

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089
		2011
		This Form is Open to Public Inspection

Part I	Annual Report Identification Information
For calendar plan year 2011 or fiscal plan year beginning <u>01/01/2011</u> and ending <u>12/31/2011</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information
1a Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	1b Three-digit plan number (PN) ► <u>001</u> 1c Effective date of plan <u>12/06/1996</u>
2a Plan sponsor's name and address, including room or suite number (Employer, if for single-employer plan) <u>ROCKWELL COLLINS, INC.</u> <u>400 COLLINS ROAD, MAIL CODE 124-318</u> <u>CEDAR RAPIDS, IA 52498</u>	2b Employer Identification Number (EIN) <u>52-2314475</u> 2c Sponsor's telephone number <u>714-503-1032</u> 2d Business code (see instructions) <u>335900</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>10/13/2012</u>	<u>ROSA BARONE</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>10/13/2012</u>	<u>ROSA BARONE</u>
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2011)
v.012611

3a Plan administrator's name and address (if same as plan sponsor, enter "Same") ROCKWELL COLLINS, INC. 400 COLLINS ROAD, MAIL CODE 124-318 CEDAR RAPIDS, IA 52498	3b Administrator's EIN 52-2314475 3c Administrator's telephone number 714-503-1032
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4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
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5 Total number of participants at the beginning of the plan year	5	34313
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6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	11138
b Retired or separated participants receiving benefits.....	6b	10195
c Other retired or separated participants entitled to future benefits.....	6c	11088
d Subtotal. Add lines 6a , 6b , and 6c	6d	32421
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e	969
f Total. Add lines 6d and 6e	6f	33390
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	6g	
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h	26

7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
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8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

1A 1G 3H 3F

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
- (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1) ☒ **H** (Financial Information)
- (2) ☐ **I** (Financial Information – Small Plan)
- (3) ☐ **A** (Insurance Information)
- (4) ☒ **C** (Service Provider Information)
- (5) ☒ **D** (DFE/Participating Plan Information)
- (6) ☒ **G** (Financial Transaction Schedules)

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2011 This Form is Open to Public Inspection
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For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2011</u>
2	Assets:
a	Market value 2a <u>2184667585</u>
b	Actuarial value 2b <u>2184667585</u>
3	Funding target/participant count breakdown:
	(1) Number of participants (2) Funding Target
a	For retired participants and beneficiaries receiving payment 3a <u>10812</u> <u>1607018661</u>
b	For terminated vested participants 3b <u>12308</u> <u>392737217</u>
c	For active participants:
(1)	Non-vested benefits 3c(1) <u>57085299</u>
(2)	Vested benefits 3c(2) <u>834684650</u>
(3)	Total active 3c(3) <u>12258</u> <u>891769949</u>
d	Total 3d <u>35378</u> <u>2891525827</u>
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☒
a	Funding target disregarding prescribed at-risk assumptions 4a <u>2846594552</u>
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor 4b <u>3071250929</u>
5	Effective interest rate 5 <u>5.92 %</u>
6	Target normal cost 6 <u>15764043</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<u>09/21/2012</u>
Signature of actuary	Date
<u>JAMES P NEAL</u>	<u>11-06418</u>
Type or print name of actuary	Most recent enrollment number
<u>TOWERS WATSON DELAWARE INC</u>	<u>703-258-8000</u>
Firm name	Telephone number (including area code)
<u>901 N. GLEBE ROAD</u> <u>ARLINGTON, VA 22203-8215</u>	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2011
v.012611

Part II Beginning of year carryover and prefunding balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	39771925	148777447
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	39771925	75998035
9 Amount remaining (line 7 minus line 8)	0	72779412
10 Interest on line 9 using prior year's actual return of <u>14.94</u> %		10873244
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38 from prior year)		0
b Interest on (a) using prior year's effective rate of <u>6.58</u> % except as otherwise provided (see instructions)		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	83652656

Part III Funding percentages		
14 Funding target attainment percentage	14	73.80 %
15 Adjusted funding target attainment percentage	15	74.02 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	73.00 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and liquidity shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/06/2011	100000000				
10/17/2011	47000000				
			Totals ▶	18(b)	147000000
				18(c)	0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	7693366
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	137126373
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No		
b If 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No		
c If 20a is "Yes," see instructions and complete the following table as applicable:		
Liquidity shortfall as of end of quarter of this plan year		
(1) 1st	(2) 2nd	(3) 3rd
0	0	0
		0

Part V Assumptions used to determine funding target and target normal cost

21 Discount rate:				
a Segment rates:	1st segment: 2.94%	2nd segment: 5.82%	3rd segment: 6.46 %	☐ N/A, full yield curve used
b Applicable month (enter code)				21b 0
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is eligible for (and is using) alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of unpaid minimum required contributions for prior years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum required contribution for current year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	15764043	
b Excess assets, if applicable, but not greater than 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	790510898	119522868	
b Waiver amortization installment		0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	135286911	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	135286911	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	137126373	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	1839462	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years.....	40	0	

Part IX Pension funding relief under Pension Relief Act of 2010 (see instructions)

41 If a shortfall amortization base is being amortized pursuant to an alternative amortization schedule:			
a Schedule elected	☐ 2 plus 7 years ☒ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42	4117470	
43 Excess installment acceleration amount to be carried over to future plan years	43	0	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2011
		This Form is Open to Public Inspection.

For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRIMECAP MANAGEMENT COMPANY

95-3868081

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	1011607	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ROCKWELL COLLINS

52-2314475

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15	PLAN SPONSOR	969999	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

INTEGRITY ASSET MANAGEMENT LLC

86-1063006

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 99	NONE	751161	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TCW ASSET MANAGEMENT COMPANY

95-2642764

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	723052	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

WCM INVESTMENT MANAGEMENT

95-3046237

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	636327	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

HOTCHKIS & WILEY CAPITAL MANAGEMENT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	491439	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FAYEZ SAROFIM & CO

74-1312679

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 58	NONE	474087	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

CAUSEWAY CAPITAL MANAGEMENT

95-4861680

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	447046	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

JP MORGAN INVESTMENT MANAGEMENT INC

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	416391	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

UBS GLOBAL ASSET MANAGEMENT TRUST

36-3718331

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 61	NONE	405497	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON ASSET SERVICING

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 62 99	TRUSTEE	368128	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

VICTORY CAPITAL MANAGEMENT

13-2700161

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	356001	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

EPOCH

20-1003862

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	325623	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	298900	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SEIX INVESTMENT ADVISORS

26-1483110

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	292303	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ARTIO GLOBAL INVESTORS

26-1421409

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 71	NONE	271781	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

04-1867445

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 28 19 56	NONE	259639	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

CLEARBROOK INVESTMENT CONSULTING

11-3290218

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 70	NONE	176000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SIPHON CAPITAL MANAGEMENT

95-3579822

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	169963	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STANDISH MELLON ASSET MGMT LLC

25-1890416

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	172032	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON CASH INV STRATEGIES

13-5673135

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	129345	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	112075	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INSTITUTIONAL OPERATIONS

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 50	NONE	108200	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MELLON CAPITAL MANAGEMENT

25-1442864

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 21 28 51 71	NONE	71493	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PENSION BENEFIT INFORMATION

94-2856521

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99 50	NONE	70128	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DELOITTE & TOUCHE LLP

13-3891517

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	43000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK

94-2984230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
65	NONE	37569	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information <p style="text-align: center;">This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</p> <p style="text-align: center;">▶ File as an attachment to Form 5500.</p>	<small>OMB No. 1210-0110</small> <hr/> <p style="text-align: center; font-size: 1.2em;">2011</p> <hr/> <p style="text-align: center;">This Form is Open to Public Inspection.</p>		
For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011				
A Name of plan ROCKWELL COLLINS PENSION PLAN		B Three-digit plan number (PN) ▶ 001		
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.		D Employer Identification Number (EIN) 52-2314475		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">Part I</td> <td>Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)</td> </tr> </table>			Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)			
a Name of MTIA, CCT, PSA, or 103-12 IE: EB DV STOCK INDEX FUND				
b Name of sponsor of entity listed in (a): THE BANK OF NEW YORK MELLON				
c EIN-PN 25-6078093-010	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 46407500		
a Name of MTIA, CCT, PSA, or 103-12 IE: EMERGING MARKETS DEBT COLLECTIVE FD				
b Name of sponsor of entity listed in (a): UBS US GROUP TRUST				
c EIN-PN 36-3762086-067	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 68525446		
a Name of MTIA, CCT, PSA, or 103-12 IE: EXTENDED DURATION FUND				
b Name of sponsor of entity listed in (a): TRANSAMERICA LIFE INSURANCE CO				
c EIN-PN 39-0989781-145	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 27192661		
a Name of MTIA, CCT, PSA, or 103-12 IE: EB DV LGE CAP GRWTH SIF				
b Name of sponsor of entity listed in (a): THE BANK OF NEW YORK MELLON				
c EIN-PN 25-6078093-003	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 88948121		
a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US TREASURY INDEX				
b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY				
c EIN-PN 04-0025081-479	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 54935139		
a Name of MTIA, CCT, PSA, or 103-12 IE: 20 PLUS YEAR US HIGH QUALITY BOND				
b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY				
c EIN-PN 90-0337987-296	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 187004994		
a Name of MTIA, CCT, PSA, or 103-12 IE: 5-20YEAR US HIGH QUALITY				
b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY				
c EIN-PN 90-0337987-297	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 32918509		

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE G (Form 5500) Department of Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>Financial Transaction Schedules</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2011</div> <div>This Form is Open to Public Inspection.</div>
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For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011	
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475

Part I	Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible Complete as many entries as needed to report all loans or fixed income obligations in default or classified as uncollectible. Check box (a) if obligor is known to be a party in interest. Attach Overdue Loan Explanation for each loan listed. See Instructions.
--------	--

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

Part II Schedule of Leases in Default or Classified as Uncollectible

Complete as many entries as needed to report all leases in default or classified as uncollectible. Check box (a) if lessor or lessee is known to be a party in interest. Attach Overdue Lease Explanation for each lease listed. (See instructions)

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - OCT 2005 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$1,697	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			1176
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
1697	521		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - NOV 2005 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$3,679	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			2505
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
3679	1174		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - DEC 2005 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$1,933	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			1352
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
1933	581		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JAN 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$401	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			282
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
401	119		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - FEB 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$5,556	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			4025
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
5556	1531		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAR 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$5,675	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			4112
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
5675	1563		

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$386	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			283
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
386	103		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$6,275	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			4647
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
6275	1628		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$9,979	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			7185
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
9979	2794		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$12,924	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			9271
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
12924	3653		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$11,641	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			8336
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
11641	3305		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$6,255	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			4578
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
6255	1677		

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - OCT 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$1,452			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			1081	1452	371

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - NOV 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$4,197			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			3212	4197	985

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - DEC 2006 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$474			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			370	474	104

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JAN 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$9,275			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			7318	9275	1957

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - FEB 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$5,325			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			4256	5325	1069

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAR 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$8,258			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			6556	8258	1702

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$3,780			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			3025	3780	755

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$4,895			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			4052	4895	843

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$1,950			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			1649	1950	301

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$8,264			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			6954	8264	1310

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$3,827			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			3164	3827	663

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$1,261			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			1050	1261	211

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - OCT 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$105	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			90
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
105	15		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - NOV 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$7,679	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			6771
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
7679	908		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - DEC 2007 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$997	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			856
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
997	141		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JAN 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$5,966	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			5102
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
5966	864		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - FEB 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$3,054	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			2487
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
3054	567		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAR 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$115	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			92
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
115	23		

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$13,419	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			10729
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
13419	2690		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$9,246	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			7610
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
9246	1636		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$976	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			813
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
976	163		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$4,305	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			3405
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
4305	900		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$2,586	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			2020
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
2586	566		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$8,334	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			6512
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
8334	1822		

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - OCT 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$35,866			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			25746	35866	10120

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - NOV 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$30,961			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			19334	30961	11627

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - DEC 2008 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$34,044			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			20388	34044	13656

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JAN 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$27,978			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			17568	27978	10410

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - FEB 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$36,624			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			21487	36624	15137

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAR 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$44,318			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			24386	44318	19932

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$35,189			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			20384	35189	14805

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$31,120			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			19175	31120	11945

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$38,444			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			24768	38444	13676

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$29,714			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			19180	29714	10534

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$29,964			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			20597	29964	9367

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$36,153			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			25574	36153	10579

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - OCT 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$2,471	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			1809
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
2471	662		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - NOV 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$77,637	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			55754
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
77637	21883		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - DEC 2009 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$33,695	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			25011
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
33695	8684		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - JAN 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$29,810	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			22434
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
29810	7376		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - FEB 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$36,885	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			27249
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
36885	9636		
(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
ROCKWELL COLLINS, INC	EMPLOYER	EXCESS COMP - MAR 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$50,049	
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
			37683
(i) Current value of asset	(j) Net gain (or loss) on each transaction		
50049	12366		

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$31,912			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			25072	31912	6840

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$28,687			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			22966	28687	5721

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$44,461			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			33592	44461	10869

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$40,055			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			29736	40055	10319

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$48,103			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			37598	48103	10505

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$54,869			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			42354	54869	12515

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - OCT 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$45,597			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			37519	45597	8078

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - NOV 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$30,309			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			25464	30309	4845

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - DEC 2010 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$41,478			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			34620	41478	6858

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JAN 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$34,433			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			29908	34433	4525

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - FEB 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$34,496			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			30223	34496	4273

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAR 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$45,524			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			40946	45524	4578

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - APR 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$34,587			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			31177	34587	3410

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - MAY 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$40,590			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			37783	40590	2807

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUN 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$35,170			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			32549	35170	2621

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - JUL 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$33,116			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			30062	33116	3054

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - AUG 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$45,246			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			40913	45246	4333

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ROCKWELL COLLINS,INC	EMPLOYER	EXCESS COMP - SEP 2011 MATURITY DATE - 9/28/2012 MATURITY VALUE = \$36,168			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			31380	36168	4788

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2011 This Form is Open to Public Inspection
For calendar plan year 2011 or fiscal plan year beginning <u>01/01/2011</u> and ending <u>12/31/2011</u>		
A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	12510871	188562
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	19850535	10781416
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	4020226	14172713
(2) U.S. Government securities.....	1c(2)	145735937	205571295
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	434211090	303877039
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	3469407	2243304
(B) Common	1c(4)(B)	1275855444	998252035
(5) Partnership/joint venture interests	1c(5)	96491	76682
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	289669250	505932370
(10) Value of interest in pooled separate accounts.....	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	4926943	182667550
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	11373244	8911109

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	2201719438	2232674075

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	2312262	2334678
i Acquisition indebtedness	1i	12587661	10210161
j Other liabilities	1j	2151930	1247477
k Total liabilities (add all amounts in lines 1g through 1j)	1k	17051853	13792316

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	2184667585	2218881759
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	147000000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		147000000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	16509	
(B) U.S. Government securities	2b(1)(B)	5192676	
(C) Corporate debt instruments	2b(1)(C)	20287640	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	280166	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		25776991
(2) Dividends: (A) Preferred stock	2b(2)(A)	200639	
(B) Common stock	2b(2)(B)	22584398	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	3517541	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		26302578
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	1254087200	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	1277594003	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-23506803

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)		
(B) Other	2b(5)(B)	-1180128	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B).....	2b(5)(C)		-1180128
(6) Net investment gain (loss) from common/collective trusts	2b(6)		50263866
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		-28087336
c Other income.....	2c		3287566
d Total income. Add all income amounts in column (b) and enter total.....	2d		199856734

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	149059062	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		149059062
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	453975	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	7404787	
(4) Other	2i(4)	8724736	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		16583498
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		165642560

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		34214174
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DELOITTE & TOUCHE LLP

(2) EIN: 13-3891517

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....	X		1159315
e Was this plan covered by a fidelity bond?.....	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?

If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)

5b(2) EIN(s)	5b(3) PN(s)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2011 This Form is Open to Public Inspection.
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For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>23-2871599</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>823</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☒ Increase	☐ Decrease	☐ Both	☐ No
--	--	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?.....	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. Complete as many entries as needed to report all applicable employers.

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 61% Investment-Grade Debt: 33% High-Yield Debt: 4% Real Estate: 0% Other: 2%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☒ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate item 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

INDEPENDENT AUDITORS' REPORT

To the Participants of the Rockwell Collins Pension Plan:

We were engaged to audit the financial statements and supplemental schedules of the Rockwell Collins Pension Plan (the "Plan") as of December 31, 2011 and 2010, and for the years then ended, listed in the table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee that the information as of and for the years ended December 31, 2011 and 2010 provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to express, and we do not express, an opinion on the accompanying financial statements and supplemental schedules. The supplemental schedules are presented for the purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Deloitte + Touche LLP

October 12, 2012

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2011 This Form is Open to Public Inspection
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For calendar plan year 2011 or fiscal plan year beginning 01/01/2011 and ending 12/31/2011

► Round off amounts to nearest dollar.

► Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Rockwell Collins Pension Plan	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Rockwell Collins, Inc.	D Employer Identification Number (EIN) 52-2314475	

E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B **F** Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I Basic Information

1 Enter the valuation date: Month <u>1</u> Day <u>1</u> Year <u>2011</u>			
2 Assets:			
a Market value	2a		2,184,667,585
b Actuarial value	2b		2,184,667,585
3 Funding target/participant count breakdown:			
		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment.....	3a	10,812	1,607,018,661
b For terminated vested participants	3b	12,308	392,737,217
c For active participants:			
(1) Non-vested benefits.....	3c(1)		57,085,299
(2) Vested benefits	3c(2)		834,684,650
(3) Total active.....	3c(3)	12,258	891,769,949
d Total.....	3d	35,378	2,891,525,827
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☒			
a Funding target disregarding prescribed at-risk assumptions	4a		2,846,594,552
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		3,071,250,929
5 Effective interest rate	5		5.92 %
6 Target normal cost	6		15,764,043

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary	<u>9/21/2012</u> Date 11-06418 Most recent enrollment number (703) 258-8000 Telephone number (including area code)
James P Neal Type or print name of actuary Towers Watson Delaware Inc Firm name 901 N. Glebe Road Arlington VA 22203-8215 Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

Part II Beginning of year carryover and prefunding balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	39,771,925	148,777,447
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	39,771,925	75,998,035
9 Amount remaining (line 7 minus line 8).....	0	72,779,412
10 Interest on line 9 using prior year's actual return of <u>14.94</u> %		10,873,244
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38 from prior year)		0
b Interest on (a) using prior year's effective rate of <u>6.58</u> % except as otherwise provided (see instructions).....		0
c Total available at beginning of current plan year to add to prefunding balance.....		0
d Portion of (c) to be added to prefunding balance.....		0
12 Other reductions in balances due to elections or deemed elections.....	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12).....	0	83,652,656

Part III Funding percentages

14 Funding target attainment percentage	14	73.80 %
15 Adjusted funding target attainment percentage	15	74.02 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	73.00 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and liquidity shortfalls

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/06/2011	100,000,000				
10/17/2011	47,000,000				
Totals •			18(b)	147,000,000	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date.....	19b	7,693,366
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	137,126,373

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No

b If 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No

c If 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions used to determine funding target and target normal cost**21** Discount rate:**a** Segment rates:1st segment:
2.94 %2nd segment:
5.82 %3rd segment:
6.46 %☐ N/A, full yield curve used**b** Applicable month (enter code).....**21b**

0

22 Weighted average retirement age**22**

63

23 Mortality table(s) (see instructions)☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.☐ Yes☒ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.☐ Yes☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.☒ Yes☐ No**27** If the plan is eligible for (and is using) alternative funding rules, enter applicable code and see instructions regarding attachment**27****Part VII Reconciliation of unpaid minimum required contributions for prior years****28** Unpaid minimum required contributions for all prior years**28**

0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....**29**

0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29).....**30**

0

Part VIII Minimum required contribution for current year**31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6).....**31a**

15,764,043

b Excess assets, if applicable, but not greater than 31a**31b**

0

32 Amortization Installments:**a** Net shortfall amortization installment.....

Outstanding Balance

Installment

790,510,898

119,522,868

b Waiver amortization installment.....

0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount.....**33**

0

34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...**34**

135,286,911

Carryover balance

Prefunding balance

Total balance

35 Balances elected for use to offset funding requirement

0

0

0

36 Additional cash requirement (line 34 minus line 35).....**36**

135,286,911

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....**37**

137,126,373

38 Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36)**38a**

1,839,462

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances**38b**

0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)**39**

0

40 Unpaid minimum required contributions for all years.....**40**

0

Part IX Pension funding relief under Pension Relief Act of 2010 (see instructions)**41** If a shortfall amortization base is being amortized pursuant to an alternative amortization schedule:**a** Schedule elected☐ 2 plus 7 years☒ 15 years**b** Eligible plan year(s) for which the election in line 41a was made☐ 2008☒ 2009☒ 2010☐ 2011**42** Amount of acceleration adjustment**42**

4,117,470

43 Excess installment acceleration amount to be carried over to future plan years**43**

0

Attachment to 2011 Schedule SB, Line 4
Additional Information for Plans in At-risk Status
Description of At-Risk Assumption for Assumed Form of Payment

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Participants eligible to retire within the next 11 years were assumed to retire immediately when first becoming eligible (but not before the end of the current year). All participants were assumed to elect the most valuable optional form of payment available to them upon commencement. This form of payment was either the 50% or 60% Joint and Survivor depending on the sub-plan of the participant.

EIN: 52-2314475
PN: 001

Attachment to 2011 Schedule SB, Line 19
Discounted Employer Contributions as of January 1, 2011

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Date	Applicable Plan Year	Contribution	Applicable Effective Rate	Interest Adjusted Contribution
01/06/2011*	2011	\$7,700,744	5.92%	\$7,693,366

* \$7,700,744 of the \$100 million contribution made on January 6, 2011 was designated as an IRC Section 436 contribution on March 2, 2011.

Attachment to 2011 Schedule SB, Line 22
Description of Weighted Average Retirement Age

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

<u>Sub-Plan Name</u>	<u>Active Participant Counts at 1/1/2011</u>	<u>Weighted Average Retirement Age</u>
Certain Salaried	90	62.570
Electronics Salaried	7,684	62.720
Collins Production	1,367	61.990
Coralville	579	63.120
Kaiser Ret Plan	500	64.030
Offsite Electronics	536	62.280
TOTAL	10,756	62.686

Weighted Average Retirement Age is determined using the actual active population (excluding participants in leave, layoff or transfer status as of January 1, 2011) and the Plan's retirement decrement assumptions.

ROCKWELL COLLINS, INC.
Rockwell Collins Pension Plan

Attained Age	0		1		2		3		4		5-9		Attained Years of Credited Service				10-14		15-19		20-24		25-29		30-34		35-39		40 & Over		Total	Avg. Accrued Benefit
	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit				
Under 25	38	144	7		5		19		1		4																		74	862		
25-29	214	260	218	915	116	1478	60	1821	26	2196	59	2870																	693	1100		
30-34	144	350	145	1069	110	1686	61	2260	51	2735	376	4437	72	7210	1														960	2981		
35-39	125	375	97	1199	74	1988	30	2477	47	3491	434	5294	216	8763	9														1032	4681		
40-44	100	400	104	1485	86	2291	36	2692	38	3601	355	6048	222	9199	203	11884	36	13169	1										1181	6536		
45-49	118	497	101	1427	78	2521	56	2782	40	3951	481	6729	251	10023	391	15307	369	19269	65	12485									1950	10447		
50-54	101	468	85	1652	55	2419	38	3613	40	4520	463	6839	205	10735	268	15642	343	21545	427	23820	61	20226	7					2093	13917			
55-59	40	526	52	1489	46	2742	25	3324	29	5109	281	7041	179	9940	168	14433	203	20907	313	28652	230	30889	151	20660	1	21879	1718	17518				
60-64	26	452	25	1604	25	2809	16		18		166	6906	64	9066	86	16273	92	20925	110	29910	92	34326	66	25900	65	21796	851	17490				
65-69	2		8		7		3		1		36	5501	23	8111	18	21559	14	18065	15	31005	11		11		24	27191	173	17010				
70 & Over					5						5		5		4		5		1		3		1		2		31	12206				
Total	908	372	842	1,236	607	2,098	344	2,659	291	3,626	2,660	6,034	1,237	9,500	1,148	14,742	1,062	20,228	932	25,476	397	30,080	236	22,791	92	23,331	10,756	10,602				

¹ Counts include participants who are active as of the valuation date and do not include participants on leave/layoff or transferred employees.
Note: Plan is primarily pay-related. All pay related benefits are frozen. Accrued benefits for all active participants are shown in lieu of pay.

Attachment to 2011 Schedule SB, Line 32
Schedule of Amortization Bases as of January 1, 2011

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Type of Base		Date Established	Initial Amount	Remaining Amortization Period (Years)	Outstanding Balance	Amortization Payment
1	Shortfall	01/01/2011	85,320,432	7	85,320,432	13,787,467
2	Shortfall	01/01/2010	357,034,397	14	359,732,075	35,360,373
3	Shortfall	01/01/2009	141,128,380	13	151,627,665	15,673,843
4	Shortfall	01/01/2008	306,128,088	4	193,830,726	50,583,715
Total (before Installation Acceleration Amounts)					790,510,898	115,405,598
Installation Acceleration Amounts (15-year Amortization)					N/A	4,117,470
Total					790,510,898	119,522,868

Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Sponsor Rockwell Collins

EIN/PN 52-2314475/001

Funding Target Methodology

The funding liability as of January 1, 2011 was determined using census data as of that date under the provisions of the Pension Protection Act of 2006. The discount rates and mortality tables used for this calculation were based on PPA elections made by Rockwell Collins.

Asset method

The valuation assets are equal to the market value at January 1, 2011.

PPA Funding Relief

This report reflects the election of relief under the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010 for plan years 2009 and 2010. The 15-year amortization method was elected for plan years beginning January 1, 2009 and January 1, 2010. The 2011 Installment Acceleration Amount under the "cash flow rule" is \$4,117,470 for the 2009 and 2010 elections. Each Installment Acceleration Amount is comprised of Excess Compensation of \$2,058,735 (calculated based on an excess compensation threshold of \$1,014,000 per individual) and Extraordinary Dividends of \$0, as provided by Rockwell Collins.

Key Valuation Assumptions:

- | | |
|---|---|
| 1. Discount Rates: | Funding Target: PPA 3-segment rates for January 2011
First Segment: 2.94%
Second Segment: 5.82%
Third Segment: 6.46% |
| | Effective Interest Rate: 5.92% |
| 2. Salary Increases | N/A |
| 3. Future Increases in Maximum
Benefit Limits and Plan
Compensation Limits: | N/A |
| 4. Social Security Wage Base
Increases: | N/A |

Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

- | | |
|------------------------------------|---|
| 5. Retirement: | Retirement rates varying by age, service and sub-plan. Sample rates are attached. |
| 6. Turnover: | Turnover rates varying by age, service and sub-plan. Sample rates are attached. |
| 7. Mortality: | Static mortality table for 2011 plan years as defined in PPA of 2006 and IRS Notice 2008-85. |
| 8. Disability: | Disability incidence rates varying by age and sub-plan. Sample rates are attached. |
| 9. Optional Forms of Payment: | Election rates varying by sub-plan. See attached table. |
| 10. Layoff Benefits: | Laid off participants eligible to bridge to retirement assumed to retire on their earliest eligible retirement age. Laid off participants not eligible to bridge to retirement assumed to return to work. |
| 11. Participants Missing Benefits: | Participants in payment and terminated vested participants with \$0 benefits are assumed to have the average benefit for participants of the same status within the sub-plan. Transfers with \$0 benefits are assumed to have an annual benefit of \$600. |
| 12. Administrative Expenses: | Assumed administrative expenses are equal to \$3,500,000. |
| 13. Measurement Date: | January 1. |

Participant data

Participant data was supplied by Rockwell Collins as of the census date.

Tax policy

The actuarial valuation performed for the plan year ending December 31, 2011 is used to determine the maximum deductible contribution for the tax year ending October 1, 2011.

Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Nature of actuarial calculations

The results documented in this report are estimates based on data that may be imperfect and on assumptions about future events. Certain plan provisions may be approximated or deemed insignificant and therefore are not valued. Assumptions may be made about participant data or other factors. Reasonable efforts were made in this valuation to ensure that items that are significant in the context of the actuarial liabilities or costs are treated appropriately, and not excluded or included inappropriately.

A range of results, different from those presented in this report could be considered reasonable. The numbers are not rounded, but this is for convenience only and should not imply precision, which is not inherent in actuarial calculations.

The assumptions selected for this valuation other than those prescribed by law, generally reflect long-term average expectations. If overall future plan experience is less favorable than assumed, the relative level of plan costs or contribution requirements determined in this valuation will likely increase in future valuations. Future actuarial measurements may differ significantly from the current measurements presented in this report due to such factors as the following: plan experience differing from that anticipated by the economic or demographic assumptions; increases or decreases expected as part of the natural operation of the methodology used for the measurements (such as the end of an amortization period); and changes in plan provisions or applicable law. It is beyond the scope of this actuarial valuation to analyze the potential range of future pension contributions, but we can do so upon request.

Credit Balance Usage

The results reflect the following elections to use available Credit Balance(s) to offset the 2010 Minimum Required Contribution:

Changes from Prior Valuation

- The mortality table for PPA calculations was updated from the PPA static table for 2010 valuations to the PPA static table for 2011 valuations, as elected by Rockwell Collins.
- The interest rates were updated to the 3-segment interest yield curves issued by the IRS during January 2011 reflecting the average interest rates over the 24-month period from January 2009 through December 2010.

**Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods**

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Retirement - Actives

<u>Age</u>	Employees Born Before 1941				Employees Born 1941 and later	
	Years of Service				Years of Service	
	<u>10</u>	<u>20</u>	<u>30</u>	<u>10</u>	<u>20</u>	<u>30</u>
<u>Aerospace Salaried Employees Sub-Plan</u>						
55	0.149	0.120	0.156	0.074	0.060	0.078
60	0.189	0.328	0.333	0.094	0.164	0.167
62	0.215	0.187	0.191	0.107	0.093	0.096
65	0.446	0.418	0.423	0.223	0.209	0.211
Certain Salaried Employees Sub-Plan						
Electronics Salaried Employees Sub-Plan						
<u>Kaiser Aerospace Employees Sub-Plan</u>						
55	0.085	0.090	0.164	0.042	0.045	0.082
60	0.116	0.186	0.196	0.058	0.093	0.098
62	0.190	0.195	0.205	0.095	0.098	0.103
65	0.336	0.341	0.351	0.168	0.170	0.175
<u>Collins Radio Production Employees Sub-Plan</u>						
55	0.087	0.140	0.195	0.043	0.070	0.098
60	0.071	0.124	0.179	0.036	0.062	0.090
62	0.300	0.353	0.408	0.150	0.177	0.204
65	0.402	0.455	0.510	0.201	0.228	0.255
Coralville Hourly Employees Sub-Plan						
<u>Electronics Offsite Hourly Employees Sub-Plan</u>						
55	0.170	0.088	0.066	0.085	0.044	0.033
60	0.068	0.052	0.034	0.034	0.026	0.017
62	0.529	0.367	0.280	0.264	0.184	0.140
65	1.000	0.682	0.556	1.000	0.341	0.278

**Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods**

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Turnover

	<u>Salaried Sub-Plans</u>	<u>Collins Radio Production</u>	<u>Coralville & Electronics Offsite</u>
<u>Service</u>		<u>Select Rates</u>	
0 to 1	0.2025	0.1725	0.1350
1 to 2	0.2025	0.1525	0.1300
2 to 3	0.1850	0.1475	0.1250
3 to 4	0.1400	0.1250	0.1200
4 to 5	0.1250	0.1000	0.1150
<u>Age</u>		<u>Ultimate Rates</u>	
20	0.1150	0.0900	0.1100
25	0.1150	0.0900	0.1100
30	0.0950	0.0850	0.1100
35	0.0750	0.0650	0.0850
40	0.0500	0.0400	0.0550
45	0.0400	0.0350	0.0450
50	0.0350	0.0250	0.0300
55	-	-	-

Employees under the age of 55 with at least 78 points are assumed to have a 0% rate of turnover.

Annual Rate of Retirement - Deferred Vested Participants

Certain Salaried
Electronics Salaried
Kaiser Salaried

25% of terminated employees with deferred vested benefits are assumed to retire at earliest eligibility.
75% of terminated employees with deferred vested benefits are assumed to retire at age 62.

Collins Production
Coralville
Electronics Offsite

Participants with 10+ years of vesting service:
40% are assumed to retire at earliest eligibility
60% are assumed to retire at age 62

Participants with less than 10 years of vesting service are assumed to retire at age 65.

Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Disability

Collins Radio Production Employees Sub-Plan

<u>Age</u>	<u>Rate</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	-

Certain Salaried Employees Sub-Plan
Coralville Hourly Employees Sub-Plan
Electronics Offsite Hourly Employees Sub-Plan
Electronics Salaried Employees Sub-Plan
Kaiser Aerospace Employees Sub-Plan

<u>Age</u>	<u>Rate</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	0.01596

Optional Forms of Payment

Certain Salaried Employees Sub-Plan
Electronics Salaried Employees Sub-Plan
Kaiser Aerospace Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	65% of employees.
Age of Beneficiary:	Females 3 years younger than males.

Attachment to 2011 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Optional Forms of Payment (Cont'd)

Collins Radio Production Employees Sub-Plan
Coralville Hourly Employees Sub-Plan
Electronics Offsite Hourly Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	None.
Age of Beneficiary:	Females 3 years younger than males.

Percentage of Terminated Vested Employees
Assumed to Elect Survivor Benefits

Aerospace Hourly Sub-Plan, Aerospace Salaried Sub-Plan, Kaiser UAW Sub-Plan, Salaried Sub-Plans:	65% of employees.
Ashtabula Sub-Plan, Centralia Sub-Plan Kenton Hourly Sub-Plan:	85% of employees.
All Other Sub-Plans:	None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Outline of Benefit Provisions

Plan Benefits Valued

The valuation is based on benefit provisions in effect on the valuation date, including future increases under existing bargaining agreements.

1. Active Employees:
 - a. Salaried Employees -

Entitled to vested, accrued benefit as of September 30, 2006. Accrue eligibility service toward early retirement benefits. Employees rehired after September 30, 2006 who terminated non-vested prior to the freeze can continue to earn eligibility service.

- b. Hourly Employees -

Accrue benefits under one of the sub-plans, descriptions of which are attached.

2. Inactive Participants:

Entitled to vested, accrued benefit determined as of the date of separation.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Changes from Prior Valuation

After the valuation date, the following plan amendments were made and reflected in the determination of the minimum required contribution:

- Salaried subplan death benefits were amended to remove the \$10,000 limit on post-65 in-service deaths.
- Salaried subplan death benefits were amended to provide benefits for all post-65 in-service deaths. Previously only married employees were eligible for post-65 death benefits.
- Coralville and Offsite participants can retire prior to age 65 with only five years of vesting service, not ten as previously in the plan.
- Changes in the requirements for a salaried employee on layoff to be eligible to "slide" into early retirement.
- All salaried employees can receive the early retirement subsidy at age 55 with 30 years of service. Prior to this change only pre-1993 hires could meet this eligibility.
- The Offsite Electronics subplan benefits units were updated.

An IRC Section 436 contribution of \$7,700,744 was made on January 6, 2011 to allow these amendments to become effective. See our report dated December 8, 2011 for more information.

The above amendments are not reflected in the determination of the plan's AFTAP.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - December 31, 1940.
 - b. Latest Amendment - October 31, 2005.
Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Employees on certain salaried payrolls are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

3. Retirement Dates:
- a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
- a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:
 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

- ii. The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit <u>Attributable To</u>	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
b. Regular Early (Cont'd) -

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

- c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years).

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.

- ii. Sum of (A) plus (B):

- (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.
 - (B) Amount determined in ii.(B) above.

- d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
 - e. Disability -

Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of determination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
 - a. Lump Sum -

On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006, or death benefit accrued as of December 31, 1971 if greater, is payable to the employee's designated beneficiary.
 - b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

 - i. On death after age 55, the greater of 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee) or the survivor benefit the spouse would have received if the employee had retired and elected the joint and survivor annuity in 7.c. below.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
(Cont'd)
- b. Survivor Benefit - (Cont'd)
 - ii. On death after 10 years of service and prior to age 55, 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced by 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - iii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Bargaining unit employees of Collins Radio.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before age 65.
 - c. Late - First day of any month after normal retirement date.
 - d. Disability - On total and permanent disability at any age after 10 years of vesting service.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals the post-4/30/92 benefit unit times post-4/30/92 service (maximum 30 years), plus the pre-5/1/92 benefit unit times total service (maximum 30 years) in excess of post-4/30/92 service, plus a lifetime supplement of \$50. 12/31/92 is used instead of 4/30/92 for any employee eligible to retire before 1/1/93.

**Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions**

**ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN**

Collins Radio Production Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

Post-4/30/92 benefit unit:

Labor Grade	Cedar Rapids IBEW 1362	Cedar Rapids IBT 238	Cedar Rapids IBEW 1429	Dallas IUE 787
1	\$44.00			\$44.00
2	48.00			45.75
3	49.50			47.25
4	51.75			49.75
5	54.75		\$52.00	52.75
6	57.75	\$48.00		56.50
7	60.75	49.50	47.00	60.00
8	64.00	51.75		63.75
9	66.50	53.25		67.25
10	69.00	54.75		69.00
11		57.75		
12		60.75		

Pre-5/1/92 benefit unit: 102% of the greater of \$18 or 1/12 the sum of \$44.20 plus 1.9% of the highest average W-2 pay for 3 consecutive calendar years out of the 10 calendar years preceding the earlier of the date of termination or 5/1/92. Pre-5/1/92 benefit unit further increased by 6% for retirements between 5/1/2003 and 3/31/2010 and 3% for retirements on 4/1/2010 and thereafter.

- b. Early - Benefit determined as for normal retirement, based on credited service and labor grade at early retirement date, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.
- c. Late - Greater of:
i) Benefit determined as for normal retirement, based on credited service and labor grade at actual retirement date and
ii) Benefit determined based on credited service and labor grade at normal retirement date, actuarially increased to account for payment at actual retirement date
- d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and labor grade at date of termination. The deferred vested benefit is payable without reduction beginning at age 65 or with subsidized reduction from age 62 at any time after age 55 if the employee had at least 10 years of vesting service at termination. Lifetime supplement payable without reduction.
6. Death Benefits Before Retirement: Upon death after 1 year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if the employee had retired on the first day of the month in which the employee died and had taken the joint and survivor annuity. If death occurs prior to the employee's earliest retirement date, the benefit will commence when the employee would have been eligible to retire and will be determined as if the employee had survived to that date and then died.
7. Death Benefits After Retirement:
 - a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants - A married employee who does not elect a straight life annuity will automatically receive a benefit payable during the employee's lifetime consisting of the lifetime supplement plus 88% of the balance of the otherwise determined retirement benefit. On the employee's death, 50% of the reduced income will continue to the surviving spouse for the spouse's remaining lifetime. The employee's percentage is reduced 1/2% for each year that the employee's age exceeds the spouse's age, or increased 1/2% for each year that the spouse's age exceeds the employee's age (maximum increase is 10%).
 - c. Optional Forms - Actuarially equivalent joint and survivor annuity for 50%, 75% or 100% or Social Security leveling option.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - April 1, 1986.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Hourly payroll employees of the Coralville, Iowa Plant are covered by the sub-plan.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 5 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals \$32.00 per year of credited service (maximum 30 years).
 - b. Early - Monthly benefit determined as for normal retirement, based on service credited to early retirement date, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.
 - c. Late - Benefit determined as for normal retirement, based on employee's credited service to actual retirement date.
 - d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to his date of termination. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.
6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 5 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 5 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Normal Form for Single Participants - Single life annuity.
- b. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees at certain locations of Electronics Operations are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

- ii The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit <u>Attributable To</u>	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years).

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. Sum of (A) plus (B):
 - (A) $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
- c. 55/Rule of 85 (Cont'd) - Benefit Prior to Age 62: Greater of:
- iii. Regular early retirement benefit including any supplement.
 - iv. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.
 - (B) Amount determined in ii.(B) above.
- d. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
- e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
- a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
 - b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
 - i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan - January 1, 1976.
 - b. Latest Amendment - October 31, 2004. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees of the Kaiser Aerospace operations acquired by Rockwell Collins are covered by the plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

<u>Year of Birth</u>	
1937 & earlier	.480%
1938 thru 1954	.455%
1955 & later	.430%
3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

3. Retirement Dates: (Cont'd)

- b. Early - First day of any month after employee reaches age 55, but before age 65.
- c. Late - First day of any month after employee reaches normal retirement date.
- d. Disability - None.

4. Retirement Benefits:

- a. Normal - Annual benefit equal to the greatest of:
- Career-Average ("Tack-On") Benefit: Benefit accrued under the prior formula as of 12/31/2000 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.
- 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).
- Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service, earned prior to September 30, 2006 (no maximum).
- b. Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes age 62.
- Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.
- c. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
- d. Disability - None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
- a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
- b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
- i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
- ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan Merger - December 31, 1993.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Eligibility: Employees entitled to benefits under the following former retirement plans:

Bellevue, Iowa Hourly Payroll
Decorah, Iowa Hourly Payroll
Manchester, Iowa Hourly Payroll
Mason City, Iowa Hourly Payroll
Sumner, Iowa Hourly Payroll
Maine Electronics Inc. Hourly Payroll
Maine Electronics Inc. Salary Payroll
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month following normal retirement date.
 - d. Disability - On total and permanent disability after 10 years of vesting service.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

4. Retirement Benefits:

a. Normal -

Monthly benefit equals the following benefit unit for each year of credited service earned prior to September 30, 2006 (maximum 30 years):

	Bellevue Decorah <u>Manchester</u>	Mason City <u>Sumner</u>
<u>Labor Grade</u>		
A	\$18.00	\$12.00
B	19.00	13.00
C	20.00	14.00

For employees of the former Maine Hourly and Maine Salaried plans, the benefit unit is \$10.00 and the maximum service is 35 years.

b. Early -

Monthly benefit determined as for normal retirement, based on service credited to earlier of early retirement date and September 30, 2006, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.

c. Late -

Benefit determined as for normal retirement, based on employee's credited service to earlier of his actual retirement date and September 30, 2006.

d. Disability -

Monthly benefit determined as for normal retirement, based on service credited to earlier of date of disability and September 30, 2006, payable without reduction after 6 months of disability.

5. Termination Benefits:

On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to earlier of his date of termination and September 30, 2006. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.

Attachment to 2011 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Lump Sum - \$1,000 payable to the beneficiary of an early retiree and, if the retiree is not covered by group insurance, to the beneficiary of a disabled, late or normal retiree. The beneficiary of a terminated vested retiree will not receive the lump sum. If the former plan is Maine Salaried, the amount payable is \$1,000 to \$5,000 depending on pay. This benefit will be eliminated for active employees as of October 1, 2006 and retirees as of January 1, 2007.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% (55% if former plan is Maine) of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: None.

Attachment to 2011 Schedule SB
Statement by Enrolled Actuary

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Sponsor	Rockwell Collins
EIN/PN	52-2314475/001
Plan Name	Rockwell Collins Pension Plan
Valuation Date	January 1, 2011
Enrolled Actuary	James P. Neal
Enrollment Number	11-06418

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

Rockwell Collins Pension Plan

EIN #: 52-2314475, Plan #: 001

**Financial Statements as of and for the
Years Ended December 31, 2011 and 2010,
Supplemental Schedules as of and for the
Year Ended December 31, 2011 and
Independent Auditors' Report**

ROCKWELL COLLINS PENSION PLAN

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All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.	

INDEPENDENT AUDITORS' REPORT

To the Participants of the Rockwell Collins Pension Plan:

We were engaged to audit the financial statements and supplemental schedules of the Rockwell Collins Pension Plan (the "Plan") as of December 31, 2011 and 2010, and for the years then ended, listed in the table of contents. These financial statements and supplemental schedules are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee that the information as of and for the years ended December 31, 2011 and 2010 provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to express, and we do not express, an opinion on the accompanying financial statements and supplemental schedules. The supplemental schedules are presented for the purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Deloitte + Touche LLP

October 12, 2012

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2011 AND 2010

	<u>2011</u>	<u>2010</u>
ASSETS:		
Non-interest bearing cash	\$ <u>188,562</u>	\$ <u>12,510,871</u>
Investments:		
Equity investments	1,317,600,974	1,500,954,748
Fixed income investments	879,795,288	650,973,371
Securities on loan under lending agreement	1,224,013	2,036,443
Interest-bearing cash	14,172,713	4,020,226
Other	<u>7,685,786</u>	<u>9,243,251</u>
Total investments	<u>2,220,478,774</u>	<u>2,167,228,039</u>
Receivables:		
Sale of securities	3,492,072	10,723,757
Accrued interest and dividends	<u>7,289,344</u>	<u>9,126,778</u>
Total receivables	<u>10,781,416</u>	<u>19,850,535</u>
Collateral held under securities lending agreement	<u>1,225,323</u>	<u>2,129,993</u>
Total assets	<u>2,232,674,075</u>	<u>2,201,719,438</u>
LIABILITIES:		
Liability to return collateral held under securities lending agreement	1,225,323	2,129,993
Purchase of securities	10,210,161	12,587,661
Accrued administrative expenses	2,334,678	2,312,262
Other	<u>22,154</u>	<u>21,937</u>
Total liabilities	<u>13,792,316</u>	<u>17,051,853</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 2,218,881,759</u>	<u>\$ 2,184,667,585</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEARS ENDED DECEMBER 31, 2011 AND 2010

	<u>2011</u>	<u>2010</u>
Additions:		
Investment income:		
Interest and dividends	\$ 52,079,569	\$ 45,115,125
Net appreciation (depreciation) in fair value of investments	(2,510,401)	255,498,075
Other income	<u>3,287,566</u>	<u>1,460,894</u>
Total investment income	52,856,734	302,074,094
Contributions	<u>147,000,000</u>	<u>-</u>
Total additions	<u>199,856,734</u>	<u>302,074,094</u>
Deductions:		
Benefit payments	149,059,062	144,343,792
Administrative and investment expenses	<u>16,583,498</u>	<u>16,691,042</u>
Total deductions	<u>165,642,560</u>	<u>161,034,834</u>
NET INCREASE	34,214,174	141,039,260
NET ASSETS AVAILABLE FOR BENEFITS, BEGINNING OF YEAR	<u>2,184,667,585</u>	<u>2,043,628,325</u>
NET ASSETS AVAILABLE FOR BENEFITS, END OF YEAR	<u>\$ 2,218,881,759</u>	<u>\$ 2,184,667,585</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2011 AND 2010

1. DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Pension Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the Plan document for more complete information. The Plan’s sponsor is Rockwell Collins, Inc. (the “Company”).

General – The Plan is a defined benefit plan sponsored by the Company. The Rockwell Collins Employee Benefit Plan Committee and the Plan administrator control and manage the Plan. The Bank of New York Mellon (the “Trustee”) serves as the trustee of the Plan. The Bank of New York Mellon, along with several investment managers, manages the Plan’s investment assets. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), and the Pension Protection Act of 2006 (“PPA”).

Pension Benefits – The Plan consists of various “subsidiary parts” which represent individual benefit plans which have merged into the Plan over time. Generally, participants become fully vested after five years of service with no partial vesting. The Plan provides for normal retirement benefits upon reaching age 65, with certain subsidiary parts providing these benefits at age 62. The Plan also has provisions for early retirement, disability, and death benefits and provides benefits upon meeting certain other preconditions. Joint and survivor annuities or single life annuities provide the typical form of benefit payments, in addition to other optional forms. The amount of a participant’s benefit depends on the specific provisions of the Plan document for the subsidiary part where the participant earned the benefit. Pension benefits are generally calculated using benefit formulas that primarily consider the participant’s years of credited service, annual earnings, final pay, and other factors noted in the Plan documents for each subsidiary part.

The Plan has multiple subsidiary parts using various benefit formulas. Participants are directed to the applicable Summary Plan Description for details that pertain to their pension benefits. The benefit formulas for the three largest subsidiary parts are listed below. The majority of the other subsidiary parts have similar benefit formulas based upon years of credited service, as defined by the subsidiary parts, multiplied by a benefit unit.

The annual normal retirement benefit for the Certain Salaried Plan and the Electronics Salaried Plan as defined in the Plan document is the greater of (1) the Career Earnings Formula, (2) the Alternate Benefit Formula, or (3) the Minimum Benefit Formula. Under each benefit computation, the annual benefit is a percentage of earnings or average annual earnings multiplied or adjusted by years of credited service.

The monthly normal retirement benefit for the Collins Production Plan is based on a two-part formula. Benefits accrued through April 30, 1992 are based on a final pay formula using earnings and credited service through that date. Benefits accrued after April 30, 1992 are based on a benefit unit and credited service after that date and vary by labor grade and union.

The Company amended the Plan in June 2003 to discontinue benefit accruals for salary increases and services rendered after September 30, 2006 for all new and existing salaried and hourly employees not covered by collective bargaining agreements. The Company has replaced this benefit by expanding its existing defined contribution savings plan effective October 1, 2006 to include additional Company contributions.

In May 2008, a contract agreement between the Company and three bargaining unit unions covering active and retired employees in Cedar Rapids, Iowa, Coralville, Iowa, and Richardson, Texas was ratified. The contract covers a five year period from May 3, 2008 through May 3, 2013. Under the new contract, certain pension benefits for bargaining unit employees were amended.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan’s management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits and changes therein. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments including U.S. Government securities, corporate debt instruments, and corporate stocks. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur and that such changes could materially affect the amounts reported in the financial statements.

Investment Valuation and Income Recognition – Investments of the Plan are presented at fair value. If available, investments are valued at quoted market prices on the measurement date. If prices of fixed income securities are not readily available or do not accurately reflect fair value, then fixed income securities are valued based on a matrix pricing model in which all significant inputs are observable or can be derived from or corroborated by observable market data such as interest rates, yield curves, volatilities, credit risk and prepayment speeds. In instances where quoted market prices are not available, securities are stated at fair value as determined by independent investment brokerage firms. Common collective trust funds are stated at fair value as determined by the issuer of the common collective trust funds based on the net asset value of the underlying investments, which are generally readily marketable. Interest-bearing cash is cash denominated in foreign currencies and measured in U.S. dollars.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date.

Administrative Expenses – Expenses incurred in the administration of the Plan are paid by the Plan, except to the extent that the Company elects to pay the expenses at its discretion.

Payment of Benefits – Benefit payments to participants are recorded upon distribution.

Adoption of New Accounting Guidance – In January 2010, the Financial Accounting Standards Board (“FASB”) issued guidance related to disclosures of fair value measurements. This guidance requires the Plan to disclose transfers in and transfers out of Level 1 and Level 2 fair value measurements on a gross basis. The reason for any transfers will also need to be disclosed. In addition, this guidance will require the Plan to disclose purchases, sales, issuances, and settlements of Level 3 fair value measurements on a gross basis. The guidance was effective for the Plan for the year ended December 31, 2010, except for the guidance related to Level 3 fair value measurements, which was effective for the Plan for the year ended December 31, 2011. There has been no impact on the financial statements due to the adoption of the guidance.

In May 2011, the FASB issued additional guidance related to fair value measurement disclosures. This guidance requires the Plan to disclose information about transfers between Level 1 and Level 2 fair value measurements, information about the sensitivity of Level 3 fair value measurements to changes in unobservable inputs, and to categorize by fair value measurement level items that are not measured at fair value in the statement of net assets, but for which disclosure of fair value is required. The guidance is effective for the Plan for the year ending December 31, 2012 and the guidance is to be applied prospectively. The Plan does not believe there will be a material impact on the disclosures required as a result of adopting this guidance.

Subsequent Events – The Plan has assessed subsequent events through October 12, 2012. This date represents the date that the financial statements were available to be issued.

3. FUNDING POLICY

Contributions to provide benefits under the Plan are made solely by the Company. The Company's policy is to fund the Plan as required by governmental agencies and to consider discretionary contributions as conditions warrant. The Company did not make a contribution for the Plan year ended December 31, 2010. The Company made the following contributions for the Plan year ended December 31, 2011:

Statement Plan Year	ERISA Plan Year	Date of Contribution	Amount
2011	2011	January 6, 2011	100,000,000
2011	2011	October 17, 2011	47,000,000
			<u>\$ 147,000,000</u>

The Company met the PPA and ERISA minimum funding requirements for both 2011 and 2010. In January, July, and October 2012, the Company made \$63 million, \$3 million, and \$55 million in contributions, respectively, to the Plan for the Plan year ending December 31, 2012.

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those future benefit payments attributable under the Plan's provisions to service that employees have rendered and shall be paid (1) to retirees or terminated vested employees who retire, (2) to the beneficiaries of such retirees or terminated employees, and (3) covered present employees or their beneficiaries. The actuarial present value of accumulated plan benefits have been determined by the Plan's independent actuary, and is that amount which results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements for death, disability, withdrawal, or retirement) between the benefit information date and the expected payment dates. The effect of Plan amendments on accumulated plan benefits is recognized during the year in which such amendments are adopted.

The actuarial present value of accumulated plan benefits as of January 1, 2011 and 2010 is as follows:

	<u>January 1, 2011</u>	<u>January 1, 2010</u>
Vested benefits:		
Participants and/or beneficiaries currently receiving benefit payments	\$ 1,420,022,000	\$ 1,304,612,000
Other participants	<u>1,025,060,000</u>	<u>1,003,070,000</u>
Total vested benefits	2,445,082,000	2,307,682,000
Nonvested benefits	<u>48,670,000</u>	<u>50,666,000</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 2,493,752,000</u>	<u>\$ 2,358,348,000</u>

The change in the actuarial present value of the Plan's accumulated plan benefits for the year ended January 1, 2011 is as follows:

Actuarial present value of accumulated plan benefits, beginning of year, January 1, 2010	\$2,358,348,000
Increase (decrease) during the year attributable to:	
Benefit payments	(144,344,000)
Benefits accumulated - net	3,303,000
Actuarial losses	22,314,000
Increase for interest due to decrease in the discount period	160,264,000
Assumption change	83,988,000
Plan amendments	<u>9,879,000</u>
Net increase	<u>135,404,000</u>
Actuarial present value of accumulated plan benefits, end of year, January 1, 2011	<u>\$2,493,752,000</u>

The significant actuarial assumptions used in determining accumulated plan benefits as of January 1, 2011 and 2010 are as follows:

Discount Rate:	7.0% compounded annually
Mortality:	For 2011, generally, 2010 IRS Annuitant Tables (sex distinct); set forward and projected 3 years with scale AA For 2010, generally, the RP2000 Mortality Table modified for actual experience
Retirement:	Average retirement age – 63

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

The amount reported above with respect to plan amendments reflects an estimate by the Plan's independent actuary of the increase in the actuarial present value of the accumulated plan benefits attributable to certain amendments made by the Company that were identified in the Plan's Voluntary Correction Program ("VCP") application to the IRS, as described in Note 6 below. Generally, these amendments document long-standing administrative practices that had not been properly reflected in the Plan document.

5. DERIVATIVE FINANCIAL INSTRUMENTS

Derivative financial instruments are used by portfolio investment managers primarily to hedge the currency risk when transactions are settled in currencies where a liquidity issue may change the value of the settlement. Only the investment managers dedicated to the international investments are permitted to use foreign currency exchange contracts to hedge these exposures. Leveraging of the Plan's assets and speculation are prohibited. The Plan also uses futures contracts to manage market risk associated with the Plan's fixed income investments.

Foreign Currency Forward Contracts – The Plan enters into forward contracts to purchase and sell foreign currencies in the normal course of its investing activities to manage the currency exposures as outlined above with the Plan's foreign equity and fixed income investments. The terms of these contracts generally do not exceed one year. The credit risk associated with these contracts is minimal as they are entered into with a limited number of highly rated counterparties.

The Plan reflects the fair value of all forward contracts as an asset or liability in the Plan's financial statements. The fair values associated with the foreign currency contracts have been estimated by valuing the net position of the contracts using the applicable spot rates and forward rates as of the reporting date. In the Statements of Net Assets Available for Benefits, forward contracts to purchase foreign currency are included within purchases of securities and forward contracts to sell foreign currency are included within sale of securities. Changes in fair value are included in net appreciation (depreciation) in fair value of investments.

U.S. Treasury Futures Contracts – The Plan utilizes U.S. Treasury futures contracts to extend the duration of certain fixed income investments in order to match the profile of the Plan's accumulated plan benefit liability. The terms of these contracts may extend for either 5, 10, or 30 years. The contracts are traded on the Chicago Board of Trade. The credit risk associated with these contracts is minimal as they are entered into with a highly rated counterparty.

Upon entering into a futures contract, the Plan is required to deposit either in cash or securities an amount equal to a certain percentage of the nominal value of the contract. Subsequent payments are then made or received by the Plan, depending on the daily fluctuation in the value of the underlying contracts. U.S. Treasury Bonds and Notes owned and included in the investments of the Plan as of December 31, 2011 and 2010 was \$185,000 and \$300,000 respectively. These investments were held by the Plan's brokers as performance security on futures contracts.

The futures contracts are classified in the Statements of Net Assets Available for Benefits as other investments. Notional amounts of outstanding future contracts were \$43,000 for contracts purchased and \$10,000 for contracts sold as of December 31, 2011. Notional amounts of outstanding future contracts were \$82,000 for contracts purchased and \$94,000 for contracts sold as of December 31, 2010. The number of futures contracts was 33 and 19 as of December 31, 2011 and 2010 respectively.

Fair Value of Derivative Instruments – Fair values of derivative instruments in Statements of Net Assets Available for Benefits as of December 31, 2011 and 2010 are as follows:

		December 31, 2011		December 31, 2010	
	Classification	Asset Derivatives	Liability Derivatives	Asset Derivatives	Liability Derivatives
Foreign currency					
forward contracts	Sale of securities	\$ 1,941,640	\$ -	\$ 8,374,816	\$ -
Foreign currency	Purchases of				
forward contracts	securities	-	(1,933,192)	-	(8,388,325)
U.S. Treasury					
futures contracts	Other investments	<u>73,063</u>	<u>-</u>	<u>-</u>	<u>(186,703)</u>
Total		<u>\$ 2,014,703</u>	<u>\$ (1,933,192)</u>	<u>\$ 8,374,816</u>	<u>\$ (8,575,028)</u>

The effect of derivative instruments on the Statements of Changes in Net Assets Available for Benefits for the year ended December 31, 2011 and 2010 is as follows:

		Year ended December 31, 2011	Year ended December 31, 2010
	Location of Gain		
Foreign currency forward contracts	Net appreciation in fair value of investments	\$ 281,366	\$ 586,012
U.S. Treasury futures contracts	Net appreciation in fair value of investments	<u>291,666</u>	<u>139,195</u>
Total		<u>\$ 573,032</u>	<u>\$ 725,207</u>

6. FEDERAL INCOME TAX STATUS

The Internal Revenue Service (“IRS”) has determined and informed the Company by a letter dated September 25, 2002 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (“IRC”). The Company believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC, except for noncompliance with certain administrative requirements that were discovered by the Company. The Company is addressing these deficiencies, identifying the appropriate corrective actions and implementing changes to ensure that such deficiencies do not continue. The Plan has reported earlier identified administrative issues to the IRS as part of its VCP in a letter dated January 31, 2011. At that time, the Plan also requested a new IRS determination letter. The IRS has not yet responded to the application for a compliance statement. The Company is preparing a communication to the IRS which supplements the VCP for subsequent deficiencies identified, which is not yet filed with the IRS. The Company believes, that by taking these corrective actions, the Plan’s trust will continue to be tax-exempt. As a result, no provision for income taxes has been included in the Plan’s financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Company has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2011, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The plan administrator believes it is no longer subject to income tax examinations for years prior to 2008.

7. INVESTMENTS REPRESENTING 5% OR MORE OF NET ASSETS

The Plan's investments representing 5% or more of net assets as of December 31, 2011 are as follows. The Plan did not have any investments representing 5% or more of net assets as of December 31, 2010.

Description of Investment

SSGA 20+ Year US High Quality Bond	\$ 187,004,994
Vanguard International Growth	147,395,696

8. NET APPRECIATION (DEPRECIATION) IN FAIR VALUE OF INVESTMENTS

During 2011 and 2010, the Plan's investments (including gains and losses on investments bought and sold) generated net appreciation (depreciation) in the fair value of investments as follows:

	<u>2011</u>	<u>2010</u>
Net appreciation (depreciation) of investments at fair value as determined by quoted market price:		
Equity investments	\$ (102,246,418)	\$ 152,087,689
Fixed income investments	<u>28,476,791</u>	<u>12,647,595</u>
	<u>(73,769,627)</u>	<u>164,735,284</u>
Net appreciation of investments at estimated fair value:		
Equity investments	4,951,064	28,103,398
Fixed income investments	65,390,274	62,253,257
Interest-bearing cash	32	16,052
Other investments	<u>917,856</u>	<u>390,084</u>
	<u>71,259,226</u>	<u>90,762,791</u>
Net appreciation (depreciation) in fair value of investments	<u>\$ (2,510,401)</u>	<u>\$ 255,498,075</u>

9. INFORMATION CERTIFIED BY THE TRUSTEE

The Company has elected to provide a limited scope audit as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following is a summary of the unaudited information regarding the Plan as of and for the years ended December 31, 2011 and 2010, included in the Plan's financial statements and supplemental schedules, that was prepared by or derived from information prepared by the Trustee of the Plan and furnished to the Plan administrator. The Plan administrator has obtained certifications from the Trustee that such information is complete and accurate.

	<u>2011</u>	<u>2010</u>
Statements of Net Assets Available for Benefits:		
Investments:		
Equity investments	\$ 1,317,600,974	\$ 1,500,954,748
Fixed income investments	879,795,288	650,973,371
Securities held under lending agreement	1,224,013	2,036,443
Interest-bearing cash	14,172,713	4,020,226
Other	7,685,786	9,243,251
Total investments	<u>\$ 2,220,478,774</u>	<u>\$ 2,167,228,039</u>
Receivables:		
Sale of securities	\$ 3,492,072	\$ 10,723,757
Accrued interest and dividends	7,289,344	9,126,778
Total receivables	<u>\$ 10,781,416</u>	<u>\$ 19,850,535</u>
Collateral held under securities lending agreement	<u>\$ 1,225,323</u>	<u>\$ 2,129,993</u>
Liabilities - Purchase of securities	<u>\$ 10,210,161</u>	<u>\$ 12,587,661</u>

Statements of Changes in Net Assets Available for Benefits:

Investment income:		
Interest and dividends	\$ 52,079,569	\$ 45,115,125
Net appreciation (depreciation) in fair value of investments	(2,510,401)	255,498,075
Other income	3,287,566	1,460,894
Total investment income	<u>\$ 52,856,734</u>	<u>\$ 302,074,094</u>

All information included in the Supplemental Schedules on Form 5500, Schedule H, Part IV, Lines 4i and 4j, and investment amounts in Note 10 were certified and unaudited.

10. FAIR VALUE MEASUREMENTS

The FASB standard related to fair value measurements defines fair value, establishes a framework for measuring fair value and expands the related disclosure requirements. The standard indicates, among other things, that a fair value measurement assumes that the transaction to sell an asset or transfer a liability occurs in the principal market for the asset or liability or, in the absence of a principal market, the most advantageous market for the asset or liability. The standard established a valuation hierarchy for disclosure of the inputs to valuation techniques used to measure fair value. This hierarchy prioritizes the inputs into three broad levels as follows:

Level 1 - quoted prices (unadjusted) in active markets for identical assets or liabilities

Level 2 - quoted prices for similar assets and liabilities in active markets or inputs that are observable for the asset or liability, either directly or indirectly through market corroboration, for substantially the full term of the financial instrument

Level 3 - unobservable inputs based on the Plan's own assumptions used to measure assets and liabilities at fair value

A financial asset or liability's classification within the hierarchy is determined based on the lowest level input that is significant to the fair value measurement. The fair value of the Plan's financial assets as of December 31, 2011 and 2010 was as follows:

Fair Value Measurements at December 31, 2011, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 14,172,713	\$ -	\$ 14,172,713
U.S. government securities	127,459,807	78,111,488	-	205,571,295
Corporate debt	-	303,819,556	57,483	303,877,039
Preferred stock	2,243,304	-	-	2,243,304
Common stock:				
Financial Services	116,169,420	-	-	116,169,420
Transportation	30,111,112	-	-	30,111,112
Chemicals	31,608,686	-	-	31,608,686
Oil	90,696,942	-	-	90,696,942
Pharmaceuticals	68,764,629	-	-	68,764,629
Retail	60,806,220	-	-	60,806,220
Software	46,253,273	-	-	46,253,273
Computers	38,188,805	-	-	38,188,805
Health Care	38,093,359	-	-	38,093,359
Biotechnology	25,634,674	-	-	25,634,674
Semiconductors	29,385,148	-	-	29,385,148
Food Processing	21,393,634	-	-	21,393,634
Electric	21,999,492	-	-	21,999,492
Telecommunications	19,677,478	-	-	19,677,478
Aerospace and Defense	19,277,928	-	-	19,277,928
Internet	18,600,534	-	-	18,600,534
Media	18,102,477	-	-	18,102,477
Other	303,488,224	-	-	303,488,224
Partnership	-	-	76,682	76,682
Common collective trusts:				
Fixed income	-	370,576,748	-	370,576,748
Equity	-	135,355,622	-	135,355,622
Registered investment companies -				
Equity	182,667,550	-	-	182,667,550
Other investments	-	7,685,786	-	7,685,786
Total investments at fair value	<u>\$ 1,310,622,696</u>	<u>\$ 909,721,913</u>	<u>\$ 134,165</u>	<u>\$ 2,220,478,774</u>

Fair Value Measurements at December 31, 2010, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 4,020,226	\$ -	\$ 4,020,226
U.S. government securities	92,805,419	52,930,518	-	145,735,937
Corporate debt	-	434,151,069	60,021	434,211,090
Preferred stock	3,454,328	-	15,079	3,469,407
Common stock:				
Financial services and insurance	179,768,568	-	-	179,768,568
Consumer products and services	137,595,626	-	-	137,595,626
Technology	136,722,757	-	-	136,722,757
Extraactive activities	100,754,350	-	-	100,754,350
Transportation	76,532,433	-	-	76,532,433
Capital goods	69,981,196	-	-	69,981,196
Health care	53,785,526	-	-	53,785,526
Retail	51,886,420	-	-	51,886,420
Pharmaceuticals	43,619,399	-	-	43,619,399
Chemicals	39,164,717	-	-	39,164,717
Energy	28,743,171	-	-	28,743,171
Utilities	17,110,551	-	-	17,110,551
Other	340,190,730	-	-	340,190,730
Partnership	-	-	96,491	96,491
Common collective trusts:				
Fixed income	-	71,521,264	-	71,521,264
Equity	-	218,147,986	-	218,147,986
Registered investment companies -				
Equity	4,926,943	-	-	4,926,943
Other investments	-	9,243,251	-	9,243,251
Total investments at fair value	<u>\$ 1,377,042,134</u>	<u>\$ 790,014,314</u>	<u>\$ 171,591</u>	<u>\$ 2,167,228,039</u>

The fair value of investments classified within Level 3 and changes therein at December 31, 2011 and 2010 was less than 1% of the aggregate fair value. Accordingly, a tabular reconciliation of investments classified within Level 3 has not been presented. In addition, there were no investments held by the Plan that were transferred between levels during the years ended December 31, 2011 and 2010, respectively. The Plan's policy is to recognize significant transfers between levels at the end of the reporting period of the event or change in circumstances that caused the transfer.

