged from 0.20% to 1.30%.

## 5. Fair Value Measurements:

Financial Accounting Standards Board ("FASB") *Accounting Standards Codification* ("ASC") *820, Fair Value Measurements and Disclosures*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820-10 are described as follows:

- Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2 – Inputs to the valuation methodology include:
  - Quoted prices for similar assets or liabilities in active markets;
  - Quoted prices for identical or similar assets or liabilities in inactive markets;
  - Inputs other than quoted prices that are observable for the asset or liability;
  - Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

**5. Fair Value Measurements – Continued:**

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2011 and 2010:

*Unallocated cash account:* Valued at transaction price. Carrying value approximates fair value because of liquidity.

*Guaranteed interest accounts:* Valued at fair value by adjusting contract value for the differences in the interest rates at the valuation date and the actual interest rate of the account.

*Pooled separate accounts:* Valued at net asset value of the accounts' underlying mutual funds net of investment management fees.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2011 and 2010:

| | 2011 | | | |
|---|---|---|---|---|
| | Level 1 | Level 2 | Level 3 | Total |
| Unallocated Cash Account | $ 50,477 | $ - | $ - | $ 50,477 |
| Pooled Separate Accounts: | | | | |
| Targeted | - | 285,135 | - | 285,135 |
| Conservative | - | 1,661,666 | - | 1,661,666 |
| Income | - | 553,004 | - | 553,004 |
| Growth and income | - | 668,456 | - | 668,456 |
| Growth | - | 1,857,012 | - | 1,857,012 |
| Aggressive growth | - | 2,191,662 | - | 2,191,662 |
| Guaranteed Interest Accounts: | | | | |
| Conservative | - | 128,931 | - | 128,931 |
| | $ 50,477 | $ 7,345,866 | $ - | $ 7,396,343 |

## 5. Fair Value Measurements – Continued:

| | 2010 | | | |
|---|---|---|---|---|
| | Level 1 | Level 2 | Level 3 | Total |
| Unallocated Cash Account | $ 73,054 | $ - | $ - | $ 73,054 |
| Pooled Separate Accounts: | | | | |
| Targeted | - | 285,553 | - | 285,553 |
| Conservative | - | 1,206,848 | - | 1,206,848 |
| Income | - | 431,899 | - | 431,899 |
| Growth and income | - | 764,940 | - | 764,940 |
| Growth | - | 2,070,575 | - | 2,070,575 |
| Aggressive growth | - | 2,720,807 | - | 2,720,807 |
| Guaranteed Interest Accounts: | | | | |
| Conservative | - | 382,131 | - | 382,131 |
| | $ 73,054 | $ 7,862,753 | $ - | $ 7,935,807 |

## 6. Related-Party Transactions:

The Plan paid contract administration fees of $4,049 to John Hancock Life Insurance Company U.S.A., the Plan trustee, for the year ended December 31, 2011. These fees were paid from Plan assets and are included in administrative and other expenses.

## 7. Plan Termination:

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100 percent vested in their employer contributions.

## 8. Tax Status:

On March 31, 2008, the Internal Revenue Service stated that the volume submitter plan adopted by the Plan, as then designed, was acceptable under code section 401 of the Internal Revenue Code ("IRC"). The Plan has been amended since receiving the opinion letter. The Plan has not received a determination letter specific to the Plan itself; however, the Plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC and the Plan is qualified and related trust is exempt from federal income taxes as of the financial statement date. Accordingly, contributions to the Plan are deductible or excludable from taxable income, and the earnings of the Plan are not taxable.

## 8. Tax Status – Continued:

Plan management has evaluated tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has analyzed the tax positions taken by the Plan and has concluded that as of December 31, 2011, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by the Internal Revenue Service; however, there are currently no audits for any tax periods in progress. The Plan administrator believes the Plan is no longer subject to income tax examinations for years prior to 2008.

