Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2011

This Form is Open to Public Inspection

P		lance witl	the instructions to the Form 5500	SF.		•	
Pä	art I Annual Report Identification Information						
For	calendar plan year 2011 or fiscal plan year beginning 01/01/2011		and ending 1	2/31/2	011		
Α .	This return/report is for:	a multiple	-employer plan (not multiemployer)	ſ	a one-particip	ant plan	
			eturn/report	L		•	
			•	ntha\			
			in year return/report (less than 12 mo	ntns)	7		
С	Check box if filing under:	automatic	extension	L	DFVC progra	m	
	special extension (enter description	n)					
Pa	art II Basic Plan Information—enter all requested information	ıtion					
1a	Name of plan			1b	Three-digit		
	RI ENGINEERING, P.C. 401(K) PLAN				plan number		
					(PN) ▶	001	
				1c	Effective date of		
					01/01/		
	Plan sponsor's name and address; include room or suite number (en RI ENGINEERING, P.C.	nployer, if	for a single-employer plan)		Employer Identif		r
יטוט	IN ENGINEERING, 1.0.				(EIN) 20-21		
				2c	Sponsor's telept		
	AIN STREET		•	24			,
DOR	BS FERRY, NY 10522			2 a	Business code (s)
20	Discontinuity and address (Management		***	2 h	23620		
	Plan administrator's name and address (if same as plan sponsor, ent RI ENGINEERING, P.C. 99 MAIN STRE		")	3D /	Administrator's E 20-21	=IN 69402	
, .	DOBBS FERR		522	3c	Administrator's t	elephone num	ber
					914-479		
4	If the name and/or EIN of the plan sponsor has changed since the la	ast return/i	report filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/report.			_			
	Sponsor's name			4c	PN		
5a	Total number of participants at the beginning of the plan year			5a			
b	Total number of participants at the end of the plan year			5b			1
С	Number of participants with account balances as of the end of the pl	lan year (d	defined benefit plans do not				
	complete this item)			5c			1
6a	Were all of the plan's assets during the plan year invested in eligible	e assets?	(See instructions.)			× Yes	No
b	3					▽ v □	NI-
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility an		· ·			X Yes	No
Do	If you answered "No" to either 6a or 6b, the plan cannot use For III Financial Information	rm 5500-	SF and must instead use Form 550	<i>1</i> 0.			
	·						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End		
а	Total plan assets	7a	230873			234632	
b	Total plan liabilities	7b	0			0	
С	Net plan assets (subtract line 7b from line 7a)	7c	230873			234632	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal	
а	Contributions received or receivable from:		0				
	(1) Employers	8a(1)		_			
	(2) Participants	8a(2)	12150				
	(3) Others (including rollovers)	8a(3)	0				
b	Other income (loss)	8b	-8391				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				3759	
d	Benefits paid (including direct rollovers and insurance premiums		-				
	to provide benefits)	8d	0				
е	Certain deemed and/or corrective distributions (see instructions)	8e	0				
f	Administrative service providers (salaries, fees, commissions)	8f	0				
g	Other expenses	8g	0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				0	
i	Net income (loss) (subtract line 8h from line 8c)	8i				3759	
i	Transfers to (from) the plan (see instructions)		0				
,	- (- , - (8j					

Form	5500.	SF.	201

SIGN HERE

Signature of employer/plan sponsor

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		•	
Part IV	Plan	Charac	cteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