11. SECURITIES HELD UNDER LENDING AGREEMENT

The Plan participates in a securities lending program through its Trustee. Under this program, the Plan's investment securities may be loaned to investment brokers for a fee. Securities so loaned are fully collateralized by cash, letters of credit, and securities issued or guaranteed by the U.S. government, its agencies, and instrumentalities. At December 31, 2011 and 2010, \$1,224,013 and \$2,036,443, respectively, of the Plan's securities were on loan under the Trustee's securities lending program. The fair value of the collateral received as a percentage of the total current value of the securities on loan by the Plan was approximately 100% and 105% at December 31, 2011 and 2010, respectively, and income from the program was \$14,873 and \$39,444 for the years ended December 31, 2011 and 2010, respectively.

12. RELATED-PARTY TRANSACTIONS

Certain Plan investments are managed by the Trustee and these transactions qualify as exempt party-in-interest transactions.

Transactions with the following parties qualify as exempt party-in-interest transactions:

- Mellon Capital Management Corporation
- Standish Mellon Asset Management LLC
- BNY Mellon Cash Investment Strategies

Fees paid by the Plan for investment management services provided by the Trustees, totaled \$369,666 and \$308,675 for the years ended December 31, 2011 and 2010, respectively.

During 2012, it was discovered that the Plan's trust had been inadvertently overcharged for various administrative expenses. This is a non-exempt party in interest transaction that is prohibited by ERISA and the Internal Revenue Code. As a result, in September 2012, the Company made an additional payment to the Plan's trust in order to correct these overcharges. The Company plans to file a Form 5330 with the IRS to pay required excise taxes, penalties, and interest related to the prohibited transactions.

13. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guarantee Corporation ("PBGC") if the Plan terminates. The PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling based on an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

14. NET ASSET VALUE PER SHARE

The Plan holds investments in collective trust funds (the “Funds”) whose fair value is estimated using a Net Asset Value (“NAV”) per share.

A summary of the Plan’s investments with a reported NAV for the years ended December 31, 2011 and 2010, is as follows:

Fair Value Estimated Using Net Asset Value per Share December 31, 2011				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
SSGA 20+YEAR US HIGH QUALITY BOND (a)	\$ 187,004,994	\$ -	Daily	1 day
EB DV LGE CAP GRWTH SIF (b)	88,948,122	-	Daily	1 day
UBS EMERGING MARKETS DEBT (c)	68,525,445	-	Daily	1 day
SSGA LONG US TREASURY INDEX NL (d)	54,935,139	-	Daily	1 day
EB DV STOCK INDEX FUND (e)	46,407,500	-	Daily	1 day
SSGA 5-20YEAR US HIGH QUALITY (f)	32,918,509	-	Daily	1 day
JPMCB EXTENDED DURATION FUND (g)	<u>27,192,661</u>	<u>-</u>	Daily	1 day
Total	<u>\$ 505,932,370</u>	<u>\$ -</u>		

Fair Value Estimated Using Net Asset Value per Share December 31, 2010				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
EB DV LGE CAP GRWTH SIF (b)	\$ 80,949,022	\$ -	Daily	1 day
EB DV STOCK INDEX FUND (e)	70,435,728	-	Daily	1 day
UBS EMERGING MARKETS DEBT (c)	66,763,236	-	Bi-monthly	5 days
JPMCB EXTENDED DURATION FUND (g)	22,160,402	-	Daily	1 days
SSGA LONG US TREASURY INDEX NL (d)	<u>49,360,862</u>	<u>-</u>	Daily	None
Total	<u>\$ 289,669,250</u>	<u>\$ -</u>		

* The fair values of the investments have been estimated using the net asset value of the investment.

(a) The investment objective of the SSGA 20+ Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 20+ Year, Bullets Only Index (the "Index") over the long term.

(b) The investment objective of the Employee Benefit ("EB") DV Large Cap Growth Stock Index Fund is to track the performance of the Russell 1000 Growth® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Large Cap Growth Stock Index Fund, and a combination of other collective funds, including but not limited to the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(c) The investment objective of the UBS Emerging Markets Debt Collective Fund (the “Fund”) is to seek to maximize total return, consisting of capital appreciation and current income, while controlling risk. The Fund has a blended benchmark which consists of 50% JP Morgan Emerging Markets Bond Index - Global Index (“EMBI-Global”) and 50% JP Morgan Government Bond Index-Emerging Markets Global Diversified (“GBI-EM Global Diversified”).

(d) The investment objective for this fund is to seek an investment return that approximates as closely as practicable, before expenses, the performance of its benchmark index, the Barclay’s Capital US Long Treasury Index, over the long term.

(e) The investment objective of the EB DV Stock Index Fund is to track the performance of the S&P 500® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Stock Index Fund, and the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(f) The investment objective of the SSGA 5-20 Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 5-20 Year, Bullets Only Index (the "Index") over the long term.

(g) The objective of the Fund is to outperform (based on the Fund's total return, gross of fees) the Barclays Capital Long Government/Credit Index (the "Benchmark") while maintaining total return risk similar to that of the Benchmark over a market cycle.

* * * * *

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
BANK OF AMERICA CORP	65,000	65,507	58,204	
CITIGROUP CAPITAL XII	7,570	200,302	190,461	
GMAC CAPITAL TRUST I	23,200	580,000	448,688	
PETROLEO BRASILEIRO SA	32,700	1,095,490	768,123	
PROSIEBENSAT.1 MEDIA AG	42,450	<u>1,108,649</u>	<u>777,828</u>	
TOTAL PREFERRED STOCKS		<u>3,049,948</u>	<u>2,243,304</u>	
COMMON STOCK				
7 DAYS GROUP HOLDINGS LTD	119,973	2,316,284	1,337,699	
99 CENTS ONLY STORES	37,100	591,374	814,345	
ABBOTT LABORATORIES	72,790	3,510,746	4,092,982	
ACTUANT CORP	49,170	1,298,919	1,115,667	672
ADOBE SYSTEMS INC	189,284	5,518,812	5,351,059	
ADVANCED ENERGY INDUSTRIES INC	75,180	1,025,455	806,681	
AECOM TECHNOLOGY CORP	13,400	378,011	275,638	5
AFFYMETRIX INC	5,800	29,174	23,722	
AFREN ORD GBP0	501,950	1,190,484	668,529	
AGGREKO ORD GBP0.13708387	8,165	249,403	255,942	
AIR LIQUIDE(L')	14,616	1,870,856	1,813,702	
AIR PRODUCTS & CHEMICALS INC	10,000	909,500	851,900	
AIR.WATER INC JPY50	42,000	493,348	534,962	
AKAMAI TECHNOLOGIES INC	7,100	334,055	229,188	7
AKZO NOBEL NV EUR2	63,099	3,916,423	3,060,231	
ALEXANDER & BALDWIN INC	18,800	752,564	767,416	257
ALGONQUIN POWER & UTIL CORP	100,762	573,190	635,298	
ALGONQUIN PWR & UTIL CO	30,100	162,796	167,017	
ALLSTATE CORP/THE	218,100	6,619,304	5,978,121	
ALTEN NPV	22,120	850,799	522,327	
ALTERA CORP	37,400	1,330,692	1,387,540	
ALTRAN TECHNOLOGIES EUR0.5	109,220	837,370	396,995	
ALTRIA GROUP INC	145,670	3,586,395	4,319,116	
AMERICAN CAPITAL LTD	81,255	614,288	546,846	
AMERICAN EXPRESS CO	38,150	1,637,398	1,799,536	
AMERICAN FINANCIAL GROUP INC/O	33,609	1,088,062	1,239,836	1
AMERIGROUP CORP	7,518	330,191	444,163	
AMERISAFE INC	52,830	924,525	1,228,298	
AMGEN INC	177,400	9,745,491	11,390,854	
AMPLIFON EUR0.02	238,590	1,403,771	1,013,422	
ANDERSONS INC/THE	31,500	1,145,025	1,375,290	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ANDRITZ AG NPV (POST SPLIT)	7,750	773,274	644,888	
APACHE CORP	20,000	1,736,600	1,811,600	
APPLE INC	26,400	8,597,604	10,692,000	
APPLIED MATERIALS INC	88,500	1,243,425	947,835	
APPROACH RESOURCES INC	58,903	1,231,919	1,732,337	
ARC RESOURCES LTD	22,150	508,380	546,000	
ARCH CAPITAL GROUP LTD SHS	43,413	1,276,957	1,616,266	
ARCOS DORADOS HOLDINGS INC	35,000	755,998	718,550	
ARDEA BIOSCIENCES INC	25,672	607,688	431,546	
ARQULE INC	76,048	473,133	428,911	
ARRIS GROUP INC	46,742	513,693	505,748	1
ARUBA NETWORKS INC	139,096	3,081,383	2,576,058	
ASSTEAD GROUP PLC	435,130	1,146,172	1,528,292	
ASML HOLDING NV NY REGISTERED	11,111	425,996	464,329	
ASTALDI SPA	39,800	269,019	255,749	
AURIZON MINES LTD	68,200	451,107	334,888	
AUTOMATIC DATA PROCESSING INC	33,400	1,545,752	1,803,934	
AUXILIUM PHARMACEUTICALS INC	17,047	381,539	339,747	
AVEO PHARMACEUTICALS INC	33,985	490,894	584,542	
AVISTA CORP	46,925	1,056,751	1,208,319	
AVIVA PLC GBP0.25	345,977	2,128,798	1,617,350	
AXA EUR2.29	120,845	2,020,398	1,575,809	
BABCOCK & WILCOX CO/THE	80,000	2,096,218	1,931,200	
BABCOCK INTL GROUP ORD GBP0.60	100,750	1,024,457	1,151,613	
BALCHEM CORP	20,600	781,662	835,124	
BALFOUR BEATTY ORD GBP0.50	358,410	1,895,702	1,474,949	
BANCO SANTANDER SA	131,824	1,402,055	1,004,517	
BANCORPSOUTH INC	90,901	1,306,747	1,001,729	
BANK OF AMERICA CORP	519,793	6,634,869	2,890,049	
BANKUNITED INC	36,290	1,012,888	798,017	1,326
BARCLAYS ORD GBP0.25	506,960	2,452,412	1,387,039	
BARNES GROUP INC	32,810	753,630	791,049	
BAYER AG ORD NPV	24,846	1,834,935	1,593,339	
BAYER H-CW12 LAONA INVESTMENTS	5	22,556	33,834	
BE AEROSPACE INC	34,489	1,257,725	1,335,069	
BECTON DICKINSON AND CO	14,000	1,183,280	1,046,080	
BED BATH & BEYOND INC	45,300	2,226,495	2,626,041	
BENCHMARK ELECTRONICS INC	55,655	981,552	749,673	
BERKSHIRE HATHAWAY INC	23,300	1,866,563	1,777,790	
BERRY PETROLEUM CO	14,445	631,247	606,979	
BIC EUR3.82	17,580	1,656,269	1,563,271	
BINCKBANK NV EUR0.10	43,240	531,623	467,580	
BIOGEN IDEC INC	78,600	5,270,130	8,649,930	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BIOMARIN PHARMACEUTICAL INC	38,200	1,028,726	1,313,316	
BIOMIMETIC THERAPEUTICS INC	58,900	748,030	167,865	
BIOSENSORS INTERNATIONAL GROUP	950,000	993,433	1,047,700	
BJ'S RESTAURANTS INC	29,911	1,054,043	1,355,567	
BNP PARIBAS EUR2	32,636	2,084,500	1,285,821	
BOEING CO/THE	79,800	5,079,284	5,853,330	
BONAVISTA ENERGY CORP	8,850	229,495	226,584	
BOSTON SCIENTIFIC CORP	217,100	1,643,447	1,159,314	
BOVIS HOMES GROUP ORD 50P	21,360	144,983	145,795	
BP PLC ORD USD.25	207,763	1,460,219	1,486,883	
BR PROPERTIES SA	45,940	499,117	455,644	
BRE PROPERTIES INC	13,540	650,592	683,499	
BRINKER INTERNATIONAL INC	65,000	1,357,200	1,739,400	
BRISTOW GROUP INC	2,300	108,905	108,997	
BRITISH AMERICAN TOBACCO ORD	82,993	3,189,683	3,940,966	
BS FINANCIAL GROUP INC	57,800	795,515	554,419	
BULGARIAN TELECOMMUNICATION CO	112,439	347,058	219,386	
CA INC	208,921	5,066,404	4,223,338	
CABELA'S INC	58,960	1,282,380	1,498,763	1,341
CALFRAC WELL SERVICES LTD	12,850	417,067	359,661	
CAMECO CORP	39,000	1,574,820	703,950	
CANADIAN PACIFIC RAILWAY LTD	10,100	651,170	683,467	
CAPITAL ONE FINANCIAL CORP	56,600	2,416,071	2,393,614	622
CAPSTONE MINING CORP	82,700	269,989	225,785	
CARMAX INC	96,700	3,082,796	2,947,416	1
CARNIVAL CORP	52,400	1,750,087	1,710,336	
CARPENTER TECHNOLOGY CORP	52,220	2,592,601	2,688,286	
CASEY'S GENERAL STORES INC	16,990	767,232	875,155	
CATERPILLAR INC	61,300	5,786,447	5,553,780	
CBL & ASSOCIATES PROPERTIES IN	120,955	2,109,495	1,898,994	1
CCL INDUSTRIES INC	14,550	413,947	447,396	
CEC ENTERTAINMENT INC	20,955	811,175	721,900	
CELESTICA INC	109,930	1,066,321	805,787	
CENOVUS ENERGY INC	18,600	618,264	617,520	
CENTAMIN PLC	190,770	378,622	249,177	
CERADYNE INC	36,812	1,160,682	985,825	
CGI GROUP INC	59,035	1,018,944	1,112,810	
CH ROBINSON WORLDWIDE INC	18,200	1,459,458	1,269,996	3
CHALLENGER LTD	108,450	514,510	459,186	
CHARLES SCHWAB CORP/THE	407,874	5,960,584	4,592,661	
CHART INDUSTRIES INC	28,785	972,357	1,556,405	
CHELSEA THERAPEUTICS INTERNATI	151,199	1,133,993	775,651	
CHEVRON CORP	60,660	5,573,185	6,454,224	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
CHILDRENS PLACE RETAIL STORES	14,209	659,661	754,782	2
CHINA HIGH PRECISION AUTOMATIO	920,000	739,174	324,569	
CHINA ZHENG TONG AUTO SERVICES	526,000	593,887	516,071	
CHRISTIAN HANSEN HOLDING A/S	25,500	592,866	556,289	
CHUBB CORP	31,050	1,851,822	2,149,281	
CISCO SYSTEMS INC	6,500	131,495	117,520	
CITIGROUP INC	131,016	5,888,003	3,447,031	
CLEAN HARBORS INC	22,604	950,272	1,440,553	
CLECO CORP	17,171	529,843	654,215	
CNO FINANCIAL GROUP INC	237,050	1,607,199	1,495,786	
COCA-COLA CO/THE	119,610	7,866,750	8,369,112	
COGNEX CORP	68,983	2,040,437	2,468,902	
COLLECTIVE BRANDS INC	36,545	514,032	525,152	
COMCAST CORP	58,000	1,218,000	1,375,180	
COMCAST CORP	184,400	3,938,074	4,344,464	1
COMMONWEALTH REIT	44,783	1,142,414	745,189	
COMPLETE PRODUCTION SERVICES I	24,821	719,662	832,993	
CONOCOPHILLIPS	120,300	8,220,648	8,766,261	
CONSOLIDATED GRAPHICS INC	12,654	614,740	610,935	
COOKSON GROUP ORD GBP1	51,180	500,435	404,853	
COOPER COS INC/THE	14,097	801,661	994,120	
CORCEPT THERAPEUTICS INC	131,393	525,852	449,364	
CORE LABORATORIES N V	23,679	2,247,536	2,698,222	
CORNERSTONE ONDEMAND INC	25,254	360,497	460,633	
CORNING INC	128,600	2,148,977	1,669,228	
CORPORATE EXECUTIVE BOARD CO/T	37,000	1,389,350	1,409,700	
COSTCO WHOLESALE CORP	5,000	361,050	416,600	
COTT CORP	62,350	452,256	391,888	
COTT CORP	122,565	1,104,311	767,257	
CRANE CO	32,881	1,415,598	1,535,872	
CREE INC	49,700	3,257,222	1,095,388	1,480
CREW ENERGY INC	88,830	1,289,363	981,426	
CROCS INC	112,500	1,801,891	1,661,625	
CUBESMART	83,578	802,181	889,270	4
CUMMINS INC	16,900	1,414,587	1,487,538	
CURTISS-WRIGHT CORP	31,889	1,067,478	1,126,638	
CVS CAREMARK CORP	52,400	1,774,444	2,136,872	
DAICEL CORP	75,000	480,481	457,174	
DALIAN PORT (PDA) CO 'H'CNY1	1,340,000	374,225	326,089	
DANIELI & C OFFICINE	24,720	344,732	264,584	
DDR CORP	56,745	799,537	690,587	
DELTA LLOYD NV	28,900	508,166	487,715	
DELUXE CORP	40,615	814,528	924,397	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
DESCARTES SYSTEMS GROUP INC/TH	13,600	95,744	97,376	
DETOUR GOLD CORP	17,810	491,338	439,893	
DEUTSCHE POST AG NPV (REGD)	142,340	2,413,687	2,195,171	
DEXCOM INC	111,239	1,452,636	1,035,635	
DIAGNOSTICOS DA AM COM NPV	76,040	984,842	631,883	
DIAMONDROCK HOSPITALITY CO	113,706	1,342,858	1,096,126	
DIRECTV	71,702	2,863,061	3,065,978	
DOCKWISE LTD	35,373	857,833	563,432	
DOLAN CO/THE	92,500	1,287,600	788,100	
DOMINOS PIZZA UK & IRL ORD	75,810	483,913	474,211	
DOMTAR CORP	1,158	87,915	92,594	3
DOREL INDUSTRIES INC	8,700	252,993	218,301	
DOUGLAS EMMETT INC	68,000	1,128,800	1,240,320	305
DR HORTON INC	94,956	1,078,944	1,197,395	3
DREAMWORKS ANIMATION SKG INC	7,400	218,078	122,803	5,366
DYCOM INDUSTRIES INC	48,183	712,476	1,007,988	
EAST WEST BANCORP INC	100,325	2,056,300	1,981,419	
EBIX INC	38,915	794,400	860,022	
EBRO FOODS SA	8,110	173,685	151,077	
EDISON INTERNATIONAL	61,500	2,360,605	2,546,100	
EFG INTERNATIONAL AG CHF0.50	49,950	513,678	379,793	
EL PASO ELECTRIC CO	24,378	673,896	844,454	
ELECTRONIC ARTS INC	105,100	1,719,879	2,165,060	
ELI LILLY & CO	259,950	9,245,476	10,803,522	
EMBRAER SA	31,900	928,901	804,518	
EMC CORP/MASSACHUSETTS	63,400	1,451,860	1,365,636	
EMCOR GROUP INC	29,528	865,558	791,646	178
ENAGAS SA EUR1.50	102,967	2,060,289	1,910,096	
ENCANA CORP	29,500	867,648	546,635	
ENDOLOGIX INC	119,791	1,098,441	1,375,201	
ENERGEN CORP	14,584	739,377	729,200	
ENTEGRIS INC	22,088	164,997	192,718	
EOG RESOURCES INC	18,200	1,726,637	1,792,882	
EPOCRATES INC	25,074	494,748	195,577	
ERICSSON	92,800	1,069,984	940,064	
ESTEE LAUDER COS INC/THE	18,130	1,463,091	2,036,362	
EURASIAN NATURAL RESOURCES	117,824	1,863,898	1,163,666	
EUROFINS SCIENTIFIC EUR0.10	6,450	581,938	471,655	
EXELON CORP	119,000	4,980,397	5,161,030	1
EXXON MOBIL CORP	141,360	10,351,843	11,981,674	
FAIRCHILD SEMICONDUCTOR INTERN	61,568	962,930	741,279	26
FARO TECHNOLOGIES INC	37,934	1,492,573	1,744,964	
FEDERAL REALTY INVESTMENT TRUS	5,397	421,570	489,778	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
FEDEX CORP	49,755	4,620,548	4,155,040	
FIFTH THIRD BANCORP	125,500	1,225,856	1,596,360	
FIRST FINANCIAL BANCORP	47,708	879,649	793,861	
FIRST HORIZON NATIONAL CORP	89,095	598,250	712,760	
FIRST INDUSTRIAL REALTY TRUST	141,000	1,235,160	1,442,430	
FIRST STEAMSHIP TWD10	155,000	324,162	180,703	
FIRSTGROUP ORD5P	110,200	636,877	578,865	
FLEXTRONICS INTERNATIONAL LTD	280,000	2,142,913	1,584,800	
FLIGHT CENTRE LTD	18,300	377,019	302,430	
FLUIDIGM CORP	34,821	498,897	458,244	
FOOT LOCKER INC	87,595	1,718,614	2,088,265	
FRANKLIN RESOURCES INC	15,000	1,720,646	1,440,900	
FREEMPORT-MCMORAN COPPER & GOLD	60,200	3,614,709	2,214,758	
FREIGHTCAR AMERICA INC	46,000	1,331,240	963,700	
FUSION-IO INC	87,936	2,074,576	2,128,051	
GANNETT CO INC	42,955	647,454	574,308	
GAP INC/THE	216,700	4,358,926	4,019,785	
GAYLORD ENTERTAINMENT CO	17,808	637,307	429,885	3,102
GEMALTO EUR 1	35,219	1,522,163	1,718,141	
GENERAL ELECTRIC CO	145,240	2,656,440	2,601,248	
GENOMMA LAB INTERNACIONAL SAB	75,200	145,350	145,331	
GENWORTH FINANCIAL INC	194,245	2,499,948	1,272,305	
GEORESOURCES INC	28,782	723,978	843,600	
GFK SE NPV	10,500	549,713	417,505	
GIVAUDAN AG CHF10	2,122	2,245,263	2,031,002	
GLACIER BANCORP INC	113,382	1,512,352	1,363,985	51
GLAXOSMITHKLINE PLC	94,800	3,986,905	4,325,724	
GLOBAL INDEMNITY PLC	36,220	740,699	718,243	
GLOBE TELECOM INC	7,180	164,334	185,496	
GLUSKIN SHEFF + ASSOCIATES INC	8,450	142,494	123,731	
GMO INTERNET INC NPV	88,500	399,994	338,173	
GOOGLE INC	16,750	9,567,924	10,818,825	
GREAT CANADIAN GAMING CORP	29,050	235,642	239,076	
GREE INC NPV	25,300	528,107	872,051	
GREEN DOT CORP	70,657	2,673,124	2,205,912	
GREEN MOUNTAIN COFFEE ROASTERS	30,250	1,407,021	1,356,713	4
GREENHILL & CO INC	28,669	992,549	1,042,692	
GULFPORT ENERGY CORP	24,535	690,386	722,556	
GUYENNE & GASCOGNE EUR4	1,540	216,361	162,431	
H&R BLOCK INC	55,500	817,883	906,315	
HAIN CELESTIAL GROUP INC/THE	21,108	646,196	773,819	
HAMBURGER HAFEN UND LOGISTIK A	7,130	297,568	211,264	
HANCOCK HOLDING CO	30,609	1,034,280	978,570	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
HANOVER INSURANCE GROUP INC/TH	20,544	955,435	718,013	
HARLEY-DAVIDSON INC	19,000	670,958	738,530	
HARMAN INTERNATIONAL INDUSTRIE	65,900	2,756,414	2,506,836	
HEALTH CARE REIT INC	15,590	744,545	850,123	
HEALTH MANAGEMENT ASSOCIATES I	100,176	958,795	738,297	1
HEALTH NET INC	35,549	974,422	1,081,401	
HEALTHSOUTH CORP	47,582	1,035,794	840,774	
HEARTWARE INTERNATIONAL INC	6,331	551,909	436,839	
HELEN OF TROY LTD NEW	42,975	1,278,077	1,319,333	
HELVETIA HOLDING AG CHF0.1	1,750	703,488	552,080	
HESS CORP	29,000	2,219,660	1,647,200	
HEWLETT-PACKARD CO	292,400	10,928,138	7,532,224	
HEXCEL CORP	26,081	474,183	631,421	16
HIGHER ONE HOLDINGS INC	89,433	1,408,278	1,649,145	
HIGHWOODS PROPERTIES INC	14,184	452,254	420,839	156
HOME DEPOT INC	57,188	1,962,027	2,404,184	
HOMESERVE ORD GBP0.025	59,710	480,796	265,951	
HONDA MOTOR CO NPV	74,700	2,949,208	2,279,641	
HONEYWELL INTERNATIONAL INC	76,400	3,936,804	4,152,340	
HORNBECK OFFSHORE SERVICES INC	50,000	1,044,000	1,551,000	
HOUSTON AMERICAN ENERGY CORP	45,488	822,878	554,499	
HSBC HLDGS USD0.50 HONGKONG	244,188	2,503,558	1,855,006	
HSBC HOLDINGS PLC	35,650	1,819,576	1,358,265	860
HUABAO INTERNATIONAL HOLDINGS	236,000	224,800	120,635	
HUDSON CITY BANCORP INC	61,355	524,553	383,469	42
HUMAN GENOME SCIENCES INC	272,250	4,814,972	2,011,928	
HUNTINGTON BANCSHARES INC/OH	152,048	1,023,305	834,744	
HUNTINGTON INGALLS INDUSTRIES	8,016	259,633	250,740	
HUTCHISON TELECOMMUNICATIONS	1,218,000	478,454	468,908	
HYUNDAI GLOVIS CO LTD	1,650	253,492	275,000	
IDACORP INC	23,012	853,938	975,939	
IMMUNOMEDICS INC	88,815	317,958	295,754	
IMPAX LABORATORIES INC	97,150	2,184,901	1,959,516	
IMTECH NV EUR0.8	31,500	1,037,524	818,448	
INFORMA PLC GBP0.10	111,000	730,169	623,261	
INGRAM MICRO INC	67,224	1,257,851	1,222,805	19
INLAND REAL ESTATE CORP	80,976	712,784	616,227	7
INNOPHOS HOLDINGS INC	15,489	558,843	752,146	
INSIGHT ENTERPRISES INC	58,000	763,280	886,820	
INTEGRATED DEVICE TECHNOLOGY I	113,259	757,626	618,394	
INTEL CORP	340,870	7,346,327	8,266,098	
INTERMEDIATE CAP GROUP ORD 20P	248,120	1,230,809	882,260	
INTERNATIONAL BUSINESS MACHINE	24,700	3,682,044	4,541,836	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
INTERNATIONAL RECTIFIER CORP	23,871	664,117	463,575	
INTERPUBLIC GROUP OF COS INC/T	26,400	214,695	256,872	
INTERTEK GROUP PLC ORD GBP0.01	15,400	487,379	487,039	
INTUIT INC	61,200	3,017,160	3,218,508	
INVACARE CORP	20,635	623,869	315,509	
INVENSENSE INC	54,702	461,220	544,832	
IPSOS	24,160	1,104,100	688,425	
IROBOT CORP	39,161	1,319,274	1,168,956	
IRONWOOD PHARMACEUTICALS INC	37,311	386,169	446,613	
JABIL CIRCUIT INC	94,000	1,888,460	1,848,040	
JANUS CAPITAL GROUP INC	61,479	796,009	387,932	184
JAZZTEL PLC	150,550	898,144	730,346	
JC PENNEY CO INC	135,300	4,261,922	4,755,795	
JDA SOFTWARE GROUP INC	22,802	640,042	738,557	
JETBLUE AIRWAYS CORP	105,200	673,159	547,040	1,813
JGC CORP Y50	155,000	3,655,270	3,722,901	
JIVE SOFTWARE INC	1,628	19,536	26,048	
JOHNSON & JOHNSON	186,740	11,652,073	12,246,409	
JOHNSON CONTROLS INC	122,300	3,385,162	3,823,098	
JONES GROUP INC/THE	62,818	851,583	662,730	2,513
JOY GLOBAL INC	7,400	643,251	554,778	
JPMORGAN CHASE & CO	369,420	14,052,770	12,283,215	
KADANT INC	31,000	953,451	700,910	
KANSAI PAINT CO Y50	36,000	314,415	321,445	
KANSAS CITY SOUTHERN	16,718	800,124	1,136,991	
KDDI CORP Y5000	226	1,351,292	1,453,990	
KELLOGG CO	8,300	423,964	419,731	
KEY ENERGY SERVICES INC	32,098	420,379	496,556	
KEYCORP	90,073	796,147	692,661	
KINGBOARD CHEM HLDG HK\$0.10	96,500	448,167	285,775	
KIRBY CORP	61,275	2,840,393	4,034,346	
KLA-TENCOR CORP	26,600	1,027,824	1,283,450	211
KODIAK OIL & GAS CORP	114,991	736,067	1,092,415	1,630
KOHL'S CORP	8,500	461,890	419,475	
KONINKLIJKE VOPAK NV EUR0.5	9,600	487,207	508,771	
KRAFT FOODS INC	130,252	4,224,197	4,866,215	
LACLEDE GROUP INC/THE	25,400	928,116	1,027,938	
LANCASHIRE HLDGS COM SHS	87,700	922,312	987,454	
LAURENTIAN BANK OF CANADA	9,900	432,254	465,030	
LEGRAND SA EUR4	71,294	2,820,633	2,299,875	
LEXINGTON REALTY TRUST	82,247	656,301	616,030	1,611
LIANHUA SUPERMARKET HLDGS CO	212,000	327,075	271,053	
LIFE TECHNOLOGIES CORP	61,100	3,205,794	2,377,401	

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AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
LIFEPOINT HOSPITALS INC	19,859	729,131	737,762	
LIG NON-LIFE INSURANCE CO LTD	17,460	419,000	347,078	
LINDE AG NPV	17,663	2,692,578	2,635,714	
LOCALIZA RENT A CAR SA COM NPV	108,250	1,924,275	1,485,699	
L'OCCITANE INTERNATIONAL SA	202,750	493,689	407,244	
LOCKHEED MARTIN CORP	63,800	4,533,962	5,161,420	
LOWE'S COS INC	72,958	1,654,687	1,851,674	
LTD BRANDS INC	93,900	3,592,400	3,788,865	
LUFKIN INDUSTRIES INC	32,922	2,113,212	2,215,980	
LUMINEX CORP	20,000	372,479	424,600	
MAGELLAN HEALTH SERVICES INC	19,820	940,425	980,495	
MAGNA INTERNATIONAL INC	67,000	2,725,840	2,231,770	
MAKEMYTRIP LTD	86,100	2,228,954	2,069,844	
MAKO SURGICAL CORP	11,543	175,685	290,999	
MANPOWER INC	29,100	1,131,629	1,040,325	
MAP PHARMACEUTICALS INC	31,901	534,023	420,136	
MARSH & MCLENNAN COS INC	156,800	4,286,912	4,958,016	
MATERION CORP	25,950	607,458	630,066	
MATTEL INC	58,200	1,480,026	1,615,632	1
MAXLINEAR INC	52,019	559,724	247,090	
MCCLATCHY CO/THE	194,500	908,315	464,855	271
MCDERMOTT INTERNATIONAL INC	109,700	2,269,693	1,262,647	
MCDONALDS CO JAPAN JPY50	31,700	819,182	855,743	
MCDONALD'S CORP	69,100	5,304,116	6,932,803	
MCGRAW-HILL COS INC/THE	40,520	1,475,333	1,822,184	
MEDCATH CORP	51,000	362,100	371,790	
MEDICIS PHARMACEUTICAL CORP	85,022	2,384,180	2,826,982	
MEDTRONIC INC	237,010	8,558,645	9,065,633	
MEGGITT ORD5P	102,670	605,254	562,926	
MEN'S WEARHOUSE INC/THE	26,000	849,210	842,660	
MERCK & CO INC	188,380	6,505,592	7,101,926	
MERSEN	8,600	469,327	260,682	
METLIFE INC	142,589	5,132,455	4,445,925	
MICHAEL PAGE INTL ORD GBP0.01	216,626	1,835,568	1,174,265	
MICRO FOCUS ORD GBP0.10	37,520	206,042	225,193	
MICRON TECHNOLOGY INC	36,613	293,636	230,296	
MICROSOFT CORP	411,357	10,881,569	10,678,828	
MICROSTRATEGY INC	11,075	1,267,347	1,199,644	
MILLENNIUM & COPTHORNE HOTELS	82,250	663,646	519,608	
MILLS ESTRUTURAS E SERVICOS	87,260	1,229,486	828,040	
MISYS	91,350	421,996	329,789	
MKS INSTRUMENTS INC	59,345	1,453,953	1,650,978	
MONADELPHOUS GROUP NPV	11,650	230,918	240,305	

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AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
MONSANTO CO	67,768	4,662,830	4,748,504	
MOOG INC	22,415	892,117	984,691	
MORPHOSYS ORD NPV	30,060	853,805	684,062	
MOTOROLA MOBILITY HOLDINGS INC	9,487	431,250	368,096	
MOTOROLA SOLUTIONS INC	10,842	572,926	501,876	
MUENCHENER RUECKVERSICHERUNGS	16,866	2,593,102	2,075,170	
NABTESCO CORP NPV	38,800	890,344	707,518	
NATIONAL OILWELL VARCO INC	49,500	3,328,875	3,365,505	
NATIONAL PENN BANCSHARES INC	113,806	913,695	960,523	798
NESTLE SA	115,400	6,683,145	6,664,119	
NET ONE SYSTEMS CO JPY5000	181	326,323	493,786	
NEUSTAR INC	39,700	1,034,185	1,356,549	10
NEW WORLD DEPARTMENT STORE	534,000	398,703	304,589	
NEWPORT CORP	52,500	938,154	714,525	
NEWS CORP	47,460	691,018	846,686	
NEWS CORP	37,600	617,392	683,568	
NIFCO INC Y50	37,200	969,758	1,039,995	
NIHON KODEN CORP Y50	8,000	182,590	197,453	
NIPPON SHOKUBAI CO LTD	57,000	678,541	612,672	
NOBLE ENERGY INC	11,100	955,488	1,047,729	
NORANDA ALUMINUM HOLDING CORP	54,310	844,321	448,058	
NORFOLK SOUTHERN CORP	16,700	1,049,094	1,216,762	
NORTHEAST UTILITIES	43,494	1,390,034	1,568,829	
NORTHROP GRUMMAN CORP	39,200	2,304,050	2,292,416	
NOVARTIS AG	145,250	8,441,448	8,303,943	
NOVARTIS AG CHF0.50 (REGD)	62,152	3,633,433	3,569,204	
NOVATEL WIRELESS INC	75,000	716,250	234,750	
NOVO NORDISK A/S	23,100	2,600,367	2,662,506	
NRG ENERGY INC	36,050	731,456	653,226	
NUANCE COMMUNICATIONS INC	90,000	1,995,100	2,264,400	
NV ENERGY INC	89,950	1,300,622	1,470,683	
NVIDIA CORP	73,100	1,125,740	1,013,166	
OCCIDENTAL PETROLEUM CORP	44,700	4,385,070	4,188,390	
OCEANEERING INTERNATIONAL INC	101,400	3,733,041	4,677,582	
OCWEN FINANCIAL CORP	33,885	323,263	490,655	
ODESSAOBLENERGO UAH0.25	14,191	4,203	861	
OLD DOMINION FREIGHT LINE INC	26,622	871,861	1,078,990	
OLIN CORP	33,725	692,037	662,696	
OPAP SA EUR0.3 (CR)	92,820	1,640,395	822,976	
OPENTABLE INC	31,274	2,237,036	1,223,752	
OPNET TECHNOLOGIES INC	21,750	795,848	797,573	
OPTIMER PHARMACEUTICALS INC	47,849	543,077	585,672	
ORACLE CORP	98,900	3,095,570	2,536,785	
ORACLE CORP JAPAN JPY50	2,700	114,376	89,380	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ORIENTAL FINANCIAL GROUP INC	57,910	723,296	701,290	
ORIX CORP Y50	1,450	136,829	119,860	
OSAKA SECURITIES E NPV	40	175,139	229,789	
OWENS CORNING	34,137	1,196,790	980,415	
PACCAR INC	120,000	5,580,396	4,496,400	4,006
PACIFIC BRANDS NPV	272,500	188,494	153,652	
PARAMETRIC TECHNOLOGY CORP	32,455	731,072	592,628	
PARAMOUNT RESOURCES LTD	14,750	429,772	615,640	
PARTNERS GRP HLDG AG CHF0.01	2,590	452,457	453,963	
PEET'S COFFEE & TEA INC	11,474	633,265	719,190	
PEGASYSTEMS INC	58,164	2,082,821	1,710,022	
PENN NATIONAL GAMING INC	16,937	597,458	644,792	
PEPSICO INC/NC	65,290	4,248,356	4,331,992	
PETROFAC LTD ORD SHS 0.02 USD	59,690	1,371,890	1,336,733	
PETROLEUM GEO SERVICES ASA	36,560	526,271	400,950	
PFIZER INC	255,900	4,696,333	5,537,676	
PHILIP MORRIS INTERNATIONAL IN	161,230	9,449,372	12,653,330	
PIONEER DRILLING CO	43,672	609,522	422,745	247
PLAYTECH LTD	121,600	615,128	532,920	
PLEXUS CORP	25,406	775,183	695,616	
PNC FINANCIAL SERVICES GROUP I	54,700	3,106,993	3,154,549	
PNM RESOURCES INC	53,082	722,581	967,685	
POLYONE CORP	54,506	779,903	629,544	
POPULAR INC	342,000	1,073,880	475,380	
PORTLAND GENERAL ELECTRIC CO	30,335	744,598	767,172	
PORTO SEGURO SA COM NPV	17,500	198,496	199,839	
POSTNL NV	306,000	5,594,422	977,195	
POTASH CORP OF SASKATCHEWAN IN	83,700	4,319,757	3,455,136	
POWER INTEGRATIONS INC	38,408	1,509,769	1,273,609	
PPL CORP	42,500	1,084,592	1,250,350	
PRAXAIR INC	31,500	3,007,305	3,367,350	
PREMIER OIL ORD GBP0.125	101,670	707,491	573,559	
PRICESMART INC	12,500	867,163	869,875	
PROASSURANCE CORP	27,220	1,731,670	2,172,700	
PROCTER & GAMBLE CO/THE	91,240	5,862,497	6,086,620	
PROGRESSIVE CORP/THE	19,500	387,465	380,445	
PROS HOLDINGS INC	99,115	1,128,920	1,474,831	
PROVIDENT ENERGY LTD	30,450	268,031	294,557	
PUBLIC SERVICE ENTERPRISE GROU	119,100	3,816,358	3,931,491	
QLIK TECHNOLOGIES INC	69,177	1,818,468	1,674,083	
QUALCOMM INC	65,500	3,241,595	3,582,850	
QUEST SOFTWARE INC	32,901	747,087	611,959	
RADIOSHACK CORP	6,270	115,932	60,882	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
RAMBUS INC	16,900	346,112	127,595	
RANGE RESOURCES CORP	20,000	1,029,506	1,238,800	
REED ELSEVIER NV EUR0.07	317,226	3,958,405	3,709,145	
REGAL-BELOIT CORP	30,435	2,039,651	1,551,272	1,458
REGIONS FINANCIAL CORP	282,700	1,783,488	1,215,610	
REGIS CORP	51,000	846,600	844,050	400
RESEARCH IN MOTION LTD	90,300	4,029,779	1,309,350	
RESTAURANT GRP PLC ORD	150,810	716,078	698,200	
REXAM ORD 64 2/7P	422,508	2,216,646	2,316,554	
RIO TINTO PLC	26,412	1,855,255	1,282,715	
RIO TINTO PLC	40,200	2,892,710	1,966,584	14
RITCHIE BROS AUCTIONEERS INC	46,600	1,074,130	1,028,928	
ROCHE HLDG AG GENUSSSCHEINE NPV	14,739	2,166,337	2,509,303	
ROCHE HOLDING AG	47,000	1,727,015	2,000,414	
ROCKWELL AUTOMATION INC	11,100	795,981	814,407	
ROCKWOOD HOLDINGS INC	12,693	496,550	499,723	
ROLLS ROYCE HOLDINGS	22,839,828	0	35,495	
ROLLS-ROYCE HLDGS PLC	272,645	2,685,566	3,163,052	
ROSSI RESIDENCIAL COM STK NPV	36,870	306,289	158,134	
ROYAL DUTCH SHELL PLC	77,600	5,217,865	5,898,376	
ROYAL DUTCH SHELL PLC	59,790	4,031,382	4,370,051	
RTI INTERNATIONAL METALS INC	78,282	2,116,499	1,816,925	
RUBY TUESDAY INC	49,158	679,890	339,190	
RYANAIR HOLDINGS PLC	59,000	1,593,159	1,643,740	
RYLAND GROUP INC	46,074	717,231	726,126	72
SAFETY INSURANCE GROUP INC	24,905	1,188,467	1,008,154	
SAFEWAY INC	61,200	1,332,069	1,287,648	1,900
SAFT GROUPE S.A. EUR1	14,480	462,970	410,719	
SAI GLOBAL LTD NPV	178,610	893,911	823,999	
SALATORE FERRAGAMO SPA	52,803	727,804	697,800	
SALIX PHARMACEUTICALS LTD	29,150	1,271,984	1,394,828	
SANDERSON FARMS INC	10,215	463,298	512,078	
SANDISK CORP	84,400	4,208,184	4,153,324	
SANOFI	46,384	2,989,761	3,417,110	
SANOFI	51,200	1,961,490	1,870,848	
SANTEN PHARMACEUTICAL CO	13,200	517,428	543,852	
SAP AG ORD NPV	18,331	950,854	972,082	
SAPIENT CORP	51,913	657,126	654,104	1,222
SAWAI PHARM CO LTD JPY50	12,300	1,266,918	1,277,320	
SBM OFFSHORE NV EUR0.25 (POST	46,600	1,197,780	963,061	
SCHLUMBERGER LTD	78,686	5,820,227	5,375,041	
SEMAFO INC	56,202	490,435	364,285	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
SEMBCORP INDUSTRIES SGD0.25	111,000	409,922	346,701	
SEMBCORP MARINE SGD0.10	399,000	1,660,382	1,175,475	2,603
SENSIENT TECHNOLOGIES CORP	21,135	758,322	801,017	
SERVICOURCE INTERNATIONAL LL	50,533	682,535	792,863	
SHANDONG WEIGAO GROUP MEDICAL	256,000	323,223	230,402	
SHENGUAN HOLDINGS GROUP LTD	1,038,000	673,254	601,421	
SHIN-ETSU CHEMICALS CO NPV	24,000	1,302,016	1,182,220	
SIEMENS AG NPV REGD	29,471	3,721,956	2,828,780	
SINGAPORE AIRLINES SGD0.50	171,000	2,042,309	1,339,884	
SINO BIOPHARMACEUTICAL	1,812,000	629,783	538,939	
SKANDINAVISKA ENSKILDA BANKEN	242,885	2,026,796	1,420,399	
SMC CORP NPV	13,600	2,264,382	2,195,373	
SMURFIT KAPPA GROUP PLC ORD	172,172	1,686,135	1,044,327	
SMURFIT KAPPA GROUP PLC ORD	20,830	225,530	126,279	
SNAM SPA	215,937	1,094,524	954,765	
SNAP-ON INC	36,630	2,072,525	1,854,211	
SOFTWARE AG BEARER SHS	5,240	243,675	194,240	
SOHGO SECURITY SER NPV	22,600	242,250	238,513	
SOLUTIA INC	39,243	905,474	678,119	
SONY CORP	39,239	1,401,225	707,872	
SONY FINANCIAL HOLDINGS INC	184,900	3,736,764	2,725,196	
SOUTHGOBI RESOURCES LTD	67,880	733,994	399,980	
SOUTHWEST AIRLINES CO	288,100	3,739,538	2,466,136	3
SOUTHWESTERN ENERGY CO	70,000	2,796,553	2,235,800	
SPAREBANK 1 SR BANK NOK50	27,564	189,397	187,980	
SPAREBANK 1 SR BK	900	6,048	6,081	
SPIRIT AEROSYSTEMS HOLDINGS IN	48,549	1,002,452	1,008,848	
START TODAY CO LTD	15,400	297,213	360,481	
STEC INC	104,000	1,978,992	893,360	105
STEVEN MADDEN LTD	29,698	1,051,496	1,024,581	
STHREE ORD GBP0.01(WI)	69,850	449,679	245,332	
STRATASYS INC	36,025	1,179,000	1,095,520	
STRATTEC SECURITY CORP	4,160	138,819	82,992	
SUBSEA 7 SA	54,600	1,275,338	1,015,525	
SULZER AG CHF0.01(REGD)	2,100	266,195	225,473	
SUNDRUG CO Y50	29,600	945,680	897,156	
SUNSTONE HOTEL INVESTORS INC	112,625	1,060,020	917,894	
SUSQUEHANNA BANCSHARES INC	215,838	2,037,413	1,808,722	
SVB FINANCIAL GROUP	51,900	2,757,928	2,475,111	
SYKES ENTERPRISES INC	54,689	1,107,999	856,430	
SYMANTEC CORP	88,500	1,484,656	1,385,025	
SYNOVUS FINANCIAL CORP	460,936	970,229	649,920	17
SYSMEX CORP JPY50	38,500	1,428,492	1,254,978	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
TAKE-TWO INTERACTIVE SOFTWARE	62,833	770,647	851,387	
TARGACEPT INC	27,100	691,516	150,947	
TARGET CORP	69,700	3,754,315	3,570,034	
TE CONNECTIVITY LTD	39,725	1,390,689	1,223,927	
TEAVANA HOLDINGS INC	50,945	1,184,531	956,747	
TECHNIP SA EUR	24,230	2,246,148	2,284,202	
TECNICAS REUNIDAS ORD EUR0.10	43,190	2,531,742	1,556,703	
TEKELEC	74,670	889,320	816,143	
TELENET GROUP HOLDING NPV	24,250	937,172	928,349	
TELEVISION BROADCAST ORD	75,000	499,722	454,832	
TEMENOS GROUP AG CHF5 (REGD)	33,770	982,193	556,152	
TENNECO INC	21,219	874,962	631,902	
TERADYNE INC	33,125	455,191	451,494	
TESCO ORD 5P	301,040	1,768,193	1,887,526	
TESORO CORP	39,250	849,168	916,880	
TETON ADVISORS INC	293	2,198	4,835	1
TEXAS INSTRUMENTS INC	294,425	9,277,790	8,570,712	1,532
TIME WARNER CABLE INC	10,000	606,581	635,700	
TJX COS INC	14,900	661,411	961,795	
TNT EXPRESS NV	111,732	1,402,075	837,489	
TODS SPA	3,990	498,019	326,575	
TOKYO ELECTRON NPV	18,700	1,185,106	951,527	
TOTAL SA	139,800	7,583,360	7,145,178	60
TOWER GROUP INC	27,461	703,002	553,888	
TOYOTA MOTOR CORP NPV	81,500	3,273,218	2,717,020	
TREEHOUSE FOODS INC	13,230	701,571	864,977	700
TRIMBLE NAVIGATION LTD	67,500	2,695,275	2,929,500	1
TRUSTMARK CORP	22,473	557,532	545,869	85
TTM TECHNOLOGIES INC	68,042	1,019,721	745,740	
TUESDAY MORNING CORP	167,000	881,760	576,150	
TXC CORPN TWD10	389,319	670,564	446,163	
UBS AG CHF0.1 (POST	160,868	2,649,205	1,923,328	
UDR INC	38,565	989,211	967,982	
UGI CORP	42,818	1,352,571	1,258,849	
ULTIMATE SOFTWARE GROUP INC	24,957	1,213,659	1,625,200	
UMPQUA HOLDINGS CORP	83,500	1,017,030	1,034,565	5
UNION PACIFIC CORP	22,300	2,066,318	2,362,462	
UNITED NATURAL FOODS INC	65,150	2,451,892	2,606,652	
UNITED PARCEL SERVICE INC	70,987	4,924,040	5,195,539	
UNITED RENTALS INC	35,225	834,533	1,040,899	1
UNITED TECHNOLOGIES CORP	23,200	1,826,304	1,695,688	
UNIVERSAL DISPLAY CORP	36,377	1,282,539	1,334,672	
UNUM GROUP	66,300	1,529,840	1,396,941	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
USEC INC	192,000	1,155,840	218,880	7
VECTREN CORP	28,929	735,972	874,524	
VINCI EUR2.50 (POST SUBDIVISIO	15,615	852,177	684,336	
VIROPHARMA INC	84,000	1,454,880	2,300,760	
VISA INC	22,200	1,889,516	2,253,966	
VISHAY INTERTECHNOLOGY INC	65,930	682,842	592,711	
VITASOY INTERNATIONAL HOLDINGS	582,000	424,476	428,635	
VODAFONE GROUP	900,350	2,350,399	2,503,230	
VODAFONE GROUP PLC	175,600	4,699,729	4,922,068	488
VOLCANO CORP	67,548	1,812,795	1,606,967	
VULCAN MATERIALS CO	20,600	875,115	810,610	
WADDELL & REED FINANCIAL INC	24,055	848,901	595,842	
WALGREEN CO	77,340	3,013,166	2,556,860	
WAL-MART STORES INC	111,060	5,973,105	6,636,946	
WALT DISNEY CO/THE	12,000	411,209	450,000	
WATERS CORP	26,500	2,059,315	1,962,325	
WEBSense INC	29,200	564,844	546,916	1
WELLCARE HEALTH PLANS INC	68,621	2,385,877	3,602,603	
WELLS FARGO & CO	232,981	7,095,752	6,420,956	
WERNER ENTERPRISES INC	36,570	826,482	881,337	
WESCO INTERNATIONAL INC	42,514	2,176,593	2,253,667	
WESTERN ALLIANCE BANCORP	92,587	680,902	576,817	1
WESTERN AREAS NL	32,200	190,319	168,028	
WESTERN REFINING INC	175,500	1,856,790	2,332,395	518
WESTPORT INNOVATIONS INC	31,450	764,125	1,045,398	
WHIRLPOOL CORP	34,600	3,073,518	1,641,770	105
WHITBREAD	34,050	871,027	827,624	
WHOLE FOODS MARKET INC	15,800	799,322	1,099,364	
WINTRUST FINANCIAL CORP	37,949	1,253,196	1,064,469	
WORLD ACCEPTANCE CORP	10,344	549,391	760,284	667
WPG HOLDINGS LIMITED TWD10	325,550	502,449	375,234	
WR BERKLEY CORP	24,600	733,114	845,994	9
WYNDHAM WORLDWIDE CORP	41,104	1,227,673	1,554,964	
XING INTERNATIONAL HLDGS LTD	962,000	888,003	433,523	
XL GROUP PLC	148,000	3,157,215	2,925,960	
YAHOO! INC	27,300	453,999	440,349	
YUE YUEN INDL HLDG HKD0.25	653,657	2,350,210	2,066,191	
ZALICUS INC	207,000	494,635	250,470	65,225
ZIMMER HOLDINGS INC	1,600	85,888	85,472	1
ZIONS BANCORPORATION	63,706	1,505,695	1,037,134	
ZURICH INSURANCE GROUP AG	9,326	2,423,299	2,119,305	
TOTAL COMMON STOCKS		<u>1,042,841,922</u>	<u>998,252,035</u>	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	35,315	96,491	76,682	
TOTAL PARTNERSHIP		96,491	76,682	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	255,480	76,907,847	88,948,122	
EB DV STOCK INDEX FUND	29,632	32,957,768	46,407,500	
TOTAL COMMON/COLLECTIVE TRUST		109,865,615	135,355,622	
REGISTERED INVESTMENT COMPANIES				
CAUSEWAY EMERGING MARKETS FUND	3,595,500	39,346,015	35,271,854	
VANGUARD INTERNATIONAL GROWTH	2,835,078	170,953,551	147,395,696	
TOTAL REGISTERED INVESTMENT COMPANIES		210,299,566	182,667,550	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIXED INCOME						
ABBOTT LABORATORIES	370,000	414,915	470,067	4/1/2039	6.00%	
ABN AMRO FDG USA DISC	950,000	948,186	948,186	2/27/2012	0.00%	
ACE CAPITAL TRUST II	274,000	356,769	362,781	4/1/2030	9.70%	
ADVANCED MICRO DEVICES INC	180,000	186,750	184,950	8/1/2020	7.75%	
AEP TEXAS CENTRAL CO	45,000	48,591	57,632	2/15/2033	6.65%	
AES CORP/THE	430,000	452,200	467,625	10/15/2015	7.75%	
AES IRONWOOD LLC SR SECD BD	166,545	165,713	168,211	11/30/2025	8.86%	
AETNA INC	200,000	197,202	208,750	6/1/2021	4.13%	
AFFINIA GROUP INC 144A	105,000	116,550	113,925	8/15/2016	10.75%	
AFFINION GROUP HLDGS INC	235,000	243,813	195,050	11/15/2015	11.63%	
AFFINION GROUP INC	300,000	292,500	253,500	12/15/2018	7.88%	
AFLAC INC	105,000	112,274	116,745	12/17/2039	6.90%	
AFLAC INC	60,000	61,451	63,547	8/15/2040	6.45%	
AGL CAPITAL CORP	862,000	912,625	1,019,763	3/15/2041	5.88%	8
AGRIUM INC	260,000	275,366	322,491	1/15/2041	6.13%	
AIG SUNAMERICA SR NT 144A	499,000	548,151	528,516	3/15/2032	6.90%	
AIRCASTLE LTD	140,000	152,950	146,650	8/1/2018	9.75%	
ALABAMA POWER CO	356,000	406,964	474,014	5/15/2038	6.13%	
ALABAMA POWER CO	60,000	65,281	78,994	3/1/2039	6.00%	
ALBERTA ENERGY CO LTD	70,000	80,427	87,543	11/1/2031	7.38%	
ALLY AUTO RECEIVABLES TR 5 A2	370,000	369,997	369,811	6/16/2014	0.80%	
ALLY AUTO RECEIVABLES TRU 1 A2	140,716	140,709	140,789	10/15/2013	0.81%	
ALLY FINANCIAL INC	160,000	160,000	154,336	12/1/2017	6.25%	
ALLY FINANCIAL INC	555,000	582,056	560,550	9/15/2020	7.50%	
ALLY FINANCIAL INC	1,280,000	1,242,400	1,235,200	11/1/2031	8.00%	
ALTRIA GROUP INC	870,000	1,246,489	1,353,824	2/6/2039	10.20%	
AMCAR 2009-1 A3	35,492	36,137	35,686	10/15/2013	3.04%	
AMERICA MOVIL SAB DE CV	193,000	210,208	229,469	3/30/2040	6.13%	
AMERICAN AIRLINES INC	180,000	179,968	128,700	3/15/2016	7.50%	755
AMERICAN EXPRESS CO	355,000	442,036	458,969	5/20/2040	8.13%	
AMERICAN GEN CAP 144A	150,000	151,875	135,750	3/15/2046	8.13%	
AMERICAN HONDA FINANCE CORP	150,000	184,812	188,430	10/1/2018	7.63%	
AMERICAN INTERNATIONAL GROUP I	250,000	253,160	238,910	5/18/2017	5.45%	
AMERICAN INTERNATIONAL GROUP I	345,000	367,359	307,050	5/15/2068	8.18%	1
AMERICAN PETROLEUM TANKERS PAR	164,000	169,740	167,280	5/1/2015	10.25%	
AMERICAN WATER CAPITAL CORP	230,000	245,792	283,358	10/15/2037	6.59%	
AMERIPRISE FINANCIAL INC	45,000	47,343	48,423	3/15/2020	5.30%	
AMGEN INC	40,000	48,528	49,277	6/1/2038	6.90%	
AMGEN INC	160,000	159,216	173,944	6/15/2042	5.65%	
AMGEN INC	350,000	344,759	362,838	11/15/2041	5.15%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
AMGEN INC	950,000	1,085,516	1,101,316	6/1/2037	6.38%	
AMSTED INDUSTRIES INC	135,000	143,269	143,100	3/15/2018	8.13%	
ANADARKO FINANCE CO	130,000	143,748	157,284	5/1/2031	7.50%	
ANADARKO PETROLEUM CORP	1,010,000	1,009,148	1,124,019	3/15/2040	6.20%	
ANADARKO PETROLEUM CORP	435,000	531,226	555,365	3/15/2019	8.70%	
ANADARKO PETROLEUM CORP	100,000	112,193	119,382	6/15/2019	6.95%	
ANHEUSER-BUSCH COS INC	325,000	395,210	441,314	10/1/2030	7.55%	
ANHEUSER-BUSCH COS INC	100,000	104,078	122,311	4/1/2036	5.75%	
ANHEUSER-BUSCH INBEV WORLDWIDE	585,000	787,303	922,293	1/15/2039	8.20%	
ANR PIPELINE CO	335,000	470,055	492,926	11/1/2021	9.63%	
ANZ NATL LTD DISC	1,100,000	1,098,727	1,098,727	2/24/2012	0.00%	
AON CORP	227,000	233,997	279,487	9/30/2040	6.25%	
APACHE CORP	80,000	75,414	93,500	9/1/2040	5.10%	
APACHE FINANCE CANADA CORP	135,000	173,466	197,080	12/15/2029	7.75%	
APPALACHIAN POWER CO	490,000	565,337	657,605	4/1/2038	7.00%	
APRIA HEALTHCARE GROUP INC	335,000	365,988	345,888	11/1/2014	11.25%	
ARCELORMITTAL	185,000	191,989	171,922	10/15/2039	7.00%	
ARCELORMITTAL	230,000	228,105	206,823	3/1/2041	6.75%	
ARCH COAL INC 144A	195,000	195,000	198,900	6/15/2019	7.00%	
ARCH COAL INC 144A	195,000	195,000	200,363	6/15/2021	7.25%	
ARCHER DANIELS MIDLAND CO 144A	424,000	434,960	447,116	3/26/2042	4.54%	
ARCHER-DANIELS-MIDLAND CO	325,000	325,000	325,523	8/13/2012	0.61%	
ARCHER-DANIELS-MIDLAND CO	390,000	392,878	492,512	3/1/2041	5.77%	
ARISTOTLE HOLDING INC 144A	530,000	525,479	548,428	11/15/2021	4.75%	
ARIZONA PUBLIC SERVICE	56,000	55,623	62,856	9/1/2041	5.05%	
ARROW ELECTRONICS INC	95,000	102,428	103,890	1/15/2027	7.50%	
ARROW ELECTRONICS INC	190,000	214,014	214,611	6/1/2018	6.88%	
ASCIANO FINANCE LTD	140,000	139,279	140,291	4/7/2023	6.00%	
ASSOCIATES CORP OF NORTH AMERI	125,000	136,619	135,829	11/1/2018	6.95%	4
ASTRAZENECA PLC	370,000	439,268	499,063	9/15/2037	6.45%	
AT&T CORP	114,000	143,285	161,032	11/15/2031	8.00%	
AT&T INC	510,000	564,830	645,716	5/15/2036	6.80%	
AT&T INC	4,181,000	3,898,207	4,703,207	9/1/2040	5.35%	
ATLAS PIPELINE PARTNERS LP	295,000	308,275	309,750	6/15/2018	8.75%	
ATMOS ENERGY CORP	30,000	37,436	39,641	3/15/2019	8.50%	
ATMOS ENERGY CORP	175,000	174,437	204,542	6/15/2041	5.50%	
AUSTRALIA & NEW ZEALAND BANKIN	260,000	270,481	279,040	1/13/2020	5.10%	
AVAYA INC	265,000	261,963	257,050	4/1/2019	7.00%	
AVIATION CAPITAL GROUP CORP	155,000	155,000	146,038	4/6/2021	6.75%	
AVIS BUDGET CAR RENTAL LLC / A	170,000	183,175	175,950	3/15/2018	9.63%	
AVIS BUDGET CAR RENTAL LLC / A	230,000	232,300	228,275	1/15/2019	8.25%	
BAE SYSTEMS PLC	102,000	101,469	104,719	10/11/2041	5.80%	
BAKER HUGHES INC	160,000	157,143	187,090	9/15/2040	5.13%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

