Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2011

This Form is Open to Public Inspection

	F Complete all entries in acco	dance with	i the instructions to the Form 5500-	S Г.		
	art I Annual Report Identification Information					
For	calendar plan year 2011 or fiscal plan year beginning 01/01/20	11	and ending 12	/31/2	011	
A	This return/report is for:	a multiple	e-employer plan (not multiemployer)		a one-participant plan	
В	This return/report is: X the first return/report	the final r	eturn/report			
	an amended return/report	a short pla	an year return/report (less than 12 mor	nths)		
С	Check box if filing under: X Form 5558	extension		DFVC program		
	special extension (enter descript	on)				
Pa	art II Basic Plan Information—enter all requested inform	nation				
1a	Name of plan				Three-digit	
SUH	AIL SHAH, MD PC DEFINED BENEFIT PLAN				plan number	
			_		(PN) 002	
				16	Effective date of plan 01/01/2007	
	Plan sponsor's name and address; include room or suite number (employer, if	for a single-employer plan)	2b	Employer Identification Number	
SUH	IAIL SHAH, MD PC				(EIN) 20-4896103	
				2c	Sponsor's telephone number	
	/. BROADWAY, APT 10H				516-410-4497	
LONG	G BEACH, NY 11561			2d	Business code (see instructions)	
32	Plan administrator's name and address (if same as plan sponsor, e	ntor "Come	,n\	2 h	621111 Administrator's EIN	
	AIL SHAH, MD PC 10 W. BROA	DWAY, AP	PT 10H	SD	20-4896103	
	LONG BEAG	CH, NY 115	61	3с	Administrator's telephone number	
4	If the name and/or EINI of the plan apparer has abanged since the	loot roturn/	report filed for this plan, enter the	1h	516-410-4497	
4	If the name and/or EIN of the plan sponsor has changed since the name, EIN, and the plan number from the last return/report.	iasi return/	report filed for this plan, enter the	4b EIN		
а	Sponsor's name			4c	PN	
5a	Total number of participants at the beginning of the plan year			5a		
b	Total number of participants at the end of the plan year			5b		
С	Number of participants with account balances as of the end of the		<u> </u>			
	complete this item)			5c		
-	Were all of the plan's assets during the plan year invested in eligi		,		X Yes No	
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility				X Yes ☐ No	
	If you answered "No" to either 6a or 6b, the plan cannot use I		•			
Pa	art III Financial Information					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year	
а	Total plan assets	7a	336099		445673	
b	Total plan liabilities		0		0	
С	Net plan assets (subtract line 7b from line 7a)	7с	336099		445673	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total	
а	Contributions received or receivable from:		125077			
	(1) Employers	` '		_		
	(2) Participants		0	_		
	(3) Others (including rollovers)	` ` `		_		
b	Other income (loss)		-15503		109574	
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			109574	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	0			
е	Certain deemed and/or corrective distributions (see instructions)	8e	0			
f	Administrative service providers (salaries, fees, commissions)	8f	0			
g	Other expenses	8g	0			
h					0	
i	Net income (loss) (subtract line 8h from line 8c)				109574	
j	Transfers to (from) the plan (see instructions)	8i	0			

_			
Form	5500.	-85	2011

Page 2 -	1	
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		•	
Part IV	I Plan	Charac	cteristics

- If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions							
0	During the plan year:		Yes	No		Amo	unt	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	1 0 b		X				
С	Was the plan covered by a fidelity bond?1	10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	l0d		Χ				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	l0h						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and compl 5500))					X	Yes	☐ No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code of						Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver							
	rou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			401-				
	Enter the minimum required contribution for this plan year		⊢	12b				
	Enter the amount contributed by the employer to the plan for this plan year		-	12c				
u	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)			12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	N	lo	N/A
art	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?			Y	es X N	No.		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year							-
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought un of the PBGC?			ntrol		П	Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	plar	n(s) to			_		_
1	3c(1) Name of plan(s):		130	(2) Ell	۷(s)	1	3c(3)	PN(s)
 Cauti	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	cau	se is	establi	shed.			
	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return. Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/re							

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/12/2012	DEBRA NEWALLO
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