## 9. Summary of Information Certified by the Plan Trustee:

At December 31, 2011 and 2010, and for the year ended December 31, 2011, the Plan trustee, John Hancock Life Insurance Company U.S.A., held Plan investment assets and executed investment transactions. Financial information relating to those assets is included in the accompanying financial statements, including Notes 3 and 5, and the supplemental schedule of assets (held at end of year), and such information has been derived from reports certified as complete and accurate by the trustee. Information provided by the trustee, which has not been audited by independent accountants, is summarized as follows:

| | 2011 | 2010 |
|---|---|---|
| Assets: | | |
| Investments | $ 7,396,343 | $ 7,935,807 |
| Notes receivable from participants | 286,737 | 350,139 |
| Total assets | $ 7,683,080 | $ 8,285,946 |
| Investment Income (Loss): | | |
| Net depreciation in fair value of investments | $ (287,858) | |
| Interest on investments | 9,049 | |
| Total investment loss | $ (278,809) | |
| Interest Income on Notes Receivable From Participants | $ 13,719 | |

## 10. Risks and Uncertainties:

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the financial statements.

## 11. Reconciliation of Financial Statements to Form 5500:

The Plan's Form 5500, Annual Return/Report of Employee Benefit Plan, for the year ended December 31, 2011, was prepared by the trustee. The following is a summary of differences between the accompanying financial statements for 2011 and the Form 5500 prepared by the trustee:

| | 2011 | 2010 |
|---|---|---|
| At December 31, 2011 and 2010: | | |
| Balance per financial statements | $ 7,675,084 | $ 8,262,358 |
| Excess participant contributions payable | 8,522 | 25,656 |
| Balance per Form 5500 | $ 7,683,606 | $ 8,288,014 |
| For the Year Ended December 31, 2011: | | |
| Changes in net assets: | | |
| Net decrease per financial statements | $ (587,274) | |
| Net change in excess participant contributions payable | (17,134) | |
| Net Income (Loss) per Form 5500 | $ (604,408) | |