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AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
BAKER HUGHES INC	210,000	209,572	216,980	8/15/2021	3.20%	
BALTIMORE GAS & ELECTRIC CO	115,000	114,366	117,183	11/15/2021	3.50%	
BANC OF AMERICA COMMERCIAL 6 ASB	408,129	432,739	426,891	9/10/2047	5.18%	
BANK OF AMERICA CORP	150,000	156,095	141,678	12/1/2017	5.75%	
BANK OF AMERICA CORP	270,000	275,878	257,243	5/1/2018	5.65%	
BANK OF AMERICA CORP	175,000	178,411	161,653	7/1/2020	5.63%	
BANK OF AMERICA CORP	165,000	164,282	150,287	5/13/2021	5.00%	
BANK OF NEW YORK MELLON CORP/T	145,000	152,144	157,848	1/15/2020	4.60%	
BARCLAYS BANK PLC	100,000	89,676	84,649	10/14/2020	5.14%	
BARCLAYS BANK PLC	400,000	451,804	443,448	5/22/2019	6.75%	
BARCLAYS BANK PLC	290,000	294,710	288,765	1/23/2013	2.50%	
BARCLAYS US FDG DISC	1,000,000	999,990	999,990	1/3/2012	0.00%	
BARRICK NORTH AMERICA FINANCE	200,000	199,088	236,930	5/30/2041	5.70%	
BARRICK PD AUSTRALIA FINANCE P	150,000	158,829	173,654	10/15/2039	5.95%	
BB&T CORP	100,000	115,199	122,024	4/30/2019	6.85%	
BELLSOUTH CAPITAL FUNDING CORP	690,000	718,254	881,178	7/15/2097	7.12%	
BELLSOUTH CAPITAL FUNDING CORP	453,000	545,802	609,321	2/15/2030	7.88%	
BELLSOUTH CORP	444,000	481,363	553,313	10/15/2031	6.88%	
BELLSOUTH CORP	150,000	157,755	178,556	6/15/2034	6.55%	
BELLSOUTH TELECOMMUNICATIONS I	130,000	143,243	161,160	10/1/2025	7.00%	
BELLSOUTH TELECOMMUNICATIONS I	100,000	105,667	117,690	6/1/2028	6.38%	
BERKSHIRE HATHAWAY INC	255,000	256,265	255,864	2/11/2013	0.88%	
BLOCK COMMUNICATIONS INC	145,000	146,088	147,719	12/15/2015	8.25%	
BMW VEHICLE LEASE TRUST 1 A2	280,000	279,987	279,843	4/22/2013	0.64%	
BOEING CO/THE	18,000	24,429	27,167	11/15/2031	8.63%	
BOEING CO/THE	250,000	268,928	322,940	2/15/2040	5.88%	2,411
BOSTON PROPERTIES LP	230,000	249,424	259,017	10/15/2019	5.88%	
BP CAPITAL MARKETS PLC	185,000	185,000	192,600	11/1/2021	3.56%	
BRISTOL-MYERS SQUIBB CO	124,000	167,820	171,011	8/1/2097	6.88%	
BRITISH TELECOMMUNICATIONS PLC	345,000	459,826	486,209	12/15/2030	9.63%	
BSKYB FINANCE UK PLC	219,000	233,288	255,453	10/15/2035	6.50%	
BUILDING MATERIALS CORP OF AME	100,000	99,420	105,000	5/1/2021	6.75%	
BUNGE LTD FINANCE CORP	265,000	310,720	322,569	6/15/2019	8.50%	
BURLINGTON NORTHERN SANTA FE L	400,000	405,890	478,564	5/1/2040	5.75%	
BURLINGTON NORTHERN SANTA FE L	465,000	463,549	537,545	6/1/2041	5.40%	1
BURLINGTON NORTHERN SANTA FE L	100,000	118,024	141,750	6/1/2036	7.29%	
BURLINGTON NORTHERN SANTA FE L	260,000	291,080	331,583	8/1/2028	6.70%	
BURLINGTON NORTHERN SANTA FE L	250,000	281,900	322,070	3/15/2029	6.75%	
CABLEVISION SYSTEMS CORP	160,000	164,800	171,600	4/15/2020	8.00%	
CAESARS ENTERTAINMENT OPERATING	385,000	404,313	408,581	6/1/2017	11.25%	
CAFECO LLC DISC	1,150,000	1,148,903	1,148,903	1/30/2012	0.00%	
CAISSE D'AMORT DE IB NT	480,000	480,000	480,000	6/22/2012	0.54%	
CALPINE CORP	130,000	130,000	136,500	10/15/2017	7.25%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
CALPINE CORP	275,000	278,375	294,250	2/15/2021	7.50%	
CANADIAN NATIONAL RAILWAY CO	435,000	517,402	587,246	11/15/2037	6.38%	
CANADIAN NATIONAL RAILWAY CO	210,000	208,612	212,852	12/15/2021	2.85%	
CANADIAN NATL RESOURCES	294,000	292,842	306,419	11/15/2021	3.45%	
CANADIAN NATURAL RESOURCES LTD	300,000	354,684	391,803	1/15/2032	7.20%	
CAPITAL ONE BANK USA NA	250,000	307,510	285,998	7/15/2019	8.80%	
CAPITAL ONE CAPITAL VI	415,000	441,975	430,724	5/15/2040	8.88%	
CAPITAL ONE FINANCIAL CO	125,000	124,421	128,639	7/15/2021	4.75%	489
CAPITAL ONE MULTI-ASSET A1 A1	330,000	329,961	329,954	1/15/2015	0.36%	
CARGILL INC	175,000	174,125	176,129	11/15/2021	3.25%	
CARGILL INC MTN 144A # TR00012	105,000	126,198	142,107	6/18/2027	7.41%	
CARGILL INC SR NT 144A	170,000	201,482	228,082	11/1/2036	7.25%	
CARMAX AUTO OWNER TRUST 3 A2	325,000	324,975	324,594	11/17/2014	70.00%	
CARMAX AUTO OWNER TRUST 3 A2	107,307	107,313	107,310	9/16/2013	75.00%	
CATERPILLAR FINANCIAL SERVICES	215,000	214,796	215,488	11/28/2012	0.69%	
CATERPILLAR INC	200,000	203,306	235,006	9/15/2035	5.30%	
CATERPILLAR INC	361,000	520,008	596,022	12/15/2038	8.25%	
CATERPILLAR INC	50,000	49,895	59,890	5/27/2041	5.20%	7,829
CBS CORP	56,000	59,509	62,960	4/15/2020	5.75%	
CBS CORP	305,000	360,107	388,021	7/30/2030	7.88%	
CCO HLDGS LLC/CAP CORP	115,000	115,000	121,325	6/1/2020	7.38%	
CCO HOLDINGS LLC / CCO HOLDING	225,000	232,875	239,906	4/30/2018	7.88%	
CCO HOLDINGS LLC / CCO HOLDING	95,000	99,988	104,025	4/30/2020	8.13%	
CCO HOLDINGS LLC / CCO HOLDING	120,000	121,800	126,450	10/30/2017	7.25%	
CCO HOLDINGS LLC / CCO HOLDING	85,000	84,575	88,613	1/15/2019	7.00%	
CCO HOLDINGS LLC / CCO HOLDING	680,000	673,613	688,500	4/30/2021	6.50%	
CEMEX FINANCE LLC	175,000	180,469	153,563	12/14/2016	9.50%	
CENOVUS ENERGY INC	250,000	286,493	330,850	11/15/2039	6.75%	
CENTERPOINT ENERGY HOUSTON ELE	265,000	344,452	366,084	3/15/2021	9.15%	
CENTURYLINK INC	140,000	133,528	137,376	9/15/2039	7.60%	
CENTURYLINK INC	455,000	441,954	455,837	6/15/2021	6.45%	
CENVEO CORP	140,000	135,450	122,150	2/1/2018	8.88%	8
CEQUEL COMMUNICATIONS HOLDINGS	190,000	195,463	201,400	11/15/2017	8.63%	
CHARIOT FDG LLC DISC	1,370,000	1,369,230	1,369,230	1/19/2012	0.00%	
CHASE ISSUANCE TRUST A17 A	400,000	417,422	414,040	10/15/2014	5.12%	
CHC HELICOPTER SA	550,000	569,250	495,000	10/15/2020	9.25%	
CHECKOUT HOLDING CORP	280,000	174,650	148,400	11/15/2015	0.00%	
CHESAPEAKE ENERGY CORP	55,000	55,275	58,575	8/15/2017	6.50%	
CHESAPEAKE ENERGY CORP	445,000	478,688	476,150	11/15/2020	6.88%	
CHESAPEAKE ENERGY CORP	260,000	269,100	287,300	12/15/2018	7.25%	
CHESAPEAKE MIDSTREAM PARTNERS	190,000	190,000	190,000	4/15/2021	5.88%	
CHRYSLER FINANCIAL AUTO S A A2	68,193	68,202	68,182	1/8/2013	0.69%	
CHS/COMMUNITY HEALTH SYS	425,000	419,488	429,250	11/15/2019	8.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value
						Restricted Under Lending Agreement
CHS/COMMUNITY HEALTH SYSTEMS I	752,000	764,673	776,440	7/15/2015	8.88%	
CIE GENERALE DE GEOPHYSIQUE -	300,000	289,338	291,000	6/1/2021	6.50%	
CIGNA CORP	155,000	154,002	163,993	3/15/2041	5.88%	
CIGNA CORP	135,000	134,911	134,224	2/15/2042	5.38%	
CINCINNATI FINANCIAL CORP	83,000	78,305	82,597	11/1/2034	6.13%	
CINCINNATI FINANCIAL CORP	99,000	102,038	108,032	5/15/2028	6.90%	
CINEMARK USA INC	30,000	32,475	32,625	6/15/2019	8.63%	
CINEMARK USA INC	50,000	50,000	51,125	6/15/2021	7.38%	
CISCO SYSTEMS INC	670,000	742,032	838,853	2/15/2039	5.90%	
CISCO SYSTEMS INC	640,000	665,691	783,078	1/15/2040	5.50%	
CISCO SYSTEMS INC	400,000	400,000	399,696	3/14/2014	0.79%	
CIT GROUP INC	130,000	130,000	129,513	4/1/2014	5.25%	
CIT GROUP INC	555,000	556,388	556,110	5/1/2015	7.00%	
CIT GROUP INC	550,000	551,856	550,000	5/1/2016	7.00%	
CIT GROUP INC	1,090,000	1,084,725	1,090,000	5/1/2017	7.00%	
CITGO PETROLEUM CORP	130,000	145,600	143,650	7/1/2017	11.50%	
CITIBANK CREDIT CARD ISS A3 A3	115,000	136,362	158,634	6/15/2039	6.15%	
CITIGROUP INC	150,000	134,225	129,752	8/25/2036	6.13%	
CITIGROUP INC	100,000	105,682	103,938	12/1/2025	7.00%	
CITIGROUP INC	135,000	131,787	133,083	12/11/2034	5.85%	
CITIGROUP INC	135,000	132,069	134,833	5/29/2037	5.88%	
CITIGROUP INC	1,290,000	1,417,950	1,417,207	3/5/2038	6.88%	
CITIGROUP INC	1,150,000	1,427,645	1,353,654	5/22/2019	8.50%	
CITIGROUP INC	355,000	451,731	434,637	7/15/2039	8.13%	
CLEAR CHANNEL WORLDWIDE HOLDIN	100,000	108,750	107,500	12/15/2017	9.25%	
CLEAR CHANNEL WORLDWIDE HOLDIN	65,000	71,175	70,200	12/15/2017	9.25%	
CLIFFS NATURAL RESOURCES INC	225,000	224,039	221,607	10/1/2040	6.25%	
CLUBCORP CLUB OPERATIONS INC	415,000	387,688	398,400	12/1/2018	10.00%	
CMNWLTH BNK OF AUS IB NT	750,000	750,000	750,000	6/22/2012	0.40%	
CNA FINANCIAL CORP	94,000	93,593	96,562	8/15/2020	5.88%	
CNA FINANCIAL CORP	185,000	185,859	188,780	8/15/2021	5.75%	
CNH EQUIPMENT TRUST A A2	215,000	214,983	214,817	6/16/2014	62.00%	
CNH EQUIPMENT TRUST C A2	340,000	339,999	339,833	4/15/2015	90.00%	
COCA-COLA CO/THE	295,000	295,156	295,192	5/15/2012	0.51%	
COCA-COLA ENTERPRISES INC	280,000	279,065	310,691	9/1/2021	4.50%	
COFFEYVILLE RESOURCES LLC / CO	120,000	129,000	134,400	4/1/2017	10.88%	
COLUMBUS SOUTHERN POWER CO	75,000	85,421	88,214	5/1/2018	6.05%	
COMCAST CABLE COMMUNICATIONS H	435,000	601,857	619,832	11/15/2022	9.46%	
COMCAST CABLE HOLDINGS LLC	235,000	318,693	340,306	4/15/2022	10.13%	
COMCAST CORP	1,020,000	1,096,265	1,229,488	11/15/2035	6.50%	
COMCAST CORP	90,000	93,236	109,102	3/15/2037	6.45%	
COMCAST CORP	135,000	148,804	171,762	8/15/2037	6.95%	
COMCAST CORP	980,000	1,040,993	1,177,401	5/15/2038	6.40%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
COMMONWEALTH REIT	175,000	182,298	187,121	1/15/2018	6.65%	
COMMUNITY CHOICE FINANCIAL INC	130,000	130,000	128,700	5/1/2019	10.75%	
CONNACHER OIL AND GAS LTD	180,000	180,000	162,900	8/1/2019	8.50%	
CONNECTICUT LIGHT & POWER CO/T	75,000	85,337	97,904	6/1/2036	6.35%	
CONOCO FUNDING CO	50,000	61,822	69,396	10/15/2031	7.25%	
CONOCOPHILLIPS	585,000	640,982	739,972	5/15/2038	5.90%	
CONOCOPHILLIPS	460,000	546,986	636,304	2/1/2039	6.50%	
CONOCOPHILLIPS	285,000	331,811	351,958	1/15/2020	6.00%	
CONOCOPHILLIPS HOLDING CO	690,000	848,003	940,677	4/15/2029	6.95%	
CONSOLIDATED EDISON CO OF NEW	255,000	268,107	320,142	3/15/2036	5.85%	
CONSOLIDATED EDISON CO OF NEW	665,000	752,492	887,383	8/15/2037	6.30%	
CONSOLIDATED EDISON CO OF NEW	390,000	407,316	475,500	12/1/2039	5.50%	
CONSOLIDATED MINERALS LTD	150,000	149,255	129,750	5/1/2016	8.88%	
CONTINENTAL AIRLS 99-2 CL A-1	54,230	58,839	57,483	3/15/2020	7.26%	
CONVATEC HEALTHCARE E SA 144A	450,000	464,938	401,625	12/15/2018	10.50%	
COOPERATIEVE CENTRALE RAIFFEIS	410,000	410,000	404,445	4/14/2014	0.92%	
COOPERATIEVE CENTRALE RAIFFEIS	240,000	219,329	268,829	9/30/2110	5.80%	
COOPER-STANDARD AUTOMOTIVE INC	370,000	390,413	387,113	5/1/2018	8.50%	
COTT BEVERAGES INC	65,000	70,038	70,200	9/1/2018	8.13%	
COVANTA HOLDING CORP	220,000	223,032	231,255	12/1/2020	7.25%	
COVENTRY HEALTH CARE INC	125,000	124,750	138,901	6/15/2021	5.45%	
COVIDIEN INTERNATIONAL FINANCE	400,000	524,780	508,180	10/15/2037	6.55%	
COX COMMUNICATIONS INC	515,000	667,357	689,564	3/1/2039	8.38%	
COX ENTERPRISES INC DEBS	270,000	306,126	321,964	7/15/2027	7.38%	
CREDIT SUISSE AG	345,000	350,747	325,390	1/14/2020	5.40%	
CREDIT SUISSE/NEW YORK NY	550,000	589,760	542,427	2/15/2018	6.00%	
CREDIT SUISSE/NEW YORK NY	135,000	139,973	136,667	7/2/2012	3.45%	
CRICKET COMMUNICATIONS INC	110,000	114,125	113,575	5/15/2016	7.75%	
CROWN POINT CAP DISC	1,460,000	1,459,676	1,459,676	1/12/2012	0.00%	
CSX CORP	110,000	132,686	137,106	2/1/2019	7.38%	
CSX CORP	275,000	298,551	336,872	4/30/2040	6.22%	
CSX CORP	665,000	653,744	753,246	4/15/2041	5.50%	
CVS CAREMARK CORP	370,000	395,330	450,246	9/15/2039	6.13%	
CVS PASS-THROUGH TRUST	72,000	72,000	74,300	1/10/2034	5.93%	
CVS PASS-THROUGH TRUST	559,885	669,537	685,199	7/10/2031	8.35%	
CWABS INC 2003-5 CL AF-5	485,496	482,142	466,882	2/25/2034	5.96%	
DAIMLER FINANCE NORTH AMERICA	530,000	716,451	741,046	1/18/2031	8.50%	
DARLING INTERNATIONAL INC	120,000	125,100	133,200	12/15/2018	8.50%	
DEERE & CO	145,000	183,160	202,484	3/3/2031	7.13%	
DELL INC	70,000	64,295	78,697	9/10/2040	5.40%	
DELL INC	290,000	324,986	364,202	4/15/2028	7.10%	
DELPHI CORP	185,000	185,000	188,700	5/15/2019	5.88%	
DELPHI CORP 144A	160,000	160,000	164,800	5/15/2021	6.13%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
DELTA AIR LINES INC	378,000	391,933	389,340	9/15/2014	9.50%	
DELTA AIR LINES INC	80,000	90,200	83,600	3/15/2015	12.25%	12
DELTA AIR LINES SER 07-1C	66,647	68,980	66,481	8/10/2014	8.95%	
DEUTSCHE TELEKOM INTERNATIONAL	125,000	167,994	174,364	6/15/2030	8.75%	
DEUTSCHE TELEKOM INTERNATIONAL	1,090,000	1,233,063	1,251,320	7/8/2019	6.00%	
DEVELOPERS DIVERSIFIED REALTY	380,000	376,455	363,588	4/15/2018	4.75%	
DEVON ENERGY CORP	210,000	292,903	300,550	4/15/2032	7.95%	
DEVON FINANCING CORP ULC	595,000	783,482	841,633	9/30/2031	7.88%	
DIAGEO INVESTMENT CORP	325,000	403,683	452,735	4/15/2035	7.45%	
DIAMOND RESORTS CORP	655,000	642,063	645,175	8/15/2018	12.00%	
DIRECTV HOLDINGS LLC / DIRECTV	725,000	727,221	790,881	8/15/2040	6.00%	
DIRECTV HOLDINGS LLC / DIRECTV	282,000	284,667	325,152	3/1/2041	6.38%	
DISCOVER BANK/GREENWOOD DE	250,000	268,760	261,568	4/15/2020	7.00%	
DISCOVERY COMMUNICATIONS	72,000	71,551	76,002	6/15/2021	4.38%	
DISCOVERY COMMUNICATIONS LLC	260,000	278,486	313,196	6/1/2040	6.35%	
DISH DBS CORP	590,000	622,550	666,700	9/1/2019	7.88%	
DOMINION RESOURCES INC/VA	625,000	752,313	845,531	6/15/2038	7.00%	
DOMINION RESOURCES INC/VA	144,000	141,798	155,491	8/1/2041	4.90%	
DOW CHEMICAL CO/THE	565,000	684,103	717,047	11/1/2029	7.38%	
DOW CHEMICAL CO/THE	65,000	70,312	72,413	5/15/2018	5.70%	
DOW CHEMICAL CO/THE	217,000	207,479	225,558	11/15/2020	4.25%	17
DOW CHEMICAL CO/THE	297,000	296,599	312,405	11/15/2041	5.25%	19
DOWNSTREAM DEVELOPMENT AUTHORI	300,000	298,011	283,500	7/1/2019	10.50%	
DUCOMMUN INC	130,000	131,125	131,950	7/15/2018	9.75%	
DUKE ENERGY CAROLINAS	385,000	384,349	402,802	12/15/2041	4.25%	
DUKE ENERGY CAROLINAS LLC	240,000	273,660	307,922	10/15/2032	6.45%	
DUKE ENERGY CAROLINAS LLC	50,000	55,260	63,699	6/1/2037	6.10%	
DUKE ENERGY CAROLINAS LLC	100,000	111,058	129,960	1/15/2038	6.00%	
DUKE ENERGY CAROLINAS LLC	90,000	100,637	117,822	4/15/2038	6.05%	
DUKE ENERGY CAROLINAS LLC	50,000	50,736	60,776	2/15/2040	5.30%	
DUKE ENERGY INDIANA INC	365,000	420,170	481,920	8/15/2038	6.35%	
DUKE REALTY LP	120,000	141,378	141,002	8/15/2019	8.25%	
DUKE REALTY LP	25,000	27,122	27,430	3/15/2020	6.75%	
DUQUESNE LIGHT HOLDINGS INC	325,000	326,055	335,488	12/1/2021	5.90%	
DYCOM INVESTMENTS INC	90,000	90,000	90,900	1/15/2021	7.13%	
E ON INTL FIN B V GTD NT 144A	200,000	232,446	258,026	4/30/2038	6.65%	
E*TRADE FINANCIAL CORP	165,000	185,625	186,450	11/30/2017	12.50%	
E*TRADE FINANCIAL CORP	70,000	70,000	67,900	6/1/2016	6.75%	
ECOLAB INC	110,000	109,930	117,468	12/8/2021	4.35%	
ECOLAB INC	50,000	49,486	55,407	12/8/2041	5.50%	
EDISON MISSION ENERGY	35,000	34,300	33,950	6/15/2013	7.50%	
EDISON MISSION ENERGY	370,000	318,200	270,100	6/15/2016	7.75%	
EDISON MISSION ENERGY	465,000	288,139	290,625	5/15/2019	7.20%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
EH HOLDING CORP	130,000	130,000	135,525	6/15/2019	6.50%	
EH HOLDING CORP	355,000	357,085	372,750	6/15/2021	7.63%	
EI DU PONT DE NEMOURS & CO	170,000	193,326	210,390	12/15/2036	5.60%	
EI DU PONT DE NEMOURS & CO	195,000	190,209	220,471	1/15/2041	4.90%	
EL PASO CORP	70,000	73,872	76,696	6/15/2017	7.00%	
EL PASO CORP	70,000	77,000	75,669	9/15/2020	6.50%	
EL PASO CORP	50,000	59,438	58,250	10/15/2030	8.05%	
EL PASO NATURAL GAS CO	150,000	176,432	190,305	6/15/2032	8.38%	
EL PASO PIPELINE PARTNERS OPER	180,000	178,807	185,258	10/1/2021	5.00%	
ELM ROAD GENERATING STATION SU	145,000	147,596	180,745	2/11/2040	6.09%	
EMERSON ELECTRIC CO	165,000	182,229	212,005	8/15/2032	6.00%	
ENCANA CORP	850,000	922,004	1,007,556	2/1/2038	6.50%	
ENDO PHARMACEUTICALS HOLDINGS	125,000	127,500	132,813	12/15/2020	7.00%	
ENDO PHARMACEUTICALS HOLDINGS	105,000	105,000	111,825	7/15/2019	7.00%	
ENDO PHARMACEUTICALS HOLDINGS	395,000	401,250	420,181	1/15/2022	7.25%	
ENEL FIN INTL SA GTD NT 144A	130,000	120,635	112,901	9/15/2037	6.80%	
ENEL FINANCE INTERNATIONAL NV	300,000	297,306	268,005	10/7/2019	5.13%	
ENEL FINANCE INTERNATIONAL NV	400,000	364,468	321,940	10/7/2039	6.00%	
ENERGY FUTURE HOLDINGS CORP	605,000	622,493	635,250	1/15/2020	10.25%	
ENERGY FUTURE INTERMEDIATE HOL	55,000	57,063	58,025	12/1/2020	10.00%	
ENERGY TRANSFER PARTNERS LP	235,000	234,405	230,429	6/1/2041	6.05%	
ENI SPA	245,000	233,114	256,973	10/1/2040	5.70%	
ENTERPRISE PRODUCTS OPERATING	275,000	286,223	307,167	10/15/2039	6.13%	
ENTRAVISION COMMUNICATIONS COR	100,000	105,500	98,000	8/1/2017	8.75%	941
EQT CORP	250,000	294,273	293,243	6/1/2019	8.13%	
EQUINIX INC	245,000	245,000	258,475	7/15/2021	7.00%	
ERAC USA FIN CO GTD NT 144A	180,000	182,711	203,494	6/1/2034	6.70%	
ERAC USA FINANCE LLC	490,000	532,421	589,504	10/15/2037	7.00%	
ERAC USA FINANCE LLC	41,000	40,810	42,051	8/16/2021	4.50%	
ERP OPERATING LP	375,000	410,880	415,778	6/15/2017	5.75%	
ERSTE ABWICKLUN DISC	1,560,000	1,559,204	1,559,204	1/26/2012	0.00%	
EXELON GENERATION CO LLC	423,000	403,017	486,704	10/1/2041	5.75%	3
FBG FIN LTD NT 144A	385,000	381,239	439,077	6/15/2035	5.88%	
FEDERAL HOME LN BK CONS BD	340,000	339,891	340,003	1/4/2012	0.37%	
FEDERAL HOME LN BK CONS BD	440,000	440,000	440,026	7/17/2012	0.33%	
FEDERAL HOME LN BK CONS BD	257,500	257,500	257,549	8/17/2012	0.40%	
FEDERAL HOME LN BK CONS BD	1,840,000	1,843,962	1,843,349	8/28/2013	0.50%	
FEDERAL HOME LN BK CONS BD	440,000	440,000	440,070	9/7/2012	0.28%	
FEDERAL HOME LN BK CONS BD	246,667	246,667	246,748	9/18/2012	0.35%	
FEDERAL HOME LN BK CONS BD	320,000	320,000	320,000	10/11/2012	0.35%	
FEDERAL HOME LN BK CONS DISC	8,000,000	7,999,992	7,999,992	1/27/2012	0.00%	
FEDERAL HOME LN BK CONS DISC	1,070,000	1,069,991	1,069,991	2/8/2012	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
FEDERAL HOME LN BK CONS DISC	4,940,000	4,939,957	4,939,957	2/15/2012	0.00%	
FEDERAL HOME LN MTG CORP	520,000	519,740	520,114	4/3/2012	0.27%	
FEDERAL HOME LN MTG CORP	445,000	445,000	445,062	10/25/2013	0.60%	
FEDERAL HOME LN MTG CORP	590,000	589,174	589,298	9/6/2013	0.50%	
FEDERAL HOME LN MTG CORP	385,000	384,981	385,223	11/4/2013	0.70%	
FEDERAL HOME LN MTG CORP	1,470,000	1,469,250	1,467,633	11/27/2013	0.38%	
FEDERAL NATL MTG ASSN	365,000	365,000	364,974	9/27/2013	0.60%	
FEDERAL NATL MTG ASSN	395,000	394,901	395,154	10/25/2013	0.63%	
FEDERAL NATL MTG ASSN	425,000	425,000	425,047	11/25/2013	0.63%	
FEDERAL NATL MTG ASSN	390,000	390,000	389,528	11/29/2013	0.65%	
FEDERAL NATL MTG ASSN	505,000	505,000	504,500	12/6/2013	0.75%	
FEDERAL NATL MTG ASSN	450,000	450,621	450,414	9/13/2012	0.32%	
FEDERAL NATL MTG ASSN DISC	8,150,000	8,149,994	8,149,994	1/17/2012	0.00%	
FEDERAL NATL MTG ASSN STRIP	1,000,000	626,180	766,740	11/15/2021	0.00%	
FEDERAL NATL MTG ASSN STRIP	1,250,000	481,600	654,400	5/15/2030	0.00%	
FEDERAL REALTY INVESTMENT TRUS	105,000	111,355	113,646	4/1/2020	5.90%	
FERRELLGAS LP / FERRELLGAS FIN	115,000	126,500	120,175	10/1/2017	9.13%	
FERRELLGAS LP / FERRELLGAS FIN	55,000	53,625	48,400	5/1/2021	6.50%	
FHLMC POOL #C4-6008	12,398	13,941	14,206	12/1/2030	6.50%	
FHLMC POOL #C9-0781	257,839	259,799	269,362	10/1/2023	3.50%	
FHLMC MULTI MTG 3201 OG PO	468,957	373,927	419,838	8/15/2036	0.00%	
FHLMC MULTICLAS MTG	313,139	276,624	295,347	9/15/2036	0.00%	
FHLMC MULTICLASS CTFS 3149 CZ	558,710	611,977	670,966	5/15/2036	6.00%	
FHLMC MULTICLASS MT	500,000	376,545	406,200	4/15/2036	0.00%	
FHLMC MULTICLASS MTG	959,173	1,101,553	1,108,142	4/15/2029	6.50%	
FHLMC MULTICLASS MTG	692,449	759,021	735,506	6/15/2029	7.00%	
FHLMC MULTICLASS MTG	141,379	162,394	161,230	8/15/2029	7.00%	
FHLMC MULTICLASS MTG	287,839	325,097	334,115	10/15/2030	7.00%	
FHLMC MULTICLASS MTG	474,423	540,093	536,378	9/15/2023	6.50%	
FHLMC MULTICLASS MTG	71,804	86,079	92,217	2/15/2032	15.52%	
FHLMC MULTICLASS MTG	132,784	143,423	141,714	10/15/2016	6.00%	
FHLMC MULTICLASS MTG	110,648	119,217	117,746	8/15/2016	6.00%	
FHLMC MULTICLASS MTG	317,742	349,567	348,093	8/15/2031	6.50%	
FHLMC MULTICLASS MTG	72,441	68,925	73,983	10/15/2033	8.56%	
FHLMC MULTICLASS MTG	264,704	213,460	241,222	10/15/2033	0.00%	
FHLMC MULTICLASS MTG	159,662	167,843	172,428	10/15/2033	15.83%	
FHLMC MULTICLASS MTG	266,103	279,062	286,742	10/15/2033	15.69%	
FHLMC MULTICLASS MTG	179,738	172,454	184,500	11/15/2033	8.57%	
FHLMC MULTICLASS MTG	284,850	270,323	308,102	11/15/2033	8.63%	
FHLMC MULTICLASS MTG	59,876	55,893	61,430	11/15/2033	8.63%	
FHLMC MULTICLASS MTG	91,927	88,035	93,991	5/15/2034	8.49%	
FHLMC MULTICLASS MTG	151,785	130,292	144,522	10/15/2033	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FHLMC MULTICLASS MTG	321,591	279,235	307,554	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 2690 SJ	191,716	183,978	198,311	10/15/2033	8.72%	
FHLMC MULTICLASS MTG 2953 LZ	1,497,790	1,590,295	1,886,736	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	515,892	516,963	5/15/2035	23.21%	
FHLMC MULTICLASS MTG 3607 BO	200,000	148,552	162,480	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3611 PO	325,620	286,399	310,003	7/15/2034	0.00%	
FHLMC MULTICLASS MTG 3632 BA	500,000	635,090	680,215	2/15/2040	16.53%	
FHLMC MULTICLASS MTG 3841 JZ	509,158	563,032	573,663	4/15/2041	5.00%	
FHLMC MULTICLASS MTG R007 ZA	698,387	756,400	847,583	5/15/2036	6.00%	
FINANCING CORP STRIP	250,000	182,303	212,248	9/26/2019	0.00%	
FIREKEEPERS DEVELOPMENT AUTHOR	280,000	331,100	317,800	5/1/2015	13.88%	
FIRST HORIZON ASSET SECU 9 1A6	1,572,573	1,443,928	1,590,013	11/25/2033	5.50%	
FIRST WIND CAPITAL LLC	170,000	170,000	167,025	6/1/2018	10.25%	
FIRSTENERGY CORP	270,000	284,623	332,041	11/15/2031	7.38%	580
FLEETBOSTON FINANCIAL CORP	175,000	171,427	149,440	7/15/2028	6.70%	
FLORIDA POWER & LIGHT CO	180,000	196,571	230,215	10/1/2033	5.95%	
FLORIDA POWER & LIGHT CO	290,000	306,318	364,553	2/1/2037	5.65%	
FLORIDA POWER & LIGHT CO	100,000	110,809	131,116	2/1/2038	5.95%	
FLORIDA POWER CORP	190,000	220,928	257,716	6/15/2038	6.40%	
FMG RESOURCES AUG 2006	370,000	370,000	376,475	11/1/2019	8.25%	
FMR LLC	250,000	237,765	268,170	11/15/2039	6.45%	
FNMA POOL #0465714	487,689	482,812	537,404	8/1/2028	4.68%	
FNMA POOL #0466430	500,000	471,563	526,470	11/1/2020	3.37%	
FNMA POOL #0490445	139,531	153,843	155,524	3/1/2029	6.00%	
FNMA POOL #0524958	16,077	17,709	16,280	3/1/2015	7.50%	
FNMA POOL #0888829	944,523	1,027,131	1,074,905	6/1/2037	5.83%	
FNMA GTD REMIC P/T	43,865	38,513	41,973	12/1/2032	0.00%	
FNMA GTD REMIC P/T	265,391	293,952	296,646	4/25/2032	6.50%	
FNMA GTD REMIC P/T	2,197	125	73	6/25/2022	5.50%	
FNMA GTD REMIC P/T	183,532	206,962	212,908	9/25/2031	7.00%	
FNMA GTD REMIC P/T	90,322	86,944	92,103	8/25/2033	7.07%	
FNMA GTD REMIC P/T 03-35 EA PO	343,512	314,468	310,549	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	163,446	188,452	190,431	5/25/2033	19.08%	
FNMA GTD REMIC P/T 03-65 ST	170,191	172,547	181,005	7/25/2033	8.30%	
FNMA GTD REMIC P/T 03-69 NS	187,152	199,835	207,140	7/25/2033	13.01%	
FNMA GTD REMIC P/T 03-W2 2A9	580,920	640,104	645,960	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-83 SO PO	86,334	79,423	82,273	9/25/2033	0.00%	
FNMA GTD REMIC P/T 05-110 GK	2,000,000	2,190,980	2,197,100	8/25/2034	5.50%	
FNMA GTD REMIC P/T 05-59 SU	350,000	486,154	555,307	6/25/2035	24.12%	
FNMA GTD REMIC P/T 06-21 FD	85,424	78,590	84,116	4/25/2033	0.00%	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,099,760	1,196,550	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-63 ZH	714,186	844,242	844,411	7/25/2036	6.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
FNMA GTD REMIC P/T 06-69 DZ	1,166,012	1,255,197	1,412,938	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-78 CL BZ	710,338	784,656	835,947	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,164,580	1,274,930	8/25/2036	6.50%	
FNMA GTD REMIC P/T 07-32 Z	1,557,333	1,637,926	1,813,436	4/25/2037	5.50%	
FNMA GTD REMIC P/T 07-78 CB	400,000	436,552	462,012	8/25/2037	6.00%	
FNMA GTD REMIC P/T 08-26 CL ZK	301,440	300,717	339,916	4/25/2038	5.00%	
FNMA GTD REMIC P/T 09-50 GX	400,000	449,000	468,780	7/25/2039	5.00%	
FNMA GTD REMIC P/T 09-84 CL WS	602,746	71,287	72,872	10/25/2039	5.62%	
FNMA GTD REMIC P/T 09-99 SC	374,622	41,755	45,816	12/25/2039	5.90%	
FNMA GTD REMIC P/T 10-122 CJ	550,000	420,118	552,508	11/25/2040	3.00%	
FNMA GTD REMIC P/T 10-2 LC	350,000	388,500	401,951	2/25/2040	5.00%	
FNMA GTD REMIC P/T 10-35 SJ	500,000	597,390	686,275	4/25/2040	16.75%	
FNMA GTD REMIC P/T 10-41 NB	500,000	540,469	532,775	5/25/2040	5.00%	
FNMA GTD REMIC P/T 10-80 PZ	538,858	620,360	630,119	7/25/2040	5.00%	
FNMA GTD REMIC P/T 11-111 PZ	302,254	321,827	328,568	11/25/2041	4.50%	
FNMA GTD REMIC P/T 11-84 PZ	508,808	584,938	616,349	9/25/2041	5.25%	
FNMA GTD REMIC P/T 2004-W10 A6	1,000,000	1,079,840	1,098,910	8/25/2034	5.75%	
FORD MOTOR CO	800,000	923,075	960,000	7/16/2031	7.45%	14
FORD MOTOR CREDIT CO LLC	700,000	700,000	697,389	1/15/2015	3.88%	
FORDO 2009-C A3	85,559	86,740	85,988	11/15/2013	2.70%	
FRANCE TELECOM SA	205,000	278,683	292,314	3/1/2031	8.50%	
FRANCE TELECOM SA	250,000	277,380	275,125	7/8/2019	5.38%	
FREESCALE SEMICONDUCTOR INC	260,000	286,000	277,875	4/15/2018	9.25%	
FREESCALE SEMICONDUCTOR INC	200,000	196,000	188,000	2/1/2020	8.05%	
GAP INC/THE	339,000	330,683	323,338	4/12/2021	5.95%	
GCB US ONCOLOGY INC ESCROW	155,000	0	1,938	8/15/2017	9.13%	
GE EQUIPMENT TRANSPORTATI 1 A2	210,000	209,994	209,834	10/21/2013	0.77%	
GENERAL ELEC CAP CORP	350,000	349,251	365,285	10/17/2021	4.65%	
GENERAL ELECTRIC CAPITAL CORP	700,000	792,484	819,658	3/15/2032	6.75%	6
GENERAL ELECTRIC CAPITAL CORP	600,000	632,454	656,334	8/7/2037	6.15%	
GENERAL ELECTRIC CAPITAL CORP	276,000	300,103	294,395	9/20/2013	5.40%	
GENERAL ELECTRIC CAPITAL CORP	2,235,000	2,320,042	2,368,184	1/14/2038	5.88%	
GENERAL ELECTRIC CAPITAL CORP	300,000	327,153	336,000	5/1/2018	5.63%	
GENERAL ELECTRIC CAPITAL CORP	335,000	377,053	401,340	1/10/2039	6.88%	
GENERAL ELECTRIC CAPITAL CORP	145,000	161,326	166,551	8/7/2019	6.00%	
GENERAL ELECTRIC CAPITAL CORP	544,000	581,803	598,558	1/8/2020	5.50%	
GENERAL ELECTRIC CAPITAL CORP	14,000	13,951	14,965	2/11/2021	5.30%	1
GENERAL MOTORS FINANCIAL CO IN	660,000	660,350	673,200	6/1/2018	6.75%	
GENESIS ENERGY LP/GENESIS ENER	70,000	69,650	70,000	12/15/2018	7.88%	
GENON ENERGY INC	885,000	883,650	896,063	10/15/2018	9.50%	687
GENON ENERGY INC	530,000	536,538	537,950	10/15/2020	9.88%	
GENWORTH FINANCIAL INC	25,000	25,000	23,375	9/24/2021	7.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
GENWORTH FINANCIAL INC	315,000	248,375	170,100	11/15/2066	6.15%	
GEOEYE INC	145,000	163,850	158,775	10/1/2015	9.63%	
GEOEYE INC	90,000	94,050	92,700	10/1/2016	8.63%	
GEOKINETICS HOLDINGS USA INC	145,000	139,200	91,350	12/15/2014	9.75%	
GEORGIA POWER CO	200,000	182,366	221,284	9/1/2040	4.75%	
GILEAD SCIENCES INC	160,000	159,637	177,146	12/1/2041	5.65%	
GILEAD SCIENCES INC	75,000	74,828	79,402	12/1/2021	4.40%	34
GLAXOSMITHKLINE CAPITAL INC	315,000	335,503	333,374	5/15/2013	4.85%	
GLAXOSMITHKLINE CAPITAL INC	325,000	384,121	434,866	5/15/2038	6.38%	
GNMA GTD REM P/T 07-028 BO PO	135,631	120,320	124,500	5/20/2037	0.00%	
GNMA GTD REMIC 2002-54 GB	134,627	148,013	152,728	8/20/2032	6.50%	
GNMA GTD REMIC P/T 01-8 Z	453,504	500,750	524,196	3/20/2031	6.50%	
GNMA GTD REMIC P/T 02-45 QE	68,989	77,547	79,062	6/20/2032	6.50%	
GNMA GTD REMIC P/T 03-67 FO PO	500,000	268,438	398,830	8/20/2033	0.00%	
GNMA GTD REMIC P/T 05-045 ZA	811,550	854,609	982,999	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,317,648	1,455,504	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-71 SB IO	288,723	35,715	36,425	7/20/2036	6.42%	
GNMA GTD REMIC P/T 09-1 KS	299,954	340,400	393,156	11/20/2034	12.94%	
GNMA GTD REMIC P/T 09-32 ZA	166,672	166,691	190,874	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	689,495	755,185	838,191	8/16/2039	6.00%	
GNMA GTD REMIC P/T 09-67 ZC	1,136,599	1,175,998	1,373,875	8/16/2039	5.50%	
GNMA GTD REMIC P/T 09-79 OK	326,274	294,302	290,684	11/16/2037	0.00%	
GNMA GTD REMIC P/T 10-14 CO	399,960	345,422	341,774	8/20/2035	0.00%	
GNMA GTD REMIC P/T 10-42 VZ	657,462	652,172	784,852	10/20/2039	5.50%	
GNMA GTD REMIC P/T 11-50 PZ	413,529	465,221	474,463	4/20/2041	5.00%	
GNMA GTD REMIC P/T 2002-40 UK	216,910	250,869	251,498	6/20/2032	6.50%	
GNMA GTD REMIC P/T 2009-40 SL	905,247	107,209	95,947	3/16/2034	6.21%	
GOLDMAN SACHS GROUP INC	54,000	53,971	52,679	7/27/2021	5.25%	
GOLDMAN SACHS GROUP INC/THE	300,000	349,797	331,323	2/15/2019	7.50%	
GOLDMAN SACHS GROUP INC/THE	333,000	344,109	328,678	3/15/2020	5.38%	
GOLDMAN SACHS GROUP INC/THE	1,505,000	1,538,712	1,400,418	10/1/2037	6.75%	
GOLDMAN SACHS GROUP INC/THE	220,000	238,674	225,328	1/18/2018	5.95%	
GOLDMAN SACHS GROUP INC/THE	500,000	501,320	490,515	2/1/2041	6.25%	
GREAT PLAINS ENERGY INC	22,000	21,979	23,061	6/1/2021	4.85%	
GRUPO TELEVISA SA	200,000	216,640	225,524	1/15/2040	6.63%	
GSR MORTGAGE LOAN TRUST 3F 4A3	1,432,858	1,349,671	1,444,121	4/25/2033	5.75%	
GSR MORTGAGE LOAN TRUST 8F 2A3	6,343	6,414	6,646	9/25/2034	6.00%	
GYMBOREE CORP	205,000	200,388	179,375	12/1/2018	9.13%	
HALLIBURTON CO	360,000	472,806	517,802	2/15/2021	8.75%	
HALLIBURTON CO	45,000	59,145	62,404	8/15/2096	7.60%	
HALLIBURTON CO	105,000	123,302	142,418	9/15/2038	6.70%	
HALLIBURTON CO	35,000	44,972	50,571	9/15/2039	7.45%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
HANNOVER FDG CO DISC	1,240,000	1,239,277	1,239,277	1/5/2012	0.00%	
HARTFORD FINANCIAL SERVICES GR	300,000	318,750	297,000	6/15/2038	8.13%	26
HARTFORD FINANCIAL SERVICES GR	320,000	326,047	317,574	3/30/2040	6.63%	
HCA INC	179,000	196,900	195,558	2/15/2017	9.88%	
HCA INC	395,000	395,000	409,813	2/15/2020	6.50%	
HCA INC	1,150,000	1,130,125	1,175,875	2/15/2022	7.50%	
HCP INC	477,000	485,012	539,253	2/1/2041	6.75%	2
HEALTHCARE REALTY TRUST INC	430,000	445,407	434,726	1/15/2021	5.75%	
HERTZ CORP/THE	115,000	119,313	120,175	10/15/2018	7.50%	
HESS CORP	379,000	480,616	509,175	10/1/2029	7.88%	
HESS CORP	370,000	367,497	413,390	2/15/2041	5.60%	
HEWLETT-PACKARD CO	290,000	289,603	320,920	9/15/2041	6.00%	
HISTORIC TW INC	285,000	373,994	389,840	2/1/2023	9.15%	
HJ HEINZ FINANCE CO	130,000	152,870	179,351	8/1/2039	7.13%	
HOME DEPOT INC	1,245,000	1,301,551	1,562,326	12/16/2036	5.88%	
HONDA AUTO RECEIVABLES OW 1 A2	256,191	256,183	256,206	6/17/2013	0.65%	
HONDA AUTO RECEIVABLES OW 2 A3	19,790	20,012	19,827	1/15/2013	2.79%	
HONDA AUTO RECEIVABLES OW 3 A2	310,000	309,979	309,864	4/21/2014	0.67%	
HONEYWELL INTERNATIONAL INC	120,000	131,437	150,330	3/15/2037	5.70%	
HOSPIRA INC	120,000	113,130	115,615	9/15/2040	5.60%	
HOST HOTELS & RESORTS LP	140,000	139,300	143,150	11/1/2020	6.00%	
HP ENTERPRISE SERVICES LLC	205,000	263,327	255,492	10/15/2029	7.45%	
HSBC BANK PLC	720,000	719,374	746,618	1/19/2021	4.75%	
HSBC HOLDINGS PLC	1,235,000	1,286,603	1,219,056	9/15/2037	6.50%	
HSBC HOLDINGS PLC	110,000	118,945	113,933	6/1/2038	6.80%	
HSBC HOLDINGS PLC	200,000	199,566	212,518	4/5/2021	5.10%	
HSBC HOLDINGS PLC	55,000	54,960	62,340	1/14/2042	6.10%	
HUNTINGTON INGALLS INDUSTRIES	60,000	57,000	58,800	3/15/2018	6.88%	
HUNTINGTON INGALLS INDUSTRIES	825,000	799,106	808,500	3/15/2021	7.13%	
HUNTSMAN INTERNATIONAL LLC	235,000	240,188	249,100	3/15/2020	8.63%	17
HUNTSMAN INTERNATIONAL LLC	45,000	48,600	47,700	3/15/2021	8.63%	4
HYUNDAI AUTO RECEIVABLES A A2	256,605	256,588	256,538	11/15/2013	0.69%	
HYUNDAI AUTO RECEIVABLES B A2	189,650	189,641	189,424	3/15/2014	0.59%	
ICAHN ENTERPRISES LP / ICAHN E	90,000	93,375	93,375	1/15/2016	7.75%	
ICAHN ENTERPRISES LP / ICAHN E	435,000	432,013	452,400	1/15/2018	8.00%	
ILFC CAPITAL TR I ENHANCED CAP	250,000	173,956	147,495	12/21/2065	4.34%	
ILFC E-CAPITAL TRUST II	100,000	78,000	67,500	12/21/2065	6.25%	
INC RESEARCH INC	660,000	633,138	590,700	7/15/2019	11.50%	
INEOS FINANCE PLC	150,000	159,563	152,250	5/15/2015	9.00%	
INMARSAT FINANCE PLC	190,000	197,750	198,550	12/1/2017	7.38%	
INSIGHT COMMUNICATIONS CO INC	170,000	181,050	194,225	7/15/2018	9.38%	
INTEGRA TELECOM HOLDINGS INC	155,000	160,425	126,713	4/15/2016	10.75%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
INTEL CORP	307,000	304,722	344,058	10/1/2041	4.80%	
INTELSAT JACKSON HOLDINGS SA	150,000	155,625	156,750	6/15/2016	9.50%	
INTELSAT JACKSON HOLDINGS SA	200,000	200,000	202,250	4/1/2021	7.50%	
INTELSAT LUXEMBOURG SA	370,000	400,525	357,050	2/4/2017	11.50%	
INTELSAT LUXEMBOURG SA	300,000	290,250	290,250	2/4/2017	11.25%	
INTERNATIONAL BUSINESS MACHINE	100,000	137,357	162,708	10/15/2038	8.00%	
INTERNATIONAL BUSINESS MACHINE	590,000	640,513	757,961	11/30/2039	5.60%	
INTERNATIONAL BUSINESS MACHINE	305,000	306,040	307,400	8/5/2013	1.00%	
INTERNATIONAL LEASE FINANCE CO	330,000	319,275	315,150	6/1/2014	5.65%	
INTERNATIONAL LEASE FINANCE CO	100,000	102,125	99,500	11/15/2013	6.63%	100,000
INTERNATIONAL LEASE FINANCE CO	210,000	225,750	215,250	9/15/2015	8.63%	
INTERNATIONAL LEASE FINANCE CO	145,000	149,350	146,450	12/15/2020	8.25%	4
INTERNATIONAL LEASE FINANCE CO	340,000	349,650	350,200	3/15/2017	8.75%	
INTERNATIONAL LEASE FINANCE CO	210,000	210,000	193,996	5/15/2019	6.25%	
INTL PAPER CO	265,000	265,398	287,687	11/15/2041	6.00%	
IPALCO ENTERPRISES INC	330,000	329,364	323,400	5/1/2018	5.00%	
IRON MOUNTAIN INC	90,000	94,050	93,713	6/15/2020	8.00%	
IRON MOUNTAIN INC	145,000	145,000	153,156	10/1/2019	7.75%	
JABIL CIRCUIT INC	195,000	222,338	224,738	3/15/2018	8.25%	
JAGUAR HOLDING CO/MERGER	170,000	170,000	178,500	12/1/2019	9.50%	
JARDEN CORP	200,000	208,500	213,000	1/15/2020	7.50%	
JBS USA LLC/JBS USA FINANCE IN	245,000	240,737	228,463	6/1/2021	7.25%	
JC PENNEY CORP INC	170,000	142,375	142,163	10/15/2036	6.38%	
JEFFERIES GROUP INC	320,000	286,656	261,664	1/15/2036	6.25%	
JEFFERIES GROUP INC	290,000	277,275	241,425	6/8/2027	6.45%	
JEFFERIES GROUP INC	30,000	34,301	30,450	7/15/2019	8.50%	
JMC STEEL GROUP	500,000	500,294	487,500	3/15/2018	8.25%	
JOHN DEERE CAPITAL CORP	125,000	125,051	125,214	7/15/2013	0.72%	
JOHN DEERE OWNER TRUST A A2	265,000	264,985	264,830	6/16/2014	0.64%	
JOHNSON CONTROLS INC	265,000	270,536	306,557	1/15/2036	6.00%	
JOHNSON CONTROLS INC	35,000	35,045	39,413	3/1/2041	5.70%	
JPMORGAN CHASE & CO	310,000	323,567	320,500	10/1/2012	5.38%	
JPMORGAN CHASE & CO	175,000	196,534	203,154	5/15/2038	6.40%	
JPMORGAN CHASE & CO	470,000	469,478	486,286	5/10/2021	4.63%	
JPMORGAN CHASE & CO	240,000	238,966	251,273	7/15/2041	5.60%	
JPMORGAN CHASE & CO	360,000	362,063	363,568	8/15/2021	4.35%	
JUNIPER NETWORKS INC	100,000	99,871	105,972	3/15/2021	4.60%	
KANSAS CITY POWER & LIGHT CO	465,000	462,015	500,493	10/1/2041	5.30%	
KB HOME	190,000	201,400	180,975	9/15/2017	9.10%	
KELLOGG CO	171,000	214,302	233,196	4/1/2031	7.45%	
KERR-MCGEE CORP	75,000	85,976	94,004	9/15/2031	7.88%	
KEYSPAN GAS EAST CORP	490,000	490,000	597,795	4/1/2041	5.82%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
KINDER MORGAN ENERGY PARTNERS	515,000	533,130	572,423	9/1/2039	6.50%	
KINDER MORGAN ENERGY PARTNERS	160,000	169,799	179,234	9/15/2040	6.55%	
KINDRED HEALTHCARE INC	195,000	195,000	163,800	6/1/2019	8.25%	4
KOHL'S CORP	460,000	530,435	574,108	12/15/2037	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	510,000	606,028	643,100	3/11/2038	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	35,000	41,812	46,384	6/1/2026	7.20%	
KRAFT FOODS INC	275,000	306,875	367,386	8/11/2037	7.00%	
KRAFT FOODS INC	855,000	986,115	1,132,165	2/1/2038	6.88%	
KRAFT FOODS INC	225,000	262,139	299,747	1/26/2039	6.88%	
KRAFT FOODS INC	200,000	230,660	260,188	2/9/2040	6.50%	
KRAFT FOODS INC	175,000	188,347	201,924	2/10/2020	5.38%	
KRATOS DEFENSE & SECURITY SOLU	405,000	429,038	415,125	6/1/2017	10.00%	
KROGER CO/THE	145,000	177,213	193,117	6/1/2029	7.70%	
KROGER CO/THE	10,000	12,089	13,045	4/1/2031	7.50%	
KROGER CO/THE	100,000	113,316	121,352	1/15/2020	6.15%	
KROGER CO/THE	562,000	532,701	605,471	7/15/2040	5.40%	
LANTHEUS MEDICAL IMAGING INC	225,000	232,725	178,313	5/15/2017	9.75%	
LEAR CORP	55,000	58,850	59,538	3/15/2018	7.88%	
LEAR CORP	55,000	59,813	60,500	3/15/2020	8.13%	
LEUCADIA NATIONAL CORP	400,000	403,750	402,500	3/15/2017	7.13%	
LEVEL 3 COMMUNICATIONS INC	370,000	361,989	394,050	2/1/2019	11.88%	4
LEVEL 3 ESCROW INC	360,000	354,762	354,762	7/1/2019	8.13%	
LEVEL 3 FINANCING INC	380,000	328,700	338,200	2/15/2015	4.20%	
LEVEL 3 FINANCING INC	85,000	86,700	86,488	2/15/2017	8.75%	
LEVEL 3 FINANCING INC	215,000	210,251	224,406	4/1/2019	9.38%	
LIBERTY MUT GROUP INC JR 144A	120,000	145,200	150,600	6/15/2058	10.75%	
LIBERTY MUT GRP SER B 144A	125,000	112,283	105,000	3/15/2037	7.00%	
LIBERTY PROPERTY LP	75,000	84,965	84,808	10/1/2017	6.63%	
LINCOLN NATIONAL CORP	140,000	175,119	170,290	7/1/2019	8.75%	
LINCOLN NATIONAL CORP	90,000	97,872	101,749	6/15/2040	7.00%	
LINCOLN NATIONAL CORP	17,000	16,977	17,319	6/24/2021	7.85%	
LINN ENERGY LLC/LINN ENERGY FI	330,000	353,513	358,050	4/15/2020	8.63%	
LINN ENERGY LLC/LINN ENERGY FI	150,000	148,848	148,875	5/15/2019	6.50%	
LIVE NATION ENTERTAINMENT INC	110,000	111,625	110,825	5/15/2018	8.13%	
LOCKHEED MARTIN CORP	937,000	974,413	1,051,942	6/1/2040	5.72%	
LONGVIEW FIBRE PAPER & PACKAGI	115,000	114,414	115,000	6/1/2016	8.00%	
LORILLARD TOBACCO CO	270,000	315,008	321,624	6/23/2019	8.13%	
LOUISVILLE GAS & ELECTRIC CO	350,000	341,562	419,475	11/15/2040	5.13%	
LOWE'S COMPANIES INC	22,000	21,987	24,376	11/15/2041	5.13%	
LOWE'S COS INC	380,000	457,113	500,221	5/15/2037	7.11%	
LTD BRANDS INC	110,000	116,050	119,075	5/1/2020	7.00%	
LTD BRANDS INC	100,000	100,000	106,000	4/1/2021	6.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
LYONDELL CHEMICAL CO	140,000	154,875	152,950	11/1/2017	8.00%	
MACQUARIE GROUP LTD	287,000	283,845	274,185	1/14/2021	6.25%	
MACY'S RETAIL HOLDINGS INC	410,000	438,608	476,297	3/15/2037	6.38%	
MACY'S RETAIL HOLDINGS INC	10,000	11,000	11,676	7/15/2017	7.45%	
MACY'S RETAIL HOLDINGS INC	75,000	79,500	77,216	1/15/2013	5.88%	
MACY'S RETAIL HOLDINGS INC	130,000	152,100	150,093	7/15/2015	7.88%	
MANITOWOC CO INC/THE	205,000	205,438	216,019	11/1/2020	8.50%	
MARATHON OIL CORP	90,000	103,659	109,867	3/15/2032	6.80%	
MARATHON PETROLEUM CORP	405,000	412,499	458,958	3/1/2041	6.50%	
MARINA DISTRICT FINANCE CO INC	40,000	39,300	37,400	10/15/2015	9.50%	1
MARINA DISTRICT FINANCE CO INC	260,000	243,400	237,250	8/15/2018	9.88%	33,349
MARKET STREET FDG DISC	1,200,000	1,199,050	1,199,050	2/9/2012	0.00%	
MARKWEST ENERGY PARTNERS LP /	85,000	88,450	89,038	11/1/2020	6.75%	
MARSH & MCLENNAN COS INC	210,000	209,687	229,860	7/15/2021	4.80%	
MASSACHUSETTS ELECTRIC CO	271,000	280,921	340,281	11/15/2039	5.90%	
MASSACHUSETTS MUTUAL LIFE INSU	250,000	337,223	365,690	6/1/2039	8.88%	
MASTR ASSET BKD 05-AB1 CL A3B	795,626	730,783	797,305	10/25/2032	5.23%	
MBIA INC	545,000	357,376	336,892	12/1/2034	5.70%	
MCKESSON CORP	165,000	164,493	186,935	3/1/2021	4.75%	
MCKESSON CORP	55,000	54,195	70,299	3/1/2041	6.00%	
MEDIMPACT HOLDINGS INC	465,000	459,415	395,250	2/1/2018	10.50%	
MEMC ELECTRONICS MATERIA	105,000	105,000	75,863	4/1/2019	7.75%	
MERCEDES-BENZ AUTO RECEIV 1 A2	220,000	220,000	220,075	11/15/2013	0.40%	
MERCK & CO INC	300,000	331,182	391,197	6/30/2039	5.85%	1
MERCK & CO INC	120,000	145,004	167,291	9/15/2037	6.55%	
MERRILL LYNCH & CO INC	200,000	211,454	193,660	8/28/2017	6.40%	
MERRILL LYNCH & CO INC	400,000	437,744	394,376	4/25/2018	6.88%	
MERRILL LYNCH & CO INC	100,000	100,336	91,839	5/2/2017	5.70%	763
MERRILL LYNCH & CO INC	750,000	778,373	712,590	5/14/2038	7.75%	
MERRILL LYNCH MORTGAGE LC1 A4	250,000	268,518	276,503	1/12/2044	5.29%	
MERRILL LYNCH/COUNTRYWIDE 1 A4	425,000	458,796	467,568	2/12/2039	5.61%	
METLIFE INC	75,000	87,441	89,216	8/15/2018	6.82%	
METLIFE INC	200,000	227,924	234,070	2/6/2041	5.88%	
MICROSOFT CORP	25,000	26,052	30,957	6/1/2039	5.20%	
MICROSOFT CORP	77,000	72,084	86,897	10/1/2040	4.50%	
MICROSOFT CORP	109,000	108,078	137,992	2/8/2041	5.30%	
MIDAMERICAN ENERGY HOLDINGS CO	250,000	321,808	361,643	9/15/2028	8.48%	
MIDAMERICAN ENERGY HOLDINGS CO	785,000	888,008	985,670	9/15/2037	6.50%	
MIDWEST GENERATION LLC	290,920	292,191	293,829	1/2/2016	8.56%	
MOHAWK INDUSTRIES INC	160,000	171,600	172,000	1/15/2016	6.88%	
MONT BLANC CAP DISC	1,650,000	1,649,358	1,649,358	1/25/2012	0.00%	
MORGAN STANLEY	1,490,000	1,576,480	1,419,583	12/28/2017	5.95%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
MOSAIC CO/THE	107,000	105,401	110,608	11/15/2041	4.88%	
NATIONAL MONEY MART CO	150,000	161,675	160,125	12/15/2016	10.38%	
NATIONWIDE BLDG DISC	1,410,000	1,407,227	1,407,227	2/14/2012	0.00%	
NATIONWIDE MUT INS CO 144A	290,000	292,224	297,856	4/1/2033	7.88%	
NATIONWIDE MUTUAL INSURANCE CO	185,000	216,270	223,563	8/15/2039	9.38%	
NAVIOS MARITIME ACQUISITION CO	140,000	143,150	101,500	11/1/2017	8.63%	16
NAVIOS MARITIME HOLDINGS INC	125,000	125,000	93,125	2/15/2019	8.13%	
NBCUNIVERSAL MEDIA LLC	140,000	148,674	172,115	4/30/2040	6.40%	
NBCUNIVERSAL MEDIA LLC	105,000	104,990	123,418	4/1/2041	5.95%	
NEVADA POWER CO	300,000	335,649	401,940	4/1/2036	6.65%	
NEVADA POWER CO	35,000	39,725	47,769	7/1/2037	6.75%	
NEVADA POWER CO	50,000	57,732	60,295	8/1/2018	6.50%	
NEVADA POWER CO	85,000	100,124	106,148	3/15/2019	7.13%	
NEVADA POWER CO	73,000	70,252	85,674	9/15/2040	5.38%	
NEVADA POWER CO	63,000	62,981	74,848	5/15/2041	5.45%	
NEW YORK LIFE INSURANCE CO	300,000	351,702	383,085	11/15/2039	6.75%	
NEWELL RUBBERMAID INC	62,000	61,501	65,490	8/15/2020	4.70%	
NEWS AMERICA INC	65,000	84,653	85,535	4/26/2023	8.88%	
NEWS AMERICA INC	140,000	157,991	171,412	12/1/2045	7.75%	
NEWS AMERICA INC	450,000	507,533	514,161	4/30/2028	7.30%	
NEWS AMERICA INC	90,000	96,696	98,621	12/15/2035	6.40%	
NEWS AMERICA INC	580,000	604,696	634,004	3/1/2037	6.15%	
NEWS AMERICA INC	900,000	996,831	1,019,637	11/15/2037	6.65%	
NEWS AMERICA INC	185,000	207,623	213,853	8/15/2039	6.90%	
NEXEN INC	85,000	101,448	101,897	7/30/2039	7.50%	
NEXSTAR BROADCASTING INC / MIS	85,000	90,313	87,125	4/15/2017	8.88%	
NEXTEL COMMUNICATIONS INC	30,000	30,038	27,450	8/1/2015	7.38%	
NEXTEL COMMUNICATIONS INC	45,000	45,113	44,775	10/31/2013	6.88%	
NEXTERA ENERGY CAPITAL HOLDING	390,000	432,264	454,654	3/1/2019	6.00%	
NIAGARA MOHAWK POWER CORP	150,000	159,282	167,742	8/15/2019	4.88%	
NII CAPITAL CORP	305,000	324,888	321,013	12/15/2019	8.88%	
NII CAPITAL CORP	295,000	291,500	292,788	4/1/2021	7.63%	
NISKA GAS STORAGE US LLC/NISKA	240,000	256,800	234,600	3/15/2018	8.88%	
NISOURCE FINANCE CORP	140,000	161,980	163,975	1/15/2019	6.80%	
NISOURCE FINANCE CORP	100,000	103,100	109,044	12/15/2040	6.25%	
NISOURCE FINANCE CORP	240,000	239,263	257,587	6/15/2041	5.95%	
NISSAN AUTO LEASE TRUST B A2	290,000	290,000	289,881	2/17/2014	0.47%	
NISSAN AUTO RECEIVABLES O A A2	495,000	494,953	496,030	12/16/2013	0.65%	
NISSAN AUTO RECEIVABLES O B A2	440,000	439,955	440,097	9/15/2014	0.74%	
NOMURA HOLDINGS INC	130,000	139,138	136,882	3/4/2020	6.70%	
NORFOLK SOUTHERN CORP	202,000	241,863	280,291	2/15/2031	7.25%	
NORFOLK SOUTHERN CORP	125,000	123,896	147,875	3/15/2105	6.00%	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
NORFOLK SOUTHERN CORP	537,000	537,000	623,350	5/23/2048	6.00%	
NORFOLK SOUTHERN CORP 144A	44,000	44,447	46,684	10/1/2041	4.84%	
NORFOLK SOUTHERN RAILWAY CO	255,000	351,043	368,972	6/15/2020	9.75%	
NORTHERN STATES POWER CO/MN	365,000	421,462	505,405	6/1/2036	6.25%	
NORTHERN STATES POWER CO/MN	137,000	141,061	170,162	11/1/2039	5.35%	
NOVA CHEMICALS CORP	155,000	169,338	170,888	11/1/2019	8.63%	
NRG ENERGY INC	310,000	317,750	311,550	9/1/2020	8.25%	
NRG ENERGY INC	245,000	245,000	240,100	5/15/2019	7.63%	
NRG ENERGY INC	980,000	980,000	955,500	5/15/2021	7.88%	
NUCOR CORP	385,000	446,542	509,532	12/1/2037	6.40%	9
OFFSHORE GROUP INVESTMENTS LTD	365,000	395,350	394,656	8/1/2015	11.50%	
OHIO POWER CO	235,000	261,346	301,268	2/15/2033	6.60%	
OIL STATES INTERNATIONAL INC	425,000	425,000	434,563	6/1/2019	6.50%	119
ONCOR ELECTRIC DELIVERY CO LLC	360,000	425,930	483,901	1/15/2033	7.25%	
ONCOR ELECTRIC DELIVERY CO LLC	75,000	86,417	99,026	5/1/2032	7.00%	
ORACLE CORP	100,000	116,552	135,128	4/15/2038	6.50%	
ORACLE CORP	250,000	275,545	328,360	7/8/2039	6.13%	
ORACLE CORP	473,000	468,121	576,246	7/15/2040	5.38%	
OWENS CORNING	155,000	157,895	158,421	12/1/2036	7.00%	
OXEA FINANCE & CY SCA	125,000	135,469	125,000	7/15/2017	9.50%	
PACIFIC GAS & ELECTRIC CO	530,000	573,518	656,055	3/1/2034	6.05%	
PACIFIC GAS & ELECTRIC CO	512,000	542,628	623,575	3/1/2037	5.80%	
PACIFIC GAS & ELECTRIC CO	399,000	403,034	464,041	1/15/2040	5.40%	
PACIFIC LIFE GBL MTN #SR00005	135,000	138,040	143,205	5/15/2017	5.00%	
PACIFIC LIFE INSURANCE CO	219,000	282,962	291,636	6/15/2039	9.25%	
PACIFICORP	655,000	839,107	954,459	11/15/2031	7.70%	
PACIFICORP	125,000	132,893	154,428	4/1/2037	5.75%	
PACIFICORP	275,000	311,647	362,502	10/15/2037	6.25%	
PAETEC HOLDING CORP	185,000	197,488	199,800	6/30/2017	8.88%	
PARKER HANNIFIN CORP	170,000	209,466	213,984	5/15/2038	6.25%	
PEABODY ENERGY CORP 144A	375,000	375,000	388,125	11/15/2021	6.25%	
PEARSON DOLLAR FINANCE TWO PLC	140,000	155,588	162,590	5/6/2018	6.25%	
PEPSICO INC/NC	270,000	270,000	270,289	5/10/2013	0.52%	
PERNOD-RICARD SA	375,000	374,048	392,843	1/15/2022	4.45%	
PETROBRAS INTERNATIONAL FINANC	113,000	128,244	129,852	1/27/2041	6.75%	
PETRO-CANADA	990,000	1,126,804	1,278,258	5/15/2038	6.80%	
PFIZER INC	530,000	685,841	782,428	3/15/2039	7.20%	
PHARMACIA CORP	100,000	115,539	130,791	12/1/2028	6.60%	
PHILIP MORRIS INTERNATIONAL IN	845,000	976,349	1,101,618	5/16/2038	6.38%	
PINNACLE ENTERTAINMENT INC	175,000	181,125	171,500	5/15/2020	8.75%	2,160
PLAINS ALL AMERICAN PIPELINE L	505,000	531,379	601,738	1/15/2037	6.65%	
PLASTIPAK HOLDINGS INC	120,000	134,850	132,600	8/15/2019	10.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
PNC FUNDING CORP	510,000	533,698	576,285	2/8/2020	5.13%	
PNC FUNDING CORP	77,000	76,099	83,255	8/11/2020	4.38%	
POLYMER GROUP INC	205,000	209,600	212,175	2/1/2019	7.75%	
POTASH CORP OF SASKATCHEWAN IN	120,000	139,674	146,326	12/1/2036	5.88%	
POTASH CORP OF SASKATCHEWAN IN	635,000	638,008	775,373	12/1/2040	5.63%	
POTOMAC ELECTRIC POWER CO	145,000	167,496	195,493	11/15/2037	6.50%	
POTOMAC ELECTRIC POWER CO	250,000	335,083	386,550	12/15/2038	7.90%	
PPG INDUSTRIES INC	125,000	163,844	174,749	5/1/2021	9.00%	
PPG INDUSTRIES INC	170,000	211,171	247,571	3/15/2038	7.70%	
PPG INDUSTRIES INC	21,000	20,606	24,321	11/15/2040	5.50%	
PPL ELECTRIC UTILITIES	65,000	64,628	77,630	7/15/2041	5.20%	
PRINCIPAL FINANCIAL GROUP INC	14,000	14,090	14,714	10/15/2036	6.05%	
PRINCIPAL FINANCIAL GROUP INC	130,000	163,550	161,855	5/15/2019	8.88%	
PROCTER & GAMBLE CO/THE	160,000	212,326	237,224	9/1/2024	8.00%	
PROGRESS ENERGY INC	182,000	227,255	258,455	3/1/2031	7.75%	
PROGRESS ENERGY INC	830,000	966,744	1,103,601	10/30/2031	7.00%	
PROGRESS ENERGY INC	185,000	195,497	231,809	12/1/2039	6.00%	8
PROVIDENT FUNDING ASSOCIATES L	200,000	185,300	154,000	2/15/2019	10.13%	
PROVINCE OF QUEBEC CANADA	170,000	204,078	230,450	1/30/2026	6.35%	
PRUDENTIAL FINANCIAL INC	385,000	382,543	382,251	12/14/2036	5.70%	
PRUDENTIAL INS 144A	475,000	585,594	607,696	7/1/2025	8.30%	
PSEG POWER LLC	55,000	70,738	81,133	4/15/2031	8.63%	
PSEG POWER LLC	81,000	84,282	90,863	4/15/2020	5.13%	
PUBLIC SERVICE CO OF COLORADO	70,000	82,659	97,552	8/1/2038	6.50%	315
PUBLIC SERVICE CO OF COLORADO	30,000	34,226	36,022	8/1/2018	5.80%	4
PUBLIC SERVICE CO OF OKLAHOMA	239,000	250,231	266,502	12/1/2019	5.15%	
PUBLIC SERVICE COLORADO	305,000	303,835	349,460	8/15/2041	4.75%	
PUBLIC SERVICE ELECTRIC & GAS	195,000	198,555	241,114	11/1/2039	5.38%	
QUADRA FNX MINING LTD	250,000	250,000	282,813	6/15/2019	7.75%	
QUEBECOR MEDIA INC	60,000	61,950	61,650	3/15/2016	7.75%	
QUEBECOR MEDIA INC	145,000	149,713	148,988	3/15/2016	7.75%	
QWEST CAPITAL FUNDING INC	185,000	194,950	183,773	2/15/2031	7.75%	
QWEST CAPITAL FUNDING INC	200,000	194,000	187,794	7/15/2028	6.88%	
QWEST CORP	267,000	262,143	291,030	12/1/2021	6.75%	
QWEST CORP	240,000	235,800	238,390	9/15/2033	6.88%	
QWEST CORP	240,000	237,000	239,314	10/15/2035	7.25%	
RAIN CII CARBON LLC / CII CARB	220,000	225,500	220,550	12/1/2018	8.00%	
RECKSON OPERATING PARTNERSHIP	285,000	304,950	312,577	3/15/2020	7.75%	
REED ELSEVIER CAPITAL INC	150,000	190,709	187,214	1/15/2019	8.63%	
REGAL ENTERTAINMENT GROUP	160,000	168,317	171,600	8/15/2018	9.13%	2
REGIONS FINANCIAL CORP	200,000	214,500	201,000	11/10/2014	7.75%	
REGIONS FINANCIAL CORP	395,000	392,784	377,225	6/15/2015	5.75%	1