SCHEDULE SB (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

instructions

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

2011

OMB No. 1210-0110

This Form is Open to Public Inspection

		File as an a	ttachmen	t to Form	5500 or 5	5500-SF.					
For calenda	r plan year 2011 or fiscal pl	lan year beginning 01/01	/2011			and	ending	12/31	/2011		
▶ Round o	off amounts to nearest do	llar.									
Caution	: A penalty of \$1,000 will be	e assessed for late filing of this	s report ur	nless reaso	nable cau	use is estat	lished			1	
A Name of	plan AH, MD PC DEFINED BEN	IFFIT PLAN					e-digit			002	
OOT IT WE OF IT	ATT, MID TO DET INCED DET				ļ	plan	numbe	er (PN)			
C Plan spor	nsor's name as shown on li	ne 2a of Form 5500 or 5500-S	SF			D Emplo	yer Id	entificati	on Number	(EIN)	
SUHAIL SH						20-4896	103			,	
E Type of pl	an: X Single Multiple	e-A Multiple-B	F P	rior year pla	ın size: X	100 or few	er er	101-50	0 More	than 500	
Part I	Basic Information										
1 Enter t	he valuation date:	Month 01 Day	01	_ Year 2	2011	_					
2 Assets	:										
a M	arket value							2a			33586
b Ad	ctuarial value							2b			33586
3 Fundin	g target/participant count b	reakdown:	_		(1) No	umber of pa	articipa	ints	(2)	Funding Targe	t
a F	or retired participants and b	eneficiaries receiving paymer	nt	3a				0			(
b Fo	or terminated vested partici	pants		3b				0			(
C F	or active participants:		_								
(1) Non-vested benefits			3c(1)							7760
(2	2) Vested benefits			3c(2)							310418
(3	3) Total active			3c(3)				2			38802
				3d				2			388023
4 If the p	lan is in at-risk status, chec	k the box and complete lines	(a) and (b)							
a Fu	unding target disregarding p	prescribed at-risk assumptions	S					4a			
		sk assumptions, but disregard ive consecutive years and dis						4b			
5 Effective	ve interest rate							5			5.90 %
6 Target	normal cost							6			104970
To the best accordance		upplied in this schedule and accompany. In my opinion, each other assumption ied experience under the plan.									
SIGN HERE									09/25/2	2012	
	S	signature of actuary							Date		
DAVID PAVE	L								11-02	689	
	Туре	or print name of actuary						Most re	cent enrolln	nent number	
APS PENSIC	ON & FINANCIAL SERVICE	S							516-22	28-8444	
20 CROSSW WOODBURY	/AYS PARK NORTH, STE 4 /, NY 11797	Firm name 410					Tele	ephone r	umber (incl	uding area code	e)
		Address of the firm				_					
If the actuary	has not fully reflected any i	regulation or ruling promulgate	ed under t	he statute	in comple	ting this scl	nedule	. check t	he box and	see	П

Pa	rt II	Begin	ning of year	carryove	er and prefunding ba	alances							
								(a) Car	ryover balance	9	(b)	Prefund	ing balance
7		Ū			cable adjustments (line 13	•				0			0
8			•	•	unding requirement (line 3					0			0
9	Amount	t remainiı	ng (line 7 minus li	ne 8)						0			0
10 Interest on line 9 using prior year's actual return of%										0			
11 Prior year's excess contributions to be added to prefunding balance:													
Present value of excess contributions (line 38 from prior year)								9474					
					rate of% exce								632
	C Tota	ıl availabl	e at beginning of c	urrent plan y	year to add to prefunding ba	lance							10106
	d Port	tion of (c)	to be added to p	refunding b	alance								10106
12	Other re	eductions	s in balances due	to elections	s or deemed elections					0			10106
13	Balance	at begir	nning of current ye	ear (line 9 +	- line 10 + line 11d – line 1	2)				0			0
Pa	art III	Fun	ding percenta	ages									
14	Funding	g target a	ttainment percent	tage								14	86.55 %
15	Adjuste	d funding	g target attainmen	t percentag	je							15	86.55 %
16					of determining whether ca							16	105.86 %
17	If the cu	ırrent val	ue of the assets o	of the plan is	s less than 70 percent of the	ne funding	target, en	ter sucl	h percentage.			17	%
Pa	art IV	Con	tributions an	d liquidit	ty shortfalls								
18	Contrib	utions ma	ade to the plan for	r the plan y	ear by employer(s) and em	nployees:							
(M	(a) Dat M-DD-Y		(b) Amount p employer		(c) Amount paid by employees) Date DD-YYYY))	(b) Amount p employer		(int paid by oyees
06	/30/2011			10000	0	11/30/	2011			10000			0
08	/31/2011			10000	0	03/02/	2012			55077			0
10	/31/2011			10000	0								
12	/31/2011			10000	0								
07	/31/2011			10000	0								
09	/30/2011			10000	0								
						Totals	▶ 18	(b)		125077	18(c)		0
19	Discour	nted emp	loyer contribution	s – see inst	tructions for small plan with	n a valuatio	n date aft	er the b	peginning of th	e year:			
	a Conti	ributions	allocated toward	unpaid mini	imum required contribution	s from pric	r years			19a			0
	b Conti	ributions	made to avoid res	strictions ac	djusted to valuation date					19b			0
	C Contr	ributions a	allocated toward m	inimum requ	uired contribution for current	year adjust	ed to valu	ation da	ate	. 19c			118586
20	Quarter	ly contrib	outions and liquidi	ty shortfalls	: :								
	a Did th	he plan h	ave a "funding sh	ortfall" for t	he prior year?								Yes No
	b If 20a	a is "Yes,	" were required q	uarterly inst	tallments for the current ye	ar made in	a timely	manneı	r?				Yes X No
	C If 20a	a is "Yes,	" see instructions	and comple	ete the following table as a								
		(1) 1s	<u> </u>		Liquidity shortfall as of	end of qua						(4) 4tl	n
		(1) 18	0		(2) 2nd	0		(3) 3rd	u	0		(4) 41	0
]		-				-			