SUPPLEMENTAL SCHEDULE

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year)**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | Due Date | Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| * | John Hancock, Unallocated Account: Cash | N/A | N/A | 50,476.650 | $ 50,477 | $ 50,477 |
| * | John Hancock, Guaranteed Interest Accounts: | | | | | |
| | 3 Year | 0.20% | 12/31/2011 | 41.770 | 42 | 42 |
| | 3 Year | 0.20% | 12/31/2011 | 3,395.380 | 3,395 | 3,395 |
| | 3 Year | 0.20% | 12/31/2011 | 5,077.470 | 5,077 | 5,077 |
| | 3 Year | 0.20% | 12/31/2012 | 541.000 | 541 | 541 |
| | 3 Year | 0.20% | 12/31/2013 | 2.310 | 2 | 2 |
| | 3 Year | 0.20% | 12/31/2013 | 1,346.910 | 1,347 | 1,347 |
| | 5 Year | 0.75% | 12/31/2012 | 18,378.400 | 18,378 | 18,378 |
| | 5 Year | 0.75% | 12/31/2012 | 730.010 | 730 | 730 |
| | 5 Year | 0.75% | 12/31/2012 | 73,208.520 | 73,209 | 73,209 |
| | 5 Year | 0.75% | 12/31/2013 | 13,961.970 | 13,962 | 13,962 |
| | 5 Year | 0.75% | 12/31/2015 | 818.110 | 818 | 818 |
| | 5 Year | 0.75% | 12/31/2015 | 7,203.890 | 7,204 | 7,204 |
| | 5 Year | 0.75% | 12/31/2015 | 2.350 | 2 | 2 |
| | 5 Year | 0.75% | 12/31/2015 | 2,784.260 | 2,784 | 2,784 |
| | 10 Year | 1.30% | 12/31/2018 | 692.640 | 693 | 693 |
| | 10 Year | 1.30% | 12/31/2019 | 458.290 | 458 | 458 |
| | 10 Year | 1.30% | 12/31/2020 | 94.840 | 289 | 289 |
| * | John Hancock, Pooled Separate Accounts: | | | | | |
| | 500 Index Fund | N/A | N/A | 119.767 | 76,104 | 87,760 |
| | American Balanced Fund | N/A | N/A | 2,794.059 | 56,739 | 68,002 |
| | American Century Heritage | N/A | N/A | 6,289.695 | 140,706 | 123,174 |
| | BlackRock Global Allocation | N/A | N/A | 471.089 | 9,584 | 9,543 |
| | Blue Chip Growth Fund | N/A | N/A | 3,670.520 | 77,972 | 93,995 |
| | Bradywine Blue Fund | N/A | N/A | 84.999 | 2,208 | 1,946 |
| | Capital Appreciation Fund | N/A | N/A | 5,023.221 | 52,807 | 57,372 |
| | Capital World Growth & Income | N/A | N/A | 190.276 | 6,885 | 6,797 |
| | Columbia Value & Restruct | N/A | N/A | 2,771.067 | 134,533 | 139,296 |
| | Davis New York Venture | N/A | N/A | 1,618.689 | 40,647 | 44,702 |
| | DFA Emerging Markets Value | N/A | N/A | 1,769.496 | 78,077 | 65,436 |
| | DFA Inflation-Protected | N/A | N/A | 305.119 | 3,960 | 3,975 |
| | DFA International Value | N/A | N/A | 1,362.950 | 30,180 | 23,778 |
| | DFA U.S. Small Cap Fund | N/A | N/A | 596.696 | 12,041 | 14,110 |
| | DFA U.S. Targeted Value | N/A | N/A | 1,477.645 | 25,447 | 24,023 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | (c) Description of Investment: Due Date | (c) Description of Investment: Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Domini Social Equity Fund | N/A | N/A | 298.765 | 10,294 | 11,402 |
| | Energy Fund | N/A | N/A | 2,360.028 | 204,579 | 213,569 |
| | Equity Income Fund | N/A | N/A | 97.644 | 3,309 | 3,181 |
| | EuroPacific Growth Fund | N/A | N/A | 1,527,266.000 | 77,485 | 74,373 |
| | Fidelity Advisor Gold Fund | N/A | N/A | 1,452.259 | 73,827 | 70,770 |
| | Fidelity ContraFund | N/A | N/A | 305.667 | 46,941 | 45,950 |
| | Financial Services Fund | N/A | N/A | 15,146.310 | 228,169 | 213,991 |
| | Franklin Balance Sheet Fund | N/A | N/A | 459.772 | 38,668 | 42,688 |
| | Franklin Small-Mid Growth | N/A | N/A | 117.277 | 4,362 | 5,469 |
| | Fundamental All Cap Core Fund | N/A | N/A | 118.760 | 2,237 | 2,434 |
| | Fundamental Large Cap Value | N/A | N/A | 1.860 | 160 | 171 |
| | Global Bond Fund | N/A | N/A | 346.200 | 7,909 | 8,893 |
| | High Yield Fund | N/A | N/A | 3.315 | 102 | 103 |
| | International Equity Index Fd | N/A | N/A | 1,414.576 | 24,398 | 20,067 |
| | International Small Cap Fund | N/A | N/A | 1,911.438 | 56,061 | 50,697 |
| | International Value Fund | N/A | N/A | 5,042.095 | 105,477 | 92,753 |
| | Ivy Asset Strategy Fund | N/A | N/A | 1,310.347 | 34,076 | 29,741 |
| | Jennison 20/20 Focus Fund | N/A | N/A | 475.742 | 7,160 | 7,483 |
| | Jennison Mid Growth Fund | N/A | N/A | 3.967 | 111 | 115 |
| | John Hancock Int'l Growth | N/A | N/A | 1,547.382 | 38,194 | 33,750 |
| | JPM MidCap Value Fund | N/A | N/A | 2,698.411 | 67,834 | 77,170 |
| | Large Cap Fund | N/A | N/A | 230.696 | 3,463 | 3,268 |
| | Legg Mason ClearBr Agg Growth | N/A | N/A | 1,533.344 | 65,658 | 77,225 |