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
REPUBLIC SERVICES INC	610,000	659,514	737,417	3/1/2040	6.20%	
REPUBLIC SERVICES INC	238,000	236,641	273,010	5/15/2041	5.70%	
REVLON CONSUMER PRODUCTS CORP	335,000	354,263	356,356	11/15/2015	9.75%	
REYNOLDS GRP ISS/REYNOLD 144A	675,000	682,005	705,375	8/15/2019	7.88%	
REYNOLDS GRP ISS/REYNOLD 144A	300,000	297,954	291,000	8/15/2019	9.88%	
RIO TINTO ALCAN INC	420,000	494,075	567,130	3/15/2031	7.25%	
RIO TINTO FINANCE USA LTD	450,000	546,741	613,314	7/15/2028	7.13%	
RIO TINTO FINANCE USA LTD	147,000	143,631	168,361	11/2/2040	5.20%	
RITE AID CORP	165,000	158,606	164,794	3/1/2017	7.50%	
ROCHE HOLDINGS INC	425,000	534,852	602,200	3/1/2039	7.00%	
ROTECH HEALTHCARE INC	65,000	66,950	64,025	10/15/2015	10.75%	
ROTECH HEALTHCARE INC	25,000	24,549	19,250	3/15/2108	10.50%	13
ROUSE CO LP/THE	430,000	440,750	434,838	11/9/2015	6.75%	
ROYAL BANK OF CANADA	115,000	114,871	114,670	10/30/2014	1.25%	
ROYAL BANK OF SCOTLAND PLC/THE	215,000	214,207	212,104	1/11/2021	6.13%	
RPM INTERNATIONAL INC	75,000	81,068	81,520	10/15/2019	6.13%	
SABINE PASS LNG LP	225,000	210,938	226,125	11/30/2016	7.50%	
SABMILLER PLC GTD NT 144A	135,000	154,886	171,878	8/15/2033	6.63%	
SALISBURY REC CO DISC	1,040,000	1,039,102	1,039,102	1/20/2012	0.00%	
SALLY HOLDINGS/SALLY CAP	90,000	90,000	94,050	11/15/2019	6.88%	
SAN DIEGO GAS & ELECTRIC CO	58,000	52,293	64,891	8/15/2040	4.50%	
SANDRIDGE ENERGY INC 144A	330,000	325,050	333,300	6/1/2018	8.00%	
SANOFI	235,000	235,000	235,223	3/28/2013	0.77%	
SATMEX ESCROW SA DE CV	160,000	162,250	163,200	5/15/2017	9.50%	
SEAGATE HDD CAYMAN	285,000	288,563	303,169	12/15/2018	7.75%	
SEAGATE HDD CAYMAN	255,000	255,000	261,375	11/1/2021	7.00%	
SEAGATE HDD CAYMAN	70,000	66,850	71,925	5/1/2020	6.88%	
SEAGATE TECHNOLOGY INTERNATIONAL	43,000	50,418	48,644	5/1/2014	10.00%	
SEALED AIR CORP	350,000	364,925	383,250	9/15/2019	8.13%	
SEALED AIR CORP	95,000	95,000	104,975	9/15/2021	8.38%	
SEARS HOLDINGS CORP	1,345,000	1,188,450	1,022,200	10/15/2018	6.63%	4
SEMPRA ENERGY	200,000	268,872	270,108	2/15/2019	9.80%	
SEMPRA ENERGY	1,155,000	1,219,128	1,425,108	10/15/2039	6.00%	
SHELL INTERNATIONAL FINANCE BV	255,000	302,234	350,959	12/15/2038	6.38%	
SHELL INTERNATIONAL FINANCE BV	215,000	230,332	268,655	3/25/2040	5.50%	
SIEMENS NV 144A	620,000	698,092	752,637	8/17/2026	6.13%	
SIMON PROPERTY GROUP LP	50,000	56,198	57,401	5/30/2018	6.13%	
SIMON PROPERTY GROUP LP	88,000	100,217	114,898	2/1/2040	6.75%	
SIMON PROPERTY GROUP LP	270,000	269,160	282,331	12/1/2021	4.13%	
SINCLAIR TELEVISION GROUP INC	165,000	178,613	179,850	11/1/2017	9.25%	
SIRIUS XM RADIO INC	380,000	419,550	412,300	9/1/2015	9.75%	
SLM CORP	200,000	197,878	194,496	1/25/2016	6.25%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
SOLITAIRE FDG LTD DISC	990,000	989,122	989,122	1/17/2012	0.00%	
SOLUTIA INC	145,000	158,775	158,413	11/1/2017	8.75%	
SOLUTIA INC	140,000	149,800	152,250	3/15/2020	7.88%	68,749
SOUTH CAROLINA ELECTRIC & GAS	230,000	267,943	275,204	2/1/2041	5.45%	
SOUTHERN CALIFORNIA EDISON CO	575,000	639,417	761,875	3/15/2039	6.05%	
SOUTHERN CALIFORNIA EDISON CO	390,000	404,387	487,278	3/15/2040	5.50%	3
SOUTHERN POWER CO	115,000	114,226	122,008	9/15/2041	5.15%	
SPECTRA ENERGY CAPITAL LLC	130,000	158,374	163,595	10/1/2019	8.00%	
SPECTRA ENERGY CAPITAL LLC	25,000	32,184	32,530	9/15/2038	7.50%	
SPECTRA ENERGY PARTNERS LP	170,000	169,944	177,643	6/15/2021	4.60%	
SPEEDWAY MOTORSPORTS INC	30,000	32,325	32,700	6/1/2016	8.75%	
SPEEDY CASH INC	190,000	191,563	190,950	5/15/2018	10.75%	
SPIRIT AEROSYSTEMS INC	65,000	67,600	70,525	10/1/2017	7.50%	
SPIRIT AEROSYSTEMS INC	235,000	238,213	245,575	12/15/2020	6.75%	
SPRINGLEAF FINANCE CORP	100,000	77,500	70,500	9/15/2016	5.75%	
SPRINGLEAF FINANCE CORP	1,000,000	802,500	720,000	12/15/2017	6.90%	
SPRINT CAPITAL CORP	325,000	306,425	231,969	11/15/2028	6.88%	1
SPRINT CAPITAL CORP	1,155,000	998,063	949,988	5/1/2019	6.90%	
SPRINT CAPITAL CORP	435,000	439,350	351,806	3/15/2032	8.75%	
SPRINT NEXTEL CORP 144A	200,000	202,625	198,000	11/15/2021	11.50%	
SPRINT NEXTEL CORP 144A	230,000	230,000	241,500	11/15/2018	9.00%	
SQUARETWO FINANCIAL CORP	215,000	207,975	207,475	4/1/2017	11.63%	
STANDARD CHART DISC	950,000	948,575	948,575	2/21/2012	0.00%	
STANDARD PACIFIC CORP	220,000	217,300	209,000	5/15/2018	8.38%	
STATER BROS HOLDINGS INC	95,000	97,613	97,494	4/15/2015	7.75%	
STATOIL ASA	230,000	283,569	316,623	1/15/2029	7.15%	
STATOIL ASA	125,000	157,404	171,096	9/23/2027	7.25%	
STATOIL ASA	138,000	137,971	163,358	8/17/2040	5.10%	
STATOIL ASA	175,000	175,000	182,770	11/23/2041	4.25%	
SUGARHOUSE HSP GAMING PROP MEZ	57,000	57,000	58,425	4/15/2016	8.63%	
SUNGARD DATA SYSTEMS INC	135,000	136,813	138,713	11/15/2020	7.63%	
SUNTRUST BANKS INC	150,000	141,998	151,799	2/15/2026	6.00%	
SVENSKA HANDELSBANKEN AB	65,000	65,217	65,302	9/14/2012	1.54%	
SYNIVERSE HOLDINGS INC	165,000	166,238	174,075	1/15/2019	9.13%	
TALISMAN ENERGY INC	520,000	554,824	625,830	2/1/2038	6.25%	
TALISMAN ENERGY INC	95,000	118,220	117,141	6/1/2019	7.75%	
TARGA RESOURCES PARTNERS LP /	145,000	152,975	151,888	7/1/2016	8.25%	
TARGET CORP	400,000	466,424	531,420	10/15/2037	6.50%	
TARGET CORP	400,000	487,520	559,124	1/15/2038	7.00%	
TCI COMMUNICATIONS INC	150,000	168,227	183,767	2/15/2028	7.13%	
TECK RESOURCES LTD	50,000	53,831	54,856	10/1/2035	6.13%	
TECK RESOURCES LTD	375,000	380,876	412,590	8/15/2040	6.00%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TELCORDIA TECHNOLOGIES INC	370,000	371,850	469,900	5/1/2018	11.00%	
TELECOM ITALIA CAPITAL SA	170,000	167,562	144,985	6/4/2038	7.72%	
TELEFONICA EMISIONES SAU	225,000	224,210	219,391	6/20/2036	7.05%	
TELEFONICA EMISIONES SAU	50,000	51,088	49,414	7/15/2019	5.88%	
TELEFONICA EMISIONES SAU	19,000	19,000	18,131	2/16/2021	5.46%	
TELEFONICA EUROPE BV	355,000	425,698	390,433	9/15/2030	8.25%	
TENET HEALTHCARE CORP	290,000	327,700	325,525	7/1/2019	8.88%	
TENET HEALTHCARE CORP	255,000	255,000	259,463	11/1/2018	6.25%	
TENNESSEE GAS PIPELINE CO	100,000	116,049	118,039	2/1/2016	8.00%	
TENNESSEE VALLEY AUTH BD	105,000	120,687	143,199	4/1/2036	5.88%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,188,315	1,434,797	9/15/2039	5.25%	
TENNESSEE VALLEY AUTH BD	336,000	314,278	401,197	9/15/2060	4.63%	
TEREX CORP	355,000	412,244	392,275	6/1/2016	10.88%	
TEVA PHARMACEUTICAL FINANCE CO	110,000	123,859	133,696	2/1/2036	6.15%	
TEXAS COMPETITIVE ELECTRIC HOL	1,125,000	1,061,434	954,844	10/1/2020	11.50%	1
THAMES ASSET #1 DISC	1,080,000	1,079,739	1,079,739	1/20/2012	0.00%	
THERMADYNE HOLDINGS CORP	200,000	206,181	207,000	12/15/2017	9.00%	
THOMSON REUTERS CORP	120,000	126,133	132,802	10/15/2019	4.70%	
THOMSON REUTERS CORP	125,000	132,243	143,186	4/15/2040	5.85%	
TIME WARNER CABLE INC	735,000	784,031	836,004	5/1/2037	6.55%	
TIME WARNER CABLE INC	383,000	446,455	454,893	7/1/2018	6.75%	
TIME WARNER CABLE INC	425,000	496,957	516,443	7/1/2038	7.30%	
TIME WARNER CABLE INC	165,000	209,958	210,693	2/14/2019	8.75%	
TIME WARNER CABLE INC	191,000	196,792	201,276	9/1/2041	5.50%	
TIME WARNER ENTERTAINMENT CO L	300,000	376,686	390,720	3/15/2023	8.38%	
TIME WARNER INC	870,000	1,057,642	1,123,066	4/15/2031	7.63%	
TIME WARNER INC	1,060,000	1,293,931	1,382,971	5/1/2032	7.70%	
TIME WARNER INC	100,000	106,305	117,790	3/15/2040	6.20%	
TIME WARNER INC	55,000	57,711	64,479	7/15/2040	6.10%	
TIME WARNER INC	24,000	23,690	28,775	3/29/2041	6.25%	
TIME WARNER INC	22,000	21,861	23,830	10/15/2041	5.38%	
TORONTO-DOMINION BANK	470,000	470,000	469,319	7/26/2013	0.74%	
TOSCO CORP	100,000	124,618	140,521	1/1/2027	7.80%	
TOSCO CORP	30,000	38,858	43,927	2/15/2030	8.13%	
TOTAL CAPITAL CANADA LTD	245,000	245,000	245,421	5/13/2013	0.54%	
TOWER AUTOMOTIVE HOLDINGS USA	95,000	102,125	95,475	9/1/2017	10.63%	18
TOYOTA AUTO RECEIVABLES O B A2	290,000	289,996	289,469	4/15/2014	0.53%	
TOYOTA MOTOR CREDIT CORP	325,000	325,000	325,767	10/12/2013	1.03%	
TOYS R US PROPERTY CO I LLC	185,000	210,900	202,344	7/15/2017	10.75%	
TPC GROUP LLC	85,000	89,038	85,000	10/1/2017	8.25%	
TRANSCANADA PIPELINES LTD	500,000	536,705	640,530	6/1/2040	6.10%	
TRANSOCEAN INC	290,000	310,750	300,979	4/15/2031	7.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TRANSOCEAN INC	110,000	116,789	113,629	11/15/2020	6.50%	
TRANSOCEAN INC	12,000	12,000	13,330	12/15/2041	7.35%	
TRAVELERS COS INC/THE	200,000	196,590	231,070	11/1/2040	5.35%	
TRAVELERS PROPERTY CASUALTY CO	200,000	245,632	264,824	4/15/2026	7.75%	
TRILOGY INTERNATIONAL PARTNERS	665,000	658,350	608,475	8/15/2016	10.25%	
TRIUMPH GROUP INC	145,000	150,800	154,425	11/15/2017	8.00%	
TYCO INTERNATIONAL FINANCE SA	195,000	249,596	251,176	1/15/2019	8.50%	
U S TREASURY BD CPN STRIP	100,000	66,131	81,643	11/15/2021	0.00%	
U S TREASURY BD CPN STRIP	1,700,000	1,108,009	1,374,144	2/15/2022	0.00%	
U S TREASURY BD CPN STRIP	1,300,000	756,171	968,357	2/15/2024	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	573,440	737,530	5/15/2024	0.00%	
U S TREASURY BD CPN STRIP	3,200,000	1,807,072	2,334,528	8/15/2024	0.00%	
U S TREASURY BD CPN STRIP	7,300,000	4,065,005	5,276,367	11/15/2024	0.00%	
U S TREASURY BD CPN STRIP	4,550,000	2,498,724	3,255,343	2/15/2025	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	540,710	707,920	5/15/2025	0.00%	
U S TREASURY BD CPN STRIP	450,000	239,904	315,576	8/15/2025	0.00%	
U S TREASURY BD CPN STRIP	3,600,000	1,833,300	2,450,088	5/15/2026	0.00%	
U S TREASURY BD CPN STRIP	900,000	460,384	600,930	11/15/2026	0.00%	
U S TREASURY BD CPN STRIP	3,350,000	1,799,311	2,215,523	2/15/2027	0.00%	
U S TREASURY BD CPN STRIP	1,215,000	577,194	796,007	5/15/2027	0.00%	
U S TREASURY BD CPN STRIP	6,100,000	2,896,890	3,956,826	8/15/2027	0.00%	
U S TREASURY BD CPN STRIP	7,000,000	3,282,370	4,499,040	11/15/2027	0.00%	
U S TREASURY BD CPN STRIP	3,250,000	1,501,008	2,069,893	2/15/2028	0.00%	
U S TREASURY BD CPN STRIP	700,000	299,607	438,193	8/15/2028	0.00%	
U S TREASURY BD CPN STRIP	1,500,000	683,305	946,920	5/15/2028	0.00%	
U S TREASURY BD CPN STRIP	1,125,000	577,016	698,074	11/15/2028	0.00%	
U S TREASURY BD CPN STRIP	3,235,000	1,494,588	1,990,657	2/15/2029	0.00%	
U S TREASURY BD CPN STRIP	1,550,000	813,467	936,696	8/15/2029	0.00%	
U S TREASURY BD CPN STRIP	3,200,000	1,389,216	1,950,208	5/15/2029	0.00%	6
U S TREASURY BD CPN STRIP	3,570,000	1,676,900	2,138,858	11/15/2029	0.00%	
U S TREASURY BD CPN STRIP	1,525,000	690,947	898,210	5/15/2030	0.00%	
U S TREASURY BD CPN STRIP	4,675,000	1,917,521	2,775,688	2/15/2030	0.00%	
U S TREASURY BD CPN STRIP	2,300,000	924,036	1,344,833	8/15/2030	0.00%	
U S TREASURY BD CPN STRIP	1,050,000	417,701	603,456	2/15/2031	0.00%	
U S TREASURY BD CPN STRIP	400,000	143,572	196,536	5/15/2035	0.00%	
U S TREASURY BD CPN STRIP	2,100,000	646,611	996,492	5/15/2036	0.00%	
U S TREASURY BD CPN STRIP	6,200,000	2,406,220	3,496,800	8/15/2031	0.00%	
U S TREASURY BD CPN STRIP	2,850,000	1,078,298	1,577,988	2/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	147,680	217,444	8/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	144,252	213,696	2/15/2033	0.00%	
U S TREASURY BD CPN STRIP	2,050,000	749,218	1,075,287	8/15/2033	0.00%	
U S TREASURY BD CPN STRIP	350,000	125,680	180,117	2/15/2034	0.00%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
U S TREASURY BD CPN STRIP	2,400,000	878,214	1,189,584	2/15/2035	0.00%	
U S TREASURY BD CPN STRIP	1,450,000	614,702	839,797	11/15/2030	0.00%	
U S TREASURY BD CPN STRIP	3,535,000	1,445,491	2,011,026	5/15/2031	0.00%	
U S TREASURY BD CPN STRIP	700,000	303,774	391,503	11/15/2031	0.00%	
U S TREASURY BD CPN STRIP	4,450,000	2,219,110	2,399,529	11/15/2032	0.00%	
U S TREASURY BD CPN STRIP	1,900,000	885,976	1,005,860	5/15/2033	0.00%	
U S TREASURY BD CPN STRIP	400,000	146,925	207,892	11/15/2033	0.00%	
U S TREASURY BD CPN STRIP	570,000	195,403	290,597	5/15/2034	0.00%	
U S TREASURY BOND	2,270,000	3,014,129	3,505,379	8/15/2026	6.75%	
U S TREASURY BOND	6,960,000	8,938,040	10,559,642	11/15/2026	6.50%	1
U S TREASURY BOND	6,545,000	8,608,704	10,071,119	2/15/2027	6.63%	
U S TREASURY BOND	2,780,000	3,577,526	4,213,868	8/15/2027	6.38%	
U S TREASURY BOND	1,000,000	1,256,250	1,486,250	11/15/2027	6.13%	
U S TREASURY BOND	4,040,000	4,760,251	5,703,349	8/15/2028	5.50%	
U S TREASURY BOND	485,000	561,850	668,694	11/15/2028	5.25%	
U S TREASURY BOND	800,000	1,087,162	1,237,248	5/15/2030	6.25%	
U S TREASURY BOND	1,890,000	2,297,327	2,693,836	2/15/2031	5.38%	
U S TREASURY BOND	2,045,000	2,182,667	2,676,067	2/15/2036	4.50%	1
U S TREASURY BOND	265,000	273,032	349,220	5/15/2038	4.50%	
U S TREASURY BOND	11,875,000	11,702,907	15,107,256	5/15/2039	4.25%	
U S TREASURY BOND	4,870,000	4,772,728	5,837,913	8/15/2040	3.88%	8
UBS AG/STAMFORD CT	100,000	108,673	103,607	4/25/2018	5.75%	
UBS AG/STAMFORD CT	250,000	252,110	250,308	2/23/2012	1.60%	
UNION CARBIDE CORP	105,000	121,670	123,924	6/1/2025	7.50%	
UNION CARBIDE CORP	355,000	346,960	400,614	10/1/2096	7.75%	
UNION PACIFIC CORP	525,000	595,497	689,372	2/1/2029	6.63%	
UNION PACIFIC CORP	520,000	509,761	566,514	9/15/2041	4.75%	
UNION PACIFIC RESOURCES GROUP	376,000	389,634	435,058	5/15/2028	7.15%	
UNITED MARITIME GROUP LLC/UNIT	75,000	75,188	76,313	6/15/2015	11.75%	
UNITED PARCEL SERVICE INC	316,000	331,911	329,013	1/15/2013	4.50%	
UNITED PARCEL SERVICE INC	115,000	134,951	154,685	1/15/2038	6.20%	
UNITED PARCEL SERVICE OF AMERI	75,000	99,387	106,100	4/1/2020	8.35%	
UNITED PARCEL SERVICE OF AMERI	90,000	115,461	131,826	4/1/2030	8.38%	
UNITED REFINING CO	470,000	452,027	439,450	2/28/2018	10.50%	
UNITED RENTALS NORTH AMERICA I	430,000	476,875	450,425	12/15/2019	9.25%	
UNITED TECHNOLOGIES CORP	120,000	154,186	168,828	9/15/2029	7.50%	
UNITED TECHNOLOGIES CORP	425,000	489,375	531,798	7/15/2038	6.13%	
UNITED TECHNOLOGIES CORP	595,000	643,284	734,569	4/15/2040	5.70%	
UNITEDHEALTH GROUP INC	430,000	427,738	528,664	2/15/2041	5.95%	
UNITEDHEALTH GROUP INC	117,000	115,540	123,020	11/15/2041	4.63%	36
UNITYMEDIA HESSEN GMBH & CO KG	100,000	106,000	105,625	12/1/2017	8.13%	
UNIVERSAL HOSPITAL SERVICES IN	310,000	318,525	313,100	6/1/2015	8.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
UNIVISION COMMUNICATIONS INC	75,000	78,750	76,125	11/1/2020	7.88%	
UPC HOLDING BV	100,000	109,500	106,625	4/15/2018	9.88%	
UPCB FINANCE LTD	225,000	225,000	227,813	11/15/2021	7.25%	
US TREAS-CPI INFLATION INDEX	517,000	668,417	698,312	2/15/2041	2.13%	
USG CORP	235,000	232,338	198,575	1/15/2018	9.75%	
USG CORP	300,000	316,500	301,500	8/1/2014	9.75%	
USG CORP	30,000	26,250	27,600	10/15/2018	8.38%	
VALEANT PHARMACEUTICALS INTERN	335,000	318,363	334,163	12/1/2018	6.88%	
VALERO ENERGY CORP	75,000	99,558	110,641	3/15/2039	10.50%	
VANGUARD HEALTH HOLDING CO II	120,000	120,000	115,200	2/1/2019	7.75%	
VENTAS REALTY LP / VENTAS CAPI	235,000	232,960	226,810	6/1/2021	4.75%	
VEOLIA ENVIRONNEMENT SA	125,000	145,010	144,998	6/1/2038	6.75%	
VERIZON COMMUNICATIONS INC	100,000	110,618	126,940	2/15/2038	6.40%	
VERIZON COMMUNICATIONS INC	160,000	186,616	213,854	4/15/2038	6.90%	
VERIZON COMMUNICATIONS INC	390,000	478,008	545,224	4/1/2039	7.35%	
VERIZON COMMUNICATIONS INC	703,000	727,155	839,916	9/15/2035	5.85%	
VERIZON GLOBAL FUNDING CORP	775,000	961,434	1,079,358	12/1/2030	7.75%	
VERIZON NEW JERSEY INC	700,000	753,179	848,169	11/15/2029	7.85%	
VERIZON PENNSYLVANIA INC	120,000	139,397	149,858	12/15/2030	8.35%	
VERSAILLES CDS DISC	4,000,000	3,999,511	3,999,511	1/3/2012	0.00%	
VERTELLUS SPECIALTIES INC	140,000	148,400	107,100	10/1/2015	9.38%	
VIACOM INC	365,000	418,783	456,819	4/30/2036	6.88%	
VIASAT INC	180,000	191,700	184,500	9/15/2016	8.88%	
VIASYSTEMS INC	70,000	78,225	74,988	1/15/2015	12.00%	
VIRGINIA ELECTRIC AND POWER CO	475,000	732,456	784,805	11/15/2038	8.88%	
VISTEON CORP/NEW	270,000	270,000	269,325	4/15/2019	6.75%	
VODAFONE GROUP PLC	250,000	264,115	311,100	2/27/2037	6.15%	
VOLKSWAGEN AUTO LEASE TRU A A2	141,467	141,513	141,520	11/22/2013	0.69%	
VOLKSWAGEN AUTO LEASE TRU A A2	290,000	289,997	290,186	2/20/2014	1.00%	
VULCAN MATERIALS CO	65,000	66,300	70,200	6/15/2021	7.50%	402
WACHOVIA BANK NA	780,000	859,445	884,551	1/15/2038	6.60%	
WACHOVIA CORP	335,000	382,671	397,029	8/1/2026	7.57%	
WAL-MART STORES INC	520,000	670,571	759,335	2/15/2030	7.55%	
WAL-MART STORES INC	100,000	100,635	119,619	9/1/2035	5.25%	
WAL-MART STORES INC	485,000	569,802	670,023	8/15/2037	6.50%	
WAL-MART STORES INC	250,000	284,605	335,733	4/15/2038	6.20%	
WAL-MART STORES INC	900,000	940,941	1,139,994	4/1/2040	5.63%	6
WAL-MART STORES INC	37,000	35,937	43,547	10/25/2040	5.00%	
WAL-MART STORES INC	800,000	784,672	1,033,920	4/15/2041	5.63%	
WAMU MORTGAGE PASS THROU S8 A6	558,806	580,549	568,887	1/25/2018	4.50%	
WAMU MORTGAGE PASS THROU S9 A8	1,155,395	1,153,202	1,188,566	10/25/2033	5.25%	
WASTE MANAGEMENT INC	900,000	1,114,689	1,255,122	5/15/2032	7.75%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
WASTE MANAGEMENT INC	50,000	60,505	62,963	3/11/2019	7.38%	
WEA FINANCE LLC / WT FINANCE A	165,000	183,813	184,033	9/2/2019	6.75%	
WEATHERFORD INTERNATIONAL LTD/	125,000	174,125	169,086	3/1/2039	9.88%	
WELLPOINT INC	110,000	120,074	138,829	6/15/2037	6.38%	
WELLPOINT INC	11,000	12,949	13,272	2/15/2019	7.00%	
WELLS FARGO & CO	665,000	663,623	729,292	4/1/2021	4.60%	
WELLS FARGO & CO	100,000	101,678	107,473	2/7/2035	5.38%	
WEST CORP	115,000	121,900	116,150	10/1/2018	8.63%	
WEST CORP	20,000	19,775	19,850	1/15/2019	7.88%	
WESTERN REFINING INC	215,000	232,200	244,563	6/15/2017	11.25%	
WESTPAC BANKING CORP	330,000	346,767	351,094	11/19/2019	4.88%	
WHITE MTNS RE GROUP PFD 144A	220,000	191,145	200,429	12/31/2049	7.51%	
WILLIAMS PARTNERS LP	155,000	161,338	188,992	4/15/2040	6.30%	
WILLIS GROUP HOLDINGS PLC	250,000	247,738	265,125	3/15/2021	5.75%	
WIND ACQUISITION FINANCE SA	1,225,000	1,226,688	1,114,750	2/15/2018	7.25%	
WIND ACQUISITION HOLDINGS FINA	556,627	645,188	407,729	7/15/2017	12.25%	
WINDSTREAM CORP	310,000	311,550	320,463	10/15/2020	7.75%	
WINDSTREAM CORP	400,000	390,000	395,000	4/1/2023	7.50%	
WINDSTREAM CORP 144A	170,000	170,000	169,575	6/1/2022	7.50%	
WISCONSIN POWER & LIGHT CO	75,000	86,414	102,427	8/15/2037	6.38%	
WORLD OMNI AUTOMOBILE LE A A2	222,384	222,367	222,349	10/15/2013	0.81%	
WPX ENERGY INC 144A	295,000	295,000	302,006	1/15/2022	6.00%	
WYETH	60,000	65,502	76,858	4/1/2037	5.95%	
WYNN LAS VEGAS LLC / WYNN LAS	100,000	108,250	111,000	8/15/2020	7.75%	
XCEL ENERGY INC	38,000	39,121	42,827	5/15/2020	4.70%	997
XCEL ENERGY INC	386,000	385,085	420,636	9/15/2041	4.80%	
XEROX CORP	100,000	114,062	122,465	12/15/2039	6.75%	
XEROX CORP	268,000	265,979	271,607	5/15/2021	4.50%	
XM SATELLITE RADIO INC	135,000	139,388	141,750	11/1/2018	7.63%	
XTO ENERGY INC	130,000	163,469	200,593	8/1/2037	6.75%	
ZAYO GROUP LLC/ZAYO CAPITAL IN	65,000	69,144	69,347	3/15/2017	10.25%	
TOTAL U.S. GOVERNMENT SECURITIES		<u>465,831,790</u>	<u>509,448,334</u>			
AND CORPORATE DEBT						
COMMON/COLLECTIVE TRUSTS						
SSGA 20+YEAR US HIGH QUALITY BOND	16,509,667	165,403,288	187,004,994			
SSGA 5-20YEAR US HIGH QUALITY	3,176,236	31,838,770	32,918,509			
JPMCB EXTENDED DURATION FUND	1,538,917	20,037,295	27,192,661			
SSGA LONG US TREASURY INDEX NL	2,783,640	41,323,853	54,935,139			
UBS EMERGING MARKETS DEBT	597,342	61,033,859	68,525,445			
TOTAL COMMON/COLLECTIVE TRUSTS		<u>319,637,065</u>	<u>370,576,748</u>			

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OTHER INVESTMENTS						
AMERICAN MUN PWR-OHIO INC	240,000	248,807	313,097	2/15/2050	7.50%	
BNP PARIBAS CAT 1 REPO	1,868,351	1,868,351	1,868,351	1/3/2012	0.02%	
BNP PARIBAS FIN REPO	1,013,751	1,013,751	1,013,751	1/3/2012	0.09%	
GREENWH CAT 2 REPO	224,557	224,557	224,557	1/3/2012	0.14%	
ILLINOIS ST	1,570,000	1,174,627	1,424,995	6/1/2033	5.10%	
LOS ANGELES CALIF DEPT ARPTS A	65,000	63,011	78,390	5/15/2039	6.58%	
MEXICO GOVERNMENT INTERNATIONALA	275,000	337,563	387,750	4/8/2033	7.50%	
MEXICO GOVERNMENT INTERNATIONALA	820,000	785,211	873,300	10/12/2049	5.75%	
NEW YORK ST DORM AUTH ST PERS	75,000	70,145	84,115	3/15/2033	5.29%	
NEW YORK ST DORM AUTH ST PERS	160,000	149,573	186,558	3/15/2040	5.39%	
OHIO ST UNIV	618,000	621,775	639,809	5/1/2088	4.80%	
PORT AUTH N Y & N J	95,000	99,483	115,129	12/1/2029	6.04%	
PORT AUTH N Y & N J	355,000	343,577	402,921	11/1/2040	5.65%	
US 10YR TREAS NTS FUTURE (CBT)	(10)	-	(15,914)	3/1/2012		
US TREAS BD FUTURE (CBT)	24	-	53,531	3/1/2012		
US ULTRA BOND (CBT)	19	-	35,446	3/1/2012		
TOTAL OTHER INVESTMENTS		<u>7,000,431</u>	<u>7,685,786</u>			
INTEREST BEARING CASH						
AUSTRALIA		248,507	248,244			
BANK NOVA SCOTIA TD		843,617	843,617	1/3/2012	0.01%	
BANK OF NOVA SCOTIA/HOUSTON		470,418	470,766	10/18/2012	0.86%	
BNY MELLON CASH RESERVE		27,868	27,868	12/31/2049	0.01%	
BRAZIL		26,827	23,716			
BULGARIA		10	10			
CANADA		61,836	61,440			
CANADIAN IMPERIAL BANK OF COMM		313,000	312,994	11/5/2012	0.64%	
COMMONWLTH BK AUS TD		843,617	843,617	1/3/2012	0.03%	
DANSKE BANK TD		2,910,261	2,910,261	1/3/2012	0.11%	
DENMARK		15,710	15,339			
DEUTSCHE BK TD		1,218,067	1,218,067	1/3/2012	0.06%	
DZ BK INTL SA TD		843,617	843,617	1/3/2012	0.06%	
GREAT BRITAIN		369,104	365,315			
HONG KONG		47,165	47,230			
JAPAN		144,932	147,969			
NORDEA BANK FINLAND PLC/NEW YO		320,000	318,125	2/7/2013	0.92%	
NORDEA NORTH AMER TD		843,617	843,617	1/3/2012	0.02%	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
ROYAL BANK OF CANADA/NEW YORK		349,860	349,962	1/28/2013	0.70%	
SKANDINAVISKA ENS TD		843,617	843,617	1/3/2012	0.01%	
SOUTH AFRICA		12,124	10,280			
SOUTH KOREA		9,859	9,291			
SWITZERLAND		181	167			
TAIWAN		2,285,010	2,226,740			
UEURO CURRENCY GEOGRAPHIC		223,335	217,102			
UKRAINE		150	150			
WELLS FARGO & CO TD		649,029	649,029	1/3/2012	0.01%	
WESTPAC BANKING CORP/NY		324,737	324,563	8/24/2012	0.73%	
TOTAL INTEREST BEARING CASH		<u>13,997,568</u>	<u>14,172,713</u>			
TOTAL ASSETS HELD FOR INVESTMENT PURPOSES		<u>\$ 2,174,006,418</u>	<u>\$ 2,220,478,774</u>			

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ABBEEY NATL TREASURY SERV	ABBEEY NATL TREASURY SERV 4.000% 04/27/2016 DD 04/27/11	(649,740)	654,617
ACADEMY LTD/ACADEMY FINA 144A	ACADEMY LTD/ACADEMY FINA 144A 9.250% 08/01/2019 DD 08/03/11	(170,000)	169,575
AE ESCROW CORP	AE ESCROW CORP 9.750% 03/15/2020 DD 10/03/11	(75,000)	75,013
AEP INDUSTRIES INC	AEP INDUSTRIES INC 8.250% 04/15/2019 DD 04/18/11	(80,000)	82,000
AGCO CORP	AGCO CORP 5.875% 12/01/2021 DD 12/05/11	(190,000)	189,525
ALLEGHENY TECHNOLOGIES	ALLEGHENY TECHNOLOGIES 5.950% 01/15/2021 DD 01/07/11	(594,322)	604,580
ALLIANZ SE (SOCIETAS EUROPEAE)	ALLIANZ SE (SOCIETAS EUROPEAE)	(1,143,613)	1,066,617
ALLISON TRANSMISSION INC 144A	ALLISON TRANSMISSION INC 144A 7.125% 05/15/2019 DD 05/06/11	(420,000)	423,069
ALPHA NATURAL RESOURCES	ALPHA NATURAL RESOURCES 6.250% 06/01/2021 DD 06/01/11	(120,000)	120,925
ALTRIA GROUP INC	ALTRIA GROUP INC 9.950% 11/10/2038 DD 11/10/08	(1,063,830)	1,087,516
AMEC ORD 50P	AMEC ORD 50P	(401,439)	378,138
AMERICAN HONDA FN DISC	AMERICAN HONDA FN DISC 10/18/2011	(249,898)	249,875
AMERICAN RENAL HOLDINGS INC	AMERICAN RENAL HOLDINGS INC 8.375% 05/15/2018 DD 11/15/10	(74,460)	77,831
AMERICAN ROCK SALT CO	AMERICAN ROCK SALT CO 8.250% 05/01/2018 DD 04/25/11	(190,000)	192,850
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 5/23/2011	(998,975)	999,893
ANHEUSER-BUSCH INBEV WOR	ANHEUSER-BUSCH INBEV WOR 4.375% 02/15/2021 DD 01/27/11	(143,960)	148,001

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ANHEUSER-BUSCH INBEV WORLDWIDE	ANHEUSER-BUSCH INBEV WORLDWIDE 7.750% 01/15/2019 DD 01/15/11	(191,023)	232,114
APPLIED MATERIALS INC	APPLIED MATERIALS INC 4.300% 06/15/2021 DD 06/08/11	(224,525)	234,383
ARAMARK HOLDINGS CORP 144A	ARAMARK HOLDINGS CORP 144A 8.625% 05/01/2016 DD 04/18/11	(415,800)	424,094
ARCHER DANIELS DISC	ARCHER DANIELS DISC 5/5/2011	(1,718,742)	1,719,666
ARD FINANCE SA	ARD FINANCE SA 11.125% 06/01/2018 DD 05/18/11	(200,000)	207,000
ASIAN BAMBOO AG	ASIAN BAMBOO AG	(139,562)	48,184
ATLANTIC ASSET DISC	ATLANTIC ASSET DISC 4/11/2011	(2,997,802)	2,998,250
ATLANTIC POWER CORP	ATLANTIC POWER CORP 9.000% 11/15/2018 DD 11/04/11	(68,230)	69,825
AVIV HEALTHCARE PROPRTI 144A	AVIV HEALTHCARE PROPRTI 144A 7.750% 02/15/2019 DD 02/04/11	(26,000)	26,780
AZIMUT HLDG SPA ORD EUR0.21	AZIMUT HLDG SPA ORD EUR0.22	(236,131)	176,411
B-CORP MERGER SUB INC	B-CORP MERGER SUB INC 8.250% 06/01/2016 DD 06/01/11	(375,000)	378,944
BANCO SANTANDER SA	BANCO SANTANDER SA RTS EXP 26-OCT-2011	(27,609)	27,351
BANCO SANTANDER SA RTS	BANCO SANTANDER SA RTS	(31,273)	33,408
BARCLAYS BANK PLC	BARCLAYS BANK PLC VAR RT 09/29/2049 DD 09/28/06	(206,400)	170,925
BNP PARIBAS	BNP PARIBAS 5.000% 01/15/2021 DD 01/18/11	(327,469)	331,336
BNP PARIBAS	BNP PARIBAS VAR RT 01/10/2014 DD 01/10/11	(245,543)	245,328

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/12/2011 DD 07/11/11	(2,450,500)	2,450,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/14/2011 DD 07/13/11	(2,388,500)	2,388,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/21/2011 DD 07/20/11	(2,125,861)	2,125,861
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 06/24/2011 DD 06/23/11	(2,347,200)	2,347,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/08/2011 DD 07/07/11	(814,000)	814,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/11/2011 DD 07/08/11	(1,529,876)	1,529,876
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/15/2011 DD 07/14/11	(1,971,821)	1,971,821
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/19/2011 DD 07/18/11	(2,369,000)	2,369,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/20/2011 DD 07/19/11	(2,426,400)	2,426,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/25/2011 DD 07/22/11	(2,315,800)	2,315,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 08/08/2011 DD 08/05/11	(1,434,300)	1,434,300

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 08/25/2011 DD 08/24/11	(1,173,359)	1,173,359
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 04/29/2011 DD 04/28/11	(933,464)	933,464
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 06/29/2011 DD 06/28/11	(12,804,068)	12,804,068
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/18/2011 DD 07/15/11	(2,323,001)	2,323,001
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/22/2011 DD 07/21/11	(2,348,700)	2,348,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/26/2011 DD 07/25/11	(2,326,500)	2,326,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/27/2011 DD 07/26/11	(2,389,583)	2,389,583
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 08/11/2011 DD 08/10/11	(157,100)	157,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 09/30/2011 DD 09/29/11	(12,739,894)	12,739,894
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 12/23/2011 DD 12/22/11	(2,691,582)	2,691,582
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 05/10/2011 DD 05/09/11	(2,004,100)	2,004,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/10/2011 DD 08/09/11	(2,026,700)	2,026,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/26/2011 DD 08/25/11	(1,522,400)	1,522,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/31/2011 DD 08/30/11	(2,340,392)	2,340,392
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/22/2011 DD 12/21/11	(2,691,582)	2,691,582

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/29/2011 DD 12/28/11	(3,142,121)	3,142,121
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/30/2011 DD 12/29/11	(1,625,483)	1,625,483
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 04/28/2011 DD 04/27/11	(1,905,129)	1,905,129
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 06/23/2011 DD 06/22/11	(2,195,300)	2,195,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 07/05/2011 DD 07/01/11	(598,365)	598,365
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 08/18/2011 DD 08/17/11	(150,000)	150,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 08/29/2011 DD 08/26/11	(2,151,600)	2,151,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 04/27/2011 DD 04/26/11	(1,000,000)	1,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 06/09/2011 DD 06/08/11	(2,205,500)	2,205,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 06/10/2011 DD 06/09/11	(2,239,000)	2,239,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/13/2011 DD 10/12/11	(1,854,403)	1,854,403
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/25/2011 DD 10/24/11	(1,952,574)	1,952,574
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/27/2011 DD 10/26/11	(2,418,976)	2,418,976
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/28/2011 DD 10/27/11	(2,804,469)	2,804,469
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/31/2011 DD 10/28/11	(1,931,909)	1,931,909

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/08/2011 DD 12/07/11	(1,861,220)	1,861,220
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/09/2011 DD 12/08/11	(1,923,981)	1,923,981
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/12/2011 DD 12/09/11	(1,902,021)	1,902,021
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/27/2011 DD 12/23/11	(3,118,711)	3,118,711
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 04/07/2011 DD 04/06/11	(1,130,000)	1,130,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/08/2011 DD 06/07/11	(2,234,200)	2,234,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/13/2011 DD 06/10/11	(2,256,100)	2,256,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/15/2011 DD 06/14/11	(2,226,100)	2,226,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/21/2011 DD 06/20/11	(2,219,400)	2,219,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/22/2011 DD 06/21/11	(2,217,000)	2,217,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 08/05/2011 DD 08/04/11	(1,408,800)	1,408,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 10/14/2011 DD 10/13/11	(386,829)	386,829
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 10/20/2011 DD 10/19/11	(2,122,099)	2,122,099

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 12/15/2011 DD 12/14/11	(9,619,296)	9,619,296
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 12/28/2011 DD 12/27/11	(3,347,733)	3,347,733
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 04/15/2011 DD 04/14/11	(2,314,500)	2,314,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 05/26/2011 DD 05/25/11	(1,618,000)	1,618,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 07/28/2011 DD 07/27/11	(2,386,200)	2,386,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 10/26/2011 DD 10/25/11	(2,284,542)	2,284,542
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/06/2011 DD 12/05/11	(1,855,742)	1,855,742
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/07/2011 DD 12/06/11	(168,885)	168,885
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/20/2011 DD 12/19/11	(4,363,605)	4,363,605
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 04/08/2011 DD 04/07/11	(948,000)	948,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 05/25/2011 DD 05/24/11	(950,541)	950,541
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 06/17/2011 DD 06/16/11	(2,178,500)	2,178,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 07/29/2011 DD 07/28/11	(2,413,800)	2,413,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 09/07/2011 DD 09/06/11	(928,800)	928,800

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 09/26/2011 DD 09/23/11	(832,000)	832,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/14/2011 DD 11/10/11	(1,779,733)	1,779,733
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/22/2011 DD 11/21/11	(57,979)	57,979
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/30/2011 DD 11/29/11	(2,687,931)	2,687,931
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/05/2011 DD 12/02/11	(1,861,114)	1,861,114
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/16/2011 DD 12/15/11	(2,142,378)	2,142,378
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/21/2011 DD 12/20/11	(1,587,752)	1,587,752
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 03/30/2011 DD 03/29/11	(2,713,257)	2,713,257
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 03/31/2011 DD 03/30/11	(2,891,200)	2,891,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 06/07/2011 DD 06/06/11	(2,302,600)	2,302,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 09/19/2011 DD 09/16/11	(1,721,900)	1,721,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 10/17/2011 DD 10/14/11	(1,601,550)	1,601,550
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/02/2011 DD 11/01/11	(1,998,800)	1,998,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/21/2011 DD 11/18/11	(15,992)	15,992
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 05/17/2011 DD 05/16/11	(2,062,800)	2,062,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 06/03/2011 DD 06/02/11	(2,370,800)	2,370,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 06/06/2011 DD 06/03/11	(2,337,400)	2,337,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 12/19/2011 DD 12/16/11	(1,971,071)	1,971,071
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 02/25/2011 DD 02/24/11	(2,596,300)	2,596,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/11/2011 DD 03/10/11	(1,516,000)	1,516,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/14/2011 DD 03/11/11	(2,522,100)	2,522,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/25/2011 DD 03/24/11	(2,748,700)	2,748,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/29/2011 DD 03/28/11	(3,049,500)	3,049,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 06/16/2011 DD 06/15/11	(2,173,400)	2,173,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 06/20/2011 DD 06/17/11	(2,518,704)	2,518,704
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 09/16/2011 DD 09/15/11	(529,200)	529,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 10/19/2011 DD 10/18/11	(2,186,087)	2,186,087
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 02/10/2011 DD 02/09/11	(268,000)	268,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 02/24/2011 DD 02/23/11	(2,740,900)	2,740,900

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/03/2011 DD 03/02/11	(2,819,000)	2,819,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/09/2011 DD 03/08/11	(2,589,200)	2,589,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/10/2011 DD 03/09/11	(2,549,900)	2,549,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 04/04/2011 DD 04/01/11	(171,000)	171,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 06/01/2011 DD 05/31/11	(2,524,500)	2,524,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 06/02/2011 DD 06/01/11	(2,374,200)	2,374,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/09/2011 DD 02/08/11	(1,905,000)	1,905,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/15/2011 DD 02/14/11	(2,461,000)	2,461,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/17/2011 DD 02/16/11	(2,986,800)	2,986,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/23/2011 DD 02/22/11	(2,334,400)	2,334,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/07/2011 DD 03/04/11	(2,630,200)	2,630,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/08/2011 DD 03/07/11	(2,630,600)	2,630,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/15/2011 DD 03/14/11	(2,698,100)	2,698,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/17/2011 DD 03/16/11	(2,656,500)	2,656,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/24/2011 DD 03/23/11	(2,711,100)	2,711,100

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/07/2011 DD 02/04/11	(749,000)	749,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/08/2011 DD 02/07/11	(1,410,000)	1,410,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/22/2011 DD 02/18/11	(2,724,400)	2,724,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/04/2011 DD 03/03/11	(2,747,700)	2,747,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 11/16/2011 DD 11/15/11	(1,842,556)	1,842,556
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/10/2011 DD 01/07/11	(19,207,379)	19,207,379
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/14/2011 DD 01/13/11	(2,922,880)	2,922,880
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/25/2011 DD 01/24/11	(2,731,000)	2,731,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/26/2011 DD 01/25/11	(3,231,600)	3,231,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/27/2011 DD 01/26/11	(1,987,731)	1,987,731
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 02/04/2011 DD 02/03/11	(253,000)	253,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/16/2011 DD 03/15/11	(2,652,200)	2,652,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/18/2011 DD 03/17/11	(369,045)	369,045
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/23/2011 DD 03/22/11	(2,277,559)	2,277,559

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/18/2011 DD 01/14/11	(3,268,676)	3,268,676
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/31/2011 DD 01/28/11	(1,786,000)	1,786,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 03/02/2011 DD 03/01/11	(2,763,000)	2,763,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 04/18/2011 DD 04/15/11	(2,790,700)	2,790,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 03/21/2011 DD 03/18/11	(8,845,893)	8,845,893
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 02/16/2011 DD 02/15/11	(2,854,900)	2,854,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 01/20/2011 DD 01/19/11	(4,198,694)	4,198,694
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 01/21/2011 DD 01/20/11	(3,314,600)	3,314,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 02/02/2011 DD 02/01/11	(227,000)	227,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 01/19/2011 DD 01/18/11	(3,929,518)	3,929,518
BNP PARIBAS FIN DISC	BNP PARIBAS FIN DISC 8/29/2011	(998,932)	999,610
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.110% 10/25/2011 DD 10/24/11	(326,682)	326,682
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/27/2011 DD 10/26/11	(354,082)	354,082
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/28/2011 DD 10/27/11	(356,818)	356,818

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/31/2011 DD 10/28/11	(529,130)	529,130
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/09/2011 DD 11/08/11	(4,388,455)	4,388,455
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/14/2011 DD 11/10/11	(260,532)	260,532
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/15/2011 DD 11/14/11	(190,943)	190,943
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/28/2011 DD 11/25/11	(76,991)	76,991
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/05/2011 DD 12/02/11	(260,363)	260,363
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/12/2011 DD 12/09/11	(150,133)	150,133
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/13/2011 DD 12/12/11	(138,291)	138,291
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/14/2011 DD 12/13/11	(182,389)	182,389
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/15/2011 DD 12/14/11	(176,089)	176,089
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/16/2011 DD 12/15/11	(1,909,395)	1,909,395
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/19/2011 DD 12/16/11	(2,062,787)	2,062,787
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/20/2011 DD 12/19/11	(2,220,300)	2,220,300
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/22/2011 DD 12/21/11	(1,172,333)	1,172,333
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/23/2011 DD 12/22/11	(1,172,333)	1,172,333

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/27/2011 DD 12/23/11	(630,773)	630,773
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/28/2011 DD 12/27/11	(545,756)	545,756
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/29/2011 DD 12/28/11	(711,914)	711,914
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/30/2011 DD 12/29/11	(961,123)	961,123
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/03/2011 DD 09/30/11	(858,539)	858,539
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/04/2011 DD 10/03/11	(231,905)	231,905
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/05/2011 DD 10/04/11	(159,046)	159,046
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/06/2011 DD 10/05/11	(106,472)	106,472
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/07/2011 DD 10/06/11	(117,485)	117,485
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/11/2011 DD 10/07/11	(138,184)	138,184
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/12/2011 DD 10/11/11	(111,164)	111,164
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/13/2011 DD 10/12/11	(114,572)	114,572
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/14/2011 DD 10/13/11	(259,861)	259,861
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/18/2011 DD 10/17/11	(8,321,132)	8,321,132
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/19/2011 DD 10/18/11	(1,728,920)	1,728,920

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/20/2011 DD 10/19/11	(1,281,951)	1,281,951
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/21/2011 DD 10/20/11	(1,113,418)	1,113,418
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/24/2011 DD 10/21/11	(161,576)	161,576
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/26/2011 DD 10/25/11	(212,521)	212,521
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/03/2011 DD 11/02/11	(150,425)	150,425
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/04/2011 DD 11/03/11	(123,368)	123,368
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/17/2011 DD 11/16/11	(109,717)	109,717
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/23/2011 DD 11/22/11	(2,463,000)	2,463,000
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/25/2011 DD 11/23/11	(90,520)	90,520
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/29/2011 DD 11/28/11	(85,944)	85,944
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/30/2011 DD 11/29/11	(73,439)	73,439
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/01/2011 DD 11/30/11	(910,345)	910,345
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/02/2011 DD 12/01/11	(355,539)	355,539
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/06/2011 DD 12/05/11	(292,741)	292,741
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/08/2011 DD 12/07/11	(197,341)	197,341

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/09/2011 DD 12/08/11	(134,636)	134,636
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/21/2011 DD 12/20/11	(2,221,740)	2,221,740
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.140% 03/14/2011 DD 03/11/11	(1,040,209)	1,040,209
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.150% 05/18/2011 DD 05/17/11	(378,024)	378,024
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.150% 11/16/2011 DD 11/15/11	(157,644)	157,644
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/22/2011 DD 08/19/11	(108,559)	108,559
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/23/2011 DD 08/22/11	(111,695)	111,695
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/30/2011 DD 08/29/11	(160,963)	160,963
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/31/2011 DD 08/30/11	(174,808)	174,808
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 09/01/2011 DD 08/31/11	(264,002)	264,002
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.005% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.010% 06/23/2011 DD 06/22/11	(2,080,895)	2,080,895

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 06/08/2011 DD 06/07/11	(507,903)	507,903
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 06/22/2011 DD 06/21/11	(2,177,016)	2,177,016
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.040% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 06/07/2011 DD 06/06/11	(2,148,391)	2,148,391
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 03/31/2011 DD 03/30/11	(2,857,028)	2,857,028
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 06/03/2011 DD 06/02/11	(2,370,800)	2,370,800
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 03/29/2011 DD 03/28/11	(421,906)	421,906
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 06/01/2011 DD 05/31/11	(1,256,874)	1,256,874
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 02/18/2011 DD 02/17/11	(1,004,154)	1,004,154
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 06/02/2011 DD 06/01/11	(1,377,779)	1,377,779
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/03/2011 DD 03/02/11	(2,819,000)	2,819,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/07/2011 DD 03/04/11	(149,634)	149,634

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/24/2011 DD 03/23/11	(371,066)	371,066
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.120% 02/17/2011 DD 02/16/11	(359,689)	359,689
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.130% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.140% 01/10/2011 DD 01/07/11	(20,442,139)	20,442,139
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.140% 04/18/2011 DD 04/15/11	(2,790,700)	2,790,700
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.150% 01/11/2011 DD 01/10/11	(3,081,745)	3,081,745
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(2,226,657)	2,226,657
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.160% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.190% 01/21/2011 DD 01/20/11	(3,314,600)	3,314,600
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.210% 01/19/2011 DD 01/18/11	(696,469)	696,469
BRENNTAG AG	BRENNTAG AG	(334,401)	344,896
BRYANT PARK FDG DISC	BRYANT PARK FDG DISC 7/26/2011	(3,499,633)	3,499,611
BURLINGTON COAT FACTORY	BURLINGTON COAT FACTORY 10.000% 02/15/2019 DD 02/24/11	(405,000)	416,863
BZW CAT 1 REPO	BZW CAT 1 REPO 0.070% 11/14/2011 DD 11/10/11	(810,837)	810,837
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/14/2011 DD 07/13/11	(126,062)	126,062
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/20/2011 DD 07/19/11	(677,941)	677,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/21/2011 DD 07/20/11	(1,518,257)	1,518,257
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/24/2011 DD 06/23/11	(1,823,066)	1,823,066
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 07/19/2011 DD 07/18/11	(1,092,932)	1,092,932
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 08/08/2011 DD 08/05/11	(1,967,700)	1,967,700
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 08/25/2011 DD 08/24/11	(1,817,400)	1,817,400
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 12/22/2011 DD 12/21/11	(1,111,740)	1,111,740
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 12/23/2011 DD 12/22/11	(1,111,740)	1,111,740
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 07/18/2011 DD 07/15/11	(564,244)	564,244
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 07/27/2011 DD 07/26/11	(2,281,309)	2,281,309
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/11/2011 DD 08/10/11	(1,900,900)	1,900,900
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/17/2011 DD 08/16/11	(1,574,000)	1,574,000

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/19/2011 DD 08/18/11	(1,388,000)	1,388,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/26/2011 DD 08/25/11	(1,726,633)	1,726,633
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/31/2011 DD 08/30/11	(2,038,045)	2,038,045
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 06/10/2011 DD 06/09/11	(509,688)	509,688
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/18/2011 DD 08/17/11	(1,769,000)	1,769,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/23/2011 DD 08/22/11	(494,504)	494,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/29/2011 DD 08/26/11	(2,046,802)	2,046,802
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 06/09/2011 DD 06/08/11	(1,106,491)	1,106,491
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 08/22/2011 DD 08/19/11	(1,570,961)	1,570,961
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/13/2011 DD 10/12/11	(725,981)	725,981
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/25/2011 DD 10/24/11	(2,194,528)	2,194,528
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/27/2011 DD 10/26/11	(1,937,841)	1,937,841
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/31/2011 DD 10/28/11	(1,981,234)	1,981,234
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 11/09/2011 DD 11/08/11	(101,185)	101,185
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/08/2011 DD 12/07/11	(369,792)	369,792

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/09/2011 DD 12/08/11	(465,298)	465,298
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/12/2011 DD 12/09/11	(435,348)	435,348
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/27/2011 DD 12/23/11	(407,225)	407,225
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/08/2011 DD 06/07/11	(993,241)	993,241
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/13/2011 DD 06/10/11	(1,308,816)	1,308,816
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 08/05/2011 DD 08/04/11	(1,966,200)	1,966,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 08/30/2011 DD 08/29/11	(1,421,000)	1,421,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/05/2011 DD 10/04/11	(1,937,200)	1,937,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/11/2011 DD 10/07/11	(1,941,100)	1,941,100
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/12/2011 DD 10/11/11	(1,991,600)	1,991,600
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/20/2011 DD 10/19/11	(3,070,631)	3,070,631
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/28/2011 DD 10/27/11	(817,915)	817,915
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,595,704)	3,595,704

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 06/15/2011 DD 06/14/11	(1,227,753)	1,227,753
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 06/21/2011 DD 06/20/11	(1,765,413)	1,765,413
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(1,762,915)	1,762,915
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/20/2011 DD 12/19/11	(290,698)	290,698
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/21/2011 DD 12/20/11	(1,448,443)	1,448,443
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/07/2011 DD 06/06/11	(1,066,878)	1,066,878
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/17/2011 DD 06/16/11	(1,645,806)	1,645,806
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 08/04/2011 DD 08/03/11	(345,000)	345,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/26/2011 DD 10/25/11	(2,309,063)	2,309,063
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/30/2011 DD 11/29/11	(2,661,835)	2,661,835
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/02/2011 DD 12/01/11	(1,702,768)	1,702,768
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/05/2011 DD 12/02/11	(1,759,707)	1,759,707
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 09/02/2011 DD 09/01/11	(901,000)	901,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 09/07/2011 DD 09/06/11	(1,598,200)	1,598,200

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(1,050,586)	1,050,586
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 05/17/2011 DD 05/16/11	(116,199)	116,199
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/03/2011 DD 06/02/11	(1,178,980)	1,178,980
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/06/2011 DD 06/03/11	(1,085,361)	1,085,361
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 10/04/2011 DD 10/03/11	(517,000)	517,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 11/02/2011 DD 11/01/11	(850,576)	850,576
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(791,629)	791,629
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 06/02/2011 DD 06/01/11	(1,042,508)	1,042,508
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 06/20/2011 DD 06/17/11	(1,712,130)	1,712,130
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/09/2011 DD 08/08/11	(1,063,000)	1,063,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 02/25/2011 DD 02/24/11	(657,098)	657,098
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 03/24/2011 DD 03/23/11	(1,782,332)	1,782,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 06/01/2011 DD 05/31/11	(1,196,332)	1,196,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 06/16/2011 DD 06/15/11	(2,173,400)	2,173,400
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 08/16/2011 DD 08/15/11	(411,270)	411,270

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 09/16/2011 DD 09/15/11	(1,678,800)	1,678,800
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 10/19/2011 DD 10/18/11	(3,473,167)	3,473,167
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 02/17/2011 DD 02/16/11	(2,012,332)	2,012,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/03/2011 DD 03/02/11	(820,530)	820,530
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/09/2011 DD 03/08/11	(782,451)	782,451
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 02/22/2011 DD 02/18/11	(1,519,863)	1,519,863
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/08/2011 DD 03/07/11	(375,625)	375,625
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/15/2011 DD 03/14/11	(919,941)	919,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/17/2011 DD 03/16/11	(524,037)	524,037
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 02/28/2011 DD 02/25/11	(1,768,382)	1,768,382
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 11/16/2011 DD 11/15/11	(480,014)	480,014
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(1,653,899)	1,653,899
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/02/2011 DD 03/01/11	(2,763,000)	2,763,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/16/2011 DD 03/15/11	(219,019)	219,019
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/18/2011 DD 03/17/11	(461,980)	461,980

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 03/21/2011 DD 03/18/11	(9,002,490)	9,002,490
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 02/16/2011 DD 02/15/11	(2,510,318)	2,510,318
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 01/19/2011 DD 01/18/11	(1,255,459)	1,255,459
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 01/21/2011 DD 01/20/11	(1,792,423)	1,792,423
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 08/03/2011 DD 08/02/11	(1,147,000)	1,147,000
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/13/2011 DD 07/12/11	(593,336)	593,336
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/14/2011 DD 07/13/11	(158,932)	158,932
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/15/2011 DD 07/14/11	(283,179)	283,179
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/21/2011 DD 07/20/11	(729,921)	729,921
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 08/08/2011 DD 08/05/11	(219,744)	219,744
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 04/13/2011 DD 04/12/11	(3,366,444)	3,366,444
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 05/05/2011 DD 05/04/11	(2,147,399)	2,147,399
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 05/06/2011 DD 05/05/11	(572,717)	572,717
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/07/2011 DD 07/06/11	(544,128)	544,128
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/11/2011 DD 07/08/11	(287,124)	287,124

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/12/2011 DD 07/11/11	(176,174)	176,174
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 08/25/2011 DD 08/24/11	(350,207)	350,207
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/06/2011 DD 04/05/11	(860,469)	860,469
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/14/2011 DD 04/13/11	(4,601,584)	4,601,584
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/29/2011 DD 04/28/11	(1,101,962)	1,101,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/04/2011 DD 05/03/11	(3,062,349)	3,062,349
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/09/2011 DD 05/06/11	(3,884,635)	3,884,635
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/10/2011 DD 05/09/11	(580,962)	580,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/12/2011 DD 05/11/11	(660,804)	660,804
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/24/2011 DD 06/23/11	(5,704,260)	5,704,260
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/27/2011 DD 06/24/11	(18,243,579)	18,243,579
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/28/2011 DD 06/27/11	(2,361,178)	2,361,178
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/08/2011 DD 07/07/11	(89,850)	89,850
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/19/2011 DD 07/18/11	(1,161,605)	1,161,605
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/20/2011 DD 07/19/11	(749,263)	749,263