Pa	rt V	Assumptio	ns used to determ	ine f	funding target and tar	rget ı	normal cost				
21	Disco	unt rate:									
	a Se	egment rates:	1st segment: 3.14%		2nd segment: 5.90%		3rd segment: 6.45 %		N/A, full yield curve u	used	
	b Ap	oplicable month	(enter code)					21b		1	
22								22		55	
23		lity table(s) (see			escribed - combined		scribed - separate	Substitut	te		
Pa	rt VI	Miscellane	ous items	-1	<u> </u>						
24		•	•		tuarial assumptions for the c		•			× No	
25	Has a	method change	e been made for the curr	ent pl	an year? If "Yes," see instru	ıctions	regarding required attac	hment	Yes	No	
26	Is the	plan required to	provide a Schedule of	Active	Participants? If "Yes," see i	instruc	tions regarding required	attachment.	Yes [X No	
27	27 If the plan is eligible for (and is using) alternative funding rules, enter applicable code and see instructions regarding attachment										
Pa	rt VII	Reconcilia	ation of unpaid mi	nimı	ım required contribut	ions	for prior years				
28	Unpai	id minimum requ	uired contributions for all	prior	years			28		0	
29	9 Discounted employer contributions allocated toward unpaid minimum required contributions from prior year (line 19a)					· · ·	29		0		
30	Remaining amount of unpaid minimum required contributions (line 28 minus line 29)						30		0		
Pa	rt VIII	Minimum	required contribut	tion	for current year						
31	Targe	et normal cost a	nd excess assets (see ir	nstruct	tions):						
	a Tar	get normal cost	(line 6)					31a	1	104970	
	b Ex	cess assets, if a	applicable, but not greate	r than	1 31a			31b		0	
32	Amor	tization installme	ents:				Outstanding Bala	ince	Installment		
	a Ne	t shortfall amort	ization installment					52157	8461		
	b Wa	aiver amortizatio	on installment					0		0	
33					nter the date of the ruling letto			33		0	
34	Total	funding requirer	ment before reflecting ca	rryove	er/prefunding balances (lines	31a -	31b + 32a + 32b - 33)	34	1	13431	
					Carryover balance		Prefunding balar	nce	Total balance		
35			use to offset funding			C		0		0	
36	Additi	onal cash requir	rement (line 34 minus lin	e 35)				36	1	13431	
37					ontribution for current year a			37	1	18586	
38	Prese	ent value of exce	ess contributions for curr	ent ye	ear (see instructions)						
	a Tot	tal (excess, if an	ny, of line 37 over line 36	i)				38a		5155	
	b Po	rtion included in	line 38a attributable to	use of	prefunding and funding star	ndard (carryover balances	38b		0	
39							0				
40	Unpai	id minimum requ	uired contributions for all	years	S			40			
Pa	rt IX	Pension f	funding relief unde	er Pe	ension Relief Act of 20	010 (see instructions)				
41	If a sh	ortfall amortizati	ion base is being amortiz	zed pı	ursuant to an alternative amo	ortizati	on schedule:				
	a Sch	edule elected							2 plus 7 years 15 ye	ars	
	b Elig	jible plan year(s)) for which the election in	n line	41a was made			2008	8 2009 2010 20	011	
42	Amou	nt of acceleratio	n adjustment					42			
43	Exces	s installment ac	celeration amount to be	carrie	d over to future plan years			43			