		plan provides werrare betterns, enter the applicable werrare reator										
Part		Compliance Questions					1	1				
10		ring the plan year:				Yes	No		Am	ount		
а		s there a failure to transmit to the plan any participant contributions CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciar)			10a		X					0
b		re there any nonexempt transactions with any party-in-interest? (Diline 10a.)		•	10b		X					0
С	W	as the plan covered by a fidelity bond?			10c		X					0
d		the plan have a loss, whether or not reimbursed by the plan's fidel			10d		X					0
е	ins	re any fees or commissions paid to any brokers, agents, or other p urance service or other organization that provides some or all of the tructions.)	e benefits under the	e plan? (See	10e		X					0
f	На	s the plan failed to provide any benefit when due under the plan?			10f		X					0
g	Dic	the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10q	X					12	254
h		nis is an individual account plan, was there a blackout period? (See			10h		X					
i	If 1	Oh was answered "Yes," check the box if you either provided the reseptions to providing the notice applied under 29 CFR 2520.101-3	equired notice or on	e of the	10i							
Part	VI	Pension Funding Compliance										
		nis a defined benefit plan subject to minimum funding requirements 0))								Yes	X	No
12		his a defined contribution plan subject to the minimum funding requ								Yes	X	No
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable									11	
а	lf a	waiver of the minimum funding standard for a prior year is being ar	mortized in this plar									
	-	nting the waiver.			th		Day	′	Yea	ır		_
-		completed line 12a, complete lines 3, 9, and 10 of Schedule ME		-		Г	406	1				
		er the minimum required contribution for this plan year					12b					
		er the amount contributed by the employer to the plan for this plan	•				12c					
		stract the amount in line 12c from the amount in line 12b. Enter the ative amount)					12d					
е	Wil	the minimum funding amount reported on line 12d be met by the fo	unding deadline?					Yes		No	١	N/A
Part '		Plan Terminations and Transfers of Assets	-									
13a	Has	s a resolution to terminate the plan been adopted in any plan year?						Yes X	٧o			
		es," enter the amount of any plan assets that reverted to the emplo				1						
b	We	re all the plan assets distributed to participants or beneficiaries, train	nsferred to another	plan, or brought	under	the co				Yes	X	No
С		uring this plan year, any assets or liabilities were transferred from the ch assets or liabilities were transferred. (See instructions.)	this plan to another	plan(s), identify th	ne pla	n(s) to)			•	_	
1:) Name of plan(s):				13	c(2) E	IN(s)		13c(3)	PN	(s)
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed u	unless reasonab	le cau	ıse is	estab	lished.				
SB or	Sch	nalties of perjury and other penalties set forth in the instructions, I can be dule MB completed and signed by an enrolled actuary, as well as a true, correct, and complete.										
SIGN	,	iled with authorized/valid electronic signature.	0/11/2012	GARY ZINK								
HERI		Signature of plan administrator	Date	Enter name of in	ndividu	ıal sig	ning a	ıs plan adn	ninist	rator		

Date

Enter name of individual signing as employer or plan sponsor

(Rev. June 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File Certain Employee Plan Returns

► For Privacy Act and Paperwork Reduction Act Notice, see instructions.

OMB No. 1545-0212

File With IRS Only

	Identification	В	iler's i	dentifyir	g number (se	e instructions	•
Name	e of filer, plan administrator, or plan sponsor (see instructions)		mploye	er identif	ication numbe	r (EIN)	
1	nipari Engineering, P.C.	-	20	21	69402		k.
Num	ber, street, and room or suite no. (If a P.O. box, see instructions)		Social s	ecurity r	number (SSN)	(see instruction	is)
	99 Main Street						
City	or town, state, and ZIP code NY 10522					u andin	\a
	Dobbs Ferry		Plan		a managed and	year endir	YYYY
	Plan name	r	umbe	r	MM	DD	
	D 0 404/le) Dlan	0	0	1	12	31	2011
	DiBari Engineering, P.C. 401(k) Plan	-					
2			1				
3							
art I	Extension of Time To File Form 5500 Series, and/or Form	8955-3	SA				
	Note. A signature IS required if you are requesting an extension to file Forn				10 V 10 V - 10 V	FFFO in file	ad on or be
	The application is automatically approved to the date shown on line 1 are the normal due date of Form 5500 series, and/or Form 8955-SSA for what and/or line 2 (above) is not later than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after than the 15th day of the third month after the 15th day of the third month after than the 15th day of the third month after the 15th day of the 15th	nd/or line	2 (ab	ove) if: sion is date.	(a) the Form requested,	m 5558 is file and (b) the	ed on or be date on li
Part 3	The application is automatically approved to the date shown on line 1 are the normal due date of Form 5500 series, and/or Form 8955-SSA for what and/or line 2 (above) is not later than the 15th day of the third month after the series of time To File Form 5330 (see instructions) I request an extension of time until / to file Form 5330, after the series of the series of time until / to file Form 5330, after the series of the se	orm 533 er the no	extendad due	lue dat			ed on or be date on li
Part 3	The application is automatically approved to the date shown on line 1 are the normal due date of Form 5500 series, and/or Form 8955-SSA for whether and/or line 2 (above) is not later than the 15th day of the third month after the series of time To File Form 5330 (see instructions) I request an extension of time until / to file Form you may be approved for up to a 6 month extension to file Form 5330, after the Code section(s) imposing the tax	orm 533 er the no	external of	due dat	e of Form 5	330.	ed on or be date on li
Part	The application is automatically approved to the date shown on line 1 are the normal due date of Form 5500 series, and/or Form 8955-SSA for what and/or line 2 (above) is not later than the 15th day of the third month after the series of time To File Form 5330 (see instructions) I request an extension of time until / to file Form 5330, after the series of the series of time until / to file Form 5330, after the series of the se	orm 533 er the no	external of	due dat	e of Form 5	330.	ed on or be date on li
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