| | Lifecycle 2010 | N/A | N/A | 1,823.803 | 17,502 | 20,729 |
| | Lifecycle 2020 | N/A | N/A | 119.799 | 1,338 | 1,319 |
| | Lifecycle 2025 | N/A | N/A | 8,898.151 | 84,398 | 93,410 |
| | Lifecycle 2030 | N/A | N/A | 1,058.950 | 8,666 | 10,832 |
| | Lifecycle 2035 | N/A | N/A | 117.911 | 1,150 | 1,247 |
| | Lifecycle 2040 | N/A | N/A | 7,623.187 | 67,347 | 78,370 |
| | Lifecycle 2045 | N/A | N/A | 7,710.651 | 70,585 | 79,228 |
| | Lifestyle Aggressive | N/A | N/A | 1,960.419 | 527,740 | 606,901 |
| | Lifestyle Balanced | N/A | N/A | 809.132 | 150,774 | 181,807 |
| | Lifestyle Conservative | N/A | N/A | 1,662.638 | 329,523 | 342,904 |
| | Lifestyle Growth | N/A | N/A | 2,151.879 | 579,691 | 661,779 |
| | Lifestyle Moderate | N/A | N/A | 491.890 | 73,497 | 89,802 |
| | MFS Utilities Fund | N/A | N/A | 6,637.252 | 129,263 | 152,701 |
| | Mid Cap Index Fund | N/A | N/A | 380.080 | 7,943 | 9,358 |
| | Mid Cap Stock Fund | N/A | N/A | 804.520 | 14,650 | 14,831 |
| | Mid Value Fund | N/A | N/A | 112.561 | 1,476 | 1,808 |
| | Money Market Fund | N/A | N/A | 95,930.213 | 1,247,257 | 1,247,992 |
| | Mutual Beacon Fund | N/A | N/A | 1,160.927 | 113,493 | 123,530 |
| | Mutual Global Discovery | N/A | N/A | 94.597 | 5,548 | 6,374 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | (c) Description of Investment: Due Date | (c) Description of Investment: Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Natural Resources Fund | N/A | N/A | 1,045.254 | 47,707 | 41,631 |
| | New World Fund | N/A | N/A | 184.612 | 10,782 | 9,048 |
| | Oppenheimer Developing Markets | N/A | N/A | 2,719.664 | 150,017 | 151,948 |
| | Oppenheimer Global Fund | N/A | N/A | 4,151.282 | 142,967 | 160,763 |
| | Oppenheimer Int'l Growth | N/A | N/A | 96.378 | 2,467 | 2,576 |
| | Parnassus Equity Income | N/A | N/A | 1,869.346 | 53,188 | 51,800 |
| | PIMCO All Asset Fund | N/A | N/A | 617.208 | 9,887 | 11,941 |
| | PIMCO Global Bond | N/A | N/A | 1,989.662 | 34,173 | 35,884 |
| | PIMCO Real Return Fund | N/A | N/A | 5,167.022 | 89,521 | 99,815 |
| | PIMCO Total Return Fund | N/A | N/A | 6,929.654 | 142,786 | 157,016 |
| | Real Est. Securities Fund | N/A | N/A | 1,999.761 | 103,938 | 108,953 |
| | Royce Opportunity Fund | N/A | N/A | 3,971.474 | 74,560 | 68,483 |
| | Science & Technology Fund | N/A | N/A | 4,088.193 | 68,169 | 76,452 |
| | Short-Term Federal Fund | N/A | N/A | 1,610.932 | 31,787 | 33,688 |
| | Small Cap Growth Index | N/A | N/A | 233.390 | 4,710 | 5,027 |
| | Small Cap Index Fund | N/A | N/A | 1,451.692 | 22,435 | 28,091 |
| | Small Cap Opportunities Fd | N/A | N/A | 72.921 | 1,676 | 1,708 |
| | Small Cap Value Fund | N/A | N/A | 200.032 | 5,206 | 5,919 |
| | Small Company Value Fund | N/A | N/A | 540.816 | 13,574 | 16,081 |
| | SSgA Mid Growth Index Fund | N/A | N/A | 1,165.055 | 14,277 | 12,782 |
| | Strategic Income Opp Fund | N/A | N/A | 2,330.413 | 48,967 | 48,879 |
| | T. Rowe Price Equity Inc | N/A | N/A | 1,848.120 | 70,287 | 69,793 |
| | T. Rowe Price Health Sciences | N/A | N/A | 2,696.756 | 79,879 | 109,038 |
| | T. Rowe Price Science & Technology | N/A | N/A | 2,976.227 | 112,676 | 131,255 |
| | T. Rowe Price Small Cap Val | N/A | N/A | 1,175.990 | 49,765 | 63,561 |
| | T. Rowe Price Spectrum Inc | N/A | N/A | 298.083 | 8,203 | 9,908 |
| | Templeton World Fund | N/A | N/A | 1,719.666 | 62,605 | 58,790 |
| | The Growth Fund of America | N/A | N/A | 1,706.106 | 55,363 | 56,225 |
| | The Investment Company of America | N/A | N/A | 35.130 | 1,165 | 1,316 |
| | Thornburg International Value | N/A | N/A | 0.154 | 5 | 4 |
| | Total Bond Market Fund | N/A | N/A | 148.428 | 2,376 | 2,575 |
| | Total Return Fund | N/A | N/A | 141.765 | 3,700 | 3,882 |
| | Total Stock Market Index Fd | N/A | N/A | 479.694 | 6,941 | 6,736 |
| | Turner Large Growth Fund | N/A | N/A | 113.297 | 1,398 | 1,271 |
| | U.S. High Yield Bond Fund | N/A | N/A | 0.116 | 2 | 2 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | (c) Description of Investment: Due Date | (c) Description of Investment: Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Other: | | | | | |
| * | Notes receivable from participants | 4.25% – 5.50% | 01/12 – 08/16 | | 286,737 | 286,737 |
| | | | | | $ 7,273,509 | $ 7,683,080 |