Schedule SB, Part V Statement of Actuarial Assumptions/Methods

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN

20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011

Valuation Date: 1/1/2011

Funding Method: As prescribed in IRC Section 430

Age - Eligibility age at last birthday and other ages at nearest birthday

New participants are not included in current year's valuation

Retrospective Compensation - Highest 3 consecutive years of service

Form of Payment - Assumed form of payment for funding is lump sum equivalent of normal form. Funding Target for lump sum is

the greater of the present value of accrued benefit computed using funding segment rates and 417(e) Applicable Mortality Table or lump sum at the assumed retirement date of accrued benefit using plan actuarial equivalence discounted using appropriate segment rate. Lump sum on plan actuarial equivalence rates will not exceed 415 maximum allowable distribution, which is the lesser amount computed using a) 5.5% interest and

the Applicable Mortality Table or b) plan actuarial equivalence interest and mortality

Interest Rates -

Segment rates for the First Month Prior to Val Date as permitted under IRC 430(h)(2)(C)

Segment #	Year	Rate %
Segment 1	0 - 5	3.14
Segment 2	6 - 20	5.90
Segment 3	> 20	6.45

Pre-Retirement - Mortality Table - None

Turnover/Disability - None
Salary Scale - None
Expense Load - None
Ancillary Ben Load - None

Post-Retirement - Mortality Table - 11C - 2011 Funding Target - Combined - IRC 430(h)(3)(A)

Cost of Living - None

Lump Sum - G94 - 1994 Group Annuity Reserving Proj 2002, Scale AA (unisex) at 5.5%

or

11E - 2011 Applicable Mortality Table for 417(e) (unisex)

Asset Valuation Method: Fair market value of assets adjusted for contributions under IRC 430(g)(4)

Discrimination Test Assumptions:

HCE Determination - Based on all employees

Otherwise Excludable - Otherwise Excludable HCEs are included with the Not Otherwise Excludable employees

410(b)/401(a)(4) Testing:

Pre-Retirement - Interest - 8%

Post-Retirement - Interest - 8%

Mortality Table - G83M - 1983 Group Annuity (male)

Permissively Aggregated Plans - Not tested As Single Plan

Compensation - Use current compensation to calculate the benefit accrual rate (annual method)

Testing Age - Normal retirement age or attained age, if older

Normal Form for MVAR - Joint with 50% Survivor Benefits

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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2011

OMB Nos. 1210-0110

1210-0089

Complete all entries in accordance with the instructions to the Form 5500-SF.

This Form is Open to Public Inspection

Pai	Annual Report Identification Information							
	e calendar plan year 2011 or fiscal plan year beginning	01/01/	/2011	and ending	12/	31/2011		
		multiple-e	mployer plan	(not multiemployer)		a one-participant plan		
		ne final retu			_			
3 In	a return proportion		•	eport (less than 12 moi	ithe)			
		_	-	sport head than 12 mor	····o,	DFVC program		
C	leck box ii ming under.	utomatic e	xtension		Ц	Dr VC program		
	special extension (enter description)							
Par	Basic Plan Information enter all requested inform	ation.			41			
la i	Name of plan					hree-digit an number		
	SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN					N) ► 002		
						fective date of plan		
						1/01/2007		
	Plan sponsor's name and address; include room or suite number (empl	oyer, if for	single-employ	yer plan)		mployer Identification Number		
	SUHAIL SHAH, MD PC					EIN) 20-4896103		
						lan sponsor's telephone number 516) 410-4497		
:	10 W. BROADWAY, APT 10H				 `	usiness code (see instructions)		
						21111		
	LONG BRACH NY 11561 Plan administrator's name and address (If same as plan sponsor, enter	· "Same")			3h A	dministrator's EIN		
	Pian administrator's name and address (ii same as pian sponsor, emer Same	Odine)						
					20.0	dministrator's telephone number		
					3C A	diministrator's telephone number		
				·	<u> </u>			
4	If the name and/or EIN of the plan sponsor has changed since the last	retum/repo	ort filed for this	s plan, enter the	4b EIN			
	name, EIN, and the plan number from the last return/report. Sponsor's Name				4c P	N		
	Total number of participants at the beginning of the plan year				5a	2		
	Total number of participants at the end of the plan year				5b	1		
	Number of participants with account balances as of the end of the plan				F	[
	complete this item)				5c	X Yes □No		
	Were all of the plan's assets during the plan year invested in eligible as Are you claiming a waiver of the annual examination and report of an in				• • •			
þ	Are you claiming a waiver of the annual examination and report of an in under 29 CFR 2520.104-46? (See instructions on waiver eligibility and	conditions	.) • • •			X Yes □No		
	If you answered "No" to either 6a or 6b, the plan cannot use Form			tead use Form 5500.				
_	Till Financial Information							
7	Plan Assets and Liabilities		(a) E	leginning of Year		(b) End of Year		
а	Total plan assets	7a		336,099		445,673		
	Total plan liabilities	7b		0		0		
	Net plan assets (subtract line 7b from line 7a)	7c		336,099	i	445,673		
8	Income, Expenses, and Transfers for this Plan Year	· · · · · · · · · · · · · · · · · · ·		(a) Amount		(b) Total		
	Contributions received or receivable from:	90/41		125,077				
	(1) Employers	8a(1)		123,077				
	(2) Participants	8a(2)		0				
	(3) Others (including rollovers)	8a(3) 8b		(15,503)				
	Other income (loss)	8c	9 - 1512 V SF	(15,503)	125.05 135	109,574		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	- 60		<u> 25 / 1788 / 1882 ABBETA</u>	9 3 2625 2	107,374		
-	to provide benefits)	8d		0				
e	Certain deemed and/or corrective distributions (see instructions)	8e	ļ	0				
f	Administrative service providers (salaries, fees, commissions)	8f		0				
g	Other expenses	8g	<u></u>	0				
_	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			155.2 155.2	0		
i	Net income (loss) (subtract line 8h from line 8c)	81			्राप्तुः ्राह्य	109,574		
	Transfers to (from) the plan (see instructions)	8j	l	0	f T			