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/22/2011 DD 07/21/11	(517,226)	517,226
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/25/2011 DD 07/22/11	(648,155)	648,155
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/26/2011 DD 07/25/11	(353,706)	353,706
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 08/11/2011 DD 08/10/11	(2,398,828)	2,398,828
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 08/26/2011 DD 08/25/11	(283,265)	283,265
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 09/30/2011 DD 09/29/11	(5,286,073)	5,286,073
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 12/23/2011 DD 12/22/11	(1,383,263)	1,383,263
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 04/05/2011 DD 04/04/11	(685,636)	685,636
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 04/12/2011 DD 04/11/11	(3,494,742)	3,494,742
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 08/10/2011 DD 08/09/11	(135,847)	135,847
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 12/22/2011 DD 12/21/11	(1,425,676)	1,425,676
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 04/28/2011 DD 04/27/11	(1,890,482)	1,890,482
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 05/11/2011 DD 05/10/11	(752,670)	752,670
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 07/06/2011 DD 07/05/11	(657,371)	657,371
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 07/27/2011 DD 07/26/11	(308,434)	308,434

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/17/2011 DD 08/16/11	(15,894)	15,894
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/18/2011 DD 08/17/11	(199,066)	199,066
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/19/2011 DD 08/18/11	(883,874)	883,874
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/29/2011 DD 08/26/11	(140,429)	140,429
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 04/27/2011 DD 04/26/11	(493,433)	493,433
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 05/13/2011 DD 05/12/11	(56,224)	56,224
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/09/2011 DD 06/08/11	(2,264,477)	2,264,477
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/10/2011 DD 06/09/11	(2,289,639)	2,289,639
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/13/2011 DD 06/10/11	(1,656,240)	1,656,240
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/23/2011 DD 06/22/11	(1,078,235)	1,078,235
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/23/2011 DD 08/22/11	(177,825)	177,825
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/30/2011 DD 08/29/11	(439,080)	439,080
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/31/2011 DD 08/30/11	(796,054)	796,054
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 12/09/2011 DD 12/08/11	(610,420)	610,420
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 04/21/2011 DD 04/20/11	(2,829,064)	2,829,064

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 06/14/2011 DD 06/13/11	(66,793)	66,793
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 06/21/2011 DD 06/20/11	(921,983)	921,983
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 08/22/2011 DD 08/19/11	(171,939)	171,939
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 09/01/2011 DD 08/31/11	(1,050,813)	1,050,813
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 09/14/2011 DD 09/13/11	(501,145)	501,145
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 11/08/2011 DD 11/07/11	(390,947)	390,947
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 04/07/2011 DD 04/06/11	(1,224,956)	1,224,956
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 04/11/2011 DD 04/08/11	(2,131,071)	2,131,071
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 05/26/2011 DD 05/25/11	(146,873)	146,873
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 06/08/2011 DD 06/07/11	(1,546,825)	1,546,825
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 06/15/2011 DD 06/14/11	(1,365,427)	1,365,427
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 08/05/2011 DD 08/04/11	(58,434)	58,434
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/09/2011 DD 09/08/11	(364,150)	364,150
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/12/2011 DD 09/09/11	(40,871)	40,871
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/15/2011 DD 09/14/11	(239,184)	239,184

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/11/2011 DD 10/07/11	(107,413)	107,413
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/13/2011 DD 10/12/11	(2,701,466)	2,701,466
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/21/2011 DD 10/20/11	(288,033)	288,033
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/24/2011 DD 10/21/11	(2,884,004)	2,884,004
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/25/2011 DD 10/24/11	(342,548)	342,548
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/27/2011 DD 10/26/11	(821,173)	821,173
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/31/2011 DD 10/28/11	(546,347)	546,347
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 11/07/2011 DD 11/04/11	(390,947)	390,947
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 11/09/2011 DD 11/08/11	(263,078)	263,078
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/08/2011 DD 12/07/11	(250,521)	250,521
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/12/2011 DD 12/09/11	(229,763)	229,763
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/15/2011 DD 12/14/11	(4,142,652)	4,142,652
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 04/15/2011 DD 04/14/11	(4,093,893)	4,093,893
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/03/2011 DD 05/02/11	(3,911,222)	3,911,222
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/16/2011 DD 05/13/11	(285,081)	285,081

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/18/2011 DD 05/17/11	(876,025)	876,025
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/23/2011 DD 05/20/11	(752,306)	752,306
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/31/2011 DD 05/27/11	(111,868)	111,868
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 06/17/2011 DD 06/16/11	(826,666)	826,666
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 09/08/2011 DD 09/07/11	(283,761)	283,761
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 09/29/2011 DD 09/28/11	(131,189)	131,189
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/03/2011 DD 09/30/11	(464,995)	464,995
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/07/2011 DD 10/06/11	(144,654)	144,654
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/28/2011 DD 10/27/11	(911,302)	911,302
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 11/10/2011 DD 11/09/11	(59,550)	59,550
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 12/13/2011 DD 12/12/11	(212,459)	212,459
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 12/14/2011 DD 12/13/11	(381,642)	381,642
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/08/2011 DD 04/07/11	(1,755,288)	1,755,288
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/19/2011 DD 04/18/11	(5,579,100)	5,579,100
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/20/2011 DD 04/19/11	(3,967,318)	3,967,318

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/25/2011 DD 04/21/11	(1,975,561)	1,975,561
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/26/2011 DD 04/25/11	(1,560,233)	1,560,233
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 05/19/2011 DD 05/18/11	(405,799)	405,799
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 05/24/2011 DD 05/23/11	(486,179)	486,179
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 06/07/2011 DD 06/06/11	(1,726,554)	1,726,554
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 08/04/2011 DD 08/03/11	(4,010,686)	4,010,686
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 09/07/2011 DD 09/06/11	(269,244)	269,244
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 09/20/2011 DD 09/19/11	(636,850)	636,850
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 10/06/2011 DD 10/05/11	(1,066,912)	1,066,912
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 10/20/2011 DD 10/19/11	(594,177)	594,177
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 12/20/2011 DD 12/19/11	(7,490,515)	7,490,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 12/21/2011 DD 12/20/11	(2,403,051)	2,403,051
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/28/2011 DD 03/25/11	(5,154,002)	5,154,002
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/29/2011 DD 03/28/11	(5,591,631)	5,591,631
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/30/2011 DD 03/29/11	(8,904,162)	8,904,162

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/31/2011 DD 03/30/11	(375,500)	375,500
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 05/17/2011 DD 05/16/11	(533,201)	533,201
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 05/20/2011 DD 05/19/11	(71,203)	71,203
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 06/06/2011 DD 06/03/11	(1,616,280)	1,616,280
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 07/28/2011 DD 07/27/11	(532,294)	532,294
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 08/15/2011 DD 08/12/11	(154,201)	154,201
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/21/2011 DD 09/20/11	(82,429)	82,429
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/22/2011 DD 09/21/11	(166,221)	166,221
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/26/2011 DD 09/23/11	(1,268,606)	1,268,606
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/28/2011 DD 09/27/11	(1,314,515)	1,314,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 11/14/2011 DD 11/10/11	(220,907)	220,907
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 11/22/2011 DD 11/21/11	(1,624,874)	1,624,874
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/05/2011 DD 12/02/11	(340,157)	340,157
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/06/2011 DD 12/05/11	(385,568)	385,568
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/07/2011 DD 12/06/11	(4,177,107)	4,177,107

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/16/2011 DD 12/15/11	(4,988,768)	4,988,768
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/19/2011 DD 12/16/11	(3,242,229)	3,242,229
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/14/2011 DD 03/11/11	(1,182,110)	1,182,110
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/25/2011 DD 03/24/11	(4,005,999)	4,005,999
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/25/2011 DD 03/24/11	(1,674,285)	1,674,285
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 06/03/2011 DD 06/02/11	(1,882,239)	1,882,239
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 06/20/2011 DD 06/17/11	(487,770)	487,770
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 07/29/2011 DD 07/28/11	(250,227)	250,227
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 08/09/2011 DD 08/08/11	(746,666)	746,666
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 08/16/2011 DD 08/15/11	(10,426)	10,426
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 09/23/2011 DD 09/22/11	(954,395)	954,395
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 10/26/2011 DD 10/25/11	(194,883)	194,883
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/02/2011 DD 11/01/11	(91,509)	91,509
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/03/2011 DD 11/02/11	(119,588)	119,588
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/15/2011 DD 11/14/11	(159,181)	159,181

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 12/02/2011 DD 12/01/11	(461,624)	461,624
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 03/10/2011 DD 03/09/11	(1,828,606)	1,828,606
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 03/11/2011 DD 03/10/11	(2,385,210)	2,385,210
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 06/02/2011 DD 06/01/11	(1,592,072)	1,592,072
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 11/21/2011 DD 11/18/11	(44,282)	44,282
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/10/2011 DD 02/09/11	(185,952)	185,952
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/18/2011 DD 02/17/11	(1,388,962)	1,388,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/25/2011 DD 02/24/11	(1,855,701)	1,855,701
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/03/2011 DD 03/02/11	(3,028,479)	3,028,479
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/07/2011 DD 03/04/11	(3,803,300)	3,803,300
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/09/2011 DD 03/08/11	(2,564,086)	2,564,086
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/24/2011 DD 03/23/11	(1,373,172)	1,373,172
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 04/04/2011 DD 04/01/11	(225,066)	225,066
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/17/2011 DD 11/16/11	(90,271)	90,271
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/25/2011 DD 11/23/11	(132,073)	132,073

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/30/2011 DD 11/29/11	(1,500,858)	1,500,858
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/09/2011 DD 02/08/11	(66,611)	66,611
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/14/2011 DD 02/11/11	(193,473)	193,473
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/17/2011 DD 02/16/11	(974,468)	974,468
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/24/2011 DD 02/23/11	(5,481,900)	5,481,900
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/08/2011 DD 03/07/11	(2,496,515)	2,496,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/15/2011 DD 03/14/11	(1,498,588)	1,498,588
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/17/2011 DD 03/16/11	(2,513,187)	2,513,187
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 06/16/2011 DD 06/15/11	(726,663)	726,663
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 10/19/2011 DD 10/18/11	(3,410,131)	3,410,131
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 11/28/2011 DD 11/25/11	(63,813)	63,813
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 11/29/2011 DD 11/28/11	(118,125)	118,125
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 01/13/2011 DD 01/12/11	(3,098,443)	3,098,443
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/07/2011 DD 02/04/11	(71,172)	71,172
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/22/2011 DD 02/18/11	(1,204,537)	1,204,537

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/23/2011 DD 02/22/11	(5,447,800)	5,447,800
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/28/2011 DD 02/25/11	(3,512,955)	3,512,955
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 03/04/2011 DD 03/03/11	(5,974,987)	5,974,987
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 04/18/2011 DD 04/15/11	(5,586,151)	5,586,151
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 10/18/2011 DD 10/17/11	(8,063,586)	8,063,586
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 01/12/2011 DD 01/11/11	(6,595,509)	6,595,509
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 01/14/2011 DD 01/13/11	(1,328,121)	1,328,121
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/16/2011 DD 03/15/11	(2,435,017)	2,435,017
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/23/2011 DD 03/22/11	(794,958)	794,958
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/06/2011 DD 01/05/11	(352,074)	352,074
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/07/2011 DD 01/06/11	(185,661)	185,661
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/10/2011 DD 01/07/11	(12,900,000)	12,900,000
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/11/2011 DD 01/10/11	(11,729,217)	11,729,217
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/25/2011 DD 01/24/11	(6,406,500)	6,406,500
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/26/2011 DD 01/25/11	(1,577,701)	1,577,701

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/27/2011 DD 01/26/11	(2,039,999)	2,039,999
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/31/2011 DD 01/28/11	(804,428)	804,428
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 03/02/2011 DD 03/01/11	(2,109,326)	2,109,326
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 11/16/2011 DD 11/15/11	(336,916)	336,916
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 01/18/2011 DD 01/14/11	(1,536,333)	1,536,333
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 01/24/2011 DD 01/21/11	(1,616,130)	1,616,130
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 01/28/2011 DD 01/27/11	(1,661,026)	1,661,026
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 02/16/2011 DD 02/15/11	(344,582)	344,582
BZW CAT 2 REPO	BZW CAT 2 REPO 0.260% 01/04/2011 DD 01/03/11	(1,333,953)	1,333,953
BZW CAT 2 REPO	BZW CAT 2 REPO 0.260% 01/20/2011 DD 01/19/11	(1,279,309)	1,279,309
BZW CAT 2 REPO	BZW CAT 2 REPO 0.270% 01/21/2011 DD 01/20/11	(1,522,177)	1,522,177
BZW CAT 2 REPO	BZW CAT 2 REPO 0.290% 01/19/2011 DD 01/18/11	(1,204,489)	1,204,489
BZW CAT 2 REPO	BZW CAT 2 REPO 0.350% 08/02/2011 DD 08/01/11	(777,866)	777,866
BZW CAT 3 REPO	BZW CAT 3 REPO 0.150% 01/07/2011 DD 01/06/11	(12,904,787)	12,904,787
BZW CAT 3 REPO	BZW CAT 3 REPO 0.150% 10/18/2011 DD 10/17/11	(12,119,425)	12,119,425

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 3 REPO	BZW CAT 3 REPO 0.180% 08/03/2011 DD 08/02/11	(692,255)	692,255
CALPINE CORP	CALPINE CORP 7.875% 01/15/2023 DD 01/14/11	(200,000)	202,250
CASELLA WASTE SYSTEMS IN 144A	CASELLA WASTE SYSTEMS IN 144A 7.750% 02/15/2019 DD 02/07/11	(150,000)	154,500
CBS CORP	CBS CORP 4.300% 02/15/2021 DD 10/08/10	(489,200)	486,246
CCO HLDGS LLC / CAP CORP 144A	CCO HLDGS LLC / CAP CORP 144A 7.000% 01/15/2019 DD 01/11/11	(9,950)	10,000
CDRT MERGER SUB INC 144A	CDRT MERGER SUB INC 144A 8.125% 06/01/2019 DD 05/25/11	(235,000)	238,444
CEMEX SAB DE CV	CEMEX SAB DE CV 9.000% 01/11/2018 DD 01/11/11	(154,014)	155,775
CENOVUS ENERGY INC	CENOVUS ENERGY INC	(1,276,097)	1,263,470
CENTAMIN EGYPT LTD ORD SHS	CENTAMIN EGYPT LTD ORD SHS	(629,149)	519,423
CENTERPOINT ENERGY INC	CENTERPOINT ENERGY INC 5.850% 01/15/2041 DD 01/11/11	(664,528)	669,974
CHAPARRAL ENERGY INC 144A	CHAPARRAL ENERGY INC 144A 8.250% 09/01/2021 DD 02/22/11	(410,000)	416,081
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 10/17/2011	(1,009,717)	1,009,717
CHARTA LLC DISC	CHARTA LLC DISC 7/7/2011	(1,978,639)	1,979,573
CHEMTURA CORP	CHEMTURA CORP 7.875% 09/01/2018 DD 03/01/11	(99,269)	103,750
CHINA SHANSHUI CEMENT GROUP	CHINA SHANSHUI CEMENT GROUP LTD USD0.01	(395,250)	275,882
CHINOS ACQUISITION CORP 144A	CHINOS ACQUISITION CORP 144A 8.125% 03/01/2019 DD 03/07/11	(125,000)	124,688

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CHRYSLER GP/CG CO-ISSUER	CHRYSLER GP/CG CO-ISSUER 8.000% 06/15/2019 DD 05/24/11	(200,000)	202,000
CHRYSLER GROUP LLC/CG CO-ISSUE	CHRYSLER GROUP LLC/CG CO-ISSUE 8.250% 06/15/2021 DD 05/24/11	(200,000)	172,500
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.010% 06/24/2011 DD 06/23/11	(2,995,950)	2,995,950
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.010% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
CLAIRE'S ESCROW CORP	CLAIRE'S ESCROW CORP 8.875% 03/15/2019 DD 03/04/11	(710,000)	711,881
CLARIANT CHF4.00(REGD)	CLARIANT CHF4.00(REGD)	(440,907)	394,255
CLEAN HARBORS INC	CLEAN HARBORS INC 7.625% 08/15/2016 DD 02/15/11	(52,250)	52,656
CLEAR CHANNEL COMMUNICATI 144A	CLEAR CHANNEL COMMUNICATI 144A 9.000% 03/01/2021 DD 02/23/11	(375,000)	382,344
CLEVO TWD9	CLEVO TWD10	(231,832)	225,094
CLINICAL DATA INC	CLINICAL DATA INC	(554,571)	663,340
CMA CGM SA	CMA CGM SA 8.500% 04/15/2017 DD 04/21/11	(293,281)	115,650
CNOOC FINANCE 2011 LTD 144A	CNOOC FINANCE 2011 LTD 144A 5.750% 01/26/2041 DD 01/26/11	(994,480)	1,015,959
COGENT COMMUNICATIONS GR 144A	COGENT COMMUNICATIONS GR 144A 8.375% 02/15/2018 DD 01/26/11	(36,000)	37,080
COLUMBUS MCKINNON CORP	COLUMBUS MCKINNON CORP 7.875% 02/01/2019 DD 01/25/11	(64,054)	66,394
COMMERCIAL VEHICLE GROUP	COMMERCIAL VEHICLE GROUP 7.875% 04/15/2019 DD 04/26/11	(65,000)	66,138
COMMERZBANK US DISC	COMMERZBANK US DISC 7/6/2011	(3,099,948)	3,099,931

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
COMMSCOPE INC 144A	COMMSCOPE INC 144A 8.250% 01/15/2019 DD 01/14/11	(300,000)	313,125
CONSOL ENERGY INC	CONSOL ENERGY INC 6.375% 03/01/2021 DD 03/09/11	(65,000)	65,163
CONSOL ENERGY INC	CONSOL ENERGY INC 8.250% 04/01/2020 DD 10/01/10	(145,000)	160,788
COOPERATIEVE CENTRALE RAIFFEIS	COOPERATIEVE CENTRALE RAIFFEIS 5.250% 05/24/2041 DD 05/24/11	(231,301)	254,912
CPI INTERNATIONAL ACQ 144A	CPI INTERNATIONAL ACQ 144A 8.000% 02/15/2018 DD 02/11/11	(100,000)	100,500
CRC FDG LLC DISC	CRC FDG LLC DISC 4/19/2011	(1,998,460)	1,998,830
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.020% 12/23/2011 DD 12/22/11	(140,007)	140,007
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.030% 12/22/2011 DD 12/21/11	(140,007)	140,007
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 12/21/2011 DD 12/20/11	(426,880)	426,880
CRH ORD EUR0.31	CRH ORD EUR0.32	(942,071)	917,993
CRICKET COMMUNICATIONS I	CRICKET COMMUNICATIONS I 7.750% 10/15/2020 DD 04/15/11	(54,556)	55,206
CRICKET COMMUNICATIONS INC	CRICKET COMMUNICATIONS INC 7.750% 10/15/2020 DD 11/19/10	(257,902)	211,900
CROWN POINT CAP DISC	CROWN POINT CAP DISC 10/4/2011	(1,618,531)	1,619,004
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.010% 06/27/2011 DD 06/24/11	(5,370,522)	5,370,522
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.010% 08/25/2011 DD 08/24/11	(239,023)	239,023

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 07/27/2011 DD 07/26/11	(2,387,700)	2,387,700
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 12/22/2011 DD 12/21/11	(271,565)	271,565
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 12/23/2011 DD 12/22/11	(271,565)	271,565
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,868,389)	3,868,389
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 11/30/2011 DD 11/29/11	(2,761,370)	2,761,370
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(71,251)	71,251
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 07/28/2011 DD 07/27/11	(2,386,200)	2,386,200
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 12/21/2011 DD 12/20/11	(874,307)	874,307
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 07/29/2011 DD 07/28/11	(2,413,800)	2,413,800
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(4,051,773)	4,051,773
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(4,033,858)	4,033,858
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 06/06/2011 DD 06/03/11	(1,406,166)	1,406,166
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 10/19/2011 DD 10/18/11	(332,965)	332,965
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 12/01/2011 DD 11/30/11	(1,839,081)	1,839,081

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(285,765)	285,765
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 03/16/2011 DD 03/15/11	(717,573)	717,573
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 03/18/2011 DD 03/17/11	(483,253)	483,253
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 03/21/2011 DD 03/18/11	(2,141,421)	2,141,421
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 02/28/2011 DD 02/25/11	(964,135)	964,135
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 03/01/2011 DD 02/28/11	(890,698)	890,698
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.050% 10/11/2011 DD 10/07/11	(833,340)	833,340
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.110% 06/17/2011 DD 06/16/11	(200,000)	200,000
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.150% 04/15/2011 DD 04/14/11	(1,000,000)	1,000,000
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.240% 08/03/2011 DD 08/02/11	(1,032,217)	1,032,217
CUMULUS MEDIA INC 144A	CUMULUS MEDIA INC 144A 7.750% 05/01/2019 DD 05/13/11	(620,000)	630,656
CVS CAREMARK CORP	CVS CAREMARK CORP 5.750% 05/15/2041 DD 05/12/11	(796,465)	800,104
DANA HOLDING CORP	DANA HOLDING CORP 6.500% 02/15/2019 DD 01/28/11	(100,000)	101,375
DANA HOLDING CORP	DANA HOLDING CORP 6.750% 02/15/2021 DD 01/28/11	(70,000)	71,488
DANSKE CORP DISC	DANSKE CORP DISC 7/18/2011	(3,499,686)	3,499,703

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 07/21/2011 DD 07/20/11	(2,414,100)	2,414,100
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.005% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 08/31/2011 DD 08/30/11	(512,983)	512,983
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 12/22/2011 DD 12/21/11	(1,445,311)	1,445,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 12/23/2011 DD 12/22/11	(1,445,311)	1,445,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.020% 06/23/2011 DD 06/22/11	(2,195,300)	2,195,300
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.030% 10/25/2011 DD 10/24/11	(899,758)	899,758
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.030% 12/27/2011 DD 12/23/11	(3,749,484)	3,749,484
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 06/22/2011 DD 06/21/11	(2,217,000)	2,217,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 12/09/2011 DD 12/08/11	(281,167)	281,167
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 12/12/2011 DD 12/09/11	(253,457)	253,457

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 11/10/2011 DD 11/09/11	(213,478)	213,478
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 12/08/2011 DD 12/07/11	(331,632)	331,632
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,868,389)	3,868,389
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 05/31/2011 DD 05/27/11	(410,500)	410,500
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 11/30/2011 DD 11/29/11	(2,761,370)	2,761,370
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(1,127,881)	1,127,881
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/02/2011 DD 12/01/11	(1,723,202)	1,723,202
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/05/2011 DD 12/02/11	(1,195,131)	1,195,131
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/21/2011 DD 12/20/11	(3,644,663)	3,644,663
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(1,037,082)	1,037,082
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 06/03/2011 DD 06/02/11	(280,138)	280,138
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(4,051,773)	4,051,773
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 12/01/2011 DD 11/30/11	(505,007)	505,007
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(4,033,858)	4,033,858

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 10/19/2011 DD 10/18/11	(447,118)	447,118
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.130% 02/28/2011 DD 02/25/11	(532,316)	532,316
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.140% 01/26/2011 DD 01/25/11	(87,741)	87,741
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 03/01/2011 DD 02/28/11	(411,312)	411,312
DEUTSCHE BANK AG	DEUTSCHE BANK AG 3.250% 01/11/2016 DD 01/11/11	(999,080)	1,021,611
DIRECTV HLDG / FIN INC	DIRECTV HLDG / FIN INC 5.000% 03/01/2021 DD 03/10/11	(1,493,385)	1,549,869
DJO FIN LLC / DJO FIN CORP	DJO FIN LLC / DJO FIN CORP 7.750% 04/15/2018 DD 04/07/11	(100,000)	101,025
DR PEPPER SNAPPLE GROUP	DR PEPPER SNAPPLE GROUP 2.900% 01/15/2016 DD 01/11/11	(998,890)	1,002,615
DYNACAST INTL LLC/FIN IN	DYNACAST INTL LLC/FIN IN 9.250% 07/15/2019 DD 07/19/11	(90,000)	91,856
EAGLE PARENT INC 144A	EAGLE PARENT INC 144A 8.625% 05/01/2019 DD 05/16/11	(265,000)	270,269
EAGLE ROCK ENERGY PARTNER 144A	EAGLE ROCK ENERGY PARTNER 144A 8.375% 06/01/2019 DD 05/27/11	(134,027)	134,750
EKSPORTFINANS ASA	EKSPORTFINANS ASA VAR RT 04/05/2013 DD 04/05/11	(505,000)	432,420
ELIZABETH ARDEN INC	ELIZABETH ARDEN INC 7.375% 03/15/2021 DD 01/21/11	(95,000)	96,875
ENDO PHARMACEUT HLDGS IN	ENDO PHARMACEUT HLDGS IN 7.000% 07/15/2019 DD 06/08/11	(60,000)	61,800
ENERGY PARTNERS LTD	ENERGY PARTNERS LTD 8.250% 02/15/2018 DD 02/14/11	(320,000)	315,900

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ENERGYSOLUTIONS INC / ENERGYSO	ENERGYSOLUTIONS INC / ENERGYSO 10.750% 08/15/2018 DD 02/15/11	(88,821)	88,650
ENI EURO	ENI EUR1	(811,280)	775,157
ENTERPRISE PRODUCTS OPER	ENTERPRISE PRODUCTS OPER 5.950% 02/01/2041 DD 01/13/11	(1,023,430)	1,011,530
ESTERLINE TECHNOLOGIES CORP	ESTERLINE TECHNOLOGIES CORP 7.000% 08/01/2020 DD 08/02/10	(60,000)	63,000
EURAZEONCE NPV	EURAZEONCE NPV	(492,281)	284,122
EV ENERGY PARTNERS LP / E 144A	EV ENERGY PARTNERS LP / E 144A 8.000% 04/15/2019 DD 03/22/11	(110,000)	110,313
EXOPACK HOLDING CORP	EXOPACK HOLDING CORP 10.000% 06/01/2018 DD 05/31/11	(380,000)	382,231
EXOR SPA EURO	EXOR SPA EUR1	(759,866)	515,924
FCAR OWNER TR II DISC	FCAR OWNER TR II DISC 10/4/2011	(849,298)	849,642
FIAT INDUSTRIAL	FIAT INDUSTRIAL	(869,276)	887,625
FLORIDA EAST RR 144A	FLORIDA EAST RR 144A 8.125% 02/01/2017 DD 01/25/11	(105,000)	108,763
FORBES ENERGY SERVICES	FORBES ENERGY SERVICES 9.000% 06/15/2019 DD 06/07/11	(165,000)	165,319
FORD MOTOR CREDIT CO LLC	FORD MOTOR CREDIT CO LLC 5.000% 05/15/2018 DD 05/03/11	(250,000)	250,313
FORD MOTOR CREDIT CO LLC	FORD MOTOR CREDIT CO LLC 5.750% 02/01/2021 DD 02/07/11	(569,750)	578,563
FREESCALE SEMICONDUCTOR 144A	FREESCALE SEMICONDUCTOR 144A 8.050% 02/01/2020 DD 06/10/11	(210,700)	216,713
GAZPROM OAO-SPON ADR	GAZPROM OAO-SPON ADR	(913,341)	1,089,834
GCB 144A WIND AF SA F	GCB 144A WIND AF SA F 12.250% 07/15/2017 DD 12/15/09		1,049

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GCB144A WIND ACQUISITF ESCROW	GCB144A WIND ACQUISITF ESCROW 12.250% 07/15/2017 DD 12/15/09		1,000
GCB144A WIND AF SA F	GCB144A WIND AF SA F 11.750% 07/15/2017 DD 07/13/09		340
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.005% 12/22/2011 DD 12/21/11	(88,925)	88,925
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.005% 12/23/2011 DD 12/22/11	(88,925)	88,925
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 11/30/2011 DD 11/29/11	(2,517,452)	2,517,452
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/06/2011 DD 12/05/11	(115,318)	115,318
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/15/2011 DD 12/14/11	(2,578,072)	2,578,072
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/16/2011 DD 12/15/11	(3,229,236)	3,229,236
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/21/2011 DD 12/20/11	(395,164)	395,164
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.020% 12/02/2011 DD 12/01/11	(367,746)	367,746
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 10/19/2011 DD 10/18/11	(149,108)	149,108
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 12/19/2011 DD 12/16/11	(1,099,971)	1,099,971
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.040% 12/01/2011 DD 11/30/11	(1,429,538)	1,429,538
GOLDMAN CAT 3 REPO	GOLDMAN CAT 3 REPO 0.150% 01/12/2011 DD 01/11/11	(11,942,469)	11,942,469

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOODRICH PETROLEUM CORP 144A	GOODRICH PETROLEUM CORP 144A 8.875% 03/15/2019 DD 03/02/11	(530,000)	528,994
GOTHAM FDG CORP DISC	GOTHAM FDG CORP DISC 7/15/2011	(3,499,751)	3,499,782
GREAT LAKES DREDGE&DOCK 144A	GREAT LAKES DREDGE&DOCK 144A 7.375% 02/01/2019 DD 01/28/11	(50,000)	50,925
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.160% 10/25/2011 DD 10/24/11	(79,843)	79,843
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 10/31/2011 DD 10/28/11	(128,210)	128,210
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 11/28/2011 DD 11/25/11	(19,895)	19,895
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/05/2011 DD 12/02/11	(63,882)	63,882
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/12/2011 DD 12/09/11	(35,431)	35,431
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/13/2011 DD 12/12/11	(32,929)	32,929
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/14/2011 DD 12/13/11	(43,196)	43,196
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/15/2011 DD 12/14/11	(42,280)	42,280
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/16/2011 DD 12/15/11	(460,942)	460,942
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/19/2011 DD 12/16/11	(499,644)	499,644
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/20/2011 DD 12/19/11	(533,598)	533,598
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/22/2011 DD 12/21/11	(278,707)	278,707

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/23/2011 DD 12/22/11	(278,707)	278,707
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/27/2011 DD 12/23/11	(153,026)	153,026
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/28/2011 DD 12/27/11	(129,838)	129,838
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/29/2011 DD 12/28/11	(149,341)	149,341
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/30/2011 DD 12/29/11	(208,954)	208,954
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/03/2011 DD 09/30/11	(214,567)	214,567
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/19/2011 DD 10/18/11	(418,306)	418,306
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/20/2011 DD 10/19/11	(315,984)	315,984
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/21/2011 DD 10/20/11	(271,219)	271,219
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/17/2011 DD 11/16/11	(28,390)	28,390
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/25/2011 DD 11/23/11	(23,437)	23,437
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/29/2011 DD 11/28/11	(22,284)	22,284
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/30/2011 DD 11/29/11	(19,079)	19,079
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/01/2011 DD 11/30/11	(232,203)	232,203
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/02/2011 DD 12/01/11	(88,040)	88,040

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/06/2011 DD 12/05/11	(71,876)	71,876
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/08/2011 DD 12/07/11	(47,079)	47,079
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/09/2011 DD 12/08/11	(32,107)	32,107
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/21/2011 DD 12/20/11	(529,074)	529,074
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.190% 07/21/2011 DD 07/20/11	(114,939)	114,939
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 04/19/2011 DD 04/18/11	(282,782)	282,782
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 04/20/2011 DD 04/19/11	(142,563)	142,563
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/08/2011 DD 06/07/11	(398,118)	398,118
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/09/2011 DD 06/08/11	(334,862)	334,862
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/10/2011 DD 06/09/11	(253,325)	253,325
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/13/2011 DD 06/10/11	(283,140)	283,140
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/15/2011 DD 06/14/11	(309,651)	309,651
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/21/2011 DD 06/20/11	(109,560)	109,560
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/23/2011 DD 06/22/11	(103,874)	103,874
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/24/2011 DD 06/23/11	(137,258)	137,258

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/27/2011 DD 06/24/11	(603,443)	603,443
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/28/2011 DD 06/27/11	(14,000,000)	14,000,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/19/2011 DD 07/18/11	(131,835)	131,835
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/20/2011 DD 07/19/11	(132,504)	132,504
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/26/2011 DD 07/25/11	(78,454)	78,454
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/28/2011 DD 07/27/11	(113,469)	113,469
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 11/16/2011 DD 11/15/11	(39,941)	39,941
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 04/21/2011 DD 04/20/11	(107,178)	107,178
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/02/2011 DD 06/01/11	(447,835)	447,835
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/03/2011 DD 06/02/11	(401,703)	401,703
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/06/2011 DD 06/03/11	(403,893)	403,893
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/07/2011 DD 06/06/11	(410,866)	410,866
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/17/2011 DD 06/16/11	(130,625)	130,625
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/20/2011 DD 06/17/11	(123,924)	123,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/22/2011 DD 06/21/11	(138,021)	138,021

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 07/29/2011 DD 07/28/11	(107,593)	107,593
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 04/01/2011 DD 03/31/11	(1,462,953)	1,462,953
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 09/01/2011 DD 08/31/11	(133,924)	133,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.230% 04/18/2011 DD 04/15/11	(248,275)	248,275
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.230% 08/09/2011 DD 08/08/11	(3,403,000)	3,403,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.240% 03/31/2011 DD 03/30/11	(1,927,591)	1,927,591
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/14/2011 DD 03/11/11	(665,868)	665,868
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/15/2011 DD 03/14/11	(721,141)	721,141
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/17/2011 DD 03/16/11	(1,131,037)	1,131,037
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/18/2011 DD 03/17/11	(1,222,349)	1,222,349
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/24/2011 DD 03/23/11	(303,918)	303,918
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/25/2011 DD 03/24/11	(1,184,152)	1,184,152
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/28/2011 DD 03/25/11	(1,420,857)	1,420,857
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/29/2011 DD 03/28/11	(1,735,356)	1,735,356
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/30/2011 DD 03/29/11	(2,240,689)	2,240,689

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/14/2011 DD 02/11/11	(190,542)	190,542
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/18/2011 DD 02/17/11	(1,393,291)	1,393,291
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/22/2011 DD 02/18/11	(1,197,115)	1,197,115
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/23/2011 DD 02/22/11	(5,447,800)	5,447,800
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/24/2011 DD 02/23/11	(5,481,900)	5,481,900
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/25/2011 DD 02/24/11	(967,698)	967,698
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/28/2011 DD 02/25/11	(823,924)	823,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/03/2011 DD 03/02/11	(1,329,220)	1,329,220
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/04/2011 DD 03/03/11	(1,606,812)	1,606,812
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/07/2011 DD 03/04/11	(1,484,708)	1,484,708
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/08/2011 DD 03/07/11	(1,301,552)	1,301,552
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/09/2011 DD 03/08/11	(1,020,530)	1,020,530
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/10/2011 DD 03/09/11	(883,383)	883,383
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/11/2011 DD 03/10/11	(788,961)	788,961
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/16/2011 DD 03/15/11	(1,024,419)	1,024,419

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/22/2011 DD 03/21/11	(1,912,204)	1,912,204
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/23/2011 DD 03/22/11	(455,637)	455,637
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 02/10/2011 DD 02/09/11	(182,196)	182,196
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 02/17/2011 DD 02/16/11	(976,923)	976,923
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 03/02/2011 DD 03/01/11	(1,084,183)	1,084,183
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/14/2011 DD 01/13/11	(1,639,477)	1,639,477
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/18/2011 DD 01/14/11	(1,598,468)	1,598,468
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/24/2011 DD 01/21/11	(1,588,998)	1,588,998
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/25/2011 DD 01/24/11	(6,406,500)	6,406,500
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/26/2011 DD 01/25/11	(1,523,563)	1,523,563
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/27/2011 DD 01/26/11	(2,103,932)	2,103,932
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/28/2011 DD 01/27/11	(1,691,016)	1,691,016
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/31/2011 DD 01/28/11	(832,690)	832,690
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/07/2011 DD 02/04/11	(69,777)	69,777
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/09/2011 DD 02/08/11	(65,002)	65,002

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/16/2011 DD 02/15/11	(349,349)	349,349
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/10/2011 DD 01/07/11	(12,900,000)	12,900,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/11/2011 DD 01/10/11	(13,000,000)	13,000,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/12/2011 DD 01/11/11	(7,062,353)	7,062,353
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/13/2011 DD 01/12/11	(3,682,610)	3,682,610
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/06/2011 DD 01/05/11	(426,888)	426,888
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/07/2011 DD 01/06/11	(223,911)	223,911
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/21/2011 DD 01/20/11	(1,497,923)	1,497,923
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 02/01/2011 DD 01/31/11	(889,945)	889,945
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.310% 01/20/2011 DD 01/19/11	(1,223,894)	1,223,894
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.320% 01/04/2011 DD 01/03/11	(19,719)	19,719
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.330% 01/19/2011 DD 01/18/11	(1,193,485)	1,193,485
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 06/24/2011 DD 06/23/11	(2,205,142)	2,205,142
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 06/30/2011 DD 06/29/11	(7,598,440)	7,598,440
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 07/12/2011 DD 07/11/11	(269,523)	269,523

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 07/13/2011 DD 07/12/11	(274,386)	274,386
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.005% 06/27/2011 DD 06/24/11	(3,159,757)	3,159,757
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 04/29/2011 DD 04/28/11	(425,536)	425,536
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 05/06/2011 DD 05/05/11	(966,000)	966,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/01/2011 DD 06/30/11	(3,445,051)	3,445,051
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/18/2011 DD 07/15/11	(2,269,876)	2,269,876
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/19/2011 DD 07/18/11	(1,869,314)	1,869,314
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/20/2011 DD 07/19/11	(2,293,896)	2,293,896
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/22/2011 DD 07/21/11	(1,084,178)	1,084,178
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/25/2011 DD 07/22/11	(487,151)	487,151
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/26/2011 DD 07/25/11	(987,865)	987,865
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 04/13/2011 DD 04/12/11	(2,314,252)	2,314,252
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/05/2011 DD 05/04/11	(974,000)	974,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/09/2011 DD 05/06/11	(579,000)	579,000

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/10/2011 DD 05/09/11	(817,900)	817,900
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 08/31/2011 DD 08/30/11	(2,515,200)	2,515,200
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 12/22/2011 DD 12/21/11	(1,023,333)	1,023,333
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 12/23/2011 DD 12/22/11	(1,023,333)	1,023,333
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 04/12/2011 DD 04/11/11	(1,235,000)	1,235,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 04/28/2011 DD 04/27/11	(770,871)	770,871
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 05/02/2011 DD 04/29/11	(1,237,000)	1,237,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 06/09/2011 DD 06/08/11	(1,870,638)	1,870,638
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 06/10/2011 DD 06/09/11	(1,917,312)	1,917,312
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 08/23/2011 DD 08/22/11	(1,303,120)	1,303,120
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 08/29/2011 DD 08/26/11	(2,151,600)	2,151,600
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 12/27/2011 DD 12/23/11	(3,596,458)	3,596,458
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 08/12/2011 DD 08/11/11	(555,441)	555,441
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/05/2011 DD 10/04/11	(1,644,800)	1,644,800
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/11/2011 DD 10/07/11	(865,900)	865,900

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/12/2011 DD 10/11/11	(1,829,236)	1,829,236
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/25/2011 DD 10/24/11	(2,199,413)	2,199,413
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/27/2011 DD 10/26/11	(2,433,776)	2,433,776
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/28/2011 DD 10/27/11	(2,515,676)	2,515,676
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/31/2011 DD 10/28/11	(2,332,829)	2,332,829
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/07/2011 DD 11/04/11	(831,764)	831,764
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/08/2011 DD 11/07/11	(831,764)	831,764
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/09/2011 DD 11/08/11	(1,912,830)	1,912,830
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 12/09/2011 DD 12/08/11	(2,026,510)	2,026,510
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 04/27/2011 DD 04/26/11	(661,779)	661,779
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/08/2011 DD 06/07/11	(1,836,082)	1,836,082
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/13/2011 DD 06/10/11	(573,084)	573,084
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/15/2011 DD 06/14/11	(112,147)	112,147
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/22/2011 DD 06/21/11	(1,341,566)	1,341,566

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 10/20/2011 DD 10/19/11	(3,088,066)	3,088,066
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 10/26/2011 DD 10/25/11	(2,475,630)	2,475,630
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 11/18/2011 DD 11/17/11	(825,390)	825,390
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/08/2011 DD 12/07/11	(2,011,482)	2,011,482
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/12/2011 DD 12/09/11	(2,016,723)	2,016,723
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/15/2011 DD 12/14/11	(3,826,109)	3,826,109
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/11/2011 DD 04/08/11	(1,353,000)	1,353,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 05/03/2011 DD 05/02/11	(985,000)	985,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 07/28/2011 DD 07/27/11	(964,467)	964,467
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 11/14/2011 DD 11/10/11	(2,040,265)	2,040,265
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 11/30/2011 DD 11/29/11	(2,742,291)	2,742,291
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/02/2011 DD 12/01/11	(2,076,352)	2,076,352
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/05/2011 DD 12/02/11	(2,057,595)	2,057,595
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/06/2011 DD 12/05/11	(1,979,601)	1,979,601
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/07/2011 DD 12/06/11	(2,069,906)	2,069,906

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/20/2011 DD 12/19/11	(3,354,933)	3,354,933
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/21/2011 DD 12/20/11	(3,284,330)	3,284,330
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/15/2011 DD 04/14/11	(2,709,500)	2,709,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/19/2011 DD 04/18/11	(941,036)	941,036
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/20/2011 DD 04/19/11	(948,000)	948,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 06/07/2011 DD 06/06/11	(1,891,734)	1,891,734
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 11/22/2011 DD 11/21/11	(1,858,609)	1,858,609
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 12/16/2011 DD 12/15/11	(3,590,831)	3,590,831
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/01/2011 DD 10/31/11	(1,372,059)	1,372,059
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/03/2011 DD 11/02/11	(167,717)	167,717
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/04/2011 DD 11/03/11	(438,699)	438,699
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/15/2011 DD 11/14/11	(1,012,394)	1,012,394
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/21/2011 DD 11/18/11	(1,856,314)	1,856,314
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/25/2011 DD 11/23/11	(522,088)	522,088

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 12/19/2011 DD 12/16/11	(3,534,214)	3,534,214
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 03/30/2011 DD 03/29/11	(650,311)	650,311
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 03/31/2011 DD 03/30/11	(963,609)	963,609
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 05/19/2011 DD 05/18/11	(1,036,000)	1,036,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 06/03/2011 DD 06/02/11	(1,969,097)	1,969,097
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 06/06/2011 DD 06/03/11	(1,933,507)	1,933,507
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 10/19/2011 DD 10/18/11	(3,496,701)	3,496,701
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 11/02/2011 DD 11/01/11	(1,998,800)	1,998,800
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 11/23/2011 DD 11/22/11	(790,035)	790,035
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 12/01/2011 DD 11/30/11	(2,529,100)	2,529,100
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 03/28/2011 DD 03/25/11	(1,419,243)	1,419,243
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 06/01/2011 DD 05/31/11	(2,067,585)	2,067,585
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 10/18/2011 DD 10/17/11	(910,000)	910,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 11/29/2011 DD 11/28/11	(89,406)	89,406
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/14/2011 DD 03/11/11	(147,900)	147,900

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/25/2011 DD 03/24/11	(1,564,548)	1,564,548
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/29/2011 DD 03/28/11	(1,314,144)	1,314,144
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 06/02/2011 DD 06/01/11	(1,926,365)	1,926,365
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 11/28/2011 DD 11/25/11	(1,905,158)	1,905,158
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 02/18/2011 DD 02/17/11	(2,981,700)	2,981,700
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 02/25/2011 DD 02/24/11	(1,628,602)	1,628,602
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/03/2011 DD 03/02/11	(1,489,780)	1,489,780
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/10/2011 DD 03/09/11	(1,666,517)	1,666,517
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/24/2011 DD 03/23/11	(2,407,182)	2,407,182
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/17/2011 DD 02/16/11	(2,009,877)	2,009,877
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/22/2011 DD 02/18/11	(1,527,285)	1,527,285
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/28/2011 DD 02/25/11	(2,513,376)	2,513,376
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/04/2011 DD 03/03/11	(1,140,888)	1,140,888
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/07/2011 DD 03/04/11	(1,145,492)	1,145,492
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/08/2011 DD 03/07/11	(1,329,048)	1,329,048

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/09/2011 DD 03/08/11	(1,568,670)	1,568,670
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/15/2011 DD 03/14/11	(1,976,959)	1,976,959
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/17/2011 DD 03/16/11	(1,525,463)	1,525,463
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/22/2011 DD 03/21/11	(1,022,596)	1,022,596
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 11/16/2011 DD 11/15/11	(1,960,259)	1,960,259
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 01/26/2011 DD 01/25/11	(1,708,037)	1,708,037
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/02/2011 DD 03/01/11	(1,678,817)	1,678,817
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/16/2011 DD 03/15/11	(1,627,781)	1,627,781
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/18/2011 DD 03/17/11	(1,402,151)	1,402,151
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/23/2011 DD 03/22/11	(1,596,096)	1,596,096
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 01/18/2011 DD 01/14/11	(1,764,878)	1,764,878
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 02/16/2011 DD 02/15/11	(2,505,551)	2,505,551
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 03/01/2011 DD 02/28/11	(1,323,127)	1,323,127
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 01/20/2011 DD 01/19/11	(5,209,306)	5,209,306
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 01/21/2011 DD 01/20/11	(1,816,677)	1,816,677

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 02/03/2011 DD 02/02/11	(341,872)	341,872
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 08/01/2011 DD 07/29/11	(488,790)	488,790
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.230% 01/19/2011 DD 01/18/11	(2,034,146)	2,034,146
GRIFFON CORP	GRIFFON CORP 7.125% 04/01/2018 DD 03/17/11	(120,000)	114,900
GRIFOLS INC	GRIFOLS INC 8.250% 02/01/2018 DD 01/21/11	(105,000)	108,688
HANA BANK	HANA BANK 4.000% 11/03/2016 DD 05/03/11	(1,988,780)	2,016,804
HANNOVER FDG CO DISC	HANNOVER FDG CO DISC 7/14/2011	(3,199,689)	3,199,688
HANOVER INSURANCE GROUP	HANOVER INSURANCE GROUP 6.375% 06/15/2021 DD 06/17/11	(178,796)	179,888
HANOVER INSURANCE GROUP INC/TH	HANOVER INSURANCE GROUP INC/TH 7.500% 03/01/2020 DD 02/23/10	(181,055)	180,484
HARTFORD FINANCIAL SERVICES GR	HARTFORD FINANCIAL SERVICES GR 5.500% 03/30/2020 DD 03/23/10	(152,873)	153,234
HEALTH CARE SERVICES INC	HEALTH CARE SERVICES INC 4.700% 01/15/2021 DD 01/07/11	(996,980)	1,009,070
HENNES & MAURITZ AB 'B' NPV	HENNES & MAURITZ AB 'B' NPV	(171,190)	171,190
HEWLETT-PACKARD CO	HEWLETT-PACKARD CO VAR RT 05/24/2013 DD 05/31/11	(460,352)	454,487
HOME DEPOT INC	HOME DEPOT INC 5.950% 04/01/2041 DD 03/31/11	(985,327)	1,022,789
HONEYWELL INTERNATIONAL	HONEYWELL INTERNATIONAL 5.375% 03/01/2041 DD 02/17/11	(1,596,670)	1,680,796

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
IGATE CORP 144A	IGATE CORP 144A 9.000% 05/01/2016 DD 04/29/11	(95,000)	97,125
INTERNATIONAL PAPER CO	INTERNATIONAL PAPER CO 7.300% 11/15/2039 DD 12/07/09	(1,140,430)	1,141,420
INTESA SANPAOLO SPA	INTESA SANPAOLO SPA 6.500% 02/24/2021 DD 02/24/11	(74,425)	81,011
INTL AUTOMOTIVE COMPONENTEN	INTL AUTOMOTIVE COMPONENTEN 9.125% 06/01/2018 DD 06/03/11	(205,000)	209,275
INVENTIV HEALTH INC	INVENTIV HEALTH INC 10.000% 08/15/2018 DD 02/15/11	(99,750)	101,894
IPAYMENT HOLDINGS INC 144A	IPAYMENT HOLDINGS INC 144A 10.250% 05/15/2018 DD 05/06/11	(365,000)	373,594
IPSOS EUR0.24	IPSOS EUR0.25 RTS EXP 22-SEP-2011		49,241
ITV ORD GBP0.9	ITV ORD GBP0.10	(594,712)	458,074
JAMES RIVER ESCROW INC	JAMES RIVER ESCROW INC 7.875% 04/01/2019 DD 03/29/11	(70,000)	72,225
JOHNSON CONTROLS INC	JOHNSON CONTROLS INC 4.250% 03/01/2021 DD 02/04/11	(168,769)	166,068
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/27/2011 DD 06/24/11	(3,018,052)	3,018,052
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.002% 09/30/2011 DD 09/29/11	(4,306,898)	4,306,898
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.020% 12/15/2011 DD 12/14/11	(2,762,077)	2,762,077

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.030% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 11/30/2011 DD 11/29/11	(1,953,710)	1,953,710
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 12/06/2011 DD 12/05/11	(57,033)	57,033
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.050% 12/16/2011 DD 12/15/11	(3,412,637)	3,412,637
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.050% 12/21/2011 DD 12/20/11	(119,227)	119,227
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 03/31/2011 DD 03/30/11	(2,891,200)	2,891,200
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 12/01/2011 DD 11/30/11	(1,314,829)	1,314,829
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 12/19/2011 DD 12/16/11	(1,242,473)	1,242,473
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/25/2011 DD 03/24/11	(2,468,786)	2,468,786
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/29/2011 DD 03/28/11	(3,049,500)	3,049,500
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.090% 03/24/2011 DD 03/23/11	(2,472,359)	2,472,359
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 03/03/2011 DD 03/02/11	(461,238)	461,238

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 03/22/2011 DD 03/21/11	(2,934,800)	2,934,800
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 01/10/2011 DD 01/07/11	(19,207,379)	19,207,379
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 01/26/2011 DD 01/25/11	(879,539)	879,539
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 01/11/2011 DD 01/10/11	(19,088,037)	19,088,037
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 01/21/2011 DD 01/20/11	(700,187)	700,187
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 01/19/2011 DD 01/18/11	(34,958)	34,958
JPM CAT 3 REPO	JPM CAT 3 REPO 0.170% 10/18/2011 DD 10/17/11	(12,485,923)	12,485,923
JPMORGAN CHASE CAPITAL XXVII	JPMORGAN CHASE CAPITAL XXVII 7.000% 11/01/2039 DD 10/27/09	(872,056)	858,042
JUNGHEINRICH AG	JUNGHEINRICH AG PFD	(373,260)	232,910
JUNIPER NETWORKS INC	JUNIPER NETWORKS INC 5.950% 03/15/2041 DD 03/03/11	(914,588)	902,096
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 4/12/2011	(999,343)	999,448
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 8/3/2011	(489,806)	489,993
KABEL BW ERSTE BETEILGU	KABEL BW ERSTE BETEILGU 7.500% 03/15/2019 DD 03/31/11	(200,000)	204,750
KENNEDY-WILSON INC 144A	KENNEDY-WILSON INC 144A 8.750% 04/01/2019 DD 04/05/11	(53,929)	55,630

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
KEY ENERGY SERVICES INC	KEY ENERGY SERVICES INC 6.750% 03/01/2021 DD 03/04/11	(165,000)	167,300
KEYCORP	KEYCORP 5.100% 03/24/2021 DD 03/24/11	(799,256)	801,800
KODIAK OIL & GAS CORP	KODIAK OIL & GAS CORP 8.125% 12/01/2019 DD 11/23/11	(670,000)	684,450
KRATON POLYMERS LLC/CAP 144A	KRATON POLYMERS LLC/CAP 144A 6.750% 03/01/2019 DD 02/11/11	(50,000)	51,000
KRATOS DEFENSE & SEC	KRATOS DEFENSE & SEC 10.000% 06/01/2017 DD 12/01/10	(107,000)	110,000
KRYMENERGO	KRYMENERGO	(58,537)	6,560
KVAERNER ASA	KVAERNER ASA	(51,237)	78,616
L-3 COMMUNICATIONS CORP	L-3 COMMUNICATIONS CORP 4.950% 02/15/2021 DD 02/07/11	(139,201)	143,044
LAREDO PETROLEUM INC	LAREDO PETROLEUM INC 9.500% 02/15/2019 DD 01/20/11	(145,000)	148,806
LEVEL 3 COMMUNICATIONS 144A	LEVEL 3 COMMUNICATIONS 144A 11.875% 02/01/2019 DD 01/19/11	(171,211)	178,794
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 10/17/2011	(929,222)	929,907
LLOYDS TSB BANK PLC	LLOYDS TSB BANK PLC 6.375% 01/21/2021 DD 01/21/11	(997,010)	1,061,456
LONGTOP FINANCIAL TECHNOLOGIES	LONGTOP FINANCIAL TECHNOLOGIES ADR	(1,112,743)	33,497
MAN WAH HOLDINGS LTD	MAN WAH HOLDINGS LTD	(372,524)	158,557
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 7/7/2011	(3,499,876)	3,499,895
MARATHON PETROLEUM CORP 144A	MARATHON PETROLEUM CORP 144A 6.500% 03/01/2041 DD 02/01/11	(907,268)	930,015

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
MARKET STREET FDG DISC	MARKET STREET FDG DISC 4/18/2011	(999,246)	999,380
MARKET STREET FDG DISC	MARKET STREET FDG DISC 8/11/2011	(1,319,352)	1,319,900
MASONITE INTERNATIONAL C 144A	MASONITE INTERNATIONAL C 144A 8.250% 04/15/2021 DD 04/15/11	(25,000)	25,313
MEDIOLANUM NPV POST SUBD	MEDIOLANUM NPV POST SUBD	(731,145)	631,629
MEG ENERGY CORP	MEG ENERGY CORP 6.500% 03/15/2021 DD 03/18/11	(175,000)	176,450
MEMC ELECTRONICS MATERIA	MEMC ELECTRONICS MATERIA 7.750% 04/01/2019 DD 03/10/11	(20,000)	20,425
METLIFE SHORT DISC	METLIFE SHORT DISC 6/27/2011	(998,571)	999,656
MIRABELA NICKEL LTD	MIRABELA NICKEL LTD 8.750% 04/15/2018 DD 04/14/11	(100,000)	102,063
mitsui & co Y49	MITSUI & CO Y50	(205,312)	186,562
MONT BLANC CAP DISC	MONT BLANC CAP DISC 7/7/2011	(3,999,907)	3,999,936
MONT BLANC CAP DISC	MONT BLANC CAP DISC 9/9/2011	(959,558)	959,558
MORGAN STANLEY	MORGAN STANLEY 5.750% 01/25/2021 DD 01/25/11	(546,288)	549,221
NATIONAL CINEMEDIA LLC 144A	NATIONAL CINEMEDIA LLC 144A 7.875% 07/15/2021 DD 07/05/11	(150,000)	151,125
NEEDLE MERGER SUB CORP 144A	NEEDLE MERGER SUB CORP 144A 8.125% 03/15/2019 DD 03/18/11	(385,000)	386,931
NEFF RENTAL LLC/NEFF FIN	NEFF RENTAL LLC/NEFF FIN 9.625% 05/15/2016 DD 05/13/11	(55,000)	55,688
NESTLE FIN FRANCE DISC	NESTLE FIN FRANCE DISC 7/15/2011	(2,999,925)	2,999,895

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
NEWFIELD EXPLORATION CO	NEWFIELD EXPLORATION CO 5.750% 01/30/2022 DD 09/30/11	(134,941)	135,338
NEWS AMERICA INC	NEWS AMERICA INC 6.150% 02/15/2041 DD 02/16/11	(2,691,069)	2,765,184
NEXEO SOLUTIONS LLC/CORP	NEXEO SOLUTIONS LLC/CORP 8.375% 03/01/2018 DD 03/09/11	(38,000)	38,428
NINTENDO CO	NINTENDO CO	(1,018,898)	883,896
NITTO DENKO CORP NPV	NITTO DENKO CORP NPV	(201,343)	166,091
NORDEA BANK AB	NORDEA BANK AB 4.875% 05/13/2021 DD 05/13/11	(208,967)	181,633
NORDEA NORTH AMER DISC	NORDEA NORTH AMER DISC 9/2/2011	(769,435)	769,843
NORTEK INC 144A	NORTEK INC 144A 8.500% 04/15/2021 DD 04/26/11	(100,000)	99,988
NRG ENERGY INC 144A	NRG ENERGY INC 144A 7.625% 01/15/2018 DD 01/26/11	(685,000)	707,106
NUVEEN INVESTMENTS INC	NUVEEN INVESTMENTS INC 10.500% 11/15/2015 DD 11/15/10	(411,000)	405,850
OASIS PETROLEUM INC	OASIS PETROLEUM INC 6.500% 11/01/2021 DD 11/10/11	(450,000)	455,475
OASIS PETROLEUM INC 144A	OASIS PETROLEUM INC 144A 7.250% 02/01/2019 DD 02/02/11	(250,000)	255,063
OBRASCON HUARTE SA EUR0.5	OBRASCON HUARTE SA EUR0.6	(1,058,810)	821,532
OGK-2-CLS	OGK-2-CLS		4,610
OGK-4 OJSC	OGK-4 OJSC		64,925
OGX PETROLEO E GAS PARTI 144A	OGX PETROLEO E GAS PARTI 144A 8.500% 06/01/2018 DD 06/03/11	(500,000)	510,800
OHIO ST UNIV GEN RCPTS	OHIO ST UNIV GEN RCPTS 4.910% 06/01/2040 DD 10/06/10	(186,213)	233,867

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ONO FINANCE II PLC	ONO FINANCE II PLC 10.875% 07/15/2019 DD 01/28/11	(175,000)	189,219
OPPENHEIMER HOLDINGS INC 144A	OPPENHEIMER HOLDINGS INC 144A 8.750% 04/15/2018 DD 04/12/11	(55,000)	56,050
ORIX CORP	ORIX CORP 5.000% 01/12/2016 DD 01/12/11	(2,039,940)	2,048,137
PACKAGING DYNAMICS CORP 144A	PACKAGING DYNAMICS CORP 144A 8.750% 02/01/2016 DD 02/01/11	(55,000)	56,094
PALACE ENT HLDG LLC/CORP	PALACE ENT HLDG LLC/CORP 8.875% 04/15/2017 DD 02/28/11	(90,000)	92,856
PENN VIRGINIA CORP	PENN VIRGINIA CORP 7.250% 04/15/2019 DD 04/13/11	(90,000)	90,769
PERNOD-RICARD SA	PERNOD-RICARD SA 5.750% 04/07/2021 DD 04/07/11	(247,663)	250,163
PHH CORP	PHH CORP 9.250% 03/01/2016 DD 03/01/11	(105,000)	104,475
PITTSBURGH GLASS WORKS L	PITTSBURGH GLASS WORKS L 8.500% 04/15/2016 DD 04/15/11	(75,000)	77,438
PLAINS EXPLORATION & PRO	PLAINS EXPLORATION & PRO 6.625% 05/01/2021 DD 03/29/11	(100,000)	100,825
PLY GEM INDUSTRIES 144A	PLY GEM INDUSTRIES 144A 8.250% 02/15/2018 DD 02/11/11	(540,000)	555,525
PRECISION DRILLING CORP	PRECISION DRILLING CORP 6.500% 12/15/2021 DD 07/29/11	(90,000)	91,775
PREMIER OIL ORD GBP0.49	PREMIER OIL ORD GBP0.50	(601,600)	587,765
PRETIUM PGK LLC/FIN INC	PRETIUM PGK LLC/FIN INC 11.500% 04/01/2016 DD 03/31/11	(90,000)	90,100
PRODUCTION RES GROUP	PRODUCTION RES GROUP 8.875% 05/01/2019 DD 04/15/11	(145,000)	146,713

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
PRUDENTIAL FINANCIAL INC	PRUDENTIAL FINANCIAL INC 5.625% 05/12/2041 DD 05/12/11	(658,816)	659,128
RADIOSHACK CORP	RADIOSHACK CORP 6.750% 05/15/2019 DD 05/03/11	(64,502)	65,419
REYNOLDS GROUP HOLDINGS	REYNOLDS GROUP HOLDINGS 8.250% 02/15/2021 DD 02/01/11	(750,000)	756,875
REZIDOR HOTEL GROUP AB NPV	REZIDOR HOTEL GROUP AB NPV	(660,826)	386,750
ROADHOUSE FINANCING INC	ROADHOUSE FINANCING INC 10.750% 10/15/2017 DD 04/15/11	(115,000)	98,900
ROLLS ROYCE GROUP	ROLLS ROYCE GROUP C SHS ENTITLEMENT (JULY 2011)	(89,471)	87,794
ROLLS-ROYCE GROUP - C SHS	ROLLS-ROYCE GROUP - C SHS		51,805
ROTECH HEALTHCARE INC 144A	ROTECH HEALTHCARE INC 144A 10.500% 03/15/2018 DD 03/17/11	(39,279)	40,563
RR DONNELLEY & SONS CO	RR DONNELLEY & SONS CO 7.250% 05/15/2018 DD 06/01/11	(155,000)	155,838
SAFILO GROUP EUR5.01	SAFILO GROUP EUR5.00	(436,496)	208,122
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 06/24/2011 DD 06/23/11	(2,050,979)	2,050,979
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 06/27/2011 DD 06/24/11	(2,437,027)	2,437,027
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/12/2011 DD 07/11/11	(279,174)	279,174
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/13/2011 DD 07/12/11	(289,370)	289,370
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/18/2011 DD 07/15/11	(1,827,669)	1,827,669
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/19/2011 DD 07/18/11	(2,049,227)	2,049,227

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/20/2011 DD 07/19/11	(2,147,269)	2,147,269
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/22/2011 DD 07/21/11	(1,778,162)	1,778,162
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/25/2011 DD 07/22/11	(1,809,413)	1,809,413
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/26/2011 DD 07/25/11	(1,989,783)	1,989,783
SALI CAT 1 REPO	SALI CAT 1 REPO 0.020% 07/27/2011 DD 07/26/11	(1,048,291)	1,048,291
SALI CAT 1 REPO	SALI CAT 1 REPO 0.020% 08/31/2011 DD 08/30/11	(2,515,200)	2,515,200
SALI CAT 1 REPO	SALI CAT 1 REPO 0.030% 08/29/2011 DD 08/26/11	(938,998)	938,998
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 06/09/2011 DD 06/08/11	(158,371)	158,371
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/08/2011 DD 06/07/11	(1,671,574)	1,671,574
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/14/2011 DD 06/13/11	(1,822,400)	1,822,400
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/21/2011 DD 06/20/11	(1,065,187)	1,065,187
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
SALI CAT 1 REPO	SALI CAT 1 REPO 0.070% 06/07/2011 DD 06/06/11	(1,765,397)	1,765,397
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 06/17/2011 DD 06/16/11	(1,443,694)	1,443,694
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 07/28/2011 DD 07/27/11	(2,120,135)	2,120,135

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 07/29/2011 DD 07/28/11	(2,152,282)	2,152,282
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/30/2011 DD 03/29/11	(253,432)	253,432
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/31/2011 DD 03/30/11	(2,502,963)	2,502,963
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 06/03/2011 DD 06/02/11	(1,871,185)	1,871,185
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 06/06/2011 DD 06/03/11	(1,824,566)	1,824,566
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/25/2011 DD 03/24/11	(2,490,966)	2,490,966
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/28/2011 DD 03/25/11	(2,527,303)	2,527,303
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 06/01/2011 DD 05/31/11	(1,925,709)	1,925,709
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 06/20/2011 DD 06/17/11	(1,836,970)	1,836,970
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 03/29/2011 DD 03/28/11	(2,664,950)	2,664,950
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 06/02/2011 DD 06/01/11	(1,816,148)	1,816,148
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 06/16/2011 DD 06/15/11	(1,929,200)	1,929,200
SALI CAT 1 REPO	SALI CAT 1 REPO 0.120% 02/18/2011 DD 02/17/11	(2,247,426)	2,247,426
SALI CAT 1 REPO	SALI CAT 1 REPO 0.120% 03/24/2011 DD 03/23/11	(2,711,100)	2,711,100
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 02/17/2011 DD 02/16/11	(2,300,302)	2,300,302

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 02/22/2011 DD 02/18/11	(1,473,452)	1,473,452
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/03/2011 DD 03/02/11	(2,529,452)	2,529,452
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/04/2011 DD 03/03/11	(1,625,412)	1,625,412
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/07/2011 DD 03/04/11	(1,768,308)	1,768,308
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/08/2011 DD 03/07/11	(1,037,727)	1,037,727
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/09/2011 DD 03/08/11	(740,679)	740,679
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 02/28/2011 DD 02/25/11	(2,744,720)	2,744,720
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 03/18/2011 DD 03/17/11	(1,880,369)	1,880,369
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 03/22/2011 DD 03/21/11	(173,006)	173,006
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 01/26/2011 DD 01/25/11	(2,136,515)	2,136,515
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 03/02/2011 DD 03/01/11	(1,236,183)	1,236,183
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 08/01/2011 DD 07/29/11	(179,473)	179,473
SALI CAT 1 REPO	SALI CAT 1 REPO 0.160% 01/10/2011 DD 01/07/11	(6,173,800)	6,173,800
SALI CAT 1 REPO	SALI CAT 1 REPO 0.160% 01/18/2011 DD 01/14/11	(2,136,446)	2,136,446
SALI CAT 1 REPO	SALI CAT 1 REPO 0.180% 02/16/2011 DD 02/15/11	(1,669,231)	1,669,231