* Indicates a party-in-interest

SUPPLEMENTAL SCHEDULE

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year)**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | Due Date | Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| * | John Hancock, Unallocated Account: | | | | | |
| | Cash | N/A | N/A | 50,476.650 | $ 50,477 | $ 50,477 |
| * | John Hancock, Guaranteed Interest Accounts: | | | | | |
| | 3 Year | 0.20% | 12/31/2011 | 41.770 | 42 | 42 |
| | 3 Year | 0.20% | 12/31/2011 | 3,395.380 | 3,395 | 3,395 |
| | 3 Year | 0.20% | 12/31/2011 | 5,077.470 | 5,077 | 5,077 |
| | 3 Year | 0.20% | 12/31/2012 | 541.000 | 541 | 541 |
| | 3 Year | 0.20% | 12/31/2013 | 2.310 | 2 | 2 |
| | 3 Year | 0.20% | 12/31/2013 | 1,346.910 | 1,347 | 1,347 |
| | 5 Year | 0.75% | 12/31/2012 | 18,378.400 | 18,378 | 18,378 |
| | 5 Year | 0.75% | 12/31/2012 | 730.010 | 730 | 730 |
| | 5 Year | 0.75% | 12/31/2012 | 73,208.520 | 73,209 | 73,209 |
| | 5 Year | 0.75% | 12/31/2013 | 13,961.970 | 13,962 | 13,962 |
| | 5 Year | 0.75% | 12/31/2015 | 818.110 | 818 | 818 |
| | 5 Year | 0.75% | 12/31/2015 | 7,203.890 | 7,204 | 7,204 |
| | 5 Year | 0.75% | 12/31/2015 | 2.350 | 2 | 2 |
| | 5 Year | 0.75% | 12/31/2015 | 2,784.260 | 2,784 | 2,784 |
| | 10 Year | 1.30% | 12/31/2018 | 692.640 | 693 | 693 |
| | 10 Year | 1.30% | 12/31/2019 | 458.290 | 458 | 458 |
| | 10 Year | 1.30% | 12/31/2020 | 94.840 | 289 | 289 |
| * | John Hancock, Pooled Separate Accounts: | | | | | |
| | 500 Index Fund | N/A | N/A | 119.767 | 76,104 | 87,760 |
| | American Balanced Fund | N/A | N/A | 2,794.059 | 56,739 | 68,002 |
| | American Century Heritage | N/A | N/A | 6,289.695 | 140,706 | 123,174 |
| | BlackRock Global Allocation | N/A | N/A | 471.089 | 9,584 | 9,543 |
| | Blue Chip Growth Fund | N/A | N/A | 3,670.520 | 77,972 | 93,995 |
| | Bradywine Blue Fund | N/A | N/A | 84.999 | 2,208 | 1,946 |
| | Capital Appreciation Fund | N/A | N/A | 5,023.221 | 52,807 | 57,372 |
| | Capital World Growth & Income | N/A | N/A | 190.276 | 6,885 | 6,797 |
| | Columbia Value & Restruct | N/A | N/A | 2,771.067 | 134,533 | 139,296 |
| | Davis New York Venture | N/A | N/A | 1,618.689 | 40,647 | 44,702 |
| | DFA Emerging Markets Value | N/A | N/A | 1,769.496 | 78,077 | 65,436 |
| | DFA Inflation-Protected | N/A | N/A | 305.119 | 3,960 | 3,975 |
| | DFA International Value | N/A | N/A | 1,362.950 | 30,180 | 23,778 |
| | DFA U.S. Small Cap Fund | N/A | N/A | 596.696 | 12,041 | 14,110 |
| | DFA U.S. Targeted Value | N/A | N/A | 1,477.645 | 25,447 | 24,023 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | Due Date | Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Domini Social Equity Fund | N/A | N/A | 298.765 | 10,294 | 11,402 |
| | Energy Fund | N/A | N/A | 2,360.028 | 204,579 | 213,569 |
| | Equity Income Fund | N/A | N/A | 97.644 | 3,309 | 3,181 |
| | EuroPacific Growth Fund | N/A | N/A | 1,527,266.000 | 77,485 | 74,373 |