Part	IV Plan Characteristics							
9a 1	the plan provides pension benefits, enter the applicable pension feat	ure codes from the Lis	t of Plan Characteristic	Codes	in the	instructions:		X
h .	1A 3D		/ Di					
n l	the plan provides welfare benefits, enter the applicable welfare feature	re codes from the List	of Plan Characteristic	Codes	n the in	istructions:		
Par	V Compliance Questions							
10	During the plan year:			Yes	No	Am	ount	
а	Was there a failure to transmit to the plan any participant contribution	ns within the time perio	od described in					
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiducian	y Correction Program)	10	a	х			
a	Were there any nonexempt transactions with any party-in-interest? (I on line 10a.)		The state of the s		x			
	CONTROL TO CONTROL OF THE SECOND SECO		40		x			
C	Was the plan covered by a fidelity bond?				A			
d	or dishonesty?			d	х			
е	Were any fees or commisions paid to any brokers, agents, or other p	persons by an insurance	The state of the s	1 1000				
·	insurance services or other organization that provides some or all of		plan? (See		x			
	instructions.)				x			
Ť	Has the plan failed to provide any benefit when due under the plan?				34125			
g	Did the plan have any participant loans? (If "Yes," enter amount as o		200000000	g	х			dumaasaa
h	If this is an individual account plan, was there a blackout period? (Se 2520.101-3.)		2000, 4000	h				
i	If 10h was answered "Yes," check the box if you either provided the		2000					
	exceptions to providing the notice applied under 29 CFR 2520.101-3			i				
	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requiremen						X Yes [No
12	5500))	20 20 20 20 20 20 20 20 20 20 20 20 20 2	the state of the s		750 - 750		Yes [-
12	Is this a defined contribution plan subject to the minimum funding red (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicate		112 of the Code or sec	tion 302	2 01 EK	ISA?	LI TES L	X INO
2	If a waiver of the minimum funding standard for a prior year is being	The second second second	vear see instructions	and ent	er the	date of the lett	er rulina	
	granting the waiver		Month_					
If y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule M	B (Form 5500), and s	kip to line 13.					
b	Enter the minimum required contribution for this plan year		• • • • • • •		12b			
C	Enter the amount contributed by the employer to the plan for this pla				12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)	Contract to the contract of th			12d			
•	Will the minimum funding amount reported on line 12d be met by the			∟		□Yes □	No [N/A
	VII Plan Terminations and Transfers of Assets	e landing deadline?		• •	• •			
	Has a resolution to terminate the plan been adopted in any plan yea	r2			1 12 72		Yes 2	No
154	If "Yes," enter the amount of any plan assets that reverted to the em				13a		100-20200	
h	Were all the plan assets distributed to participants or beneficiaries, to				2000	Legender 1		
	of the PBGC?						Yes [x No
С	If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)	this plan to another pl	an(s), identify the plan	(s) to				
	3c(1) Name of plan(s):			1:	3c(2) E	IN(s)	13c(3) P	N(s)
Cauti	n: A penalty for the late or incomplete filing of this return/report	will be assessed unli	ess reasonable cause	is est	ablishe	ed.		
	penalties of perjury and other penalties set forth in the instructions, I						Schedule	
SB or	Schedule MB completed and signed by an enrolled actuary, as well a	s the electronic version	n of this return/report,	and to the	he best	of my knowle	dge and	
belief,	it is true, correct, and complete.	1 . 11						
SIG		10/4/12 X	Suhail Shah MD					
HEI	E Signature of plan administrator	Date	Enter name of individ	lual sigr	ning as	plan administ	rator	
SIG	N							
HE	E Signature of employer/plan sponsor	Date	Enter name of individ	lual sigr	ning as	employer or p	lan sponso	or