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.180% 03/01/2011 DD 02/28/11	(1,832,520)	1,832,520
SALI CAT 1 REPO	SALI CAT 1 REPO 0.210% 01/21/2011 DD 01/20/11	(2,232,513)	2,232,513
SALI CAT 1 REPO	SALI CAT 1 REPO 0.230% 01/19/2011 DD 01/18/11	(2,448,909)	2,448,909
SALI CAT 2 REPO	SALI CAT 2 REPO 0.160% 07/21/2011 DD 07/20/11	(243,683)	243,683
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/19/2011 DD 04/18/11	(356,506)	356,506
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/20/2011 DD 04/19/11	(185,353)	185,353
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/28/2011 DD 04/27/11	(119,248)	119,248
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/29/2011 DD 04/28/11	(118,716)	118,716
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/08/2011 DD 06/07/11	(562,626)	562,626
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/09/2011 DD 06/08/11	(520,280)	520,280
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/10/2011 DD 06/09/11	(435,476)	435,476
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/13/2011 DD 06/10/11	(430,400)	430,400
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/15/2011 DD 06/14/11	(455,080)	455,080
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/21/2011 DD 06/20/11	(248,437)	248,437
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/23/2011 DD 06/22/11	(225,408)	225,408

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/24/2011 DD 06/23/11	(296,221)	296,221
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/27/2011 DD 06/24/11	(1,326,173)	1,326,173
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/28/2011 DD 06/27/11	(14,000,000)	14,000,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/29/2011 DD 06/28/11	(13,900,000)	13,900,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/30/2011 DD 06/29/11	(13,800,000)	13,800,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/19/2011 DD 07/18/11	(268,182)	268,182
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/20/2011 DD 07/19/11	(279,131)	279,131
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/22/2011 DD 07/21/11	(181,734)	181,734
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/25/2011 DD 07/22/11	(166,309)	166,309
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/26/2011 DD 07/25/11	(177,046)	177,046
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/27/2011 DD 07/26/11	(232,931)	232,931
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/28/2011 DD 07/27/11	(266,065)	266,065
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 04/21/2011 DD 04/20/11	(135,123)	135,123
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/02/2011 DD 06/01/11	(558,052)	558,052
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/03/2011 DD 06/02/11	(499,615)	499,615

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/06/2011 DD 06/03/11	(512,834)	512,834
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/07/2011 DD 06/06/11	(537,203)	537,203
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/17/2011 DD 06/16/11	(287,009)	287,009
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/20/2011 DD 06/17/11	(269,198)	269,198
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/22/2011 DD 06/21/11	(275,722)	275,722
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 07/29/2011 DD 07/28/11	(261,518)	261,518
SALI CAT 2 REPO	SALI CAT 2 REPO 0.190% 04/01/2011 DD 03/31/11	(288,315)	288,315
SALI CAT 2 REPO	SALI CAT 2 REPO 0.190% 09/01/2011 DD 08/31/11	(144,753)	144,753
SALI CAT 2 REPO	SALI CAT 2 REPO 0.200% 04/18/2011 DD 04/15/11	(282,222)	282,222
SALI CAT 2 REPO	SALI CAT 2 REPO 0.210% 03/31/2011 DD 03/30/11	(388,237)	388,237
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/14/2011 DD 03/11/11	(151,719)	151,719
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/15/2011 DD 03/14/11	(163,122)	163,122
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/17/2011 DD 03/16/11	(258,170)	258,170
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/18/2011 DD 03/17/11	(286,228)	286,228
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/25/2011 DD 03/24/11	(257,734)	257,734

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/28/2011 DD 03/25/11	(312,797)	312,797
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/29/2011 DD 03/28/11	(384,550)	384,550
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/30/2011 DD 03/29/11	(427,782)	427,782
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/18/2011 DD 02/17/11	(326,685)	326,685
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/22/2011 DD 02/18/11	(285,157)	285,157
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/25/2011 DD 02/24/11	(234,401)	234,401
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/28/2011 DD 02/25/11	(197,696)	197,696
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/03/2011 DD 03/02/11	(289,548)	289,548
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/04/2011 DD 03/03/11	(343,739)	343,739
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/07/2011 DD 03/04/11	(289,109)	289,109
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/08/2011 DD 03/07/11	(265,527)	265,527
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/09/2011 DD 03/08/11	(207,407)	207,407
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/10/2011 DD 03/09/11	(181,099)	181,099
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/11/2011 DD 03/10/11	(170,060)	170,060
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/16/2011 DD 03/15/11	(237,590)	237,590

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/22/2011 DD 03/21/11	(399,243)	399,243
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/23/2011 DD 03/22/11	(103,109)	103,109
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 02/10/2011 DD 02/09/11	(44,091)	44,091
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 02/17/2011 DD 02/16/11	(229,120)	229,120
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 03/02/2011 DD 03/01/11	(265,645)	265,645
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/14/2011 DD 01/13/11	(301,280)	301,280
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/18/2011 DD 01/14/11	(343,462)	343,462
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/24/2011 DD 01/21/11	(372,728)	372,728
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/26/2011 DD 01/25/11	(365,110)	365,110
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/27/2011 DD 01/26/11	(470,866)	470,866
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/28/2011 DD 01/27/11	(393,740)	393,740
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/31/2011 DD 01/28/11	(191,014)	191,014
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 02/07/2011 DD 02/04/11	(16,788)	16,788
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 02/09/2011 DD 02/08/11	(15,933)	15,933
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/10/2011 DD 01/07/11	(3,335,280)	3,335,280

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/11/2011 DD 01/10/11	(2,647,112)	2,647,112
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/12/2011 DD 01/11/11	(1,469,998)	1,469,998
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/13/2011 DD 01/12/11	(702,954)	702,954
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/06/2011 DD 01/05/11	(80,324)	80,324
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/07/2011 DD 01/06/11	(39,306)	39,306
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/21/2011 DD 01/20/11	(360,730)	360,730
SALI CAT 2 REPO	SALI CAT 2 REPO 0.280% 01/20/2011 DD 01/19/11	(303,925)	303,925
SALI CAT 2 REPO	SALI CAT 2 REPO 0.290% 01/04/2011 DD 01/03/11	(3,805)	3,805
SALI CAT 2 REPO	SALI CAT 2 REPO 0.300% 01/19/2011 DD 01/18/11	(277,793)	277,793
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/18/2011 DD 02/17/11	(652,782)	652,782
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/22/2011 DD 02/18/11	(568,466)	568,466
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/25/2011 DD 02/24/11	(467,910)	467,910
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/28/2011 DD 02/25/11	(394,884)	394,884
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 02/10/2011 DD 02/09/11	(88,182)	88,182
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 02/17/2011 DD 02/16/11	(457,378)	457,378

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 03/02/2011 DD 03/01/11	(530,030)	530,030
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/14/2011 DD 01/13/11	(602,353)	602,353
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/18/2011 DD 01/14/11	(686,672)	686,672
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/24/2011 DD 01/21/11	(745,270)	745,270
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/26/2011 DD 01/25/11	(729,975)	729,975
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/27/2011 DD 01/26/11	(941,424)	941,424
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/28/2011 DD 01/27/11	(787,481)	787,481
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/31/2011 DD 01/28/11	(382,029)	382,029
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/07/2011 DD 02/04/11	(33,577)	33,577
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/09/2011 DD 02/08/11	(31,867)	31,867
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/16/2011 DD 02/15/11	(164,399)	164,399
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 03/01/2011 DD 02/28/11	(848,773)	848,773
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/10/2011 DD 01/07/11	(6,454,714)	6,454,714
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/11/2011 DD 01/10/11	(5,292,209)	5,292,209
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/12/2011 DD 01/11/11	(2,939,996)	2,939,996

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/13/2011 DD 01/12/11	(1,405,908)	1,405,908
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/06/2011 DD 01/05/11	(153,267)	153,267
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/07/2011 DD 01/06/11	(75,696)	75,696
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/21/2011 DD 01/20/11	(721,357)	721,357
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 02/01/2011 DD 01/31/11	(98,304)	98,304
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 01/20/2011 DD 01/19/11	(607,745)	607,745
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/11/2011 DD 02/10/11	(119,987)	119,987
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/14/2011 DD 02/11/11	(231,743)	231,743
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/15/2011 DD 02/14/11	(451,655)	451,655
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/18/2011 DD 02/17/11	(1,804,981)	1,804,981
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/22/2011 DD 02/18/11	(315,040)	315,040
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/23/2011 DD 02/22/11	(101,041)	101,041
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/24/2011 DD 02/23/11	(446,451)	446,451
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/25/2011 DD 02/24/11	(386,337)	386,337
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/28/2011 DD 02/25/11	(995,917)	995,917

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 01/04/2011 DD 01/03/11	(7,116)	7,116
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 02/10/2011 DD 02/09/11	(205,640)	205,640
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 02/17/2011 DD 02/16/11	(25,324)	25,324
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 03/02/2011 DD 03/01/11	(926,505)	926,505
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/14/2011 DD 01/13/11	(1,234,359)	1,234,359
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/18/2011 DD 01/14/11	(136,475)	136,475
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/19/2011 DD 01/18/11	(555,395)	555,395
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/24/2011 DD 01/21/11	(1,083,347)	1,083,347
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/25/2011 DD 01/24/11	(481,659)	481,659
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/26/2011 DD 01/25/11	(2,437,663)	2,437,663
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/27/2011 DD 01/26/11	(5,223,185)	5,223,185
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/28/2011 DD 01/27/11	(452,787)	452,787
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/31/2011 DD 01/28/11	(144,747)	144,747
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/04/2011 DD 02/03/11	(2,082,480)	2,082,480
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/07/2011 DD 02/04/11	(401,820)	401,820

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/08/2011 DD 02/07/11	(883,975)	883,975
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/09/2011 DD 02/08/11	(338,522)	338,522
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/16/2011 DD 02/15/11	(1,671,760)	1,671,760
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 01/11/2011 DD 01/10/11	(64,554)	64,554
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 01/12/2011 DD 01/11/11	(3,038,225)	3,038,225
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 02/03/2011 DD 02/02/11	(509,463)	509,463
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 01/06/2011 DD 01/05/11	(1,159,090)	1,159,090
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 01/21/2011 DD 01/20/11	(941,702)	941,702
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 02/02/2011 DD 02/01/11	(348,195)	348,195
SALI CAT 2 REPO	SALI CAT 2 REPO 0.410% 01/05/2011 DD 01/04/11	(1,341,989)	1,341,989
SALI CAT 3 REPO	SALI CAT 3 REPO 0.100% 05/23/2011 DD 05/20/11	(245,000)	245,000
SALI CAT 3 REPO	SALI CAT 3 REPO 0.170% 01/07/2011 DD 01/06/11	(19,696,780)	19,696,780
SALI CAT 3 REPO	SALI CAT 3 REPO 0.180% 01/11/2011 DD 01/10/11	(7,513,215)	7,513,215
SAMSUNG ELECTRONICS CO LTD	SAMSUNG ELECTRONICS CO LTD	(1,230,299)	1,105,869
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC	(225,000)	229,625

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SANOFI-AVENTIS	SANOFI-AVENTIS 4.000% 03/29/2021 DD 03/29/11	(989,760)	970,370
SANTANDER UK PLC	SANTANDER UK PLC 7.950% 10/26/2029 DD 10/26/99	(1,024,380)	1,123,514
SENSATA TECHNOLOGIES BV	SENSATA TECHNOLOGIES BV 6.500% 05/15/2019 DD 05/12/11	(185,000)	186,281
SESI LLC 144A	SESI LLC 144A 6.375% 05/01/2019 DD 04/27/11	(170,000)	171,825
SEVEN SEAS CRUISES 144A	SEVEN SEAS CRUISES 144A 9.125% 05/15/2019 DD 05/19/11	(45,000)	46,350
SHEFFIELD REC CP DISC	SHEFFIELD REC CP DISC 9/7/2011	(999,570)	999,570
SHIRE PLC ORD GBP0.04	SHIRE PLC ORD GBP0.05	(303,119)	313,817
SINO-FOREST CORP	SINO-FOREST CORP	(1,102,950)	351,648
SM ENERGY CO 144A	SM ENERGY CO 144A 6.500% 11/15/2021 DD 11/08/11	(64,000)	63,840
SM ENERGY CO 144A	SM ENERGY CO 144A 6.625% 02/15/2019 DD 02/07/11	(45,000)	45,650
SMITH & NEPHEW ORD USD0.19	SMITH & NEPHEW ORD USD0.20	(318,581)	312,623
SOCIETE GENERALE	SOCIETE GENERALE 5.200% 04/15/2021 DD 04/18/11	(2,196,964)	2,204,532
SOCIETE GENERALE	SOCIETE GENERALE VAR RT 04/29/2049 DD 04/05/07	(312,000)	242,125
SOLITAIRE FDG LTD DISC	SOLITAIRE FDG LTD DISC 9/15/2011	(1,059,444)	1,059,500
STERLING MERGER INC 144A	STERLING MERGER INC 144A 11.000% 10/01/2019 DD 07/20/11	(145,000)	149,713

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
STHI HOLDING CORP 144A	STHI HOLDING CORP 144A 8.000% 03/15/2018 DD 03/25/11	(115,000)	118,968
STROER OUT-OF-HOME MEDIA AG	STROER OUT-OF-HOME MEDIA AG	(196,427)	154,919
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 10/7/2011	(959,032)	959,785
SUNCOKE ENERGY INC 144A	SUNCOKE ENERGY INC 144A 7.625% 08/01/2019 DD 07/26/11	(150,000)	153,694
SUNCOR ENERGY INC	SUNCOR ENERGY INC NEW	(2,528,779)	2,502,060
SYMBION INC	SYMBION INC 8.000% 06/15/2016 DD 06/14/11	(103,417)	103,675
TARGA RESOURCES PARTNERS 144A	TARGA RESOURCES PARTNERS 144A 6.875% 02/01/2021 DD 02/02/11	(100,000)	99,650
TASEKO MINES LTD	TASEKO MINES LTD 7.750% 04/15/2019 DD 04/15/11	(30,000)	30,788
TELEFLEX INC	TELEFLEX INC 6.875% 06/01/2019 DD 06/13/11	(150,000)	152,313
TEN CATE KONINKLIJKE EUR2.4	TEN CATE KONINKLIJKE EUR2.5	(767,634)	597,417
THERMO FISHER SCIENTIFIC	THERMO FISHER SCIENTIFIC 3.200% 03/01/2016 DD 02/22/11	(414,747)	427,048
THERMON INDUSTRIES INC	THERMON INDUSTRIES INC 9.500% 05/01/2017 DD 11/01/10	(77,000)	82,775
THOMPSON CREEK METALS CO INC	THOMPSON CREEK METALS CO INC 7.375% 06/01/2018 DD 05/20/11	(185,000)	166,500
THUNDER BAY FDG DISC	THUNDER BAY FDG DISC 4/15/2011	(1,998,575)	1,998,808
TOYOTA MTR CR CP DISC	TOYOTA MTR CR CP DISC 10/28/2011	(3,317,391)	3,317,645

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
TUI TRAVEL PLC ORD GBP1	TUI TRAVEL PLC ORD GBP0	(434,215)	283,543
UKRTELECOM UAH0.24	UKRTELECOM UAH0.25	(768)	754
UNCLE ACQUISITION 2010 144A	UNCLE ACQUISITION 2010 144A 8.625% 02/15/2019 DD 01/26/11	(290,000)	307,813
UNIT CORP	UNIT CORP 6.625% 05/15/2021 DD 05/18/11	(45,000)	45,900
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP DEC 11		202,489
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP JUN 11		(7,031)
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP SEP 11		4,150
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP DEC 11		180,633
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP JUN 11		173,141
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP SEP 11		530,303
US 10 YR TREAS NTS FUTURE (CBT)	US 10 YR TREAS NTS FUTURE (CBT) EXP DEC 11		(27,828)
US 10 YR TREAS NTS FUTURE (CBT)	US 10 YR TREAS NTS FUTURE (CBT) EXP JUN 11		(294,797)
US 10YR NOTE FUTURE (CBT)	US 10YR NOTE FUTURE (CBT) EXP SEP 11		(207,508)
US 5YR TREAS NTS FUT (CBT)	US 5YR TREAS NTS FUT (CBT) EXP JUN 11		(10,648)
USG PEOPLE NV	USG PEOPLE NV EUR0.5 (POST SUBD)	(195,892)	171,426

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
VANGUARD HEALTH SYSTEMS	VANGUARD HEALTH SYSTEMS 0.000% 02/01/2016 DD 01/26/11	(101,164)	110,500
VANGUARD HEALTH SYSTEMS INC	VANGUARD HEALTH SYSTEMS INC 0.000% 02/01/2016 DD 06/08/11	(4,761)	5,400
VENOCO INC	VENOCO INC 8.875% 02/15/2019 DD 02/15/11	(165,000)	167,963
VERSO PAPER HOLDINGS LLC / VER	VERSO PAPER HOLDINGS LLC / VER 8.750% 02/01/2019 DD 08/01/11	(121,464)	89,400
VIRGIN MEDIA SECURED 144A	VIRGIN MEDIA SECURED 144A 5.250% 01/15/2021 DD 03/03/11	(283,524)	286,106
W&T OFFSHORE INC 144A	W&T OFFSHORE INC 144A 8.500% 06/15/2019 DD 06/10/11	(80,000)	80,438
WALT DISNEY COMPANY/THE	WALT DISNEY COMPANY/THE 4.375% 08/16/2041 DD 08/22/11	(148,517)	149,231
WEA FINANCE LLC	WEA FINANCE LLC 4.625% 05/10/2021 DD 05/10/11	(376,998)	374,036
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 4/11/2011	(2,308,365)	2,308,854
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 7/18/2011	(999,353)	999,511
WM FINANCE CORP 144A	WM FINANCE CORP 144A 9.500% 06/15/2016 DD 07/20/11	(52,375)	52,750
WM FINANCE CORP 144A	WM FINANCE CORP 144A 11.500% 10/01/2018 DD 07/20/11	(122,091)	124,138
XERIUM TECHNOLOGIES INC	XERIUM TECHNOLOGIES INC 8.875% 06/15/2018 DD 05/26/11	(105,000)	105,794
ZHUZHOU CSR TIMES ELECTRIC CO	ZHUZHOU CSR TIMES ELECTRIC CO LTD H CNY1	(465,433)	475,366

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

SINGLE TRANSACTIONS IN EXCESS OF FIVE PERCENT
OF THE CURRENT VALUE OF THE PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction	Net Gain (Loss)
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	\$ 143,000,000	\$ -	\$ -	\$ -	\$ -
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	173,537,807	-	-	-	-

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

SERIES OF TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF FIVE PERCENT
OF THE CURRENT VALUE OF THE PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	5	\$ 175,871,526	\$ -	\$ -	\$ -	\$ -
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	1	-	5,000,000	4,917,975	5,000,000	82,025
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	6	183,102,130	-	-	-	-
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	4	-	19,072,552	17,698,842	19,072,552	1,373,710

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2005 Maturity Date - 09/28/12 Maturity Value = \$1,697	\$ 1,176	\$ 1,697	\$ 521
Rockwell Collins, Inc.	Employer	Excess Comp - November 2005 Maturity Date - 09/28/12 Maturity Value = \$3,679	2,505	3,679	1,174
Rockwell Collins, Inc.	Employer	Excess Comp - December 2005 Maturity Date - 09/28/12 Maturity Value = \$1,933	1,352	1,933	581
Rockwell Collins, Inc.	Employer	Excess Comp - January 2006 Maturity Date - 09/28/12 Maturity Value = \$401	282	401	119
Rockwell Collins, Inc.	Employer	Excess Comp - February 2006 Maturity Date - 09/28/12 Maturity Value = \$5,556	4,025	5,556	1,531
Rockwell Collins, Inc.	Employer	Excess Comp - March 2006 Maturity Date - 09/28/12 Maturity Value = \$5,675	4,112	5,675	1,563

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2006 Maturity Date - 09/28/12 Maturity Value = \$386	283	386	103
Rockwell Collins, Inc.	Employer	Excess Comp - May 2006 Maturity Date - 09/28/12 Maturity Value = \$6,275	4,647	6,275	1,628
Rockwell Collins, Inc.	Employer	Excess Comp - June 2006 Maturity Date - 09/28/12 Maturity Value = \$9,979	7,185	9,979	2,794
Rockwell Collins, Inc.	Employer	Excess Comp - July 2006 Maturity Date - 09/28/12 Maturity Value = \$12,924	9,271	12,924	3,653
Rockwell Collins, Inc.	Employer	Excess Comp - August 2006 Maturity Date - 09/28/12 Maturity Value = \$11,641	8,336	11,641	3,305
Rockwell Collins, Inc.	Employer	Excess Comp - September 2006 Maturity Date - 09/28/12 Maturity Value = \$6,255	4,578	6,255	1,677

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2006 Maturity Date - 09/28/12 Maturity Value = \$1,452	1,081	1,452	371
Rockwell Collins, Inc.	Employer	Excess Comp - November 2006 Maturity Date - 09/28/12 Maturity Value = \$4,197	3,212	4,197	985
Rockwell Collins, Inc.	Employer	Excess Comp - December 2006 Maturity Date - 09/28/12 Maturity Value = \$474	370	474	104
Rockwell Collins, Inc.	Employer	Excess Comp - January 2007 Maturity Date - 09/28/12 Maturity Value = \$9,275	7,318	9,275	1,957
Rockwell Collins, Inc.	Employer	Excess Comp - February 2007 Maturity Date - 09/28/12 Maturity Value = \$5,325	4,256	5,325	1,069
Rockwell Collins, Inc.	Employer	Excess Comp - March 2007 Maturity Date - 09/28/12 Maturity Value = \$8,258	6,556	8,258	1,702

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2007 Maturity Date - 09/28/12 Maturity Value = \$3,780	3,025	3,780	755
Rockwell Collins, Inc.	Employer	Excess Comp - May 2007 Maturity Date - 09/28/12 Maturity Value = \$4,895	4,052	4,895	843
Rockwell Collins, Inc.	Employer	Excess Comp - June 2007 Maturity Date - 09/28/12 Maturity Value = \$1,950	1,649	1,950	301
Rockwell Collins, Inc.	Employer	Excess Comp - July 2007 Maturity Date - 09/28/12 Maturity Value = \$8,264	6,954	8,264	1,310
Rockwell Collins, Inc.	Employer	Excess Comp - August 2007 Maturity Date - 09/28/12 Maturity Value = \$3,827	3,164	3,827	663
Rockwell Collins, Inc.	Employer	Excess Comp - September 2007 Maturity Date - 09/28/12 Maturity Value = \$1,261	1,050	1,261	211

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2007 Maturity Date - 09/28/12 Maturity Value = \$105	90	105	15
Rockwell Collins, Inc.	Employer	Excess Comp - November 2007 Maturity Date - 09/28/12 Maturity Value = \$7,679	6,771	7,679	908
Rockwell Collins, Inc.	Employer	Excess Comp - December 2007 Maturity Date - 09/28/12 Maturity Value = \$997	856	997	141
Rockwell Collins, Inc.	Employer	Excess Comp - January 2008 Maturity Date - 09/28/12 Maturity Value = \$5,966	5,102	5,966	864
Rockwell Collins, Inc.	Employer	Excess Comp - February 2008 Maturity Date - 09/28/12 Maturity Value = \$3,054	2,487	3,054	567
Rockwell Collins, Inc.	Employer	Excess Comp - March 2008 Maturity Date - 09/28/12 Maturity Value = \$115	92	115	23

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2008 Maturity Date - 09/28/12 Maturity Value = \$13,419	10,729	13,419	2,690
Rockwell Collins, Inc.	Employer	Excess Comp - May 2008 Maturity Date - 09/28/12 Maturity Value = \$9,246	7,610	9,246	1,636
Rockwell Collins, Inc.	Employer	Excess Comp - June 2008 Maturity Date - 09/28/12 Maturity Value = \$976	813	976	163
Rockwell Collins, Inc.	Employer	Excess Comp - July 2008 Maturity Date - 09/28/12 Maturity Value = \$4,305	3,405	4,305	900
Rockwell Collins, Inc.	Employer	Excess Comp - August 2008 Maturity Date - 09/28/12 Maturity Value = \$2,586	2,020	2,586	566
Rockwell Collins, Inc.	Employer	Excess Comp - September 2008 Maturity Date - 09/28/12 Maturity Value = \$8,334	6,512	8,334	1,822

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2008 Maturity Date - 09/28/12 Maturity Value = \$35,866	25,746	35,866	10,120
Rockwell Collins, Inc.	Employer	Excess Comp - November 2008 Maturity Date - 09/28/12 Maturity Value = \$30,961	19,334	30,961	11,627
Rockwell Collins, Inc.	Employer	Excess Comp - December 2008 Maturity Date - 09/28/12 Maturity Value = \$34,044	20,388	34,044	13,656
Rockwell Collins, Inc.	Employer	Excess Comp - January 2009 Maturity Date - 09/28/12 Maturity Value = \$27,978	17,568	27,978	10,410
Rockwell Collins, Inc.	Employer	Excess Comp - February 2009 Maturity Date - 09/28/12 Maturity Value = \$36,624	21,487	36,624	15,137
Rockwell Collins, Inc.	Employer	Excess Comp - March 2009 Maturity Date - 09/28/12 Maturity Value = \$44,318	24,386	44,318	19,932

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
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Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2009 Maturity Date - 09/28/12 Maturity Value = \$35,189	20,384	35,189	14,805
Rockwell Collins, Inc.	Employer	Excess Comp - May 2009 Maturity Date - 09/28/12 Maturity Value = \$31,120	19,175	31,120	11,945
Rockwell Collins, Inc.	Employer	Excess Comp - June 2009 Maturity Date - 09/28/12 Maturity Value = \$38,444	24,768	38,444	13,676
Rockwell Collins, Inc.	Employer	Excess Comp - July 2009 Maturity Date - 09/28/12 Maturity Value = \$29,714	19,180	29,714	10,534
Rockwell Collins, Inc.	Employer	Excess Comp - August 2009 Maturity Date - 09/28/12 Maturity Value = \$29,964	20,597	29,964	9,367
Rockwell Collins, Inc.	Employer	Excess Comp - September 2009 Maturity Date - 09/28/12 Maturity Value = \$36,153	25,574	36,153	10,579

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2009 Maturity Date - 09/28/12 Maturity Value = \$2,471	1,809	2,471	662
Rockwell Collins, Inc.	Employer	Excess Comp - November 2009 Maturity Date - 09/28/12 Maturity Value = \$77,637	55,754	77,637	21,883
Rockwell Collins, Inc.	Employer	Excess Comp - December 2009 Maturity Date - 09/28/12 Maturity Value = \$33,695	25,011	33,695	8,684
Rockwell Collins, Inc.	Employer	Excess Comp - January 2010 Maturity Date - 09/28/12 Maturity Value = \$29,810	22,434	29,810	7,376
Rockwell Collins, Inc.	Employer	Excess Comp - February 2010 Maturity Date - 09/28/12 Maturity Value = \$36,885	27,249	36,885	9,636
Rockwell Collins, Inc.	Employer	Excess Comp - March 2010 Maturity Date - 09/28/12 Maturity Value = \$50,049	37,683	50,049	12,366

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2010 Maturity Date - 09/28/12 Maturity Value = \$31,912	\$ 25,072	\$ 31,912	\$ 6,840
Rockwell Collins, Inc.	Employer	Excess Comp - May 2010 Maturity Date - 09/28/12 Maturity Value = \$28,687	\$ 22,966	\$ 28,687	\$ 5,721
Rockwell Collins, Inc.	Employer	Excess Comp - June 2010 Maturity Date - 09/28/12 Maturity Value = \$44,461	\$ 33,592	\$ 44,461	\$ 10,869
Rockwell Collins, Inc.	Employer	Excess Comp - July 2010 Maturity Date - 09/28/12 Maturity Value = \$40,055	\$ 29,736	\$ 40,055	\$ 10,319
Rockwell Collins, Inc.	Employer	Excess Comp - August 2010 Maturity Date - 09/28/12 Maturity Value = \$48,103	\$ 37,598	\$ 48,103	\$ 10,505
Rockwell Collins, Inc.	Employer	Excess Comp - September 2010 Maturity Date - 09/28/12 Maturity Value = \$54,869	\$ 42,354	\$ 54,869	\$ 12,515

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2010 Maturity Date - 09/28/12 Maturity Value = \$45,597	37,519	45,597	8,078
Rockwell Collins, Inc.	Employer	Excess Comp - November 2010 Maturity Date - 09/28/12 Maturity Value = \$30,309	25,464	30,309	4,845
Rockwell Collins, Inc.	Employer	Excess Comp - December 2010 Maturity Date - 09/28/12 Maturity Value = \$41,478	34,620	41,478	6,858
Rockwell Collins, Inc.	Employer	Excess Comp - January 2011 Maturity Date - 09/28/12 Maturity Value = \$34,433	29,908	34,433	4,525
Rockwell Collins, Inc.	Employer	Excess Comp - February 2011 Maturity Date - 09/28/12 Maturity Value = \$34,496	30,223	34,496	4,273
Rockwell Collins, Inc.	Employer	Excess Comp - March 2011 Maturity Date - 09/28/12 Maturity Value = \$45,524	40,946	45,524	4,578

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2011 Maturity Date - 09/28/12 Maturity Value = \$34,587	\$ 31,177	\$ 34,587	\$ 3,410
Rockwell Collins, Inc.	Employer	Excess Comp - May 2011 Maturity Date - 09/28/12 Maturity Value = \$40,590	\$ 37,783	\$ 40,590	\$ 2,807
Rockwell Collins, Inc.	Employer	Excess Comp - June 2011 Maturity Date - 09/28/12 Maturity Value = \$35,170	\$ 32,549	\$ 35,170	\$ 2,621
Rockwell Collins, Inc.	Employer	Excess Comp - July 2011 Maturity Date - 09/28/12 Maturity Value = \$33,116	\$ 30,062	\$ 33,116	\$ 3,054
Rockwell Collins, Inc.	Employer	Excess Comp - August 2011 Maturity Date - 09/28/12 Maturity Value = \$45,346	\$ 40,913	\$ 45,346	\$ 4,433
Rockwell Collins, Inc.	Employer	Excess Comp - September 2011 Maturity Date - 09/28/12 Maturity Value = \$36,168	\$ 31,380	\$ 36,168	\$ 4,788