| | Fidelity Advisor Gold Fund | N/A | N/A | 1,452.259 | 73,827 | 70,770 |
| | Fidelity ContraFund | N/A | N/A | 305.667 | 46,941 | 45,950 |
| | Financial Services Fund | N/A | N/A | 15,146.310 | 228,169 | 213,991 |
| | Franklin Balance Sheet Fund | N/A | N/A | 459.772 | 38,668 | 42,688 |
| | Franklin Small-Mid Growth | N/A | N/A | 117.277 | 4,362 | 5,469 |
| | Fundamental All Cap Core Fund | N/A | N/A | 118.760 | 2,237 | 2,434 |
| | Fundamental Large Cap Value | N/A | N/A | 1.860 | 160 | 171 |
| | Global Bond Fund | N/A | N/A | 346.200 | 7,909 | 8,893 |
| | High Yield Fund | N/A | N/A | 3.315 | 102 | 103 |
| | International Equity Index Fd | N/A | N/A | 1,414.576 | 24,398 | 20,067 |
| | International Small Cap Fund | N/A | N/A | 1,911.438 | 56,061 | 50,697 |
| | International Value Fund | N/A | N/A | 5,042.095 | 105,477 | 92,753 |
| | Ivy Asset Strategy Fund | N/A | N/A | 1,310.347 | 34,076 | 29,741 |
| | Jennison 20/20 Focus Fund | N/A | N/A | 475.742 | 7,160 | 7,483 |
| | Jennison Mid Growth Fund | N/A | N/A | 3.967 | 111 | 115 |
| | John Hancock Int'l Growth | N/A | N/A | 1,547.382 | 38,194 | 33,750 |
| | JPM MidCap Value Fund | N/A | N/A | 2,698.411 | 67,834 | 77,170 |
| | Large Cap Fund | N/A | N/A | 230.696 | 3,463 | 3,268 |
| | Legg Mason ClearBr Agg Growth | N/A | N/A | 1,533.344 | 65,658 | 77,225 |
| | Lifecycle 2010 | N/A | N/A | 1,823.803 | 17,502 | 20,729 |
| | Lifecycle 2020 | N/A | N/A | 119.799 | 1,338 | 1,319 |
| | Lifecycle 2025 | N/A | N/A | 8,898.151 | 84,398 | 93,410 |
| | Lifecycle 2030 | N/A | N/A | 1,058.950 | 8,666 | 10,832 |
| | Lifecycle 2035 | N/A | N/A | 117.911 | 1,150 | 1,247 |
| | Lifecycle 2040 | N/A | N/A | 7,623.187 | 67,347 | 78,370 |
| | Lifecycle 2045 | N/A | N/A | 7,710.651 | 70,585 | 79,228 |
| | Lifestyle Aggressive | N/A | N/A | 1,960.419 | 527,740 | 606,901 |
| | Lifestyle Balanced | N/A | N/A | 809.132 | 150,774 | 181,807 |
| | Lifestyle Conservative | N/A | N/A | 1,662.638 | 329,523 | 342,904 |
| | Lifestyle Growth | N/A | N/A | 2,151.879 | 579,691 | 661,779 |
| | Lifestyle Moderate | N/A | N/A | 491.890 | 73,497 | 89,802 |
| | MFS Utilities Fund | N/A | N/A | 6,637.252 | 129,263 | 152,701 |
| | Mid Cap Index Fund | N/A | N/A | 380.080 | 7,943 | 9,358 |
| | Mid Cap Stock Fund | N/A | N/A | 804.520 | 14,650 | 14,831 |
| | Mid Value Fund | N/A | N/A | 112.561 | 1,476 | 1,808 |
| | Money Market Fund | N/A | N/A | 95,930.213 | 1,247,257 | 1,247,992 |
| | Mutual Beacon Fund | N/A | N/A | 1,160.927 | 113,493 | 123,530 |
| | Mutual Global Discovery | N/A | N/A | 94.597 | 5,548 | 6,374 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | (c) Description of Investment: Due Date | (c) Description of Investment: Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Natural Resources Fund | N/A | N/A | 1,045.254 | 47,707 | 41,631 |
| | New World Fund | N/A | N/A | 184.612 | 10,782 | 9,048 |
| | Oppenheimer Developing Markets | N/A | N/A | 2,719.664 | 150,017 | 151,948 |