Page 2-

Form 5500-SF 2011

Schedule SB, Part V **Summary of Plan Provisions**

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN

20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011

SUHAIL SHAH, MD PC **Employer:**

Type of Entity - C-Corporation

Plan #: 002 EIN: 20-4896103 TIN: 20-4896103

Effective - 1/1/2007 Year end - 12/31/2011 Valuation - 1/1/2011 Dates:

Top Heavy Years - 2007, 2008, 2009, 2010, 2011

All employees excluding non-resident aliens, members of an excluded class and union **Eligibility:**

> Minimum age - 21 Months of service - 12

Hours Required for - Eligibility - 1000 Benefit accrual - 501 Vesting - 1000

Plan Entry - First day of 1st or 7th month of plan year on or next following eligibility satisfaction

Normal - Anniversary date coincident with or nearest following attainment of age 55 and completion of 5 years of **Retirement:**

participation

Early - Not provided

Average Compensation: Highest 3 consecutive years of service

Top Heavy Minimum Benefit - Highest 5 consecutive top heavy years of participation

Plan Benefits: Retirement - Derived from the unit credit benefit formula below:

7.7% of average monthly compensation per year of participation

beginning year 1 limited to 13 year(s)

Accrued Benefit - Unit credit based on participation

Minimum Benefit - None Maximum Benefit - None

Maximum allowable distribution is lump sum equivalent of normal form not to exceed 415 maximum allowable distribution, which is the lesser amount computed using a) 5.5% interest and the Applicable Mortality Table or

b) plan actuarial equivalence interest and mortality

Death Benefit - Present Value of Accrued Benefit

Top Heavy Minimum: 2% of average compensation per top heavy year of participation excluding years prior to the adoption date of

the plan and 1984 (if earlier), limited to 10 years

Dollar: \$195,000 Percent: 100 **IRS Limitations:**

Lump Sum

Maximum 401(a)(17) compensation - \$245,000

Normal Form: Life Annuity

Optional Forms: Life Annuity Guaranteed for 10 Years

Joint with 50%, 75% or 100% Survivor Benefit

Vesting Schedule: Years Percent

0% 0-12 20% 3 40% 4 60% 5 80% 6 100%

Service is calculated using all years of service except years prior to plan effective date

Schedule SB, Part V Summary of Plan Provisions

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN 20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011

<u>Present Value of Accrued Benefit:</u> Based on Actuarial Equivalence only

417(e):

Interest Rates -

Segment #	Years	Rate %
Segment 1	0 - 5	2.02
Segment 2	6 - 20	4.56
Segment 3	> 20	5.75

Mortality Table - 11E - 2011 Applicable Mortality Table for 417(e) (unisex)

Actuarial Equivalence:

Pre-Retirement - Interest - 6%

Mortality Table - None

Post-Retirement - Interest - 5.5%

Mortality Table - G94 - 1994 Group Annuity Reserving Proj 2002, Scale AA (unisex)

SCHEDULE SB (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Ponsion Benefit Guaranty Corporation Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