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
BANK OF AMERICA CORP	65,000	65,507	58,204	
CITIGROUP CAPITAL XII	7,570	200,302	190,461	
GMAC CAPITAL TRUST I	23,200	580,000	448,688	
PETROLEO BRASILEIRO SA	32,700	1,095,490	768,123	
PROSIEBENSAT.1 MEDIA AG	42,450	<u>1,108,649</u>	<u>777,828</u>	
TOTAL PREFERRED STOCKS		<u>3,049,948</u>	<u>2,243,304</u>	
COMMON STOCK				
7 DAYS GROUP HOLDINGS LTD	119,973	2,316,284	1,337,699	
99 CENTS ONLY STORES	37,100	591,374	814,345	
ABBOTT LABORATORIES	72,790	3,510,746	4,092,982	
ACTUANT CORP	49,170	1,298,919	1,115,667	672
ADOBE SYSTEMS INC	189,284	5,518,812	5,351,059	
ADVANCED ENERGY INDUSTRIES INC	75,180	1,025,455	806,681	
AECOM TECHNOLOGY CORP	13,400	378,011	275,638	5
AFFYMETRIX INC	5,800	29,174	23,722	
AFREN ORD GBP0	501,950	1,190,484	668,529	
AGGREKO ORD GBP0.13708387	8,165	249,403	255,942	
AIR LIQUIDE(L')	14,616	1,870,856	1,813,702	
AIR PRODUCTS & CHEMICALS INC	10,000	909,500	851,900	
AIR.WATER INC JPY50	42,000	493,348	534,962	
AKAMAI TECHNOLOGIES INC	7,100	334,055	229,188	7
AKZO NOBEL NV EUR2	63,099	3,916,423	3,060,231	
ALEXANDER & BALDWIN INC	18,800	752,564	767,416	257
ALGONQUIN POWER & UTIL CORP	100,762	573,190	635,298	
ALGONQUIN PWR & UTIL CO	30,100	162,796	167,017	
ALLSTATE CORP/THE	218,100	6,619,304	5,978,121	
ALTEN NPV	22,120	850,799	522,327	
ALTERA CORP	37,400	1,330,692	1,387,540	
ALTRAN TECHNOLOGIES EUR0.5	109,220	837,370	396,995	
ALTRIA GROUP INC	145,670	3,586,395	4,319,116	
AMERICAN CAPITAL LTD	81,255	614,288	546,846	
AMERICAN EXPRESS CO	38,150	1,637,398	1,799,536	
AMERICAN FINANCIAL GROUP INC/O	33,609	1,088,062	1,239,836	1
AMERIGROUP CORP	7,518	330,191	444,163	
AMERISAFE INC	52,830	924,525	1,228,298	
AMGEN INC	177,400	9,745,491	11,390,854	
AMPLIFON EUR0.02	238,590	1,403,771	1,013,422	
ANDERSONS INC/THE	31,500	1,145,025	1,375,290	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ANDRITZ AG NPV (POST SPLIT)	7,750	773,274	644,888	
APACHE CORP	20,000	1,736,600	1,811,600	
APPLE INC	26,400	8,597,604	10,692,000	
APPLIED MATERIALS INC	88,500	1,243,425	947,835	
APPROACH RESOURCES INC	58,903	1,231,919	1,732,337	
ARC RESOURCES LTD	22,150	508,380	546,000	
ARCH CAPITAL GROUP LTD SHS	43,413	1,276,957	1,616,266	
ARCOS DORADOS HOLDINGS INC	35,000	755,998	718,550	
ARDEA BIOSCIENCES INC	25,672	607,688	431,546	
ARQULE INC	76,048	473,133	428,911	
ARRIS GROUP INC	46,742	513,693	505,748	1
ARUBA NETWORKS INC	139,096	3,081,383	2,576,058	
ASSTEAD GROUP PLC	435,130	1,146,172	1,528,292	
ASML HOLDING NV NY REGISTERED	11,111	425,996	464,329	
ASTALDI SPA	39,800	269,019	255,749	
AURIZON MINES LTD	68,200	451,107	334,888	
AUTOMATIC DATA PROCESSING INC	33,400	1,545,752	1,803,934	
AUXILIUM PHARMACEUTICALS INC	17,047	381,539	339,747	
AVEO PHARMACEUTICALS INC	33,985	490,894	584,542	
AVISTA CORP	46,925	1,056,751	1,208,319	
AVIVA PLC GBP0.25	345,977	2,128,798	1,617,350	
AXA EUR2.29	120,845	2,020,398	1,575,809	
BABCOCK & WILCOX CO/THE	80,000	2,096,218	1,931,200	
BABCOCK INTL GROUP ORD GBP0.60	100,750	1,024,457	1,151,613	
BALCHEM CORP	20,600	781,662	835,124	
BALFOUR BEATTY ORD GBP0.50	358,410	1,895,702	1,474,949	
BANCO SANTANDER SA	131,824	1,402,055	1,004,517	
BANCORPSOUTH INC	90,901	1,306,747	1,001,729	
BANK OF AMERICA CORP	519,793	6,634,869	2,890,049	
BANKUNITED INC	36,290	1,012,888	798,017	1,326
BARCLAYS ORD GBP0.25	506,960	2,452,412	1,387,039	
BARNES GROUP INC	32,810	753,630	791,049	
BAYER AG ORD NPV	24,846	1,834,935	1,593,339	
BAYER H-CW12 LAONA INVESTMENTS	5	22,556	33,834	
BE AEROSPACE INC	34,489	1,257,725	1,335,069	
BECTON DICKINSON AND CO	14,000	1,183,280	1,046,080	
BED BATH & BEYOND INC	45,300	2,226,495	2,626,041	
BENCHMARK ELECTRONICS INC	55,655	981,552	749,673	
BERKSHIRE HATHAWAY INC	23,300	1,866,563	1,777,790	
BERRY PETROLEUM CO	14,445	631,247	606,979	
BIC EUR3.82	17,580	1,656,269	1,563,271	
BINCKBANK NV EUR0.10	43,240	531,623	467,580	
BIOGEN IDEC INC	78,600	5,270,130	8,649,930	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BIOMARIN PHARMACEUTICAL INC	38,200	1,028,726	1,313,316	
BIOMIMETIC THERAPEUTICS INC	58,900	748,030	167,865	
BIOSENSORS INTERNATIONAL GROUP	950,000	993,433	1,047,700	
BJ'S RESTAURANTS INC	29,911	1,054,043	1,355,567	
BNP PARIBAS EUR2	32,636	2,084,500	1,285,821	
BOEING CO/THE	79,800	5,079,284	5,853,330	
BONAVISTA ENERGY CORP	8,850	229,495	226,584	
BOSTON SCIENTIFIC CORP	217,100	1,643,447	1,159,314	
BOVIS HOMES GROUP ORD 50P	21,360	144,983	145,795	
BP PLC ORD USD.25	207,763	1,460,219	1,486,883	
BR PROPERTIES SA	45,940	499,117	455,644	
BRE PROPERTIES INC	13,540	650,592	683,499	
BRINKER INTERNATIONAL INC	65,000	1,357,200	1,739,400	
BRISTOW GROUP INC	2,300	108,905	108,997	
BRITISH AMERICAN TOBACCO ORD	82,993	3,189,683	3,940,966	
BS FINANCIAL GROUP INC	57,800	795,515	554,419	
BULGARIAN TELECOMMUNICATION CO	112,439	347,058	219,386	
CA INC	208,921	5,066,404	4,223,338	
CABELA'S INC	58,960	1,282,380	1,498,763	1,341
CALFRAC WELL SERVICES LTD	12,850	417,067	359,661	
CAMECO CORP	39,000	1,574,820	703,950	
CANADIAN PACIFIC RAILWAY LTD	10,100	651,170	683,467	
CAPITAL ONE FINANCIAL CORP	56,600	2,416,071	2,393,614	622
CAPSTONE MINING CORP	82,700	269,989	225,785	
CARMAX INC	96,700	3,082,796	2,947,416	1
CARNIVAL CORP	52,400	1,750,087	1,710,336	
CARPENTER TECHNOLOGY CORP	52,220	2,592,601	2,688,286	
CASEY'S GENERAL STORES INC	16,990	767,232	875,155	
CATERPILLAR INC	61,300	5,786,447	5,553,780	
CBL & ASSOCIATES PROPERTIES IN	120,955	2,109,495	1,898,994	1
CCL INDUSTRIES INC	14,550	413,947	447,396	
CEC ENTERTAINMENT INC	20,955	811,175	721,900	
CELESTICA INC	109,930	1,066,321	805,787	
CENOVUS ENERGY INC	18,600	618,264	617,520	
CENTAMIN PLC	190,770	378,622	249,177	
CERADYNE INC	36,812	1,160,682	985,825	
CGI GROUP INC	59,035	1,018,944	1,112,810	
CH ROBINSON WORLDWIDE INC	18,200	1,459,458	1,269,996	3
CHALLENGER LTD	108,450	514,510	459,186	
CHARLES SCHWAB CORP/THE	407,874	5,960,584	4,592,661	
CHART INDUSTRIES INC	28,785	972,357	1,556,405	
CHELSEA THERAPEUTICS INTERNATI	151,199	1,133,993	775,651	
CHEVRON CORP	60,660	5,573,185	6,454,224	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
CHILDRENS PLACE RETAIL STORES	14,209	659,661	754,782	2
CHINA HIGH PRECISION AUTOMATIO	920,000	739,174	324,569	
CHINA ZHENG TONG AUTO SERVICES	526,000	593,887	516,071	
CHRISTIAN HANSEN HOLDING A/S	25,500	592,866	556,289	
CHUBB CORP	31,050	1,851,822	2,149,281	
CISCO SYSTEMS INC	6,500	131,495	117,520	
CITIGROUP INC	131,016	5,888,003	3,447,031	
CLEAN HARBORS INC	22,604	950,272	1,440,553	
CLECO CORP	17,171	529,843	654,215	
CNO FINANCIAL GROUP INC	237,050	1,607,199	1,495,786	
COCA-COLA CO/THE	119,610	7,866,750	8,369,112	
COGNEX CORP	68,983	2,040,437	2,468,902	
COLLECTIVE BRANDS INC	36,545	514,032	525,152	
COMCAST CORP	58,000	1,218,000	1,375,180	
COMCAST CORP	184,400	3,938,074	4,344,464	1
COMMONWEALTH REIT	44,783	1,142,414	745,189	
COMPLETE PRODUCTION SERVICES I	24,821	719,662	832,993	
CONOCOPHILLIPS	120,300	8,220,648	8,766,261	
CONSOLIDATED GRAPHICS INC	12,654	614,740	610,935	
COOKSON GROUP ORD GBP1	51,180	500,435	404,853	
COOPER COS INC/THE	14,097	801,661	994,120	
CORCEPT THERAPEUTICS INC	131,393	525,852	449,364	
CORE LABORATORIES N V	23,679	2,247,536	2,698,222	
CORNERSTONE ONDEMAND INC	25,254	360,497	460,633	
CORNING INC	128,600	2,148,977	1,669,228	
CORPORATE EXECUTIVE BOARD CO/T	37,000	1,389,350	1,409,700	
COSTCO WHOLESALE CORP	5,000	361,050	416,600	
COTT CORP	62,350	452,256	391,888	
COTT CORP	122,565	1,104,311	767,257	
CRANE CO	32,881	1,415,598	1,535,872	
CREE INC	49,700	3,257,222	1,095,388	1,480
CREW ENERGY INC	88,830	1,289,363	981,426	
CROCS INC	112,500	1,801,891	1,661,625	
CUBESMART	83,578	802,181	889,270	4
CUMMINS INC	16,900	1,414,587	1,487,538	
CURTISS-WRIGHT CORP	31,889	1,067,478	1,126,638	
CVS CAREMARK CORP	52,400	1,774,444	2,136,872	
DAICEL CORP	75,000	480,481	457,174	
DALIAN PORT (PDA) CO 'H'CNY1	1,340,000	374,225	326,089	
DANIELI & C OFFICINE	24,720	344,732	264,584	
DDR CORP	56,745	799,537	690,587	
DELTA LLOYD NV	28,900	508,166	487,715	
DELUXE CORP	40,615	814,528	924,397	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
DESCARTES SYSTEMS GROUP INC/TH	13,600	95,744	97,376	
DETOUR GOLD CORP	17,810	491,338	439,893	
DEUTSCHE POST AG NPV (REGD)	142,340	2,413,687	2,195,171	
DEXCOM INC	111,239	1,452,636	1,035,635	
DIAGNOSTICOS DA AM COM NPV	76,040	984,842	631,883	
DIAMONDROCK HOSPITALITY CO	113,706	1,342,858	1,096,126	
DIRECTV	71,702	2,863,061	3,065,978	
DOCKWISE LTD	35,373	857,833	563,432	
DOLAN CO/THE	92,500	1,287,600	788,100	
DOMINOS PIZZA UK & IRL ORD	75,810	483,913	474,211	
DOMTAR CORP	1,158	87,915	92,594	3
DOREL INDUSTRIES INC	8,700	252,993	218,301	
DOUGLAS EMMETT INC	68,000	1,128,800	1,240,320	305
DR HORTON INC	94,956	1,078,944	1,197,395	3
DREAMWORKS ANIMATION SKG INC	7,400	218,078	122,803	5,366
DYCOM INDUSTRIES INC	48,183	712,476	1,007,988	
EAST WEST BANCORP INC	100,325	2,056,300	1,981,419	
EBIX INC	38,915	794,400	860,022	
EBRO FOODS SA	8,110	173,685	151,077	
EDISON INTERNATIONAL	61,500	2,360,605	2,546,100	
EFG INTERNATIONAL AG CHF0.50	49,950	513,678	379,793	
EL PASO ELECTRIC CO	24,378	673,896	844,454	
ELECTRONIC ARTS INC	105,100	1,719,879	2,165,060	
ELI LILLY & CO	259,950	9,245,476	10,803,522	
EMBRAER SA	31,900	928,901	804,518	
EMC CORP/MASSACHUSETTS	63,400	1,451,860	1,365,636	
EMCOR GROUP INC	29,528	865,558	791,646	178
ENAGAS SA EUR1.50	102,967	2,060,289	1,910,096	
ENCANA CORP	29,500	867,648	546,635	
ENDOLOGIX INC	119,791	1,098,441	1,375,201	
ENERGEN CORP	14,584	739,377	729,200	
ENTEGRIS INC	22,088	164,997	192,718	
EOG RESOURCES INC	18,200	1,726,637	1,792,882	
EPOCRATES INC	25,074	494,748	195,577	
ERICSSON	92,800	1,069,984	940,064	
ESTEE LAUDER COS INC/THE	18,130	1,463,091	2,036,362	
EURASIAN NATURAL RESOURCES	117,824	1,863,898	1,163,666	
EUROFINS SCIENTIFIC EUR0.10	6,450	581,938	471,655	
EXELON CORP	119,000	4,980,397	5,161,030	1
EXXON MOBIL CORP	141,360	10,351,843	11,981,674	
FAIRCHILD SEMICONDUCTOR INTERN	61,568	962,930	741,279	26
FARO TECHNOLOGIES INC	37,934	1,492,573	1,744,964	
FEDERAL REALTY INVESTMENT TRUS	5,397	421,570	489,778	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
FEDEX CORP	49,755	4,620,548	4,155,040	
FIFTH THIRD BANCORP	125,500	1,225,856	1,596,360	
FIRST FINANCIAL BANCORP	47,708	879,649	793,861	
FIRST HORIZON NATIONAL CORP	89,095	598,250	712,760	
FIRST INDUSTRIAL REALTY TRUST	141,000	1,235,160	1,442,430	
FIRST STEAMSHIP TWD10	155,000	324,162	180,703	
FIRSTGROUP ORD5P	110,200	636,877	578,865	
FLEXTRONICS INTERNATIONAL LTD	280,000	2,142,913	1,584,800	
FLIGHT CENTRE LTD	18,300	377,019	302,430	
FLUIDIGM CORP	34,821	498,897	458,244	
FOOT LOCKER INC	87,595	1,718,614	2,088,265	
FRANKLIN RESOURCES INC	15,000	1,720,646	1,440,900	
FREEPORT-MCMORAN COPPER & GOLD	60,200	3,614,709	2,214,758	
FREIGHTCAR AMERICA INC	46,000	1,331,240	963,700	
FUSION-IO INC	87,936	2,074,576	2,128,051	
GANNETT CO INC	42,955	647,454	574,308	
GAP INC/THE	216,700	4,358,926	4,019,785	
GAYLORD ENTERTAINMENT CO	17,808	637,307	429,885	3,102
GEMALTO EUR 1	35,219	1,522,163	1,718,141	
GENERAL ELECTRIC CO	145,240	2,656,440	2,601,248	
GENOMMA LAB INTERNACIONAL SAB	75,200	145,350	145,331	
GENWORTH FINANCIAL INC	194,245	2,499,948	1,272,305	
GEORESOURCES INC	28,782	723,978	843,600	
GFK SE NPV	10,500	549,713	417,505	
GIVAUDAN AG CHF10	2,122	2,245,263	2,031,002	
GLACIER BANCORP INC	113,382	1,512,352	1,363,985	51
GLAXOSMITHKLINE PLC	94,800	3,986,905	4,325,724	
GLOBAL INDEMNITY PLC	36,220	740,699	718,243	
GLOBE TELECOM INC	7,180	164,334	185,496	
GLUSKIN SHEFF + ASSOCIATES INC	8,450	142,494	123,731	
GMO INTERNET INC NPV	88,500	399,994	338,173	
GOOGLE INC	16,750	9,567,924	10,818,825	
GREAT CANADIAN GAMING CORP	29,050	235,642	239,076	
GREE INC NPV	25,300	528,107	872,051	
GREEN DOT CORP	70,657	2,673,124	2,205,912	
GREEN MOUNTAIN COFFEE ROASTERS	30,250	1,407,021	1,356,713	4
GREENHILL & CO INC	28,669	992,549	1,042,692	
GULFPORT ENERGY CORP	24,535	690,386	722,556	
GUYENNE & GASCOGNE EUR4	1,540	216,361	162,431	
H&R BLOCK INC	55,500	817,883	906,315	
HAIN CELESTIAL GROUP INC/THE	21,108	646,196	773,819	
HAMBURGER HAFEN UND LOGISTIK A	7,130	297,568	211,264	
HANCOCK HOLDING CO	30,609	1,034,280	978,570	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
HANOVER INSURANCE GROUP INC/TH	20,544	955,435	718,013	
HARLEY-DAVIDSON INC	19,000	670,958	738,530	
HARMAN INTERNATIONAL INDUSTRIE	65,900	2,756,414	2,506,836	
HEALTH CARE REIT INC	15,590	744,545	850,123	
HEALTH MANAGEMENT ASSOCIATES I	100,176	958,795	738,297	1
HEALTH NET INC	35,549	974,422	1,081,401	
HEALTHSOUTH CORP	47,582	1,035,794	840,774	
HEARTWARE INTERNATIONAL INC	6,331	551,909	436,839	
HELEN OF TROY LTD NEW	42,975	1,278,077	1,319,333	
HELVETIA HOLDING AG CHF0.1	1,750	703,488	552,080	
HESS CORP	29,000	2,219,660	1,647,200	
HEWLETT-PACKARD CO	292,400	10,928,138	7,532,224	
HEXCEL CORP	26,081	474,183	631,421	16
HIGHER ONE HOLDINGS INC	89,433	1,408,278	1,649,145	
HIGHWOODS PROPERTIES INC	14,184	452,254	420,839	156
HOME DEPOT INC	57,188	1,962,027	2,404,184	
HOMESERVE ORD GBP0.025	59,710	480,796	265,951	
HONDA MOTOR CO NPV	74,700	2,949,208	2,279,641	
HONEYWELL INTERNATIONAL INC	76,400	3,936,804	4,152,340	
HORNBECK OFFSHORE SERVICES INC	50,000	1,044,000	1,551,000	
HOUSTON AMERICAN ENERGY CORP	45,488	822,878	554,499	
HSBC HLDGS USD0.50 HONGKONG	244,188	2,503,558	1,855,006	
HSBC HOLDINGS PLC	35,650	1,819,576	1,358,265	860
HUABAO INTERNATIONAL HOLDINGS	236,000	224,800	120,635	
HUDSON CITY BANCORP INC	61,355	524,553	383,469	42
HUMAN GENOME SCIENCES INC	272,250	4,814,972	2,011,928	
HUNTINGTON BANCSHARES INC/OH	152,048	1,023,305	834,744	
HUNTINGTON INGALLS INDUSTRIES	8,016	259,633	250,740	
HUTCHISON TELECOMMUNICATIONS	1,218,000	478,454	468,908	
HYUNDAI GLOVIS CO LTD	1,650	253,492	275,000	
IDACORP INC	23,012	853,938	975,939	
IMMUNOMEDICS INC	88,815	317,958	295,754	
IMPAX LABORATORIES INC	97,150	2,184,901	1,959,516	
IMTECH NV EUR0.8	31,500	1,037,524	818,448	
INFORMA PLC GBP0.10	111,000	730,169	623,261	
INGRAM MICRO INC	67,224	1,257,851	1,222,805	19
INLAND REAL ESTATE CORP	80,976	712,784	616,227	7
INNOPHOS HOLDINGS INC	15,489	558,843	752,146	
INSIGHT ENTERPRISES INC	58,000	763,280	886,820	
INTEGRATED DEVICE TECHNOLOGY I	113,259	757,626	618,394	
INTEL CORP	340,870	7,346,327	8,266,098	
INTERMEDIATE CAP GROUP ORD 20P	248,120	1,230,809	882,260	
INTERNATIONAL BUSINESS MACHINE	24,700	3,682,044	4,541,836	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
INTERNATIONAL RECTIFIER CORP	23,871	664,117	463,575	
INTERPUBLIC GROUP OF COS INC/T	26,400	214,695	256,872	
INTERTEK GROUP PLC ORD GBP0.01	15,400	487,379	487,039	
INTUIT INC	61,200	3,017,160	3,218,508	
INVACARE CORP	20,635	623,869	315,509	
INVENSENSE INC	54,702	461,220	544,832	
IPSOS	24,160	1,104,100	688,425	
IROBOT CORP	39,161	1,319,274	1,168,956	
IRONWOOD PHARMACEUTICALS INC	37,311	386,169	446,613	
JABIL CIRCUIT INC	94,000	1,888,460	1,848,040	
JANUS CAPITAL GROUP INC	61,479	796,009	387,932	184
JAZZTEL PLC	150,550	898,144	730,346	
JC PENNEY CO INC	135,300	4,261,922	4,755,795	
JDA SOFTWARE GROUP INC	22,802	640,042	738,557	
JETBLUE AIRWAYS CORP	105,200	673,159	547,040	1,813
JGC CORP Y50	155,000	3,655,270	3,722,901	
JIVE SOFTWARE INC	1,628	19,536	26,048	
JOHNSON & JOHNSON	186,740	11,652,073	12,246,409	
JOHNSON CONTROLS INC	122,300	3,385,162	3,823,098	
JONES GROUP INC/THE	62,818	851,583	662,730	2,513
JOY GLOBAL INC	7,400	643,251	554,778	
JPMORGAN CHASE & CO	369,420	14,052,770	12,283,215	
KADANT INC	31,000	953,451	700,910	
KANSAI PAINT CO Y50	36,000	314,415	321,445	
KANSAS CITY SOUTHERN	16,718	800,124	1,136,991	
KDDI CORP Y5000	226	1,351,292	1,453,990	
KELLOGG CO	8,300	423,964	419,731	
KEY ENERGY SERVICES INC	32,098	420,379	496,556	
KEYCORP	90,073	796,147	692,661	
KINGBOARD CHEM HLDG HK\$0.10	96,500	448,167	285,775	
KIRBY CORP	61,275	2,840,393	4,034,346	
KLA-TENCOR CORP	26,600	1,027,824	1,283,450	211
KODIAK OIL & GAS CORP	114,991	736,067	1,092,415	1,630
KOHL'S CORP	8,500	461,890	419,475	
KONINKLIJKE VOPAK NV EUR0.5	9,600	487,207	508,771	
KRAFT FOODS INC	130,252	4,224,197	4,866,215	
LACLEDE GROUP INC/THE	25,400	928,116	1,027,938	
LANCASHIRE HLDGS COM SHS	87,700	922,312	987,454	
LAURENTIAN BANK OF CANADA	9,900	432,254	465,030	
LEGRAND SA EUR4	71,294	2,820,633	2,299,875	
LEXINGTON REALTY TRUST	82,247	656,301	616,030	1,611
LIANHUA SUPERMARKET HLDGS CO	212,000	327,075	271,053	
LIFE TECHNOLOGIES CORP	61,100	3,205,794	2,377,401	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
LIFEPOINT HOSPITALS INC	19,859	729,131	737,762	
LIG NON-LIFE INSURANCE CO LTD	17,460	419,000	347,078	
LINDE AG NPV	17,663	2,692,578	2,635,714	
LOCALIZA RENT A CAR SA COM NPV	108,250	1,924,275	1,485,699	
L'OCCITANE INTERNATIONAL SA	202,750	493,689	407,244	
LOCKHEED MARTIN CORP	63,800	4,533,962	5,161,420	
LOWE'S COS INC	72,958	1,654,687	1,851,674	
LTD BRANDS INC	93,900	3,592,400	3,788,865	
LUFKIN INDUSTRIES INC	32,922	2,113,212	2,215,980	
LUMINEX CORP	20,000	372,479	424,600	
MAGELLAN HEALTH SERVICES INC	19,820	940,425	980,495	
MAGNA INTERNATIONAL INC	67,000	2,725,840	2,231,770	
MAKEMYTRIP LTD	86,100	2,228,954	2,069,844	
MAKO SURGICAL CORP	11,543	175,685	290,999	
MANPOWER INC	29,100	1,131,629	1,040,325	
MAP PHARMACEUTICALS INC	31,901	534,023	420,136	
MARSH & MCLENNAN COS INC	156,800	4,286,912	4,958,016	
MATERION CORP	25,950	607,458	630,066	
MATTEL INC	58,200	1,480,026	1,615,632	1
MAXLINEAR INC	52,019	559,724	247,090	
MCCLATCHY CO/THE	194,500	908,315	464,855	271
MCDERMOTT INTERNATIONAL INC	109,700	2,269,693	1,262,647	
MCDONALDS CO JAPAN JPY50	31,700	819,182	855,743	
MCDONALD'S CORP	69,100	5,304,116	6,932,803	
MCGRAW-HILL COS INC/THE	40,520	1,475,333	1,822,184	
MEDCATH CORP	51,000	362,100	371,790	
MEDICIS PHARMACEUTICAL CORP	85,022	2,384,180	2,826,982	
MEDTRONIC INC	237,010	8,558,645	9,065,633	
MEGGITT ORD5P	102,670	605,254	562,926	
MEN'S WEARHOUSE INC/THE	26,000	849,210	842,660	
MERCK & CO INC	188,380	6,505,592	7,101,926	
MERSEN	8,600	469,327	260,682	
METLIFE INC	142,589	5,132,455	4,445,925	
MICHAEL PAGE INTL ORD GBP0.01	216,626	1,835,568	1,174,265	
MICRO FOCUS ORD GBP0.10	37,520	206,042	225,193	
MICRON TECHNOLOGY INC	36,613	293,636	230,296	
MICROSOFT CORP	411,357	10,881,569	10,678,828	
MICROSTRATEGY INC	11,075	1,267,347	1,199,644	
MILLENNIUM & COPTHORNE HOTELS	82,250	663,646	519,608	
MILLS ESTRUTURAS E SERVICOS	87,260	1,229,486	828,040	
MISYS	91,350	421,996	329,789	
MKS INSTRUMENTS INC	59,345	1,453,953	1,650,978	
MONADELPHOUS GROUP NPV	11,650	230,918	240,305	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
MONSANTO CO	67,768	4,662,830	4,748,504	
MOOG INC	22,415	892,117	984,691	
MORPHOSYS ORD NPV	30,060	853,805	684,062	
MOTOROLA MOBILITY HOLDINGS INC	9,487	431,250	368,096	
MOTOROLA SOLUTIONS INC	10,842	572,926	501,876	
MUENCHENER RUECKVERSICHERUNGS	16,866	2,593,102	2,075,170	
NABTESCO CORP NPV	38,800	890,344	707,518	
NATIONAL OILWELL VARCO INC	49,500	3,328,875	3,365,505	
NATIONAL PENN BANCSHARES INC	113,806	913,695	960,523	798
NESTLE SA	115,400	6,683,145	6,664,119	
NET ONE SYSTEMS CO JPY5000	181	326,323	493,786	
NEUSTAR INC	39,700	1,034,185	1,356,549	10
NEW WORLD DEPARTMENT STORE	534,000	398,703	304,589	
NEWPORT CORP	52,500	938,154	714,525	
NEWS CORP	47,460	691,018	846,686	
NEWS CORP	37,600	617,392	683,568	
NIFCO INC Y50	37,200	969,758	1,039,995	
NIHON KODEN CORP Y50	8,000	182,590	197,453	
NIPPON SHOKUBAI CO LTD	57,000	678,541	612,672	
NOBLE ENERGY INC	11,100	955,488	1,047,729	
NORANDA ALUMINUM HOLDING CORP	54,310	844,321	448,058	
NORFOLK SOUTHERN CORP	16,700	1,049,094	1,216,762	
NORTHEAST UTILITIES	43,494	1,390,034	1,568,829	
NORTHROP GRUMMAN CORP	39,200	2,304,050	2,292,416	
NOVARTIS AG	145,250	8,441,448	8,303,943	
NOVARTIS AG CHF0.50 (REGD)	62,152	3,633,433	3,569,204	
NOVATEL WIRELESS INC	75,000	716,250	234,750	
NOVO NORDISK A/S	23,100	2,600,367	2,662,506	
NRG ENERGY INC	36,050	731,456	653,226	
NUANCE COMMUNICATIONS INC	90,000	1,995,100	2,264,400	
NV ENERGY INC	89,950	1,300,622	1,470,683	
NVIDIA CORP	73,100	1,125,740	1,013,166	
OCCIDENTAL PETROLEUM CORP	44,700	4,385,070	4,188,390	
OCEANEERING INTERNATIONAL INC	101,400	3,733,041	4,677,582	
OCWEN FINANCIAL CORP	33,885	323,263	490,655	
ODESSAOBLENERGO UAH0.25	14,191	4,203	861	
OLD DOMINION FREIGHT LINE INC	26,622	871,861	1,078,990	
OLIN CORP	33,725	692,037	662,696	
OPAP SA EUR0.3 (CR)	92,820	1,640,395	822,976	
OPENTABLE INC	31,274	2,237,036	1,223,752	
OPNET TECHNOLOGIES INC	21,750	795,848	797,573	
OPTIMER PHARMACEUTICALS INC	47,849	543,077	585,672	
ORACLE CORP	98,900	3,095,570	2,536,785	
ORACLE CORP JAPAN JPY50	2,700	114,376	89,380	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ORIENTAL FINANCIAL GROUP INC	57,910	723,296	701,290	
ORIX CORP Y50	1,450	136,829	119,860	
OSAKA SECURITIES E NPV	40	175,139	229,789	
OWENS CORNING	34,137	1,196,790	980,415	
PACCAR INC	120,000	5,580,396	4,496,400	4,006
PACIFIC BRANDS NPV	272,500	188,494	153,652	
PARAMETRIC TECHNOLOGY CORP	32,455	731,072	592,628	
PARAMOUNT RESOURCES LTD	14,750	429,772	615,640	
PARTNERS GRP HLDG AG CHF0.01	2,590	452,457	453,963	
PEET'S COFFEE & TEA INC	11,474	633,265	719,190	
PEGASYSTEMS INC	58,164	2,082,821	1,710,022	
PENN NATIONAL GAMING INC	16,937	597,458	644,792	
PEPSICO INC/NC	65,290	4,248,356	4,331,992	
PETROFAC LTD ORD SHS 0.02 USD	59,690	1,371,890	1,336,733	
PETROLEUM GEO SERVICES ASA	36,560	526,271	400,950	
PFIZER INC	255,900	4,696,333	5,537,676	
PHILIP MORRIS INTERNATIONAL IN	161,230	9,449,372	12,653,330	
PIONEER DRILLING CO	43,672	609,522	422,745	247
PLAYTECH LTD	121,600	615,128	532,920	
PLEXUS CORP	25,406	775,183	695,616	
PNC FINANCIAL SERVICES GROUP I	54,700	3,106,993	3,154,549	
PNM RESOURCES INC	53,082	722,581	967,685	
POLYONE CORP	54,506	779,903	629,544	
POPULAR INC	342,000	1,073,880	475,380	
PORTLAND GENERAL ELECTRIC CO	30,335	744,598	767,172	
PORTO SEGURO SA COM NPV	17,500	198,496	199,839	
POSTNL NV	306,000	5,594,422	977,195	
POTASH CORP OF SASKATCHEWAN IN	83,700	4,319,757	3,455,136	
POWER INTEGRATIONS INC	38,408	1,509,769	1,273,609	
PPL CORP	42,500	1,084,592	1,250,350	
PRAXAIR INC	31,500	3,007,305	3,367,350	
PREMIER OIL ORD GBP0.125	101,670	707,491	573,559	
PRICESMART INC	12,500	867,163	869,875	
PROASSURANCE CORP	27,220	1,731,670	2,172,700	
PROCTER & GAMBLE CO/THE	91,240	5,862,497	6,086,620	
PROGRESSIVE CORP/THE	19,500	387,465	380,445	
PROS HOLDINGS INC	99,115	1,128,920	1,474,831	
PROVIDENT ENERGY LTD	30,450	268,031	294,557	
PUBLIC SERVICE ENTERPRISE GROU	119,100	3,816,358	3,931,491	
QLIK TECHNOLOGIES INC	69,177	1,818,468	1,674,083	
QUALCOMM INC	65,500	3,241,595	3,582,850	
QUEST SOFTWARE INC	32,901	747,087	611,959	
RADIOSHACK CORP	6,270	115,932	60,882	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
RAMBUS INC	16,900	346,112	127,595	
RANGE RESOURCES CORP	20,000	1,029,506	1,238,800	
REED ELSEVIER NV EUR0.07	317,226	3,958,405	3,709,145	
REGAL-BELOIT CORP	30,435	2,039,651	1,551,272	1,458
REGIONS FINANCIAL CORP	282,700	1,783,488	1,215,610	
REGIS CORP	51,000	846,600	844,050	400
RESEARCH IN MOTION LTD	90,300	4,029,779	1,309,350	
RESTAURANT GRP PLC ORD	150,810	716,078	698,200	
REXAM ORD 64 2/7P	422,508	2,216,646	2,316,554	
RIO TINTO PLC	26,412	1,855,255	1,282,715	
RIO TINTO PLC	40,200	2,892,710	1,966,584	14
RITCHIE BROS AUCTIONEERS INC	46,600	1,074,130	1,028,928	
ROCHE HLDG AG GENUSSSCHEINE NPV	14,739	2,166,337	2,509,303	
ROCHE HOLDING AG	47,000	1,727,015	2,000,414	
ROCKWELL AUTOMATION INC	11,100	795,981	814,407	
ROCKWOOD HOLDINGS INC	12,693	496,550	499,723	
ROLLS ROYCE HOLDINGS	22,839,828	0	35,495	
ROLLS-ROYCE HLDGS PLC	272,645	2,685,566	3,163,052	
ROSSI RESIDENCIAL COM STK NPV	36,870	306,289	158,134	
ROYAL DUTCH SHELL PLC	77,600	5,217,865	5,898,376	
ROYAL DUTCH SHELL PLC	59,790	4,031,382	4,370,051	
RTI INTERNATIONAL METALS INC	78,282	2,116,499	1,816,925	
RUBY TUESDAY INC	49,158	679,890	339,190	
RYANAIR HOLDINGS PLC	59,000	1,593,159	1,643,740	
RYLAND GROUP INC	46,074	717,231	726,126	72
SAFETY INSURANCE GROUP INC	24,905	1,188,467	1,008,154	
SAFEWAY INC	61,200	1,332,069	1,287,648	1,900
SAFT GROUPE S.A. EUR1	14,480	462,970	410,719	
SAI GLOBAL LTD NPV	178,610	893,911	823,999	
SALATORE FERRAGAMO SPA	52,803	727,804	697,800	
SALIX PHARMACEUTICALS LTD	29,150	1,271,984	1,394,828	
SANDERSON FARMS INC	10,215	463,298	512,078	
SANDISK CORP	84,400	4,208,184	4,153,324	
SANOFI	46,384	2,989,761	3,417,110	
SANOFI	51,200	1,961,490	1,870,848	
SANTEN PHARMACEUTICAL CO	13,200	517,428	543,852	
SAP AG ORD NPV	18,331	950,854	972,082	
SAPIENT CORP	51,913	657,126	654,104	1,222
SAWAI PHARM CO LTD JPY50	12,300	1,266,918	1,277,320	
SBM OFFSHORE NV EUR0.25 (POST	46,600	1,197,780	963,061	
SCHLUMBERGER LTD	78,686	5,820,227	5,375,041	
SEMAFO INC	56,202	490,435	364,285	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
SEMBCORP INDUSTRIES SGD0.25	111,000	409,922	346,701	
SEMBCORP MARINE SGD0.10	399,000	1,660,382	1,175,475	2,603
SENSIENT TECHNOLOGIES CORP	21,135	758,322	801,017	
SERVICOURCE INTERNATIONAL LL	50,533	682,535	792,863	
SHANDONG WEIGAO GROUP MEDICAL	256,000	323,223	230,402	
SHENGUAN HOLDINGS GROUP LTD	1,038,000	673,254	601,421	
SHIN-ETSU CHEMICALS CO NPV	24,000	1,302,016	1,182,220	
SIEMENS AG NPV REGD	29,471	3,721,956	2,828,780	
SINGAPORE AIRLINES SGD0.50	171,000	2,042,309	1,339,884	
SINO BIOPHARMACEUTICAL	1,812,000	629,783	538,939	
SKANDINAVISKA ENSKILDA BANKEN	242,885	2,026,796	1,420,399	
SMC CORP NPV	13,600	2,264,382	2,195,373	
SMURFIT KAPPA GROUP PLC ORD	172,172	1,686,135	1,044,327	
SMURFIT KAPPA GROUP PLC ORD	20,830	225,530	126,279	
SNAM SPA	215,937	1,094,524	954,765	
SNAP-ON INC	36,630	2,072,525	1,854,211	
SOFTWARE AG BEARER SHS	5,240	243,675	194,240	
SOHGO SECURITY SER NPV	22,600	242,250	238,513	
SOLUTIA INC	39,243	905,474	678,119	
SONY CORP	39,239	1,401,225	707,872	
SONY FINANCIAL HOLDINGS INC	184,900	3,736,764	2,725,196	
SOUTHGOBI RESOURCES LTD	67,880	733,994	399,980	
SOUTHWEST AIRLINES CO	288,100	3,739,538	2,466,136	3
SOUTHWESTERN ENERGY CO	70,000	2,796,553	2,235,800	
SPAREBANK 1 SR BANK NOK50	27,564	189,397	187,980	
SPAREBANK 1 SR BK	900	6,048	6,081	
SPIRIT AEROSYSTEMS HOLDINGS IN	48,549	1,002,452	1,008,848	
START TODAY CO LTD	15,400	297,213	360,481	
STEC INC	104,000	1,978,992	893,360	105
STEVEN MADDEN LTD	29,698	1,051,496	1,024,581	
STHREE ORD GBP0.01(WI)	69,850	449,679	245,332	
STRATASYS INC	36,025	1,179,000	1,095,520	
STRATTEC SECURITY CORP	4,160	138,819	82,992	
SUBSEA 7 SA	54,600	1,275,338	1,015,525	
SULZER AG CHF0.01(REGD)	2,100	266,195	225,473	
SUNDRUG CO Y50	29,600	945,680	897,156	
SUNSTONE HOTEL INVESTORS INC	112,625	1,060,020	917,894	
SUSQUEHANNA BANCSHARES INC	215,838	2,037,413	1,808,722	
SVB FINANCIAL GROUP	51,900	2,757,928	2,475,111	
SYKES ENTERPRISES INC	54,689	1,107,999	856,430	
SYMANTEC CORP	88,500	1,484,656	1,385,025	
SYNOVUS FINANCIAL CORP	460,936	970,229	649,920	17
SYSMEX CORP JPY50	38,500	1,428,492	1,254,978	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
TAKE-TWO INTERACTIVE SOFTWARE	62,833	770,647	851,387	
TARGACEPT INC	27,100	691,516	150,947	
TARGET CORP	69,700	3,754,315	3,570,034	
TE CONNECTIVITY LTD	39,725	1,390,689	1,223,927	
TEAVANA HOLDINGS INC	50,945	1,184,531	956,747	
TECHNIP SA EUR	24,230	2,246,148	2,284,202	
TECNICAS REUNIDAS ORD EUR0.10	43,190	2,531,742	1,556,703	
TEKELEC	74,670	889,320	816,143	
TELENET GROUP HOLDING NPV	24,250	937,172	928,349	
TELEVISION BROADCAST ORD	75,000	499,722	454,832	
TEMENOS GROUP AG CHF5 (REGD)	33,770	982,193	556,152	
TENNECO INC	21,219	874,962	631,902	
TERADYNE INC	33,125	455,191	451,494	
TESCO ORD 5P	301,040	1,768,193	1,887,526	
TESORO CORP	39,250	849,168	916,880	
TETON ADVISORS INC	293	2,198	4,835	1
TEXAS INSTRUMENTS INC	294,425	9,277,790	8,570,712	1,532
TIME WARNER CABLE INC	10,000	606,581	635,700	
TJX COS INC	14,900	661,411	961,795	
TNT EXPRESS NV	111,732	1,402,075	837,489	
TODS SPA	3,990	498,019	326,575	
TOKYO ELECTRON NPV	18,700	1,185,106	951,527	
TOTAL SA	139,800	7,583,360	7,145,178	60
TOWER GROUP INC	27,461	703,002	553,888	
TOYOTA MOTOR CORP NPV	81,500	3,273,218	2,717,020	
TREEHOUSE FOODS INC	13,230	701,571	864,977	700
TRIMBLE NAVIGATION LTD	67,500	2,695,275	2,929,500	1
TRUSTMARK CORP	22,473	557,532	545,869	85
TTM TECHNOLOGIES INC	68,042	1,019,721	745,740	
TUESDAY MORNING CORP	167,000	881,760	576,150	
TXC CORPN TWD10	389,319	670,564	446,163	
UBS AG CHF0.1 (POST	160,868	2,649,205	1,923,328	
UDR INC	38,565	989,211	967,982	
UGI CORP	42,818	1,352,571	1,258,849	
ULTIMATE SOFTWARE GROUP INC	24,957	1,213,659	1,625,200	
UMPQUA HOLDINGS CORP	83,500	1,017,030	1,034,565	5
UNION PACIFIC CORP	22,300	2,066,318	2,362,462	
UNITED NATURAL FOODS INC	65,150	2,451,892	2,606,652	
UNITED PARCEL SERVICE INC	70,987	4,924,040	5,195,539	
UNITED RENTALS INC	35,225	834,533	1,040,899	1
UNITED TECHNOLOGIES CORP	23,200	1,826,304	1,695,688	
UNIVERSAL DISPLAY CORP	36,377	1,282,539	1,334,672	
UNUM GROUP	66,300	1,529,840	1,396,941	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
USEC INC	192,000	1,155,840	218,880	7
VECTREN CORP	28,929	735,972	874,524	
VINCI EUR2.50 (POST SUBDIVISIO	15,615	852,177	684,336	
VIROPHARMA INC	84,000	1,454,880	2,300,760	
VISA INC	22,200	1,889,516	2,253,966	
VISHAY INTERTECHNOLOGY INC	65,930	682,842	592,711	
VITASOY INTERNATIONAL HOLDINGS	582,000	424,476	428,635	
VODAFONE GROUP	900,350	2,350,399	2,503,230	
VODAFONE GROUP PLC	175,600	4,699,729	4,922,068	488
VOLCANO CORP	67,548	1,812,795	1,606,967	
VULCAN MATERIALS CO	20,600	875,115	810,610	
WADDELL & REED FINANCIAL INC	24,055	848,901	595,842	
WALGREEN CO	77,340	3,013,166	2,556,860	
WAL-MART STORES INC	111,060	5,973,105	6,636,946	
WALT DISNEY CO/THE	12,000	411,209	450,000	
WATERS CORP	26,500	2,059,315	1,962,325	
WEBSense INC	29,200	564,844	546,916	1
WELLCARE HEALTH PLANS INC	68,621	2,385,877	3,602,603	
WELLS FARGO & CO	232,981	7,095,752	6,420,956	
WERNER ENTERPRISES INC	36,570	826,482	881,337	
WESCO INTERNATIONAL INC	42,514	2,176,593	2,253,667	
WESTERN ALLIANCE BANCORP	92,587	680,902	576,817	1
WESTERN AREAS NL	32,200	190,319	168,028	
WESTERN REFINING INC	175,500	1,856,790	2,332,395	518
WESTPORT INNOVATIONS INC	31,450	764,125	1,045,398	
WHIRLPOOL CORP	34,600	3,073,518	1,641,770	105
WHITBREAD	34,050	871,027	827,624	
WHOLE FOODS MARKET INC	15,800	799,322	1,099,364	
WINTRUST FINANCIAL CORP	37,949	1,253,196	1,064,469	
WORLD ACCEPTANCE CORP	10,344	549,391	760,284	667
WPG HOLDINGS LIMITED TWD10	325,550	502,449	375,234	
WR BERKLEY CORP	24,600	733,114	845,994	9
WYNDHAM WORLDWIDE CORP	41,104	1,227,673	1,554,964	
XING INTERNATIONAL HLDGS LTD	962,000	888,003	433,523	
XL GROUP PLC	148,000	3,157,215	2,925,960	
YAHOO! INC	27,300	453,999	440,349	
YUE YUEN INDL HLDG HKD0.25	653,657	2,350,210	2,066,191	
ZALICUS INC	207,000	494,635	250,470	65,225
ZIMMER HOLDINGS INC	1,600	85,888	85,472	1
ZIONS BANCORPORATION	63,706	1,505,695	1,037,134	
ZURICH INSURANCE GROUP AG	9,326	2,423,299	2,119,305	
TOTAL COMMON STOCKS		<u>1,042,841,922</u>	<u>998,252,035</u>	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
 SCHEDULE OF ASSETS (HELD AT END OF YEAR)
 AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	35,315	<u>96,491</u>	<u>76,682</u>	
TOTAL PARTNERSHIP		<u>96,491</u>	<u>76,682</u>	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	255,480	76,907,847	88,948,122	
EB DV STOCK INDEX FUND	29,632	<u>32,957,768</u>	<u>46,407,500</u>	
TOTAL COMMON/COLLECTIVE TRUST		<u>109,865,615</u>	<u>135,355,622</u>	
REGISTERED INVESTMENT COMPANIES				
CAUSEWAY EMERGING MARKETS FUND	3,595,500	39,346,015	35,271,854	
VANGUARD INTERNATIONAL GROWTH	2,835,078	<u>170,953,551</u>	<u>147,395,696</u>	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>210,299,566</u>	<u>182,667,550</u>	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIXED INCOME						
ABBOTT LABORATORIES	370,000	414,915	470,067	4/1/2039	6.00%	
ABN AMRO FDG USA DISC	950,000	948,186	948,186	2/27/2012	0.00%	
ACE CAPITAL TRUST II	274,000	356,769	362,781	4/1/2030	9.70%	
ADVANCED MICRO DEVICES INC	180,000	186,750	184,950	8/1/2020	7.75%	
AEP TEXAS CENTRAL CO	45,000	48,591	57,632	2/15/2033	6.65%	
AES CORP/THE	430,000	452,200	467,625	10/15/2015	7.75%	
AES IRONWOOD LLC SR SECD BD	166,545	165,713	168,211	11/30/2025	8.86%	
AETNA INC	200,000	197,202	208,750	6/1/2021	4.13%	
AFFINIA GROUP INC 144A	105,000	116,550	113,925	8/15/2016	10.75%	
AFFINION GROUP HLDGS INC	235,000	243,813	195,050	11/15/2015	11.63%	
AFFINION GROUP INC	300,000	292,500	253,500	12/15/2018	7.88%	
AFLAC INC	105,000	112,274	116,745	12/17/2039	6.90%	
AFLAC INC	60,000	61,451	63,547	8/15/2040	6.45%	
AGL CAPITAL CORP	862,000	912,625	1,019,763	3/15/2041	5.88%	8
AGRIUM INC	260,000	275,366	322,491	1/15/2041	6.13%	
AIG SUNAMERICA SR NT 144A	499,000	548,151	528,516	3/15/2032	6.90%	
AIRCASTLE LTD	140,000	152,950	146,650	8/1/2018	9.75%	
ALABAMA POWER CO	356,000	406,964	474,014	5/15/2038	6.13%	
ALABAMA POWER CO	60,000	65,281	78,994	3/1/2039	6.00%	
ALBERTA ENERGY CO LTD	70,000	80,427	87,543	11/1/2031	7.38%	
ALLY AUTO RECEIVABLES TR 5 A2	370,000	369,997	369,811	6/16/2014	0.80%	
ALLY AUTO RECEIVABLES TRU 1 A2	140,716	140,709	140,789	10/15/2013	0.81%	
ALLY FINANCIAL INC	160,000	160,000	154,336	12/1/2017	6.25%	
ALLY FINANCIAL INC	555,000	582,056	560,550	9/15/2020	7.50%	
ALLY FINANCIAL INC	1,280,000	1,242,400	1,235,200	11/1/2031	8.00%	
ALTRIA GROUP INC	870,000	1,246,489	1,353,824	2/6/2039	10.20%	
AMCAR 2009-1 A3	35,492	36,137	35,686	10/15/2013	3.04%	
AMERICA MOVIL SAB DE CV	193,000	210,208	229,469	3/30/2040	6.13%	
AMERICAN AIRLINES INC	180,000	179,968	128,700	3/15/2016	7.50%	755
AMERICAN EXPRESS CO	355,000	442,036	458,969	5/20/2040	8.13%	
AMERICAN GEN CAP 144A	150,000	151,875	135,750	3/15/2046	8.13%	
AMERICAN HONDA FINANCE CORP	150,000	184,812	188,430	10/1/2018	7.63%	
AMERICAN INTERNATIONAL GROUP I	250,000	253,160	238,910	5/18/2017	5.45%	
AMERICAN INTERNATIONAL GROUP I	345,000	367,359	307,050	5/15/2068	8.18%	1
AMERICAN PETROLEUM TANKERS PAR	164,000	169,740	167,280	5/1/2015	10.25%	
AMERICAN WATER CAPITAL CORP	230,000	245,792	283,358	10/15/2037	6.59%	
AMERIPRISE FINANCIAL INC	45,000	47,343	48,423	3/15/2020	5.30%	
AMGEN INC	40,000	48,528	49,277	6/1/2038	6.90%	
AMGEN INC	160,000	159,216	173,944	6/15/2042	5.65%	
AMGEN INC	350,000	344,759	362,838	11/15/2041	5.15%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
AMGEN INC	950,000	1,085,516	1,101,316	6/1/2037	6.38%	
AMSTED INDUSTRIES INC	135,000	143,269	143,100	3/15/2018	8.13%	
ANADARKO FINANCE CO	130,000	143,748	157,284	5/1/2031	7.50%	
ANADARKO PETROLEUM CORP	1,010,000	1,009,148	1,124,019	3/15/2040	6.20%	
ANADARKO PETROLEUM CORP	435,000	531,226	555,365	3/15/2019	8.70%	
ANADARKO PETROLEUM CORP	100,000	112,193	119,382	6/15/2019	6.95%	
ANHEUSER-BUSCH COS INC	325,000	395,210	441,314	10/1/2030	7.55%	
ANHEUSER-BUSCH COS INC	100,000	104,078	122,311	4/1/2036	5.75%	
ANHEUSER-BUSCH INBEV WORLDWIDE	585,000	787,303	922,293	1/15/2039	8.20%	
ANR PIPELINE CO	335,000	470,055	492,926	11/1/2021	9.63%	
ANZ NATL LTD DISC	1,100,000	1,098,727	1,098,727	2/24/2012	0.00%	
AON CORP	227,000	233,997	279,487	9/30/2040	6.25%	
APACHE CORP	80,000	75,414	93,500	9/1/2040	5.10%	
APACHE FINANCE CANADA CORP	135,000	173,466	197,080	12/15/2029	7.75%	
APPALACHIAN POWER CO	490,000	565,337	657,605	4/1/2038	7.00%	
APRIA HEALTHCARE GROUP INC	335,000	365,988	345,888	11/1/2014	11.25%	
ARCELORMITTAL	185,000	191,989	171,922	10/15/2039	7.00%	
ARCELORMITTAL	230,000	228,105	206,823	3/1/2041	6.75%	
ARCH COAL INC 144A	195,000	195,000	198,900	6/15/2019	7.00%	
ARCH COAL INC 144A	195,000	195,000	200,363	6/15/2021	7.25%	
ARCHER DANIELS MIDLAND CO 144A	424,000	434,960	447,116	3/26/2042	4.54%	
ARCHER-DANIELS-MIDLAND CO	325,000	325,000	325,523	8/13/2012	0.61%	
ARCHER-DANIELS-MIDLAND CO	390,000	392,878	492,512	3/1/2041	5.77%	
ARISTOTLE HOLDING INC 144A	530,000	525,479	548,428	11/15/2021	4.75%	
ARIZONA PUBLIC SERVICE	56,000	55,623	62,856	9/1/2041	5.05%	
ARROW ELECTRONICS INC	95,000	102,428	103,890	1/15/2027	7.50%	
ARROW ELECTRONICS INC	190,000	214,014	214,611	6/1/2018	6.88%	
ASCIANO FINANCE LTD	140,000	139,279	140,291	4/7/2023	6.00%	
ASSOCIATES CORP OF NORTH AMERI	125,000	136,619	135,829	11/1/2018	6.95%	4
ASTRAZENECA PLC	370,000	439,268	499,063	9/15/2037	6.45%	
AT&T CORP	114,000	143,285	161,032	11/15/2031	8.00%	
AT&T INC	510,000	564,830	645,716	5/15/2036	6.80%	
AT&T INC	4,181,000	3,898,207	4,703,207	9/1/2040	5.35%	
ATLAS PIPELINE PARTNERS LP	295,000	308,275	309,750	6/15/2018	8.75%	
ATMOS ENERGY CORP	30,000	37,436	39,641	3/15/2019	8.50%	
ATMOS ENERGY CORP	175,000	174,437	204,542	6/15/2041	5.50%	
AUSTRALIA & NEW ZEALAND BANKIN	260,000	270,481	279,040	1/13/2020	5.10%	
AVAYA INC	265,000	261,963	257,050	4/1/2019	7.00%	
AVIATION CAPITAL GROUP CORP	155,000	155,000	146,038	4/6/2021	6.75%	
AVIS BUDGET CAR RENTAL LLC / A	170,000	183,175	175,950	3/15/2018	9.63%	
AVIS BUDGET CAR RENTAL LLC / A	230,000	232,300	228,275	1/15/2019	8.25%	
BAE SYSTEMS PLC	102,000	101,469	104,719	10/11/2041	5.80%	
BAKER HUGHES INC	160,000	157,143	187,090	9/15/2040	5.13%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
BAKER HUGHES INC	210,000	209,572	216,980	8/15/2021	3.20%	
BALTIMORE GAS & ELECTRIC CO	115,000	114,366	117,183	11/15/2021	3.50%	
BANC OF AMERICA COMMERCIAL 6 ASB	408,129	432,739	426,891	9/10/2047	5.18%	
BANK OF AMERICA CORP	150,000	156,095	141,678	12/1/2017	5.75%	
BANK OF AMERICA CORP	270,000	275,878	257,243	5/1/2018	5.65%	
BANK OF AMERICA CORP	175,000	178,411	161,653	7/1/2020	5.63%	
BANK OF AMERICA CORP	165,000	164,282	150,287	5/13/2021	5.00%	
BANK OF NEW YORK MELLON CORP/T	145,000	152,144	157,848	1/15/2020	4.60%	
BARCLAYS BANK PLC	100,000	89,676	84,649	10/14/2020	5.14%	
BARCLAYS BANK PLC	400,000	451,804	443,448	5/22/2019	6.75%	
BARCLAYS BANK PLC	290,000	294,710	288,765	1/23/2013	2.50%	
BARCLAYS US FDG DISC	1,000,000	999,990	999,990	1/3/2012	0.00%	
BARRICK NORTH AMERICA FINANCE	200,000	199,088	236,930	5/30/2041	5.70%	
BARRICK PD AUSTRALIA FINANCE P	150,000	158,829	173,654	10/15/2039	5.95%	
BB&T CORP	100,000	115,199	122,024	4/30/2019	6.85%	
BELLSOUTH CAPITAL FUNDING CORP	690,000	718,254	881,178	7/15/2097	7.12%	
BELLSOUTH CAPITAL FUNDING CORP	453,000	545,802	609,321	2/15/2030	7.88%	
BELLSOUTH CORP	444,000	481,363	553,313	10/15/2031	6.88%	
BELLSOUTH CORP	150,000	157,755	178,556	6/15/2034	6.55%	
BELLSOUTH TELECOMMUNICATIONS I	130,000	143,243	161,160	10/1/2025	7.00%	
BELLSOUTH TELECOMMUNICATIONS I	100,000	105,667	117,690	6/1/2028	6.38%	
BERKSHIRE HATHAWAY INC	255,000	256,265	255,864	2/11/2013	0.88%	
BLOCK COMMUNICATIONS INC	145,000	146,088	147,719	12/15/2015	8.25%	
BMW VEHICLE LEASE TRUST 1 A2	280,000	279,987	279,843	4/22/2013	0.64%	
BOEING CO/THE	18,000	24,429	27,167	11/15/2031	8.63%	
BOEING CO/THE	250,000	268,928	322,940	2/15/2040	5.88%	2,411
BOSTON PROPERTIES LP	230,000	249,424	259,017	10/15/2019	5.88%	
BP CAPITAL MARKETS PLC	185,000	185,000	192,600	11/1/2021	3.56%	
BRISTOL-MYERS SQUIBB CO	124,000	167,820	171,011	8/1/2097	6.88%	
BRITISH TELECOMMUNICATIONS PLC	345,000	459,826	486,209	12/15/2030	9.63%	
BSKYB FINANCE UK PLC	219,000	233,288	255,453	10/15/2035	6.50%	
BUILDING MATERIALS CORP OF AME	100,000	99,420	105,000	5/1/2021	6.75%	
BUNGE LTD FINANCE CORP	265,000	310,720	322,569	6/15/2019	8.50%	
BURLINGTON NORTHERN SANTA FE L	400,000	405,890	478,564	5/1/2040	5.75%	
BURLINGTON NORTHERN SANTA FE L	465,000	463,549	537,545	6/1/2041	5.40%	1
BURLINGTON NORTHERN SANTA FE L	100,000	118,024	141,750	6/1/2036	7.29%	
BURLINGTON NORTHERN SANTA FE L	260,000	291,080	331,583	8/1/2028	6.70%	
BURLINGTON NORTHERN SANTA FE L	250,000	281,900	322,070	3/15/2029	6.75%	
CABLEVISION SYSTEMS CORP	160,000	164,800	171,600	4/15/2020	8.00%	
CAESARS ENTERTAINMENT OPERATING	385,000	404,313	408,581	6/1/2017	11.25%	
CAFECO LLC DISC	1,150,000	1,148,903	1,148,903	1/30/2012	0.00%	
CAISSE D'AMORT DE IB NT	480,000	480,000	480,000	6/22/2012	0.54%	
CALPINE CORP	130,000	130,000	136,500	10/15/2017	7.25%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
CALPINE CORP	275,000	278,375	294,250	2/15/2021	7.50%	
CANADIAN NATIONAL RAILWAY CO	435,000	517,402	587,246	11/15/2037	6.38%	
CANADIAN NATIONAL RAILWAY CO	210,000	208,612	212,852	12/15/2021	2.85%	
CANADIAN NATL RESOURCES	294,000	292,842	306,419	11/15/2021	3.45%	
CANADIAN NATURAL RESOURCES LTD	300,000	354,684	391,803	1/15/2032	7.20%	
CAPITAL ONE BANK USA NA	250,000	307,510	285,998	7/15/2019	8.80%	
CAPITAL ONE CAPITAL VI	415,000	441,975	430,724	5/15/2040	8.88%	
CAPITAL ONE FINANCIAL CO	125,000	124,421	128,639	7/15/2021	4.75%	489
CAPITAL ONE MULTI-ASSET A1 A1	330,000	329,961	329,954	1/15/2015	0.36%	
CARGILL INC	175,000	174,125	176,129	11/15/2021	3.25%	
CARGILL INC MTN 144A # TR00012	105,000	126,198	142,107	6/18/2027	7.41%	
CARGILL INC SR NT 144A	170,000	201,482	228,082	11/1/2036	7.25%	
CARMAX AUTO OWNER TRUST 3 A2	325,000	324,975	324,594	11/17/2014	70.00%	
CARMAX AUTO OWNER TRUST 3 A2	107,307	107,313	107,310	9/16/2013	75.00%	
CATERPILLAR FINANCIAL SERVICES	215,000	214,796	215,488	11/28/2012	0.69%	
CATERPILLAR INC	200,000	203,306	235,006	9/15/2035	5.30%	
CATERPILLAR INC	361,000	520,008	596,022	12/15/2038	8.25%	
CATERPILLAR INC	50,000	49,895	59,890	5/27/2041	5.20%	7,829
CBS CORP	56,000	59,509	62,960	4/15/2020	5.75%	
CBS CORP	305,000	360,107	388,021	7/30/2030	7.88%	
CCO HLDGS LLC/CAP CORP	115,000	115,000	121,325	6/1/2020	7.38%	
CCO HOLDINGS LLC / CCO HOLDING	225,000	232,875	239,906	4/30/2018	7.88%	
CCO HOLDINGS LLC / CCO HOLDING	95,000	99,988	104,025	4/30/2020	8.13%	
CCO HOLDINGS LLC / CCO HOLDING	120,000	121,800	126,450	10/30/2017	7.25%	
CCO HOLDINGS LLC / CCO HOLDING	85,000	84,575	88,613	1/15/2019	7.00%	
CCO HOLDINGS LLC / CCO HOLDING	680,000	673,613	688,500	4/30/2021	6.50%	
CEMEX FINANCE LLC	175,000	180,469	153,563	12/14/2016	9.50%	
CENOVUS ENERGY INC	250,000	286,493	330,850	11/15/2039	6.75%	
CENTERPOINT ENERGY HOUSTON ELE	265,000	344,452	366,084	3/15/2021	9.15%	
CENTURYLINK INC	140,000	133,528	137,376	9/15/2039	7.60%	
CENTURYLINK INC	455,000	441,954	455,837	6/15/2021	6.45%	
CENVEO CORP	140,000	135,450	122,150	2/1/2018	8.88%	8
CEQUEL COMMUNICATIONS HOLDINGS	190,000	195,463	201,400	11/15/2017	8.63%	
CHARIOT FDG LLC DISC	1,370,000	1,369,230	1,369,230	1/19/2012	0.00%	
CHASE ISSUANCE TRUST A17 A	400,000	417,422	414,040	10/15/2014	5.12%	
CHC HELICOPTER SA	550,000	569,250	495,000	10/15/2020	9.25%	
CHECKOUT HOLDING CORP	280,000	174,650	148,400	11/15/2015	0.00%	
CHESAPEAKE ENERGY CORP	55,000	55,275	58,575	8/15/2017	6.50%	
CHESAPEAKE ENERGY CORP	445,000	478,688	476,150	11/15/2020	6.88%	
CHESAPEAKE ENERGY CORP	260,000	269,100	287,300	12/15/2018	7.25%	
CHESAPEAKE MIDSTREAM PARTNERS	190,000	190,000	190,000	4/15/2021	5.88%	
CHRYSLER FINANCIAL AUTO S A A2	68,193	68,202	68,182	1/8/2013	0.69%	
CHS/COMMUNITY HEALTH SYS	425,000	419,488	429,250	11/15/2019	8.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value
						Restricted Under Lending Agreement
CHS/COMMUNITY HEALTH SYSTEMS I	752,000	764,673	776,440	7/15/2015	8.88%	
CIE GENERALE DE GEOPHYSIQUE -	300,000	289,338	291,000	6/1/2021	6.50%	
CIGNA CORP	155,000	154,002	163,993	3/15/2041	5.88%	
CIGNA CORP	135,000	134,911	134,224	2/15/2042	5.38%	
CINCINNATI FINANCIAL CORP	83,000	78,305	82,597	11/1/2034	6.13%	
CINCINNATI FINANCIAL CORP	99,000	102,038	108,032	5/15/2028	6.90%	
CINEMARK USA INC	30,000	32,475	32,625	6/15/2019	8.63%	
CINEMARK USA INC	50,000	50,000	51,125	6/15/2021	7.38%	
CISCO SYSTEMS INC	670,000	742,032	838,853	2/15/2039	5.90%	
CISCO SYSTEMS INC	640,000	665,691	783,078	1/15/2040	5.50%	
CISCO SYSTEMS INC	400,000	400,000	399,696	3/14/2014	0.79%	
CIT GROUP INC	130,000	130,000	129,513	4/1/2014	5.25%	
CIT GROUP INC	555,000	556,388	556,110	5/1/2015	7.00%	
CIT GROUP INC	550,000	551,856	550,000	5/1/2016	7.00%	
CIT GROUP INC	1,090,000	1,084,725	1,090,000	5/1/2017	7.00%	
CITGO PETROLEUM CORP	130,000	145,600	143,650	7/1/2017	11.50%	
CITIBANK CREDIT CARD ISS A3 A3	115,000	136,362	158,634	6/15/2039	6.15%	
CITIGROUP INC	150,000	134,225	129,752	8/25/2036	6.13%	
CITIGROUP INC	100,000	105,682	103,938	12/1/2025	7.00%	
CITIGROUP INC	135,000	131,787	133,083	12/11/2034	5.85%	
CITIGROUP INC	135,000	132,069	134,833	5/29/2037	5.88%	
CITIGROUP INC	1,290,000	1,417,950	1,417,207	3/5/2038	6.88%	
CITIGROUP INC	1,150,000	1,427,645	1,353,654	5/22/2019	8.50%	
CITIGROUP INC	355,000	451,731	434,637	7/15/2039	8.13%	
CLEAR CHANNEL WORLDWIDE HOLDIN	100,000	108,750	107,500	12/15/2017	9.25%	
CLEAR CHANNEL WORLDWIDE HOLDIN	65,000	71,175	70,200	12/15/2017	9.25%	
CLIFFS NATURAL RESOURCES INC	225,000	224,039	221,607	10/1/2040	6.25%	
CLUBCORP CLUB OPERATIONS INC	415,000	387,688	398,400	12/1/2018	10.00%	
CMNWLTH BNK OF AUS IB NT	750,000	750,000	750,000	6/22/2012	0.40%	
CNA FINANCIAL CORP	94,000	93,593	96,562	8/15/2020	5.88%	
CNA FINANCIAL CORP	185,000	185,859	188,780	8/15/2021	5.75%	
CNH EQUIPMENT TRUST A A2	215,000	214,983	214,817	6/16/2014	62.00%	
CNH EQUIPMENT TRUST C A2	340,000	339,999	339,833	4/15/2015	90.00%	
COCA-COLA CO/THE	295,000	295,156	295,192	5/15/2012	0.51%	
COCA-COLA ENTERPRISES INC	280,000	279,065	310,691	9/1/2021	4.50%	
COFFEYVILLE RESOURCES LLC / CO	120,000	129,000	134,400	4/1/2017	10.88%	
COLUMBUS SOUTHERN POWER CO	75,000	85,421	88,214	5/1/2018	6.05%	
COMCAST CABLE COMMUNICATIONS H	435,000	601,857	619,832	11/15/2022	9.46%	
COMCAST CABLE HOLDINGS LLC	235,000	318,693	340,306	4/15/2022	10.13%	
COMCAST CORP	1,020,000	1,096,265	1,229,488	11/15/2035	6.50%	
COMCAST CORP	90,000	93,236	109,102	3/15/2037	6.45%	
COMCAST CORP	135,000	148,804	171,762	8/15/2037	6.95%	
COMCAST CORP	980,000	1,040,993	1,177,401	5/15/2038	6.40%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
COMMONWEALTH REIT	175,000	182,298	187,121	1/15/2018	6.65%	
COMMUNITY CHOICE FINANCIAL INC	130,000	130,000	128,700	5/1/2019	10.75%	
CONNACHER OIL AND GAS LTD	180,000	180,000	162,900	8/1/2019	8.50%	
CONNECTICUT LIGHT & POWER CO/T	75,000	85,337	97,904	6/1/2036	6.35%	
CONOCO FUNDING CO	50,000	61,822	69,396	10/15/2031	7.25%	
CONOCOPHILLIPS	585,000	640,982	739,972	5/15/2038	5.90%	
CONOCOPHILLIPS	460,000	546,986	636,304	2/1/2039	6.50%	
CONOCOPHILLIPS	285,000	331,811	351,958	1/15/2020	6.00%	
CONOCOPHILLIPS HOLDING CO	690,000	848,003	940,677	4/15/2029	6.95%	
CONSOLIDATED EDISON CO OF NEW	255,000	268,107	320,142	3/15/2036	5.85%	
CONSOLIDATED EDISON CO OF NEW	665,000	752,492	887,383	8/15/2037	6.30%	
CONSOLIDATED EDISON CO OF NEW	390,000	407,316	475,500	12/1/2039	5.50%	
CONSOLIDATED MINERALS LTD	150,000	149,255	129,750	5/1/2016	8.88%	
CONTINENTAL AIRLS 99-2 CL A-1	54,230	58,839	57,483	3/15/2020	7.26%	
CONVATEC HEALTHCARE E SA 144A	450,000	464,938	401,625	12/15/2018	10.50%	
COOPERATIEVE CENTRALE RAIFFEIS	410,000	410,000	404,445	4/14/2014	0.92%	
COOPERATIEVE CENTRALE RAIFFEIS	240,000	219,329	268,829	9/30/2110	5.80%	
COOPER-STANDARD AUTOMOTIVE INC	370,000	390,413	387,113	5/1/2018	8.50%	
COTT BEVERAGES INC	65,000	70,038	70,200	9/1/2018	8.13%	
COVANTA HOLDING CORP	220,000	223,032	231,255	12/1/2020	7.25%	
COVENTRY HEALTH CARE INC	125,000	124,750	138,901	6/15/2021	5.45%	
COVIDIEN INTERNATIONAL FINANCE	400,000	524,780	508,180	10/15/2037	6.55%	
COX COMMUNICATIONS INC	515,000	667,357	689,564	3/1/2039	8.38%	
COX ENTERPRISES INC DEBS	270,000	306,126	321,964	7/15/2027	7.38%	
CREDIT SUISSE AG	345,000	350,747	325,390	1/14/2020	5.40%	
CREDIT SUISSE/NEW YORK NY	550,000	589,760	542,427	2/15/2018	6.00%	
CREDIT SUISSE/NEW YORK NY	135,000	139,973	136,667	7/2/2012	3.45%	
CRICKET COMMUNICATIONS INC	110,000	114,125	113,575	5/15/2016	7.75%	
CROWN POINT CAP DISC	1,460,000	1,459,676	1,459,676	1/12/2012	0.00%	
CSX CORP	110,000	132,686	137,106	2/1/2019	7.38%	
CSX CORP	275,000	298,551	336,872	4/30/2040	6.22%	
CSX CORP	665,000	653,744	753,246	4/15/2041	5.50%	
CVS CAREMARK CORP	370,000	395,330	450,246	9/15/2039	6.13%	
CVS PASS-THROUGH TRUST	72,000	72,000	74,300	1/10/2034	5.93%	
CVS PASS-THROUGH TRUST	559,885	669,537	685,199	7/10/2031	8.35%	
CWABS INC 2003-5 CL AF-5	485,496	482,142	466,882	2/25/2034	5.96%	
DAIMLER FINANCE NORTH AMERICA	530,000	716,451	741,046	1/18/2031	8.50%	
DARLING INTERNATIONAL INC	120,000	125,100	133,200	12/15/2018	8.50%	
DEERE & CO	145,000	183,160	202,484	3/3/2031	7.13%	
DELL INC	70,000	64,295	78,697	9/10/2040	5.40%	
DELL INC	290,000	324,986	364,202	4/15/2028	7.10%	
DELPHI CORP	185,000	185,000	188,700	5/15/2019	5.88%	
DELPHI CORP 144A	160,000	160,000	164,800	5/15/2021	6.13%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
DELTA AIR LINES INC	378,000	391,933	389,340	9/15/2014	9.50%	
DELTA AIR LINES INC	80,000	90,200	83,600	3/15/2015	12.25%	12
DELTA AIR LINES SER 07-1C	66,647	68,980	66,481	8/10/2014	8.95%	
DEUTSCHE TELEKOM INTERNATIONAL	125,000	167,994	174,364	6/15/2030	8.75%	
DEUTSCHE TELEKOM INTERNATIONAL	1,090,000	1,233,063	1,251,320	7/8/2019	6.00%	
DEVELOPERS DIVERSIFIED REALTY	380,000	376,455	363,588	4/15/2018	4.75%	
DEVON ENERGY CORP	210,000	292,903	300,550	4/15/2032	7.95%	
DEVON FINANCING CORP ULC	595,000	783,482	841,633	9/30/2031	7.88%	
DIAGEO INVESTMENT CORP	325,000	403,683	452,735	4/15/2035	7.45%	
DIAMOND RESORTS CORP	655,000	642,063	645,175	8/15/2018	12.00%	
DIRECTV HOLDINGS LLC / DIRECTV	725,000	727,221	790,881	8/15/2040	6.00%	
DIRECTV HOLDINGS LLC / DIRECTV	282,000	284,667	325,152	3/1/2041	6.38%	
DISCOVER BANK/GREENWOOD DE	250,000	268,760	261,568	4/15/2020	7.00%	
DISCOVERY COMMUNICATIONS	72,000	71,551	76,002	6/15/2021	4.38%	
DISCOVERY COMMUNICATIONS LLC	260,000	278,486	313,196	6/1/2040	6.35%	
DISH DBS CORP	590,000	622,550	666,700	9/1/2019	7.88%	
DOMINION RESOURCES INC/VA	625,000	752,313	845,531	6/15/2038	7.00%	
DOMINION RESOURCES INC/VA	144,000	141,798	155,491	8/1/2041	4.90%	
DOW CHEMICAL CO/THE	565,000	684,103	717,047	11/1/2029	7.38%	
DOW CHEMICAL CO/THE	65,000	70,312	72,413	5/15/2018	5.70%	
DOW CHEMICAL CO/THE	217,000	207,479	225,558	11/15/2020	4.25%	17
DOW CHEMICAL CO/THE	297,000	296,599	312,405	11/15/2041	5.25%	19
DOWNSTREAM DEVELOPMENT AUTHORI	300,000	298,011	283,500	7/1/2019	10.50%	
DUCOMMUN INC	130,000	131,125	131,950	7/15/2018	9.75%	
DUKE ENERGY CAROLINAS	385,000	384,349	402,802	12/15/2041	4.25%	
DUKE ENERGY CAROLINAS LLC	240,000	273,660	307,922	10/15/2032	6.45%	
DUKE ENERGY CAROLINAS LLC	50,000	55,260	63,699	6/1/2037	6.10%	
DUKE ENERGY CAROLINAS LLC	100,000	111,058	129,960	1/15/2038	6.00%	
DUKE ENERGY CAROLINAS LLC	90,000	100,637	117,822	4/15/2038	6.05%	
DUKE ENERGY CAROLINAS LLC	50,000	50,736	60,776	2/15/2040	5.30%	
DUKE ENERGY INDIANA INC	365,000	420,170	481,920	8/15/2038	6.35%	
DUKE REALTY LP	120,000	141,378	141,002	8/15/2019	8.25%	
DUKE REALTY LP	25,000	27,122	27,430	3/15/2020	6.75%	
DUQUESNE LIGHT HOLDINGS INC	325,000	326,055	335,488	12/1/2021	5.90%	
DYCOM INVESTMENTS INC	90,000	90,000	90,900	1/15/2021	7.13%	
E ON INTL FIN B V GTD NT 144A	200,000	232,446	258,026	4/30/2038	6.65%	
E*TRADE FINANCIAL CORP	165,000	185,625	186,450	11/30/2017	12.50%	
E*TRADE FINANCIAL CORP	70,000	70,000	67,900	6/1/2016	6.75%	
ECOLAB INC	110,000	109,930	117,468	12/8/2021	4.35%	
ECOLAB INC	50,000	49,486	55,407	12/8/2041	5.50%	
EDISON MISSION ENERGY	35,000	34,300	33,950	6/15/2013	7.50%	
EDISON MISSION ENERGY	370,000	318,200	270,100	6/15/2016	7.75%	
EDISON MISSION ENERGY	465,000	288,139	290,625	5/15/2019	7.20%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
EH HOLDING CORP	130,000	130,000	135,525	6/15/2019	6.50%	
EH HOLDING CORP	355,000	357,085	372,750	6/15/2021	7.63%	
EI DU PONT DE NEMOURS & CO	170,000	193,326	210,390	12/15/2036	5.60%	
EI DU PONT DE NEMOURS & CO	195,000	190,209	220,471	1/15/2041	4.90%	
EL PASO CORP	70,000	73,872	76,696	6/15/2017	7.00%	
EL PASO CORP	70,000	77,000	75,669	9/15/2020	6.50%	
EL PASO CORP	50,000	59,438	58,250	10/15/2030	8.05%	
EL PASO NATURAL GAS CO	150,000	176,432	190,305	6/15/2032	8.38%	
EL PASO PIPELINE PARTNERS OPER	180,000	178,807	185,258	10/1/2021	5.00%	
ELM ROAD GENERATING STATION SU	145,000	147,596	180,745	2/11/2040	6.09%	
EMERSON ELECTRIC CO	165,000	182,229	212,005	8/15/2032	6.00%	
ENCANA CORP	850,000	922,004	1,007,556	2/1/2038	6.50%	
ENDO PHARMACEUTICALS HOLDINGS	125,000	127,500	132,813	12/15/2020	7.00%	
ENDO PHARMACEUTICALS HOLDINGS	105,000	105,000	111,825	7/15/2019	7.00%	
ENDO PHARMACEUTICALS HOLDINGS	395,000	401,250	420,181	1/15/2022	7.25%	
ENEL FIN INTL SA GTD NT 144A	130,000	120,635	112,901	9/15/2037	6.80%	
ENEL FINANCE INTERNATIONAL NV	300,000	297,306	268,005	10/7/2019	5.13%	
ENEL FINANCE INTERNATIONAL NV	400,000	364,468	321,940	10/7/2039	6.00%	
ENERGY FUTURE HOLDINGS CORP	605,000	622,493	635,250	1/15/2020	10.25%	
ENERGY FUTURE INTERMEDIATE HOL	55,000	57,063	58,025	12/1/2020	10.00%	
ENERGY TRANSFER PARTNERS LP	235,000	234,405	230,429	6/1/2041	6.05%	
ENI SPA	245,000	233,114	256,973	10/1/2040	5.70%	
ENTERPRISE PRODUCTS OPERATING	275,000	286,223	307,167	10/15/2039	6.13%	
ENTRAVISION COMMUNICATIONS COR	100,000	105,500	98,000	8/1/2017	8.75%	941
EQT CORP	250,000	294,273	293,243	6/1/2019	8.13%	
EQUINIX INC	245,000	245,000	258,475	7/15/2021	7.00%	
ERAC USA FIN CO GTD NT 144A	180,000	182,711	203,494	6/1/2034	6.70%	
ERAC USA FINANCE LLC	490,000	532,421	589,504	10/15/2037	7.00%	
ERAC USA FINANCE LLC	41,000	40,810	42,051	8/16/2021	4.50%	
ERP OPERATING LP	375,000	410,880	415,778	6/15/2017	5.75%	
ERSTE ABWICKLUN DISC	1,560,000	1,559,204	1,559,204	1/26/2012	0.00%	
EXELON GENERATION CO LLC	423,000	403,017	486,704	10/1/2041	5.75%	3
FBG FIN LTD NT 144A	385,000	381,239	439,077	6/15/2035	5.88%	
FEDERAL HOME LN BK CONS BD	340,000	339,891	340,003	1/4/2012	0.37%	
FEDERAL HOME LN BK CONS BD	440,000	440,000	440,026	7/17/2012	0.33%	
FEDERAL HOME LN BK CONS BD	257,500	257,500	257,549	8/17/2012	0.40%	
FEDERAL HOME LN BK CONS BD	1,840,000	1,843,962	1,843,349	8/28/2013	0.50%	
FEDERAL HOME LN BK CONS BD	440,000	440,000	440,070	9/7/2012	0.28%	
FEDERAL HOME LN BK CONS BD	246,667	246,667	246,748	9/18/2012	0.35%	
FEDERAL HOME LN BK CONS BD	320,000	320,000	320,000	10/11/2012	0.35%	
FEDERAL HOME LN BK CONS DISC	8,000,000	7,999,992	7,999,992	1/27/2012	0.00%	
FEDERAL HOME LN BK CONS DISC	1,070,000	1,069,991	1,069,991	2/8/2012	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
FEDERAL HOME LN BK CONS DISC	4,940,000	4,939,957	4,939,957	2/15/2012	0.00%	
FEDERAL HOME LN MTG CORP	520,000	519,740	520,114	4/3/2012	0.27%	
FEDERAL HOME LN MTG CORP	445,000	445,000	445,062	10/25/2013	0.60%	
FEDERAL HOME LN MTG CORP	590,000	589,174	589,298	9/6/2013	0.50%	
FEDERAL HOME LN MTG CORP	385,000	384,981	385,223	11/4/2013	0.70%	
FEDERAL HOME LN MTG CORP	1,470,000	1,469,250	1,467,633	11/27/2013	0.38%	
FEDERAL NATL MTG ASSN	365,000	365,000	364,974	9/27/2013	0.60%	
FEDERAL NATL MTG ASSN	395,000	394,901	395,154	10/25/2013	0.63%	
FEDERAL NATL MTG ASSN	425,000	425,000	425,047	11/25/2013	0.63%	
FEDERAL NATL MTG ASSN	390,000	390,000	389,528	11/29/2013	0.65%	
FEDERAL NATL MTG ASSN	505,000	505,000	504,500	12/6/2013	0.75%	
FEDERAL NATL MTG ASSN	450,000	450,621	450,414	9/13/2012	0.32%	
FEDERAL NATL MTG ASSN DISC	8,150,000	8,149,994	8,149,994	1/17/2012	0.00%	
FEDERAL NATL MTG ASSN STRIP	1,000,000	626,180	766,740	11/15/2021	0.00%	
FEDERAL NATL MTG ASSN STRIP	1,250,000	481,600	654,400	5/15/2030	0.00%	
FEDERAL REALTY INVESTMENT TRUS	105,000	111,355	113,646	4/1/2020	5.90%	
FERRELLGAS LP / FERRELLGAS FIN	115,000	126,500	120,175	10/1/2017	9.13%	
FERRELLGAS LP / FERRELLGAS FIN	55,000	53,625	48,400	5/1/2021	6.50%	
FHLMC POOL #C4-6008	12,398	13,941	14,206	12/1/2030	6.50%	
FHLMC POOL #C9-0781	257,839	259,799	269,362	10/1/2023	3.50%	
FHLMC MULTI MTG 3201 OG PO	468,957	373,927	419,838	8/15/2036	0.00%	
FHLMC MULTICLAS MTG	313,139	276,624	295,347	9/15/2036	0.00%	
FHLMC MULTICLASS CTFS 3149 CZ	558,710	611,977	670,966	5/15/2036	6.00%	
FHLMC MULTICLASS MT	500,000	376,545	406,200	4/15/2036	0.00%	
FHLMC MULTICLASS MTG	959,173	1,101,553	1,108,142	4/15/2029	6.50%	
FHLMC MULTICLASS MTG	692,449	759,021	735,506	6/15/2029	7.00%	
FHLMC MULTICLASS MTG	141,379	162,394	161,230	8/15/2029	7.00%	
FHLMC MULTICLASS MTG	287,839	325,097	334,115	10/15/2030	7.00%	
FHLMC MULTICLASS MTG	474,423	540,093	536,378	9/15/2023	6.50%	
FHLMC MULTICLASS MTG	71,804	86,079	92,217	2/15/2032	15.52%	
FHLMC MULTICLASS MTG	132,784	143,423	141,714	10/15/2016	6.00%	
FHLMC MULTICLASS MTG	110,648	119,217	117,746	8/15/2016	6.00%	
FHLMC MULTICLASS MTG	317,742	349,567	348,093	8/15/2031	6.50%	
FHLMC MULTICLASS MTG	72,441	68,925	73,983	10/15/2033	8.56%	
FHLMC MULTICLASS MTG	264,704	213,460	241,222	10/15/2033	0.00%	
FHLMC MULTICLASS MTG	159,662	167,843	172,428	10/15/2033	15.83%	
FHLMC MULTICLASS MTG	266,103	279,062	286,742	10/15/2033	15.69%	
FHLMC MULTICLASS MTG	179,738	172,454	184,500	11/15/2033	8.57%	
FHLMC MULTICLASS MTG	284,850	270,323	308,102	11/15/2033	8.63%	
FHLMC MULTICLASS MTG	59,876	55,893	61,430	11/15/2033	8.63%	
FHLMC MULTICLASS MTG	91,927	88,035	93,991	5/15/2034	8.49%	
FHLMC MULTICLASS MTG	151,785	130,292	144,522	10/15/2033	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FHLMC MULTICLASS MTG	321,591	279,235	307,554	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 2690 SJ	191,716	183,978	198,311	10/15/2033	8.72%	
FHLMC MULTICLASS MTG 2953 LZ	1,497,790	1,590,295	1,886,736	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	515,892	516,963	5/15/2035	23.21%	
FHLMC MULTICLASS MTG 3607 BO	200,000	148,552	162,480	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3611 PO	325,620	286,399	310,003	7/15/2034	0.00%	
FHLMC MULTICLASS MTG 3632 BA	500,000	635,090	680,215	2/15/2040	16.53%	
FHLMC MULTICLASS MTG 3841 JZ	509,158	563,032	573,663	4/15/2041	5.00%	
FHLMC MULTICLASS MTG R007 ZA	698,387	756,400	847,583	5/15/2036	6.00%	
FINANCING CORP STRIP	250,000	182,303	212,248	9/26/2019	0.00%	
FIREKEEPERS DEVELOPMENT AUTHOR	280,000	331,100	317,800	5/1/2015	13.88%	
FIRST HORIZON ASSET SECU 9 1A6	1,572,573	1,443,928	1,590,013	11/25/2033	5.50%	
FIRST WIND CAPITAL LLC	170,000	170,000	167,025	6/1/2018	10.25%	
FIRSTENERGY CORP	270,000	284,623	332,041	11/15/2031	7.38%	580
FLEETBOSTON FINANCIAL CORP	175,000	171,427	149,440	7/15/2028	6.70%	
FLORIDA POWER & LIGHT CO	180,000	196,571	230,215	10/1/2033	5.95%	
FLORIDA POWER & LIGHT CO	290,000	306,318	364,553	2/1/2037	5.65%	
FLORIDA POWER & LIGHT CO	100,000	110,809	131,116	2/1/2038	5.95%	
FLORIDA POWER CORP	190,000	220,928	257,716	6/15/2038	6.40%	
FMG RESOURCES AUG 2006	370,000	370,000	376,475	11/1/2019	8.25%	
FMR LLC	250,000	237,765	268,170	11/15/2039	6.45%	
FNMA POOL #0465714	487,689	482,812	537,404	8/1/2028	4.68%	
FNMA POOL #0466430	500,000	471,563	526,470	11/1/2020	3.37%	
FNMA POOL #0490445	139,531	153,843	155,524	3/1/2029	6.00%	
FNMA POOL #0524958	16,077	17,709	16,280	3/1/2015	7.50%	
FNMA POOL #0888829	944,523	1,027,131	1,074,905	6/1/2037	5.83%	
FNMA GTD REMIC P/T	43,865	38,513	41,973	12/1/2032	0.00%	
FNMA GTD REMIC P/T	265,391	293,952	296,646	4/25/2032	6.50%	
FNMA GTD REMIC P/T	2,197	125	73	6/25/2022	5.50%	
FNMA GTD REMIC P/T	183,532	206,962	212,908	9/25/2031	7.00%	
FNMA GTD REMIC P/T	90,322	86,944	92,103	8/25/2033	7.07%	
FNMA GTD REMIC P/T 03-35 EA PO	343,512	314,468	310,549	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	163,446	188,452	190,431	5/25/2033	19.08%	
FNMA GTD REMIC P/T 03-65 ST	170,191	172,547	181,005	7/25/2033	8.30%	
FNMA GTD REMIC P/T 03-69 NS	187,152	199,835	207,140	7/25/2033	13.01%	
FNMA GTD REMIC P/T 03-W2 2A9	580,920	640,104	645,960	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-83 SO PO	86,334	79,423	82,273	9/25/2033	0.00%	
FNMA GTD REMIC P/T 05-110 GK	2,000,000	2,190,980	2,197,100	8/25/2034	5.50%	
FNMA GTD REMIC P/T 05-59 SU	350,000	486,154	555,307	6/25/2035	24.12%	
FNMA GTD REMIC P/T 06-21 FD	85,424	78,590	84,116	4/25/2033	0.00%	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,099,760	1,196,550	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-63 ZH	714,186	844,242	844,411	7/25/2036	6.50%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FNMA GTD REMIC P/T 06-69 DZ	1,166,012	1,255,197	1,412,938	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-78 CL BZ	710,338	784,656	835,947	8/25/2036	6.50%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,164,580	1,274,930	8/25/2036	6.50%	
FNMA GTD REMIC P/T 07-32 Z	1,557,333	1,637,926	1,813,436	4/25/2037	5.50%	
FNMA GTD REMIC P/T 07-78 CB	400,000	436,552	462,012	8/25/2037	6.00%	
FNMA GTD REMIC P/T 08-26 CL ZK	301,440	300,717	339,916	4/25/2038	5.00%	
FNMA GTD REMIC P/T 09-50 GX	400,000	449,000	468,780	7/25/2039	5.00%	
FNMA GTD REMIC P/T 09-84 CL WS	602,746	71,287	72,872	10/25/2039	5.62%	
FNMA GTD REMIC P/T 09-99 SC	374,622	41,755	45,816	12/25/2039	5.90%	
FNMA GTD REMIC P/T 10-122 CJ	550,000	420,118	552,508	11/25/2040	3.00%	
FNMA GTD REMIC P/T 10-2 LC	350,000	388,500	401,951	2/25/2040	5.00%	
FNMA GTD REMIC P/T 10-35 SJ	500,000	597,390	686,275	4/25/2040	16.75%	
FNMA GTD REMIC P/T 10-41 NB	500,000	540,469	532,775	5/25/2040	5.00%	
FNMA GTD REMIC P/T 10-80 PZ	538,858	620,360	630,119	7/25/2040	5.00%	
FNMA GTD REMIC P/T 11-111 PZ	302,254	321,827	328,568	11/25/2041	4.50%	
FNMA GTD REMIC P/T 11-84 PZ	508,808	584,938	616,349	9/25/2041	5.25%	
FNMA GTD REMIC P/T 2004-W10 A6	1,000,000	1,079,840	1,098,910	8/25/2034	5.75%	
FORD MOTOR CO	800,000	923,075	960,000	7/16/2031	7.45%	14
FORD MOTOR CREDIT CO LLC	700,000	700,000	697,389	1/15/2015	3.88%	
FORDO 2009-C A3	85,559	86,740	85,988	11/15/2013	2.70%	
FRANCE TELECOM SA	205,000	278,683	292,314	3/1/2031	8.50%	
FRANCE TELECOM SA	250,000	277,380	275,125	7/8/2019	5.38%	
FREESCALE SEMICONDUCTOR INC	260,000	286,000	277,875	4/15/2018	9.25%	
FREESCALE SEMICONDUCTOR INC	200,000	196,000	188,000	2/1/2020	8.05%	
GAP INC/THE	339,000	330,683	323,338	4/12/2021	5.95%	
GCB US ONCOLOGY INC ESCROW	155,000	0	1,938	8/15/2017	9.13%	
GE EQUIPMENT TRANSPORTATI 1 A2	210,000	209,994	209,834	10/21/2013	0.77%	
GENERAL ELEC CAP CORP	350,000	349,251	365,285	10/17/2021	4.65%	
GENERAL ELECTRIC CAPITAL CORP	700,000	792,484	819,658	3/15/2032	6.75%	6
GENERAL ELECTRIC CAPITAL CORP	600,000	632,454	656,334	8/7/2037	6.15%	
GENERAL ELECTRIC CAPITAL CORP	276,000	300,103	294,395	9/20/2013	5.40%	
GENERAL ELECTRIC CAPITAL CORP	2,235,000	2,320,042	2,368,184	1/14/2038	5.88%	
GENERAL ELECTRIC CAPITAL CORP	300,000	327,153	336,000	5/1/2018	5.63%	
GENERAL ELECTRIC CAPITAL CORP	335,000	377,053	401,340	1/10/2039	6.88%	
GENERAL ELECTRIC CAPITAL CORP	145,000	161,326	166,551	8/7/2019	6.00%	
GENERAL ELECTRIC CAPITAL CORP	544,000	581,803	598,558	1/8/2020	5.50%	
GENERAL ELECTRIC CAPITAL CORP	14,000	13,951	14,965	2/11/2021	5.30%	1
GENERAL MOTORS FINANCIAL CO IN	660,000	660,350	673,200	6/1/2018	6.75%	
GENESIS ENERGY LP/GENESIS ENER	70,000	69,650	70,000	12/15/2018	7.88%	
GENON ENERGY INC	885,000	883,650	896,063	10/15/2018	9.50%	687
GENON ENERGY INC	530,000	536,538	537,950	10/15/2020	9.88%	
GENWORTH FINANCIAL INC	25,000	25,000	23,375	9/24/2021	7.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
GENWORTH FINANCIAL INC	315,000	248,375	170,100	11/15/2066	6.15%	
GEOEYE INC	145,000	163,850	158,775	10/1/2015	9.63%	
GEOEYE INC	90,000	94,050	92,700	10/1/2016	8.63%	
GEOKINETICS HOLDINGS USA INC	145,000	139,200	91,350	12/15/2014	9.75%	
GEORGIA POWER CO	200,000	182,366	221,284	9/1/2040	4.75%	
GILEAD SCIENCES INC	160,000	159,637	177,146	12/1/2041	5.65%	
GILEAD SCIENCES INC	75,000	74,828	79,402	12/1/2021	4.40%	34
GLAXOSMITHKLINE CAPITAL INC	315,000	335,503	333,374	5/15/2013	4.85%	
GLAXOSMITHKLINE CAPITAL INC	325,000	384,121	434,866	5/15/2038	6.38%	
GNMA GTD REM P/T 07-028 BO PO	135,631	120,320	124,500	5/20/2037	0.00%	
GNMA GTD REMIC 2002-54 GB	134,627	148,013	152,728	8/20/2032	6.50%	
GNMA GTD REMIC P/T 01-8 Z	453,504	500,750	524,196	3/20/2031	6.50%	
GNMA GTD REMIC P/T 02-45 QE	68,989	77,547	79,062	6/20/2032	6.50%	
GNMA GTD REMIC P/T 03-67 FO PO	500,000	268,438	398,830	8/20/2033	0.00%	
GNMA GTD REMIC P/T 05-045 ZA	811,550	854,609	982,999	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,317,648	1,455,504	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-71 SB IO	288,723	35,715	36,425	7/20/2036	6.42%	
GNMA GTD REMIC P/T 09-1 KS	299,954	340,400	393,156	11/20/2034	12.94%	
GNMA GTD REMIC P/T 09-32 ZA	166,672	166,691	190,874	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	689,495	755,185	838,191	8/16/2039	6.00%	
GNMA GTD REMIC P/T 09-67 ZC	1,136,599	1,175,998	1,373,875	8/16/2039	5.50%	
GNMA GTD REMIC P/T 09-79 OK	326,274	294,302	290,684	11/16/2037	0.00%	
GNMA GTD REMIC P/T 10-14 CO	399,960	345,422	341,774	8/20/2035	0.00%	
GNMA GTD REMIC P/T 10-42 VZ	657,462	652,172	784,852	10/20/2039	5.50%	
GNMA GTD REMIC P/T 11-50 PZ	413,529	465,221	474,463	4/20/2041	5.00%	
GNMA GTD REMIC P/T 2002-40 UK	216,910	250,869	251,498	6/20/2032	6.50%	
GNMA GTD REMIC P/T 2009-40 SL	905,247	107,209	95,947	3/16/2034	6.21%	
GOLDMAN SACHS GROUP INC	54,000	53,971	52,679	7/27/2021	5.25%	
GOLDMAN SACHS GROUP INC/THE	300,000	349,797	331,323	2/15/2019	7.50%	
GOLDMAN SACHS GROUP INC/THE	333,000	344,109	328,678	3/15/2020	5.38%	
GOLDMAN SACHS GROUP INC/THE	1,505,000	1,538,712	1,400,418	10/1/2037	6.75%	
GOLDMAN SACHS GROUP INC/THE	220,000	238,674	225,328	1/18/2018	5.95%	
GOLDMAN SACHS GROUP INC/THE	500,000	501,320	490,515	2/1/2041	6.25%	
GREAT PLAINS ENERGY INC	22,000	21,979	23,061	6/1/2021	4.85%	
GRUPO TELEVISA SA	200,000	216,640	225,524	1/15/2040	6.63%	
GSR MORTGAGE LOAN TRUST 3F 4A3	1,432,858	1,349,671	1,444,121	4/25/2033	5.75%	
GSR MORTGAGE LOAN TRUST 8F 2A3	6,343	6,414	6,646	9/25/2034	6.00%	
GYMBOREE CORP	205,000	200,388	179,375	12/1/2018	9.13%	
HALLIBURTON CO	360,000	472,806	517,802	2/15/2021	8.75%	
HALLIBURTON CO	45,000	59,145	62,404	8/15/2096	7.60%	
HALLIBURTON CO	105,000	123,302	142,418	9/15/2038	6.70%	
HALLIBURTON CO	35,000	44,972	50,571	9/15/2039	7.45%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
HANNOVER FDG CO DISC	1,240,000	1,239,277	1,239,277	1/5/2012	0.00%	
HARTFORD FINANCIAL SERVICES GR	300,000	318,750	297,000	6/15/2038	8.13%	26
HARTFORD FINANCIAL SERVICES GR	320,000	326,047	317,574	3/30/2040	6.63%	
HCA INC	179,000	196,900	195,558	2/15/2017	9.88%	
HCA INC	395,000	395,000	409,813	2/15/2020	6.50%	
HCA INC	1,150,000	1,130,125	1,175,875	2/15/2022	7.50%	
HCP INC	477,000	485,012	539,253	2/1/2041	6.75%	2
HEALTHCARE REALTY TRUST INC	430,000	445,407	434,726	1/15/2021	5.75%	
HERTZ CORP/THE	115,000	119,313	120,175	10/15/2018	7.50%	
HESS CORP	379,000	480,616	509,175	10/1/2029	7.88%	
HESS CORP	370,000	367,497	413,390	2/15/2041	5.60%	
HEWLETT-PACKARD CO	290,000	289,603	320,920	9/15/2041	6.00%	
HISTORIC TW INC	285,000	373,994	389,840	2/1/2023	9.15%	
HJ HEINZ FINANCE CO	130,000	152,870	179,351	8/1/2039	7.13%	
HOME DEPOT INC	1,245,000	1,301,551	1,562,326	12/16/2036	5.88%	
HONDA AUTO RECEIVABLES OW 1 A2	256,191	256,183	256,206	6/17/2013	0.65%	
HONDA AUTO RECEIVABLES OW 2 A3	19,790	20,012	19,827	1/15/2013	2.79%	
HONDA AUTO RECEIVABLES OW 3 A2	310,000	309,979	309,864	4/21/2014	0.67%	
HONEYWELL INTERNATIONAL INC	120,000	131,437	150,330	3/15/2037	5.70%	
HOSPIRA INC	120,000	113,130	115,615	9/15/2040	5.60%	
HOST HOTELS & RESORTS LP	140,000	139,300	143,150	11/1/2020	6.00%	
HP ENTERPRISE SERVICES LLC	205,000	263,327	255,492	10/15/2029	7.45%	
HSBC BANK PLC	720,000	719,374	746,618	1/19/2021	4.75%	
HSBC HOLDINGS PLC	1,235,000	1,286,603	1,219,056	9/15/2037	6.50%	
HSBC HOLDINGS PLC	110,000	118,945	113,933	6/1/2038	6.80%	
HSBC HOLDINGS PLC	200,000	199,566	212,518	4/5/2021	5.10%	
HSBC HOLDINGS PLC	55,000	54,960	62,340	1/14/2042	6.10%	
HUNTINGTON INGALLS INDUSTRIES	60,000	57,000	58,800	3/15/2018	6.88%	
HUNTINGTON INGALLS INDUSTRIES	825,000	799,106	808,500	3/15/2021	7.13%	
HUNTSMAN INTERNATIONAL LLC	235,000	240,188	249,100	3/15/2020	8.63%	17
HUNTSMAN INTERNATIONAL LLC	45,000	48,600	47,700	3/15/2021	8.63%	4
HYUNDAI AUTO RECEIVABLES A A2	256,605	256,588	256,538	11/15/2013	0.69%	
HYUNDAI AUTO RECEIVABLES B A2	189,650	189,641	189,424	3/15/2014	0.59%	
ICAHN ENTERPRISES LP / ICAHN E	90,000	93,375	93,375	1/15/2016	7.75%	
ICAHN ENTERPRISES LP / ICAHN E	435,000	432,013	452,400	1/15/2018	8.00%	
ILFC CAPITAL TR I ENHANCED CAP	250,000	173,956	147,495	12/21/2065	4.34%	
ILFC E-CAPITAL TRUST II	100,000	78,000	67,500	12/21/2065	6.25%	
INC RESEARCH INC	660,000	633,138	590,700	7/15/2019	11.50%	
INEOS FINANCE PLC	150,000	159,563	152,250	5/15/2015	9.00%	
INMARSAT FINANCE PLC	190,000	197,750	198,550	12/1/2017	7.38%	
INSIGHT COMMUNICATIONS CO INC	170,000	181,050	194,225	7/15/2018	9.38%	
INTEGRA TELECOM HOLDINGS INC	155,000	160,425	126,713	4/15/2016	10.75%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
INTEL CORP	307,000	304,722	344,058	10/1/2041	4.80%	
INTELSAT JACKSON HOLDINGS SA	150,000	155,625	156,750	6/15/2016	9.50%	
INTELSAT JACKSON HOLDINGS SA	200,000	200,000	202,250	4/1/2021	7.50%	
INTELSAT LUXEMBOURG SA	370,000	400,525	357,050	2/4/2017	11.50%	
INTELSAT LUXEMBOURG SA	300,000	290,250	290,250	2/4/2017	11.25%	
INTERNATIONAL BUSINESS MACHINE	100,000	137,357	162,708	10/15/2038	8.00%	
INTERNATIONAL BUSINESS MACHINE	590,000	640,513	757,961	11/30/2039	5.60%	
INTERNATIONAL BUSINESS MACHINE	305,000	306,040	307,400	8/5/2013	1.00%	
INTERNATIONAL LEASE FINANCE CO	330,000	319,275	315,150	6/1/2014	5.65%	
INTERNATIONAL LEASE FINANCE CO	100,000	102,125	99,500	11/15/2013	6.63%	100,000
INTERNATIONAL LEASE FINANCE CO	210,000	225,750	215,250	9/15/2015	8.63%	
INTERNATIONAL LEASE FINANCE CO	145,000	149,350	146,450	12/15/2020	8.25%	4
INTERNATIONAL LEASE FINANCE CO	340,000	349,650	350,200	3/15/2017	8.75%	
INTERNATIONAL LEASE FINANCE CO	210,000	210,000	193,996	5/15/2019	6.25%	
INTL PAPER CO	265,000	265,398	287,687	11/15/2041	6.00%	
IPALCO ENTERPRISES INC	330,000	329,364	323,400	5/1/2018	5.00%	
IRON MOUNTAIN INC	90,000	94,050	93,713	6/15/2020	8.00%	
IRON MOUNTAIN INC	145,000	145,000	153,156	10/1/2019	7.75%	
JABIL CIRCUIT INC	195,000	222,338	224,738	3/15/2018	8.25%	
JAGUAR HOLDING CO/MERGER	170,000	170,000	178,500	12/1/2019	9.50%	
JARDEN CORP	200,000	208,500	213,000	1/15/2020	7.50%	
JBS USA LLC/JBS USA FINANCE IN	245,000	240,737	228,463	6/1/2021	7.25%	
JC PENNEY CORP INC	170,000	142,375	142,163	10/15/2036	6.38%	
JEFFERIES GROUP INC	320,000	286,656	261,664	1/15/2036	6.25%	
JEFFERIES GROUP INC	290,000	277,275	241,425	6/8/2027	6.45%	
JEFFERIES GROUP INC	30,000	34,301	30,450	7/15/2019	8.50%	
JMC STEEL GROUP	500,000	500,294	487,500	3/15/2018	8.25%	
JOHN DEERE CAPITAL CORP	125,000	125,051	125,214	7/15/2013	0.72%	
JOHN DEERE OWNER TRUST A A2	265,000	264,985	264,830	6/16/2014	0.64%	
JOHNSON CONTROLS INC	265,000	270,536	306,557	1/15/2036	6.00%	
JOHNSON CONTROLS INC	35,000	35,045	39,413	3/1/2041	5.70%	
JPMORGAN CHASE & CO	310,000	323,567	320,500	10/1/2012	5.38%	
JPMORGAN CHASE & CO	175,000	196,534	203,154	5/15/2038	6.40%	
JPMORGAN CHASE & CO	470,000	469,478	486,286	5/10/2021	4.63%	
JPMORGAN CHASE & CO	240,000	238,966	251,273	7/15/2041	5.60%	
JPMORGAN CHASE & CO	360,000	362,063	363,568	8/15/2021	4.35%	
JUNIPER NETWORKS INC	100,000	99,871	105,972	3/15/2021	4.60%	
KANSAS CITY POWER & LIGHT CO	465,000	462,015	500,493	10/1/2041	5.30%	
KB HOME	190,000	201,400	180,975	9/15/2017	9.10%	
KELLOGG CO	171,000	214,302	233,196	4/1/2031	7.45%	
KERR-MCGEE CORP	75,000	85,976	94,004	9/15/2031	7.88%	
KEYSPAN GAS EAST CORP	490,000	490,000	597,795	4/1/2041	5.82%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
KINDER MORGAN ENERGY PARTNERS	515,000	533,130	572,423	9/1/2039	6.50%	
KINDER MORGAN ENERGY PARTNERS	160,000	169,799	179,234	9/15/2040	6.55%	
KINDRED HEALTHCARE INC	195,000	195,000	163,800	6/1/2019	8.25%	4
KOHL'S CORP	460,000	530,435	574,108	12/15/2037	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	510,000	606,028	643,100	3/11/2038	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	35,000	41,812	46,384	6/1/2026	7.20%	
KRAFT FOODS INC	275,000	306,875	367,386	8/11/2037	7.00%	
KRAFT FOODS INC	855,000	986,115	1,132,165	2/1/2038	6.88%	
KRAFT FOODS INC	225,000	262,139	299,747	1/26/2039	6.88%	
KRAFT FOODS INC	200,000	230,660	260,188	2/9/2040	6.50%	
KRAFT FOODS INC	175,000	188,347	201,924	2/10/2020	5.38%	
KRATOS DEFENSE & SECURITY SOLU	405,000	429,038	415,125	6/1/2017	10.00%	
KROGER CO/THE	145,000	177,213	193,117	6/1/2029	7.70%	
KROGER CO/THE	10,000	12,089	13,045	4/1/2031	7.50%	
KROGER CO/THE	100,000	113,316	121,352	1/15/2020	6.15%	
KROGER CO/THE	562,000	532,701	605,471	7/15/2040	5.40%	
LANTHEUS MEDICAL IMAGING INC	225,000	232,725	178,313	5/15/2017	9.75%	
LEAR CORP	55,000	58,850	59,538	3/15/2018	7.88%	
LEAR CORP	55,000	59,813	60,500	3/15/2020	8.13%	
LEUCADIA NATIONAL CORP	400,000	403,750	402,500	3/15/2017	7.13%	
LEVEL 3 COMMUNICATIONS INC	370,000	361,989	394,050	2/1/2019	11.88%	4
LEVEL 3 ESCROW INC	360,000	354,762	354,762	7/1/2019	8.13%	
LEVEL 3 FINANCING INC	380,000	328,700	338,200	2/15/2015	4.20%	
LEVEL 3 FINANCING INC	85,000	86,700	86,488	2/15/2017	8.75%	
LEVEL 3 FINANCING INC	215,000	210,251	224,406	4/1/2019	9.38%	
LIBERTY MUT GROUP INC JR 144A	120,000	145,200	150,600	6/15/2058	10.75%	
LIBERTY MUT GRP SER B 144A	125,000	112,283	105,000	3/15/2037	7.00%	
LIBERTY PROPERTY LP	75,000	84,965	84,808	10/1/2017	6.63%	
LINCOLN NATIONAL CORP	140,000	175,119	170,290	7/1/2019	8.75%	
LINCOLN NATIONAL CORP	90,000	97,872	101,749	6/15/2040	7.00%	
LINCOLN NATIONAL CORP	17,000	16,977	17,319	6/24/2021	7.85%	
LINN ENERGY LLC/LINN ENERGY FI	330,000	353,513	358,050	4/15/2020	8.63%	
LINN ENERGY LLC/LINN ENERGY FI	150,000	148,848	148,875	5/15/2019	6.50%	
LIVE NATION ENTERTAINMENT INC	110,000	111,625	110,825	5/15/2018	8.13%	
LOCKHEED MARTIN CORP	937,000	974,413	1,051,942	6/1/2040	5.72%	
LONGVIEW FIBRE PAPER & PACKAGI	115,000	114,414	115,000	6/1/2016	8.00%	
LORILLARD TOBACCO CO	270,000	315,008	321,624	6/23/2019	8.13%	
LOUISVILLE GAS & ELECTRIC CO	350,000	341,562	419,475	11/15/2040	5.13%	
LOWE'S COMPANIES INC	22,000	21,987	24,376	11/15/2041	5.13%	
LOWE'S COS INC	380,000	457,113	500,221	5/15/2037	7.11%	
LTD BRANDS INC	110,000	116,050	119,075	5/1/2020	7.00%	
LTD BRANDS INC	100,000	100,000	106,000	4/1/2021	6.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
LYONDELL CHEMICAL CO	140,000	154,875	152,950	11/1/2017	8.00%	
MACQUARIE GROUP LTD	287,000	283,845	274,185	1/14/2021	6.25%	
MACY'S RETAIL HOLDINGS INC	410,000	438,608	476,297	3/15/2037	6.38%	
MACY'S RETAIL HOLDINGS INC	10,000	11,000	11,676	7/15/2017	7.45%	
MACY'S RETAIL HOLDINGS INC	75,000	79,500	77,216	1/15/2013	5.88%	
MACY'S RETAIL HOLDINGS INC	130,000	152,100	150,093	7/15/2015	7.88%	
MANITOWOC CO INC/THE	205,000	205,438	216,019	11/1/2020	8.50%	
MARATHON OIL CORP	90,000	103,659	109,867	3/15/2032	6.80%	
MARATHON PETROLEUM CORP	405,000	412,499	458,958	3/1/2041	6.50%	
MARINA DISTRICT FINANCE CO INC	40,000	39,300	37,400	10/15/2015	9.50%	1
MARINA DISTRICT FINANCE CO INC	260,000	243,400	237,250	8/15/2018	9.88%	33,349
MARKET STREET FDG DISC	1,200,000	1,199,050	1,199,050	2/9/2012	0.00%	
MARKWEST ENERGY PARTNERS LP /	85,000	88,450	89,038	11/1/2020	6.75%	
MARSH & MCLENNAN COS INC	210,000	209,687	229,860	7/15/2021	4.80%	
MASSACHUSETTS ELECTRIC CO	271,000	280,921	340,281	11/15/2039	5.90%	
MASSACHUSETTS MUTUAL LIFE INSU	250,000	337,223	365,690	6/1/2039	8.88%	
MASTR ASSET BKD 05-AB1 CL A3B	795,626	730,783	797,305	10/25/2032	5.23%	
MBIA INC	545,000	357,376	336,892	12/1/2034	5.70%	
MCKESSON CORP	165,000	164,493	186,935	3/1/2021	4.75%	
MCKESSON CORP	55,000	54,195	70,299	3/1/2041	6.00%	
MEDIMPACT HOLDINGS INC	465,000	459,415	395,250	2/1/2018	10.50%	
MEMC ELECTRONICS MATERIA	105,000	105,000	75,863	4/1/2019	7.75%	
MERCEDES-BENZ AUTO RECEIV 1 A2	220,000	220,000	220,075	11/15/2013	0.40%	
MERCK & CO INC	300,000	331,182	391,197	6/30/2039	5.85%	1
MERCK & CO INC	120,000	145,004	167,291	9/15/2037	6.55%	
MERRILL LYNCH & CO INC	200,000	211,454	193,660	8/28/2017	6.40%	
MERRILL LYNCH & CO INC	400,000	437,744	394,376	4/25/2018	6.88%	
MERRILL LYNCH & CO INC	100,000	100,336	91,839	5/2/2017	5.70%	763
MERRILL LYNCH & CO INC	750,000	778,373	712,590	5/14/2038	7.75%	
MERRILL LYNCH MORTGAGE LC1 A4	250,000	268,518	276,503	1/12/2044	5.29%	
MERRILL LYNCH/COUNTRYWIDE 1 A4	425,000	458,796	467,568	2/12/2039	5.61%	
METLIFE INC	75,000	87,441	89,216	8/15/2018	6.82%	
METLIFE INC	200,000	227,924	234,070	2/6/2041	5.88%	
MICROSOFT CORP	25,000	26,052	30,957	6/1/2039	5.20%	
MICROSOFT CORP	77,000	72,084	86,897	10/1/2040	4.50%	
MICROSOFT CORP	109,000	108,078	137,992	2/8/2041	5.30%	
MIDAMERICAN ENERGY HOLDINGS CO	250,000	321,808	361,643	9/15/2028	8.48%	
MIDAMERICAN ENERGY HOLDINGS CO	785,000	888,008	985,670	9/15/2037	6.50%	
MIDWEST GENERATION LLC	290,920	292,191	293,829	1/2/2016	8.56%	
MOHAWK INDUSTRIES INC	160,000	171,600	172,000	1/15/2016	6.88%	
MONT BLANC CAP DISC	1,650,000	1,649,358	1,649,358	1/25/2012	0.00%	
MORGAN STANLEY	1,490,000	1,576,480	1,419,583	12/28/2017	5.95%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
MOSAIC CO/THE	107,000	105,401	110,608	11/15/2041	4.88%	
NATIONAL MONEY MART CO	150,000	161,675	160,125	12/15/2016	10.38%	
NATIONWIDE BLDG DISC	1,410,000	1,407,227	1,407,227	2/14/2012	0.00%	
NATIONWIDE MUT INS CO 144A	290,000	292,224	297,856	4/1/2033	7.88%	
NATIONWIDE MUTUAL INSURANCE CO	185,000	216,270	223,563	8/15/2039	9.38%	
NAVIOS MARITIME ACQUISITION CO	140,000	143,150	101,500	11/1/2017	8.63%	16
NAVIOS MARITIME HOLDINGS INC	125,000	125,000	93,125	2/15/2019	8.13%	
NBCUNIVERSAL MEDIA LLC	140,000	148,674	172,115	4/30/2040	6.40%	
NBCUNIVERSAL MEDIA LLC	105,000	104,990	123,418	4/1/2041	5.95%	
NEVADA POWER CO	300,000	335,649	401,940	4/1/2036	6.65%	
NEVADA POWER CO	35,000	39,725	47,769	7/1/2037	6.75%	
NEVADA POWER CO	50,000	57,732	60,295	8/1/2018	6.50%	
NEVADA POWER CO	85,000	100,124	106,148	3/15/2019	7.13%	
NEVADA POWER CO	73,000	70,252	85,674	9/15/2040	5.38%	
NEVADA POWER CO	63,000	62,981	74,848	5/15/2041	5.45%	
NEW YORK LIFE INSURANCE CO	300,000	351,702	383,085	11/15/2039	6.75%	
NEWELL RUBBERMAID INC	62,000	61,501	65,490	8/15/2020	4.70%	
NEWS AMERICA INC	65,000	84,653	85,535	4/26/2023	8.88%	
NEWS AMERICA INC	140,000	157,991	171,412	12/1/2045	7.75%	
NEWS AMERICA INC	450,000	507,533	514,161	4/30/2028	7.30%	
NEWS AMERICA INC	90,000	96,696	98,621	12/15/2035	6.40%	
NEWS AMERICA INC	580,000	604,696	634,004	3/1/2037	6.15%	
NEWS AMERICA INC	900,000	996,831	1,019,637	11/15/2037	6.65%	
NEWS AMERICA INC	185,000	207,623	213,853	8/15/2039	6.90%	
NEXEN INC	85,000	101,448	101,897	7/30/2039	7.50%	
NEXSTAR BROADCASTING INC / MIS	85,000	90,313	87,125	4/15/2017	8.88%	
NEXTEL COMMUNICATIONS INC	30,000	30,038	27,450	8/1/2015	7.38%	
NEXTEL COMMUNICATIONS INC	45,000	45,113	44,775	10/31/2013	6.88%	
NEXTERA ENERGY CAPITAL HOLDING	390,000	432,264	454,654	3/1/2019	6.00%	
NIAGARA MOHAWK POWER CORP	150,000	159,282	167,742	8/15/2019	4.88%	
NII CAPITAL CORP	305,000	324,888	321,013	12/15/2019	8.88%	
NII CAPITAL CORP	295,000	291,500	292,788	4/1/2021	7.63%	
NISKA GAS STORAGE US LLC/NISKA	240,000	256,800	234,600	3/15/2018	8.88%	
NISOURCE FINANCE CORP	140,000	161,980	163,975	1/15/2019	6.80%	
NISOURCE FINANCE CORP	100,000	103,100	109,044	12/15/2040	6.25%	
NISOURCE FINANCE CORP	240,000	239,263	257,587	6/15/2041	5.95%	
NISSAN AUTO LEASE TRUST B A2	290,000	290,000	289,881	2/17/2014	0.47%	
NISSAN AUTO RECEIVABLES O A A2	495,000	494,953	496,030	12/16/2013	0.65%	
NISSAN AUTO RECEIVABLES O B A2	440,000	439,955	440,097	9/15/2014	0.74%	
NOMURA HOLDINGS INC	130,000	139,138	136,882	3/4/2020	6.70%	
NORFOLK SOUTHERN CORP	202,000	241,863	280,291	2/15/2031	7.25%	
NORFOLK SOUTHERN CORP	125,000	123,896	147,875	3/15/2105	6.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
NORFOLK SOUTHERN CORP	537,000	537,000	623,350	5/23/2048	6.00%	
NORFOLK SOUTHERN CORP 144A	44,000	44,447	46,684	10/1/2041	4.84%	
NORFOLK SOUTHERN RAILWAY CO	255,000	351,043	368,972	6/15/2020	9.75%	
NORTHERN STATES POWER CO/MN	365,000	421,462	505,405	6/1/2036	6.25%	
NORTHERN STATES POWER CO/MN	137,000	141,061	170,162	11/1/2039	5.35%	
NOVA CHEMICALS CORP	155,000	169,338	170,888	11/1/2019	8.63%	
NRG ENERGY INC	310,000	317,750	311,550	9/1/2020	8.25%	
NRG ENERGY INC	245,000	245,000	240,100	5/15/2019	7.63%	
NRG ENERGY INC	980,000	980,000	955,500	5/15/2021	7.88%	
NUCOR CORP	385,000	446,542	509,532	12/1/2037	6.40%	9
OFFSHORE GROUP INVESTMENTS LTD	365,000	395,350	394,656	8/1/2015	11.50%	
OHIO POWER CO	235,000	261,346	301,268	2/15/2033	6.60%	
OIL STATES INTERNATIONAL INC	425,000	425,000	434,563	6/1/2019	6.50%	119
ONCOR ELECTRIC DELIVERY CO LLC	360,000	425,930	483,901	1/15/2033	7.25%	
ONCOR ELECTRIC DELIVERY CO LLC	75,000	86,417	99,026	5/1/2032	7.00%	
ORACLE CORP	100,000	116,552	135,128	4/15/2038	6.50%	
ORACLE CORP	250,000	275,545	328,360	7/8/2039	6.13%	
ORACLE CORP	473,000	468,121	576,246	7/15/2040	5.38%	
OWENS CORNING	155,000	157,895	158,421	12/1/2036	7.00%	
OXEA FINANCE & CY SCA	125,000	135,469	125,000	7/15/2017	9.50%	
PACIFIC GAS & ELECTRIC CO	530,000	573,518	656,055	3/1/2034	6.05%	
PACIFIC GAS & ELECTRIC CO	512,000	542,628	623,575	3/1/2037	5.80%	
PACIFIC GAS & ELECTRIC CO	399,000	403,034	464,041	1/15/2040	5.40%	
PACIFIC LIFE GBL MTN #SR00005	135,000	138,040	143,205	5/15/2017	5.00%	
PACIFIC LIFE INSURANCE CO	219,000	282,962	291,636	6/15/2039	9.25%	
PACIFICORP	655,000	839,107	954,459	11/15/2031	7.70%	
PACIFICORP	125,000	132,893	154,428	4/1/2037	5.75%	
PACIFICORP	275,000	311,647	362,502	10/15/2037	6.25%	
PAETEC HOLDING CORP	185,000	197,488	199,800	6/30/2017	8.88%	
PARKER HANNIFIN CORP	170,000	209,466	213,984	5/15/2038	6.25%	
PEABODY ENERGY CORP 144A	375,000	375,000	388,125	11/15/2021	6.25%	
PEARSON DOLLAR FINANCE TWO PLC	140,000	155,588	162,590	5/6/2018	6.25%	
PEPSICO INC/NC	270,000	270,000	270,289	5/10/2013	0.52%	
PERNOD-RICARD SA	375,000	374,048	392,843	1/15/2022	4.45%	
PETROBRAS INTERNATIONAL FINANC	113,000	128,244	129,852	1/27/2041	6.75%	
PETRO-CANADA	990,000	1,126,804	1,278,258	5/15/2038	6.80%	
PFIZER INC	530,000	685,841	782,428	3/15/2039	7.20%	
PHARMACIA CORP	100,000	115,539	130,791	12/1/2028	6.60%	
PHILIP MORRIS INTERNATIONAL IN	845,000	976,349	1,101,618	5/16/2038	6.38%	
PINNACLE ENTERTAINMENT INC	175,000	181,125	171,500	5/15/2020	8.75%	2,160
PLAINS ALL AMERICAN PIPELINE L	505,000	531,379	601,738	1/15/2037	6.65%	
PLASTIPAK HOLDINGS INC	120,000	134,850	132,600	8/15/2019	10.63%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
PNC FUNDING CORP	510,000	533,698	576,285	2/8/2020	5.13%	
PNC FUNDING CORP	77,000	76,099	83,255	8/11/2020	4.38%	
POLYMER GROUP INC	205,000	209,600	212,175	2/1/2019	7.75%	
POTASH CORP OF SASKATCHEWAN IN	120,000	139,674	146,326	12/1/2036	5.88%	
POTASH CORP OF SASKATCHEWAN IN	635,000	638,008	775,373	12/1/2040	5.63%	
POTOMAC ELECTRIC POWER CO	145,000	167,496	195,493	11/15/2037	6.50%	
POTOMAC ELECTRIC POWER CO	250,000	335,083	386,550	12/15/2038	7.90%	
PPG INDUSTRIES INC	125,000	163,844	174,749	5/1/2021	9.00%	
PPG INDUSTRIES INC	170,000	211,171	247,571	3/15/2038	7.70%	
PPG INDUSTRIES INC	21,000	20,606	24,321	11/15/2040	5.50%	
PPL ELECTRIC UTILITIES	65,000	64,628	77,630	7/15/2041	5.20%	
PRINCIPAL FINANCIAL GROUP INC	14,000	14,090	14,714	10/15/2036	6.05%	
PRINCIPAL FINANCIAL GROUP INC	130,000	163,550	161,855	5/15/2019	8.88%	
PROCTER & GAMBLE CO/THE	160,000	212,326	237,224	9/1/2024	8.00%	
PROGRESS ENERGY INC	182,000	227,255	258,455	3/1/2031	7.75%	
PROGRESS ENERGY INC	830,000	966,744	1,103,601	10/30/2031	7.00%	
PROGRESS ENERGY INC	185,000	195,497	231,809	12/1/2039	6.00%	8
PROVIDENT FUNDING ASSOCIATES L	200,000	185,300	154,000	2/15/2019	10.13%	
PROVINCE OF QUEBEC CANADA	170,000	204,078	230,450	1/30/2026	6.35%	
PRUDENTIAL FINANCIAL INC	385,000	382,543	382,251	12/14/2036	5.70%	
PRUDENTIAL INS 144A	475,000	585,594	607,696	7/1/2025	8.30%	
PSEG POWER LLC	55,000	70,738	81,133	4/15/2031	8.63%	
PSEG POWER LLC	81,000	84,282	90,863	4/15/2020	5.13%	
PUBLIC SERVICE CO OF COLORADO	70,000	82,659	97,552	8/1/2038	6.50%	315
PUBLIC SERVICE CO OF COLORADO	30,000	34,226	36,022	8/1/2018	5.80%	4
PUBLIC SERVICE CO OF OKLAHOMA	239,000	250,231	266,502	12/1/2019	5.15%	
PUBLIC SERVICE COLORADO	305,000	303,835	349,460	8/15/2041	4.75%	
PUBLIC SERVICE ELECTRIC & GAS	195,000	198,555	241,114	11/1/2039	5.38%	
QUADRA FNX MINING LTD	250,000	250,000	282,813	6/15/2019	7.75%	
QUEBECOR MEDIA INC	60,000	61,950	61,650	3/15/2016	7.75%	
QUEBECOR MEDIA INC	145,000	149,713	148,988	3/15/2016	7.75%	
QWEST CAPITAL FUNDING INC	185,000	194,950	183,773	2/15/2031	7.75%	
QWEST CAPITAL FUNDING INC	200,000	194,000	187,794	7/15/2028	6.88%	
QWEST CORP	267,000	262,143	291,030	12/1/2021	6.75%	
QWEST CORP	240,000	235,800	238,390	9/15/2033	6.88%	
QWEST CORP	240,000	237,000	239,314	10/15/2035	7.25%	
RAIN CII CARBON LLC / CII CARB	220,000	225,500	220,550	12/1/2018	8.00%	
RECKSON OPERATING PARTNERSHIP	285,000	304,950	312,577	3/15/2020	7.75%	
REED ELSEVIER CAPITAL INC	150,000	190,709	187,214	1/15/2019	8.63%	
REGAL ENTERTAINMENT GROUP	160,000	168,317	171,600	8/15/2018	9.13%	2
REGIONS FINANCIAL CORP	200,000	214,500	201,000	11/10/2014	7.75%	
REGIONS FINANCIAL CORP	395,000	392,784	377,225	6/15/2015	5.75%	1