| | Oppenheimer Global Fund | N/A | N/A | 4,151.282 | 142,967 | 160,763 |
| | Oppenheimer Int'l Growth | N/A | N/A | 96.378 | 2,467 | 2,576 |
| | Parnassus Equity Income | N/A | N/A | 1,869.346 | 53,188 | 51,800 |
| | PIMCO All Asset Fund | N/A | N/A | 617.208 | 9,887 | 11,941 |
| | PIMCO Global Bond | N/A | N/A | 1,989.662 | 34,173 | 35,884 |
| | PIMCO Real Return Fund | N/A | N/A | 5,167.022 | 89,521 | 99,815 |
| | PIMCO Total Return Fund | N/A | N/A | 6,929.654 | 142,786 | 157,016 |
| | Real Est. Securities Fund | N/A | N/A | 1,999.761 | 103,938 | 108,953 |
| | Royce Opportunity Fund | N/A | N/A | 3,971.474 | 74,560 | 68,483 |
| | Science & Technology Fund | N/A | N/A | 4,088.193 | 68,169 | 76,452 |
| | Short-Term Federal Fund | N/A | N/A | 1,610.932 | 31,787 | 33,688 |
| | Small Cap Growth Index | N/A | N/A | 233.390 | 4,710 | 5,027 |
| | Small Cap Index Fund | N/A | N/A | 1,451.692 | 22,435 | 28,091 |
| | Small Cap Opportunities Fd | N/A | N/A | 72.921 | 1,676 | 1,708 |
| | Small Cap Value Fund | N/A | N/A | 200.032 | 5,206 | 5,919 |
| | Small Company Value Fund | N/A | N/A | 540.816 | 13,574 | 16,081 |
| | SSgA Mid Growth Index Fund | N/A | N/A | 1,165.055 | 14,277 | 12,782 |
| | Strategic Income Opp Fund | N/A | N/A | 2,330.413 | 48,967 | 48,879 |
| | T. Rowe Price Equity Inc | N/A | N/A | 1,848.120 | 70,287 | 69,793 |
| | T. Rowe Price Health Sciences | N/A | N/A | 2,696.756 | 79,879 | 109,038 |
| | T. Rowe Price Science & Technology | N/A | N/A | 2,976.227 | 112,676 | 131,255 |
| | T. Rowe Price Small Cap Val | N/A | N/A | 1,175.990 | 49,765 | 63,561 |
| | T. Rowe Price Spectrum Inc | N/A | N/A | 298.083 | 8,203 | 9,908 |
| | Templeton World Fund | N/A | N/A | 1,719.666 | 62,605 | 58,790 |
| | The Growth Fund of America | N/A | N/A | 1,706.106 | 55,363 | 56,225 |
| | The Investment Company of America | N/A | N/A | 35.130 | 1,165 | 1,316 |
| | Thornburg International Value | N/A | N/A | 0.154 | 5 | 4 |
| | Total Bond Market Fund | N/A | N/A | 148.428 | 2,376 | 2,575 |
| | Total Return Fund | N/A | N/A | 141.765 | 3,700 | 3,882 |
| | Total Stock Market Index Fd | N/A | N/A | 479.694 | 6,941 | 6,736 |
| | Turner Large Growth Fund | N/A | N/A | 113.297 | 1,398 | 1,271 |
| | U.S. High Yield Bond Fund | N/A | N/A | 0.116 | 2 | 2 |

**FINFROCK ENTERPRISES, INC. 401(K) PROFIT SHARING PLAN**
**(Employer Identification No. 59-2954885-001)**

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) – Continued**
**December 31, 2011**

| (a) | (b) Identity of Issue, Borrower, Lessor, or Similar Party | (c) Description of Investment: Interest Rate | (c) Description of Investment: Due Date | (c) Description of Investment: Number of Shares or Principal Amount | (d) Cost | (e) Contract or Fair Value |
|---|---|---|---|---|---|---|
| | Other: | | | | | |
| * | Notes receivable from participants | 4.25% – 5.50% | 01/12 – 08/16 | | 286,737 | 286,737 |
| | | | | | $ 7,273,509 | $ 7,683,080 |

* Indicates a party-in-interest