Single-Employer Defined Benefit Plan

on attachment to Form EEOO or SEOO SE

OMB No. 1210-0110

2011

This Form is Open to Public Inspection

► File as an attachment to Form 5500 or	5500-SF.	
For calendar plan year 2011 or fiscal plan year beginning 01/01/2011	and ending 1	2/31/2011
▶ Round off amounts to nearest dollar.		
Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable ca	ause is established.	
A Name of plan	B Three-digit	
SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN	plan number (F	(N) ▶ 002
	Apple 1 and	
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF	D Employer Identifi	cation Number (EIN)
SUHAIL SHAH, MD PC	1	996103
E Type of plan: X Single Multiple-A Multiple-B F Prior year plan size:	X 100 or fewer 10	1-500
Partil Basic Information		
1 Enter the valuation date: Month 01 Day 01 Year 2011	 -	
2 Assets:		
a Market value		
b Actuarial value	<u>2</u>	335,866
	lumber of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment 3a	0	0
b For terminated vested participants	0	O
C For active participants:		
(1) Non-vested benefits		77,605
(2) Vested benefits		310,418
(3) Total active	2	388,023
d Total 3d	2	388,023
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)		
a Funding target disregarding prescribed at-risk assumptions		1
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans t at-risk status for fewer than five consecutive years and disregarding loading factor		
5 Effective interest rate		
6 Target normal cost	6	104,970
Statement by Enrolled Actuary To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attache accordance with applicable law and regulations. In my opion, each other assumption is reasonable (taking into account the ecombination, offer my best estimate of anticipated experience under the plan.	ments, if any, is complete and xperience of the plan and reas	accurate. Each presribed assumption was applied in chable expectations) and such other assumptions, in
SIGN HERE		09/25/2012
Signature of actuary		Date
DAVID PAVEL		11-02689
Type or print name of actuary		st recent enrollment number
APS PENSION & FINANCIAL SERVICES		(516) 228-8444
Firm name 20 CROSSWAYS PARK NORTH, STE 410	Telepho	ne number (including area code)
US WOODBURY NY 11797		
Address of the firm	-	
If the actuary has not fully reflected any regulation or ruling promulgated under the statute in comp	oleting this schedule, c	neck the box and see

Schedule SB	(Form	5500)	2011

Page 2

Pa	rt II Beg	ginning of year c	arryove	er and prefunding balar	ices						
		· ·				(a)	Carryover balance		(b) I	² refundi	ng balance
				icable adjustments (line 13 fro				0			
8				unding requirement (line 35 fro				0			
9						_		0			
10				turn of0.00%		1		0			
				d to prefunding balance:					1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		<u> </u>
	-	alue of excess contrib			•••••						9,47
				rate of6.67 % except				5-14			63:
		-	-	in year to add to prefunding ba				Allega (*)			10,10
	d Portion of	(c) to be added to pre	efunding b	palance					-		10,100
12				s or deemed elections				0			10,10
13	Balance at b	eginning of current ye	ar (line 9	+ line 10 + line 11d - line 12)	•••••			0			
Pa	ift III F	unding percenta	ges								
14						• • • • • • • • • • • • • • • • • • • •				14	86.55 %
				ge						15	86.55 %
16	Prior year's f	unding percentage for	purposes	s of determining whether carry	over/pre	funding bala	nces may be used	to red	ıce	16	105.86 %
17				is less than 70 percent of the f						. 17	9
T- 10		ontributions and									
				year by employer(s) and emplo	VAAS.						
	(a) Date M-DD-YYYY)	(b) Amount pa employer(s	id by	(c) Amount paid by employees	(a) Date DD-YYYY)	(b) Amount employe		<u>'</u>		unt paid by oyees
06,	/30/2011	1	0,000		07/3	1/2011		10,0	000		
08,	/31/2011	1	0,000		09/3	0/2011		10,0	000		
10,	/31/2011	1	0,000		11/3	0/2011		10,	000		
12,	/31/2011	1	.0,000		03/0	2/2012		55,	077		
									_		
											
					Totals	s ▶ 18(b)		125,	077 18(c)	T	0
19	Discounted e	employer contributions	- see in	structions for small plan with a	valuatio	on date after	the beginning of th				
				nimum required contribution fro				19a			
				adjusted to valuation date				19b			
				required contribution for currer			aluation date	19c			118,58
20		ntributions and liquidit			, 00. 0	iajaotoa to v	areation acts try			, , , , , , , , , , , , , , , , , , , 	a a granda de la calcada de La calcada de la calcada de
	•			the prior year?						<u>x</u>	Yes No
				stallments for the current year					•••••	<u> </u>	Yes X No
		•	-	plete the following table as app					1,0		s TV ¹ Y er
				Liquidity shortfall as of end							
	(1)) 1st	<u></u>	(2) 2nd		(3)	3rd	+		(4) 4t	<u>n</u>

