## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

0044

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

r		lance witl	n the instructions to the Form 5500	SF.		•			
P	art I Annual Report Identification Information								
For	calendar plan year 2011 or fiscal plan year beginning 01/01/2011	1	and ending 12	2/31/2	011				
Α	This return/report is for:	a multiple	-employer plan (not multiemployer)	ſ	a one-particip	ant plan			
			eturn/report	L	_ ' '	·			
			'						
			in year return/report (less than 12 mo	ntns)	_				
С	Check box if filing under:	automatic	extension	L	DFVC progra	m			
	special extension (enter description	n)							
Pa	art II Basic Plan Information—enter all requested informa	ation							
1a	Name of plan			1b	Three-digit				
	ERT L. KRAFT MD, PC RETIREMENT PLAN				plan number				
					(PN) <b>▶</b>	001			
				1c	Effective date of	plan			
					01/01/	/2004			
	Plan sponsor's name and address; include room or suite number (en	nployer, if	for a single-employer plan)		Employer Identif				
ROB	ERT L. KRAFT MD, PC				(EIN) 11-25	51087			
				2c	Sponsor's telep				
	03 QUEENS BLVD				718-263				
	E 205 EST HILLS, NY 11375			2d		see instructions)			
					62111				
	Plan administrator's name and address (if same as plan sponsor, en		")	3b	Administrator's I	EIN 51087			
KOBI	ERT L. KRAFT MD, PC 112-03 QUEEI SUITE 205	N2 BLVD	-	20			_		
	FOREST HILL	_S, NY 11:	375	36	718-263	elephone numbe 3-6868	ŧΓ		
4	If the name and/or EIN of the plan sponsor has changed since the la	ast return/i	report filed for this plan, enter the	4b					
	name, EIN, and the plan number from the last return/report.	201 101011111	open med for the plan, enter the	70	L114				
а	Sponsor's nameFOREST HILL PLASTIC SURGERY			4c	PN				
5a	Total number of participants at the beginning of the plan year				a				
b	Total number of participants at the end of the plan year								
С									
•	complete this item)			5c					
6a	Were all of the plan's assets during the plan year invested in eligible	e assets?	(See instructions.)			X Yes 1	Νo		
b	Are you claiming a waiver of the annual examination and report of a	n indeper	dent qualified public accountant (IQF	PA)					
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a	ınd conditi	ons.)			X Yes 1	Vο		
	If you answered "No" to either 6a or 6b, the plan cannot use Fo	rm 5500-	SF and must instead use Form 550	0.					
Pa	rt III Financial Information			-					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year			
а	Total plan assets	7a	48437			46735			
b	Total plan liabilities	7b	0						
С	Net plan assets (subtract line 7b from line 7a)	7c	48437			46735			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal			
а	Contributions received or receivable from:		, ,		ν-/ •				
	(1) Employers	8a(1)	0						
	(2) Participants	8a(2)	0						
	(3) Others (including rollovers)	8a(3)	0						
b	Other income (loss)	8b	449						
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				449			
d	Benefits paid (including direct rollovers and insurance premiums	OC.							
u	to provide benefits)	8d	2000						
е	Certain deemed and/or corrective distributions (see instructions)	8e	0						
f	Administrative service providers (salaries, fees, commissions)	8f	151						
	Other expenses		0						
g	·	8g				2151			
h :	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					_		
ı.	Net income (loss) (subtract line 8h from line 8c)	8i				-1702	_		
J	Transfers to (from) the plan (see instructions)	8j	0						

Form	5500-	SF	201

Page 2 -	1
----------	---

Part IV	Plan	Characteristics

- If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions							
10	During the plan year:		Yes	No		Amo	ount	
а	Vas there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X					75000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X					409
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
Part	/I Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))						Yes	X No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code of	or se	ction 3	302 of	ERISA?		Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver.  Month							
^	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			12b				
	Enter the minimum required contribution for this plan year			12c				
	Enter the difference by the employer to the plant of this plant year.							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		_		Yes	П	lo	N/A
Part								<u> </u>
	Has a resolution to terminate the plan been adopted in any plan year?				′es X N	lo		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year							
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought ur			ntrol				_
	of the PBGC?						Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
1	C(1) Name of plan(s):		130	c(2) EI	N(s)		13c(3)	PN(s)
Cauti	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	cau	se is	establ	ished.			
	penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retur Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/re							

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/12/2012	RUTH KRAFT			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	10/12/2012	RUTH KRAFT			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			