	IT V ASSUMPTION	ons usea to determine i	runding target and target	normal cost		
21	Discount rate:				·····	
	a Segment rates:	1st segment:	2nd segment:	3rd segment:		N/A, full yield curve used
	_	3.14 %	5.90 %	6.45 %		<u></u>
	b Applicable month	(enter code)	• • • • • • • • • • • • • • • •		21b	1
_22	Weighted average re	etirement age	• • • • • • • • • • • • • • • • • • • •		22	55
23	Mortality table(s) (se	e instructions) X Pr	escribed - combined Pre	scribed - separate	Substitu	te
Pa	rt VI Miscelland	eous items				
24			tuarial assumptions for the current			
25			lan year? If "Yes," see instructions			
26		***************************************	Participants? If "Yes," see instruc			
27			nding rules, enter applicable code			
	regarding attachmen	<u>ıt.,,.,.,</u>	· · · · · · · · · · · · · · · · · · ·		27	
_Pa	rt VII Reconcili	ation of unpaid minimu	ım required contributions	for prior years		
_28	Unpaid minimum rec	quired contribution for all prior	/ears	<u> </u>	28	0
29			d unpaid minimum required contril		29	0
30	Remaining amount of	of unpaid minimum required co	ntributions (line 28 minus line 29)	· · · · · · · · · · · · · · · · · · ·	30	0
Pa	rt VIII Minimum	required contribution	for current year			
31		adjusted, if applicable (see ins		· · · · · · · · · · · · · · · · · · ·		
					31a	104,970
			31a		31b	0
32	Amortization installn	<u></u>		Outstanding Bala	ance	Installment
32					52,157	8,461
	_			-	0	0
				nting the approval	_	
	(Month		nter the date of the ruling letter gra) and the waived amount .		33	0
34	Total funding require	ment before reflecting carryove	r/prefunding balances (lines 31a - 3	1b + 32a + 32b - 33)	34	113,431
			Carryover balance	Prefunding Bala	ance	Total balance
35	Balances elected to requirement	use to offset funding	0		0	O
36					36	113,431
	Contributions allocal	ted toward minimum required o	contribution for current year adjust	ed to valuation date	37	118,586
	· · · · · · · · · · · · · · · · · · ·					
30	 -	ess contributions for current y			38a	E 155
					38b	5,155
			prefunding and funding standard	**	39	
39			year (excess, if any, of line 36 over		40	
40		quired contribution for all years			40	
			ension Relief Act of 2010			- 100
41			ursuant to an alternative amortizat	-		2 plus 7 years 15 years
						
			41a was made			08 2009 2010 2011
_					42	
43	Excess installment a	cceleration amount to be carrie	ed over to future plan.years		43	

Schedule SB, line 32 - Schedule of Amortization Bases

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN

20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011

	Date Base Established	Original Base Amount	Type of Base	Present Value of Remaining Installments	Years Remaining Amortization Period	Amortization Installment
	01/01/2011	52,157	Shortfall	52,157	7	8,461
Totals:				\$52.157		\$8,461

Schedule SB, line 19 - Discounted Employer Contributions

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN

20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011 Valuation Date: 1/1/2011

	Date	Amount	Adjusted Contribution	Adjusted Prior Year Contribution	Adjusted Quarterly	Effective Rate	Penalty Rate
Deposited Contribution	6/30/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,721	0	0	5.9	0
Deposited Contribution	7/31/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,674	0	0	5.9	0
Deposited Contribution	8/31/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,627	0	0	5.9	0
Deposited Contribution	9/30/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,582	0	0	5.9	0
Deposited Contribution	10/31/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,535	0	0	5.9	0
Deposited Contribution	11/30/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,490	0	0	5.9	0
Deposited Contribution	12/31/2011	\$10,000					
Applied to MRC	1/1/2011	10,000	9,443	0	0	5.9	0
Deposited Contribution	3/2/2012	\$55,077					
Applied to Additional Contribution	1/1/2011	5,512	5,155	0	0	5.9	0
Applied to MRC	1/1/2011	49,565	46,359	0	0	5.9	0
Totals for Deposited Contribution		\$125,077	\$118,586	\$0	\$0		

October 12, 2012

Schedule SB, line 22 - Description of Weighted Average Retirement Age

SUHAIL SHAH, MD PC DEFINED BENEFIT PLAN 20-4896103 / 002

For the plan year 1/1/2011 through 12/31/2011

The age reported is the average of the assumed retirement ages for all active participants as of the valuation date rounded to the nearest whole age. For an active late retiree, the assumed retirement age may be later than the Plan's normal retirement age. Each participant's rate of retirement is assumed to be 100% of his/her assumed retirement age.