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
REPUBLIC SERVICES INC	610,000	659,514	737,417	3/1/2040	6.20%	
REPUBLIC SERVICES INC	238,000	236,641	273,010	5/15/2041	5.70%	
REVLON CONSUMER PRODUCTS CORP	335,000	354,263	356,356	11/15/2015	9.75%	
REYNOLDS GRP ISS/REYNOLD 144A	675,000	682,005	705,375	8/15/2019	7.88%	
REYNOLDS GRP ISS/REYNOLD 144A	300,000	297,954	291,000	8/15/2019	9.88%	
RIO TINTO ALCAN INC	420,000	494,075	567,130	3/15/2031	7.25%	
RIO TINTO FINANCE USA LTD	450,000	546,741	613,314	7/15/2028	7.13%	
RIO TINTO FINANCE USA LTD	147,000	143,631	168,361	11/2/2040	5.20%	
RITE AID CORP	165,000	158,606	164,794	3/1/2017	7.50%	
ROCHE HOLDINGS INC	425,000	534,852	602,200	3/1/2039	7.00%	
ROTECH HEALTHCARE INC	65,000	66,950	64,025	10/15/2015	10.75%	
ROTECH HEALTHCARE INC	25,000	24,549	19,250	3/15/2108	10.50%	13
ROUSE CO LP/THE	430,000	440,750	434,838	11/9/2015	6.75%	
ROYAL BANK OF CANADA	115,000	114,871	114,670	10/30/2014	1.25%	
ROYAL BANK OF SCOTLAND PLC/THE	215,000	214,207	212,104	1/11/2021	6.13%	
RPM INTERNATIONAL INC	75,000	81,068	81,520	10/15/2019	6.13%	
SABINE PASS LNG LP	225,000	210,938	226,125	11/30/2016	7.50%	
SABMILLER PLC GTD NT 144A	135,000	154,886	171,878	8/15/2033	6.63%	
SALISBURY REC CO DISC	1,040,000	1,039,102	1,039,102	1/20/2012	0.00%	
SALLY HOLDINGS/SALLY CAP	90,000	90,000	94,050	11/15/2019	6.88%	
SAN DIEGO GAS & ELECTRIC CO	58,000	52,293	64,891	8/15/2040	4.50%	
SANDRIDGE ENERGY INC 144A	330,000	325,050	333,300	6/1/2018	8.00%	
SANOFI	235,000	235,000	235,223	3/28/2013	0.77%	
SATMEX ESCROW SA DE CV	160,000	162,250	163,200	5/15/2017	9.50%	
SEAGATE HDD CAYMAN	285,000	288,563	303,169	12/15/2018	7.75%	
SEAGATE HDD CAYMAN	255,000	255,000	261,375	11/1/2021	7.00%	
SEAGATE HDD CAYMAN	70,000	66,850	71,925	5/1/2020	6.88%	
SEAGATE TECHNOLOGY INTERNATIONAL	43,000	50,418	48,644	5/1/2014	10.00%	
SEALED AIR CORP	350,000	364,925	383,250	9/15/2019	8.13%	
SEALED AIR CORP	95,000	95,000	104,975	9/15/2021	8.38%	
SEARS HOLDINGS CORP	1,345,000	1,188,450	1,022,200	10/15/2018	6.63%	4
SEMPRA ENERGY	200,000	268,872	270,108	2/15/2019	9.80%	
SEMPRA ENERGY	1,155,000	1,219,128	1,425,108	10/15/2039	6.00%	
SHELL INTERNATIONAL FINANCE BV	255,000	302,234	350,959	12/15/2038	6.38%	
SHELL INTERNATIONAL FINANCE BV	215,000	230,332	268,655	3/25/2040	5.50%	
SIEMENS NV 144A	620,000	698,092	752,637	8/17/2026	6.13%	
SIMON PROPERTY GROUP LP	50,000	56,198	57,401	5/30/2018	6.13%	
SIMON PROPERTY GROUP LP	88,000	100,217	114,898	2/1/2040	6.75%	
SIMON PROPERTY GROUP LP	270,000	269,160	282,331	12/1/2021	4.13%	
SINCLAIR TELEVISION GROUP INC	165,000	178,613	179,850	11/1/2017	9.25%	
SIRIUS XM RADIO INC	380,000	419,550	412,300	9/1/2015	9.75%	
SLM CORP	200,000	197,878	194,496	1/25/2016	6.25%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
SOLITAIRE FDG LTD DISC	990,000	989,122	989,122	1/17/2012	0.00%	
SOLUTIA INC	145,000	158,775	158,413	11/1/2017	8.75%	
SOLUTIA INC	140,000	149,800	152,250	3/15/2020	7.88%	68,749
SOUTH CAROLINA ELECTRIC & GAS	230,000	267,943	275,204	2/1/2041	5.45%	
SOUTHERN CALIFORNIA EDISON CO	575,000	639,417	761,875	3/15/2039	6.05%	
SOUTHERN CALIFORNIA EDISON CO	390,000	404,387	487,278	3/15/2040	5.50%	3
SOUTHERN POWER CO	115,000	114,226	122,008	9/15/2041	5.15%	
SPECTRA ENERGY CAPITAL LLC	130,000	158,374	163,595	10/1/2019	8.00%	
SPECTRA ENERGY CAPITAL LLC	25,000	32,184	32,530	9/15/2038	7.50%	
SPECTRA ENERGY PARTNERS LP	170,000	169,944	177,643	6/15/2021	4.60%	
SPEEDWAY MOTORSPORTS INC	30,000	32,325	32,700	6/1/2016	8.75%	
SPEEDY CASH INC	190,000	191,563	190,950	5/15/2018	10.75%	
SPIRIT AEROSYSTEMS INC	65,000	67,600	70,525	10/1/2017	7.50%	
SPIRIT AEROSYSTEMS INC	235,000	238,213	245,575	12/15/2020	6.75%	
SPRINGLEAF FINANCE CORP	100,000	77,500	70,500	9/15/2016	5.75%	
SPRINGLEAF FINANCE CORP	1,000,000	802,500	720,000	12/15/2017	6.90%	
SPRINT CAPITAL CORP	325,000	306,425	231,969	11/15/2028	6.88%	1
SPRINT CAPITAL CORP	1,155,000	998,063	949,988	5/1/2019	6.90%	
SPRINT CAPITAL CORP	435,000	439,350	351,806	3/15/2032	8.75%	
SPRINT NEXTEL CORP 144A	200,000	202,625	198,000	11/15/2021	11.50%	
SPRINT NEXTEL CORP 144A	230,000	230,000	241,500	11/15/2018	9.00%	
SQUARETWO FINANCIAL CORP	215,000	207,975	207,475	4/1/2017	11.63%	
STANDARD CHART DISC	950,000	948,575	948,575	2/21/2012	0.00%	
STANDARD PACIFIC CORP	220,000	217,300	209,000	5/15/2018	8.38%	
STATER BROS HOLDINGS INC	95,000	97,613	97,494	4/15/2015	7.75%	
STATOIL ASA	230,000	283,569	316,623	1/15/2029	7.15%	
STATOIL ASA	125,000	157,404	171,096	9/23/2027	7.25%	
STATOIL ASA	138,000	137,971	163,358	8/17/2040	5.10%	
STATOIL ASA	175,000	175,000	182,770	11/23/2041	4.25%	
SUGARHOUSE HSP GAMING PROP MEZ	57,000	57,000	58,425	4/15/2016	8.63%	
SUNGARD DATA SYSTEMS INC	135,000	136,813	138,713	11/15/2020	7.63%	
SUNTRUST BANKS INC	150,000	141,998	151,799	2/15/2026	6.00%	
SVENSKA HANDELSBANKEN AB	65,000	65,217	65,302	9/14/2012	1.54%	
SYNIVERSE HOLDINGS INC	165,000	166,238	174,075	1/15/2019	9.13%	
TALISMAN ENERGY INC	520,000	554,824	625,830	2/1/2038	6.25%	
TALISMAN ENERGY INC	95,000	118,220	117,141	6/1/2019	7.75%	
TARGA RESOURCES PARTNERS LP /	145,000	152,975	151,888	7/1/2016	8.25%	
TARGET CORP	400,000	466,424	531,420	10/15/2037	6.50%	
TARGET CORP	400,000	487,520	559,124	1/15/2038	7.00%	
TCI COMMUNICATIONS INC	150,000	168,227	183,767	2/15/2028	7.13%	
TECK RESOURCES LTD	50,000	53,831	54,856	10/1/2035	6.13%	
TECK RESOURCES LTD	375,000	380,876	412,590	8/15/2040	6.00%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TELCORDIA TECHNOLOGIES INC	370,000	371,850	469,900	5/1/2018	11.00%	
TELECOM ITALIA CAPITAL SA	170,000	167,562	144,985	6/4/2038	7.72%	
TELEFONICA EMISIONES SAU	225,000	224,210	219,391	6/20/2036	7.05%	
TELEFONICA EMISIONES SAU	50,000	51,088	49,414	7/15/2019	5.88%	
TELEFONICA EMISIONES SAU	19,000	19,000	18,131	2/16/2021	5.46%	
TELEFONICA EUROPE BV	355,000	425,698	390,433	9/15/2030	8.25%	
TENET HEALTHCARE CORP	290,000	327,700	325,525	7/1/2019	8.88%	
TENET HEALTHCARE CORP	255,000	255,000	259,463	11/1/2018	6.25%	
TENNESSEE GAS PIPELINE CO	100,000	116,049	118,039	2/1/2016	8.00%	
TENNESSEE VALLEY AUTH BD	105,000	120,687	143,199	4/1/2036	5.88%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,188,315	1,434,797	9/15/2039	5.25%	
TENNESSEE VALLEY AUTH BD	336,000	314,278	401,197	9/15/2060	4.63%	
TEREX CORP	355,000	412,244	392,275	6/1/2016	10.88%	
TEVA PHARMACEUTICAL FINANCE CO	110,000	123,859	133,696	2/1/2036	6.15%	
TEXAS COMPETITIVE ELECTRIC HOL	1,125,000	1,061,434	954,844	10/1/2020	11.50%	1
THAMES ASSET #1 DISC	1,080,000	1,079,739	1,079,739	1/20/2012	0.00%	
THERMADYNE HOLDINGS CORP	200,000	206,181	207,000	12/15/2017	9.00%	
THOMSON REUTERS CORP	120,000	126,133	132,802	10/15/2019	4.70%	
THOMSON REUTERS CORP	125,000	132,243	143,186	4/15/2040	5.85%	
TIME WARNER CABLE INC	735,000	784,031	836,004	5/1/2037	6.55%	
TIME WARNER CABLE INC	383,000	446,455	454,893	7/1/2018	6.75%	
TIME WARNER CABLE INC	425,000	496,957	516,443	7/1/2038	7.30%	
TIME WARNER CABLE INC	165,000	209,958	210,693	2/14/2019	8.75%	
TIME WARNER CABLE INC	191,000	196,792	201,276	9/1/2041	5.50%	
TIME WARNER ENTERTAINMENT CO L	300,000	376,686	390,720	3/15/2023	8.38%	
TIME WARNER INC	870,000	1,057,642	1,123,066	4/15/2031	7.63%	
TIME WARNER INC	1,060,000	1,293,931	1,382,971	5/1/2032	7.70%	
TIME WARNER INC	100,000	106,305	117,790	3/15/2040	6.20%	
TIME WARNER INC	55,000	57,711	64,479	7/15/2040	6.10%	
TIME WARNER INC	24,000	23,690	28,775	3/29/2041	6.25%	
TIME WARNER INC	22,000	21,861	23,830	10/15/2041	5.38%	
TORONTO-DOMINION BANK	470,000	470,000	469,319	7/26/2013	0.74%	
TOSCO CORP	100,000	124,618	140,521	1/1/2027	7.80%	
TOSCO CORP	30,000	38,858	43,927	2/15/2030	8.13%	
TOTAL CAPITAL CANADA LTD	245,000	245,000	245,421	5/13/2013	0.54%	
TOWER AUTOMOTIVE HOLDINGS USA	95,000	102,125	95,475	9/1/2017	10.63%	18
TOYOTA AUTO RECEIVABLES O B A2	290,000	289,996	289,469	4/15/2014	0.53%	
TOYOTA MOTOR CREDIT CORP	325,000	325,000	325,767	10/12/2013	1.03%	
TOYS R US PROPERTY CO I LLC	185,000	210,900	202,344	7/15/2017	10.75%	
TPC GROUP LLC	85,000	89,038	85,000	10/1/2017	8.25%	
TRANSCANADA PIPELINES LTD	500,000	536,705	640,530	6/1/2040	6.10%	
TRANSOCEAN INC	290,000	310,750	300,979	4/15/2031	7.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
TRANSOCEAN INC	110,000	116,789	113,629	11/15/2020	6.50%	
TRANSOCEAN INC	12,000	12,000	13,330	12/15/2041	7.35%	
TRAVELERS COS INC/THE	200,000	196,590	231,070	11/1/2040	5.35%	
TRAVELERS PROPERTY CASUALTY CO	200,000	245,632	264,824	4/15/2026	7.75%	
TRILOGY INTERNATIONAL PARTNERS	665,000	658,350	608,475	8/15/2016	10.25%	
TRIUMPH GROUP INC	145,000	150,800	154,425	11/15/2017	8.00%	
TYCO INTERNATIONAL FINANCE SA	195,000	249,596	251,176	1/15/2019	8.50%	
U S TREASURY BD CPN STRIP	100,000	66,131	81,643	11/15/2021	0.00%	
U S TREASURY BD CPN STRIP	1,700,000	1,108,009	1,374,144	2/15/2022	0.00%	
U S TREASURY BD CPN STRIP	1,300,000	756,171	968,357	2/15/2024	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	573,440	737,530	5/15/2024	0.00%	
U S TREASURY BD CPN STRIP	3,200,000	1,807,072	2,334,528	8/15/2024	0.00%	
U S TREASURY BD CPN STRIP	7,300,000	4,065,005	5,276,367	11/15/2024	0.00%	
U S TREASURY BD CPN STRIP	4,550,000	2,498,724	3,255,343	2/15/2025	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	540,710	707,920	5/15/2025	0.00%	
U S TREASURY BD CPN STRIP	450,000	239,904	315,576	8/15/2025	0.00%	
U S TREASURY BD CPN STRIP	3,600,000	1,833,300	2,450,088	5/15/2026	0.00%	
U S TREASURY BD CPN STRIP	900,000	460,384	600,930	11/15/2026	0.00%	
U S TREASURY BD CPN STRIP	3,350,000	1,799,311	2,215,523	2/15/2027	0.00%	
U S TREASURY BD CPN STRIP	1,215,000	577,194	796,007	5/15/2027	0.00%	
U S TREASURY BD CPN STRIP	6,100,000	2,896,890	3,956,826	8/15/2027	0.00%	
U S TREASURY BD CPN STRIP	7,000,000	3,282,370	4,499,040	11/15/2027	0.00%	
U S TREASURY BD CPN STRIP	3,250,000	1,501,008	2,069,893	2/15/2028	0.00%	
U S TREASURY BD CPN STRIP	700,000	299,607	438,193	8/15/2028	0.00%	
U S TREASURY BD CPN STRIP	1,500,000	683,305	946,920	5/15/2028	0.00%	
U S TREASURY BD CPN STRIP	1,125,000	577,016	698,074	11/15/2028	0.00%	
U S TREASURY BD CPN STRIP	3,235,000	1,494,588	1,990,657	2/15/2029	0.00%	
U S TREASURY BD CPN STRIP	1,550,000	813,467	936,696	8/15/2029	0.00%	
U S TREASURY BD CPN STRIP	3,200,000	1,389,216	1,950,208	5/15/2029	0.00%	6
U S TREASURY BD CPN STRIP	3,570,000	1,676,900	2,138,858	11/15/2029	0.00%	
U S TREASURY BD CPN STRIP	1,525,000	690,947	898,210	5/15/2030	0.00%	
U S TREASURY BD CPN STRIP	4,675,000	1,917,521	2,775,688	2/15/2030	0.00%	
U S TREASURY BD CPN STRIP	2,300,000	924,036	1,344,833	8/15/2030	0.00%	
U S TREASURY BD CPN STRIP	1,050,000	417,701	603,456	2/15/2031	0.00%	
U S TREASURY BD CPN STRIP	400,000	143,572	196,536	5/15/2035	0.00%	
U S TREASURY BD CPN STRIP	2,100,000	646,611	996,492	5/15/2036	0.00%	
U S TREASURY BD CPN STRIP	6,200,000	2,406,220	3,496,800	8/15/2031	0.00%	
U S TREASURY BD CPN STRIP	2,850,000	1,078,298	1,577,988	2/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	147,680	217,444	8/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	144,252	213,696	2/15/2033	0.00%	
U S TREASURY BD CPN STRIP	2,050,000	749,218	1,075,287	8/15/2033	0.00%	
U S TREASURY BD CPN STRIP	350,000	125,680	180,117	2/15/2034	0.00%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
U S TREASURY BD CPN STRIP	2,400,000	878,214	1,189,584	2/15/2035	0.00%	
U S TREASURY BD CPN STRIP	1,450,000	614,702	839,797	11/15/2030	0.00%	
U S TREASURY BD CPN STRIP	3,535,000	1,445,491	2,011,026	5/15/2031	0.00%	
U S TREASURY BD CPN STRIP	700,000	303,774	391,503	11/15/2031	0.00%	
U S TREASURY BD CPN STRIP	4,450,000	2,219,110	2,399,529	11/15/2032	0.00%	
U S TREASURY BD CPN STRIP	1,900,000	885,976	1,005,860	5/15/2033	0.00%	
U S TREASURY BD CPN STRIP	400,000	146,925	207,892	11/15/2033	0.00%	
U S TREASURY BD CPN STRIP	570,000	195,403	290,597	5/15/2034	0.00%	
U S TREASURY BOND	2,270,000	3,014,129	3,505,379	8/15/2026	6.75%	
U S TREASURY BOND	6,960,000	8,938,040	10,559,642	11/15/2026	6.50%	1
U S TREASURY BOND	6,545,000	8,608,704	10,071,119	2/15/2027	6.63%	
U S TREASURY BOND	2,780,000	3,577,526	4,213,868	8/15/2027	6.38%	
U S TREASURY BOND	1,000,000	1,256,250	1,486,250	11/15/2027	6.13%	
U S TREASURY BOND	4,040,000	4,760,251	5,703,349	8/15/2028	5.50%	
U S TREASURY BOND	485,000	561,850	668,694	11/15/2028	5.25%	
U S TREASURY BOND	800,000	1,087,162	1,237,248	5/15/2030	6.25%	
U S TREASURY BOND	1,890,000	2,297,327	2,693,836	2/15/2031	5.38%	
U S TREASURY BOND	2,045,000	2,182,667	2,676,067	2/15/2036	4.50%	1
U S TREASURY BOND	265,000	273,032	349,220	5/15/2038	4.50%	
U S TREASURY BOND	11,875,000	11,702,907	15,107,256	5/15/2039	4.25%	
U S TREASURY BOND	4,870,000	4,772,728	5,837,913	8/15/2040	3.88%	8
UBS AG/STAMFORD CT	100,000	108,673	103,607	4/25/2018	5.75%	
UBS AG/STAMFORD CT	250,000	252,110	250,308	2/23/2012	1.60%	
UNION CARBIDE CORP	105,000	121,670	123,924	6/1/2025	7.50%	
UNION CARBIDE CORP	355,000	346,960	400,614	10/1/2096	7.75%	
UNION PACIFIC CORP	525,000	595,497	689,372	2/1/2029	6.63%	
UNION PACIFIC CORP	520,000	509,761	566,514	9/15/2041	4.75%	
UNION PACIFIC RESOURCES GROUP	376,000	389,634	435,058	5/15/2028	7.15%	
UNITED MARITIME GROUP LLC/UNIT	75,000	75,188	76,313	6/15/2015	11.75%	
UNITED PARCEL SERVICE INC	316,000	331,911	329,013	1/15/2013	4.50%	
UNITED PARCEL SERVICE INC	115,000	134,951	154,685	1/15/2038	6.20%	
UNITED PARCEL SERVICE OF AMERI	75,000	99,387	106,100	4/1/2020	8.35%	
UNITED PARCEL SERVICE OF AMERI	90,000	115,461	131,826	4/1/2030	8.38%	
UNITED REFINING CO	470,000	452,027	439,450	2/28/2018	10.50%	
UNITED RENTALS NORTH AMERICA I	430,000	476,875	450,425	12/15/2019	9.25%	
UNITED TECHNOLOGIES CORP	120,000	154,186	168,828	9/15/2029	7.50%	
UNITED TECHNOLOGIES CORP	425,000	489,375	531,798	7/15/2038	6.13%	
UNITED TECHNOLOGIES CORP	595,000	643,284	734,569	4/15/2040	5.70%	
UNITEDHEALTH GROUP INC	430,000	427,738	528,664	2/15/2041	5.95%	
UNITEDHEALTH GROUP INC	117,000	115,540	123,020	11/15/2041	4.63%	36
UNITYMEDIA HESSEN GMBH & CO KG	100,000	106,000	105,625	12/1/2017	8.13%	
UNIVERSAL HOSPITAL SERVICES IN	310,000	318,525	313,100	6/1/2015	8.50%	

ROCKWELL COLLINS PENSION PLAN

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FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
UNIVISION COMMUNICATIONS INC	75,000	78,750	76,125	11/1/2020	7.88%	
UPC HOLDING BV	100,000	109,500	106,625	4/15/2018	9.88%	
UPCB FINANCE LTD	225,000	225,000	227,813	11/15/2021	7.25%	
US TREAS-CPI INFLATION INDEX	517,000	668,417	698,312	2/15/2041	2.13%	
USG CORP	235,000	232,338	198,575	1/15/2018	9.75%	
USG CORP	300,000	316,500	301,500	8/1/2014	9.75%	
USG CORP	30,000	26,250	27,600	10/15/2018	8.38%	
VALEANT PHARMACEUTICALS INTERN	335,000	318,363	334,163	12/1/2018	6.88%	
VALERO ENERGY CORP	75,000	99,558	110,641	3/15/2039	10.50%	
VANGUARD HEALTH HOLDING CO II	120,000	120,000	115,200	2/1/2019	7.75%	
VENTAS REALTY LP / VENTAS CAPI	235,000	232,960	226,810	6/1/2021	4.75%	
VEOLIA ENVIRONNEMENT SA	125,000	145,010	144,998	6/1/2038	6.75%	
VERIZON COMMUNICATIONS INC	100,000	110,618	126,940	2/15/2038	6.40%	
VERIZON COMMUNICATIONS INC	160,000	186,616	213,854	4/15/2038	6.90%	
VERIZON COMMUNICATIONS INC	390,000	478,008	545,224	4/1/2039	7.35%	
VERIZON COMMUNICATIONS INC	703,000	727,155	839,916	9/15/2035	5.85%	
VERIZON GLOBAL FUNDING CORP	775,000	961,434	1,079,358	12/1/2030	7.75%	
VERIZON NEW JERSEY INC	700,000	753,179	848,169	11/15/2029	7.85%	
VERIZON PENNSYLVANIA INC	120,000	139,397	149,858	12/15/2030	8.35%	
VERSAILLES CDS DISC	4,000,000	3,999,511	3,999,511	1/3/2012	0.00%	
VERTELLUS SPECIALTIES INC	140,000	148,400	107,100	10/1/2015	9.38%	
VIACOM INC	365,000	418,783	456,819	4/30/2036	6.88%	
VIASAT INC	180,000	191,700	184,500	9/15/2016	8.88%	
VIASYSTEMS INC	70,000	78,225	74,988	1/15/2015	12.00%	
VIRGINIA ELECTRIC AND POWER CO	475,000	732,456	784,805	11/15/2038	8.88%	
VISTEON CORP/NEW	270,000	270,000	269,325	4/15/2019	6.75%	
VODAFONE GROUP PLC	250,000	264,115	311,100	2/27/2037	6.15%	
VOLKSWAGEN AUTO LEASE TRU A A2	141,467	141,513	141,520	11/22/2013	0.69%	
VOLKSWAGEN AUTO LEASE TRU A A2	290,000	289,997	290,186	2/20/2014	1.00%	
VULCAN MATERIALS CO	65,000	66,300	70,200	6/15/2021	7.50%	402
WACHOVIA BANK NA	780,000	859,445	884,551	1/15/2038	6.60%	
WACHOVIA CORP	335,000	382,671	397,029	8/1/2026	7.57%	
WAL-MART STORES INC	520,000	670,571	759,335	2/15/2030	7.55%	
WAL-MART STORES INC	100,000	100,635	119,619	9/1/2035	5.25%	
WAL-MART STORES INC	485,000	569,802	670,023	8/15/2037	6.50%	
WAL-MART STORES INC	250,000	284,605	335,733	4/15/2038	6.20%	
WAL-MART STORES INC	900,000	940,941	1,139,994	4/1/2040	5.63%	6
WAL-MART STORES INC	37,000	35,937	43,547	10/25/2040	5.00%	
WAL-MART STORES INC	800,000	784,672	1,033,920	4/15/2041	5.63%	
WAMU MORTGAGE PASS THROU S8 A6	558,806	580,549	568,887	1/25/2018	4.50%	
WAMU MORTGAGE PASS THROU S9 A8	1,155,395	1,153,202	1,188,566	10/25/2033	5.25%	
WASTE MANAGEMENT INC	900,000	1,114,689	1,255,122	5/15/2032	7.75%	

ROCKWELL COLLINS PENSION PLAN

EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
WASTE MANAGEMENT INC	50,000	60,505	62,963	3/11/2019	7.38%	
WEA FINANCE LLC / WT FINANCE A	165,000	183,813	184,033	9/2/2019	6.75%	
WEATHERFORD INTERNATIONAL LTD/	125,000	174,125	169,086	3/1/2039	9.88%	
WELLPOINT INC	110,000	120,074	138,829	6/15/2037	6.38%	
WELLPOINT INC	11,000	12,949	13,272	2/15/2019	7.00%	
WELLS FARGO & CO	665,000	663,623	729,292	4/1/2021	4.60%	
WELLS FARGO & CO	100,000	101,678	107,473	2/7/2035	5.38%	
WEST CORP	115,000	121,900	116,150	10/1/2018	8.63%	
WEST CORP	20,000	19,775	19,850	1/15/2019	7.88%	
WESTERN REFINING INC	215,000	232,200	244,563	6/15/2017	11.25%	
WESTPAC BANKING CORP	330,000	346,767	351,094	11/19/2019	4.88%	
WHITE MTNS RE GROUP PFD 144A	220,000	191,145	200,429	12/31/2049	7.51%	
WILLIAMS PARTNERS LP	155,000	161,338	188,992	4/15/2040	6.30%	
WILLIS GROUP HOLDINGS PLC	250,000	247,738	265,125	3/15/2021	5.75%	
WIND ACQUISITION FINANCE SA	1,225,000	1,226,688	1,114,750	2/15/2018	7.25%	
WIND ACQUISITION HOLDINGS FINA	556,627	645,188	407,729	7/15/2017	12.25%	
WINDSTREAM CORP	310,000	311,550	320,463	10/15/2020	7.75%	
WINDSTREAM CORP	400,000	390,000	395,000	4/1/2023	7.50%	
WINDSTREAM CORP 144A	170,000	170,000	169,575	6/1/2022	7.50%	
WISCONSIN POWER & LIGHT CO	75,000	86,414	102,427	8/15/2037	6.38%	
WORLD OMNI AUTOMOBILE LE A A2	222,384	222,367	222,349	10/15/2013	0.81%	
WPX ENERGY INC 144A	295,000	295,000	302,006	1/15/2022	6.00%	
WYETH	60,000	65,502	76,858	4/1/2037	5.95%	
WYNN LAS VEGAS LLC / WYNN LAS	100,000	108,250	111,000	8/15/2020	7.75%	
XCEL ENERGY INC	38,000	39,121	42,827	5/15/2020	4.70%	997
XCEL ENERGY INC	386,000	385,085	420,636	9/15/2041	4.80%	
XEROX CORP	100,000	114,062	122,465	12/15/2039	6.75%	
XEROX CORP	268,000	265,979	271,607	5/15/2021	4.50%	
XM SATELLITE RADIO INC	135,000	139,388	141,750	11/1/2018	7.63%	
XTO ENERGY INC	130,000	163,469	200,593	8/1/2037	6.75%	
ZAYO GROUP LLC/ZAYO CAPITAL IN	65,000	69,144	69,347	3/15/2017	10.25%	
TOTAL U.S. GOVERNMENT SECURITIES		<u>465,831,790</u>	<u>509,448,334</u>			
AND CORPORATE DEBT						
COMMON/COLLECTIVE TRUSTS						
SSGA 20+YEAR US HIGH QUALITY BOND	16,509,667	165,403,288	187,004,994			
SSGA 5-20YEAR US HIGH QUALITY	3,176,236	31,838,770	32,918,509			
JPMCB EXTENDED DURATION FUND	1,538,917	20,037,295	27,192,661			
SSGA LONG US TREASURY INDEX NL	2,783,640	41,323,853	54,935,139			
UBS EMERGING MARKETS DEBT	597,342	61,033,859	68,525,445			
TOTAL COMMON/COLLECTIVE TRUSTS		<u>319,637,065</u>	<u>370,576,748</u>			

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest		Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OTHER INVESTMENTS							
AMERICAN MUN PWR-OHIO INC		240,000	248,807	313,097	2/15/2050	7.50%	
BNP PARIBAS CAT 1 REPO		1,868,351	1,868,351	1,868,351	1/3/2012	0.02%	
BNP PARIBAS FIN REPO		1,013,751	1,013,751	1,013,751	1/3/2012	0.09%	
GREENWH CAT 2 REPO		224,557	224,557	224,557	1/3/2012	0.14%	
ILLINOIS ST		1,570,000	1,174,627	1,424,995	6/1/2033	5.10%	
LOS ANGELES CALIF DEPT ARPTS A		65,000	63,011	78,390	5/15/2039	6.58%	
MEXICO GOVERNMENT INTERNATIONALA		275,000	337,563	387,750	4/8/2033	7.50%	
MEXICO GOVERNMENT INTERNATIONALA		820,000	785,211	873,300	10/12/2049	5.75%	
NEW YORK ST DORM AUTH ST PERS		75,000	70,145	84,115	3/15/2033	5.29%	
NEW YORK ST DORM AUTH ST PERS		160,000	149,573	186,558	3/15/2040	5.39%	
OHIO ST UNIV		618,000	621,775	639,809	51288	4.80%	
PORT AUTH N Y & N J		95,000	99,483	115,129	12/1/2029	6.04%	
PORT AUTH N Y & N J		355,000	343,577	402,921	11/1/2040	5.65%	
US 10YR TREAS NTS FUTURE (CBT)	(10)	-	-	(15,914)	3/1/2012		
US TREAS BD FUTURE (CBT)	24	-	-	53,531	3/1/2012		
US ULTRA BOND (CBT)	19	-	-	35,446	3/1/2012		
TOTAL OTHER INVESTMENTS			<u>7,000,431</u>	<u>7,685,786</u>			
INTEREST BEARING CASH							
AUSTRALIA			248,507	248,244			
BANK NOVA SCOTIA TD			843,617	843,617	1/3/2012	0.01%	
BANK OF NOVA SCOTIA/HOUSTON			470,418	470,766	10/18/2012	0.86%	
BNY MELLON CASH RESERVE			27,868	27,868	12/31/2049	0.01%	
BRAZIL			26,827	23,716			
BULGARIA			10	10			
CANADA			61,836	61,440			
CANADIAN IMPERIAL BANK OF COMM			313,000	312,994	11/5/2012	0.64%	
COMMONWLTH BK AUS TD			843,617	843,617	1/3/2012	0.03%	
DANSKE BANK TD			2,910,261	2,910,261	1/3/2012	0.11%	
DENMARK			15,710	15,339			
DEUTSCHE BK TD			1,218,067	1,218,067	1/3/2012	0.06%	
DZ BK INTL SA TD			843,617	843,617	1/3/2012	0.06%	
GREAT BRITAIN			369,104	365,315			
HONG KONG			47,165	47,230			
JAPAN			144,932	147,969			
NORDEA BANK FINLAND PLC/NEW YO			320,000	318,125	2/7/2013	0.92%	
NORDEA NORTH AMER TD			843,617	843,617	1/3/2012	0.02%	

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2011 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
ROYAL BANK OF CANADA/NEW YORK		349,860	349,962	1/28/2013	0.70%	
SKANDINAVISKA ENS TD		843,617	843,617	1/3/2012	0.01%	
SOUTH AFRICA		12,124	10,280			
SOUTH KOREA		9,859	9,291			
SWITZERLAND		181	167			
TAIWAN		2,285,010	2,226,740			
UEURO CURRENCY GEOGRAPHIC		223,335	217,102			
UKRAINE		150	150			
WELLS FARGO & CO TD		649,029	649,029	1/3/2012	0.01%	
WESTPAC BANKING CORP/NY		<u>324,737</u>	<u>324,563</u>	8/24/2012	0.73%	
TOTAL INTEREST BEARING CASH		<u>13,997,568</u>	<u>14,172,713</u>			
 TOTAL ASSETS HELD FOR INVESTMENT PURPOSES		 <u>\$ 2,174,006,418</u>	 <u>\$ 2,220,478,774</u>			

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ABBEEY NATL TREASURY SERV	ABBEEY NATL TREASURY SERV 4.000% 04/27/2016 DD 04/27/11	(649,740)	654,617
ACADEMY LTD/ACADEMY FINA 144A	ACADEMY LTD/ACADEMY FINA 144A 9.250% 08/01/2019 DD 08/03/11	(170,000)	169,575
AE ESCROW CORP	AE ESCROW CORP 9.750% 03/15/2020 DD 10/03/11	(75,000)	75,013
AEP INDUSTRIES INC	AEP INDUSTRIES INC 8.250% 04/15/2019 DD 04/18/11	(80,000)	82,000
AGCO CORP	AGCO CORP 5.875% 12/01/2021 DD 12/05/11	(190,000)	189,525
ALLEGHENY TECHNOLOGIES	ALLEGHENY TECHNOLOGIES 5.950% 01/15/2021 DD 01/07/11	(594,322)	604,580
ALLIANZ SE (SOCIETAS EUROPEAE)	ALLIANZ SE (SOCIETAS EUROPEAE)	(1,143,613)	1,066,617
ALLISON TRANSMISSION INC 144A	ALLISON TRANSMISSION INC 144A 7.125% 05/15/2019 DD 05/06/11	(420,000)	423,069
ALPHA NATURAL RESOURCES	ALPHA NATURAL RESOURCES 6.250% 06/01/2021 DD 06/01/11	(120,000)	120,925
ALTRIA GROUP INC	ALTRIA GROUP INC 9.950% 11/10/2038 DD 11/10/08	(1,063,830)	1,087,516
AMEC ORD 50P	AMEC ORD 50P	(401,439)	378,138
AMERICAN HONDA FN DISC	AMERICAN HONDA FN DISC 10/18/2011	(249,898)	249,875
AMERICAN RENAL HOLDINGS INC	AMERICAN RENAL HOLDINGS INC 8.375% 05/15/2018 DD 11/15/10	(74,460)	77,831
AMERICAN ROCK SALT CO	AMERICAN ROCK SALT CO 8.250% 05/01/2018 DD 04/25/11	(190,000)	192,850
AMSTERDAM FDG DISC	AMSTERDAM FDG DISC 5/23/2011	(998,975)	999,893
ANHEUSER-BUSCH INBEV WOR	ANHEUSER-BUSCH INBEV WOR 4.375% 02/15/2021 DD 01/27/11	(143,960)	148,001

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ANHEUSER-BUSCH INBEV WORLDWIDE	ANHEUSER-BUSCH INBEV WORLDWIDE 7.750% 01/15/2019 DD 01/15/11	(191,023)	232,114
APPLIED MATERIALS INC	APPLIED MATERIALS INC 4.300% 06/15/2021 DD 06/08/11	(224,525)	234,383
ARAMARK HOLDINGS CORP 144A	ARAMARK HOLDINGS CORP 144A 8.625% 05/01/2016 DD 04/18/11	(415,800)	424,094
ARCHER DANIELS DISC	ARCHER DANIELS DISC 5/5/2011	(1,718,742)	1,719,666
ARD FINANCE SA	ARD FINANCE SA 11.125% 06/01/2018 DD 05/18/11	(200,000)	207,000
ASIAN BAMBOO AG	ASIAN BAMBOO AG	(139,562)	48,184
ATLANTIC ASSET DISC	ATLANTIC ASSET DISC 4/11/2011	(2,997,802)	2,998,250
ATLANTIC POWER CORP	ATLANTIC POWER CORP 9.000% 11/15/2018 DD 11/04/11	(68,230)	69,825
AVIV HEALTHCARE PROPERTI 144A	AVIV HEALTHCARE PROPERTI 144A 7.750% 02/15/2019 DD 02/04/11	(26,000)	26,780
AZIMUT HLDG SPA ORD EUR0.21	AZIMUT HLDG SPA ORD EUR0.22	(236,131)	176,411
B-CORP MERGER SUB INC	B-CORP MERGER SUB INC 8.250% 06/01/2016 DD 06/01/11	(375,000)	378,944
BANCO SANTANDER SA	BANCO SANTANDER SA RTS EXP 26-OCT-2011	(27,609)	27,351
BANCO SANTANDER SA RTS	BANCO SANTANDER SA RTS	(31,273)	33,408
BARCLAYS BANK PLC	BARCLAYS BANK PLC VAR RT 09/29/2049 DD 09/28/06	(206,400)	170,925
BNP PARIBAS	BNP PARIBAS 5.000% 01/15/2021 DD 01/18/11	(327,469)	331,336
BNP PARIBAS	BNP PARIBAS VAR RT 01/10/2014 DD 01/10/11	(245,543)	245,328

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/12/2011 DD 07/11/11	(2,450,500)	2,450,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/14/2011 DD 07/13/11	(2,388,500)	2,388,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.000% 07/21/2011 DD 07/20/11	(2,125,861)	2,125,861
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 06/24/2011 DD 06/23/11	(2,347,200)	2,347,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/08/2011 DD 07/07/11	(814,000)	814,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/11/2011 DD 07/08/11	(1,529,876)	1,529,876
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/15/2011 DD 07/14/11	(1,971,821)	1,971,821
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/19/2011 DD 07/18/11	(2,369,000)	2,369,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/20/2011 DD 07/19/11	(2,426,400)	2,426,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 07/25/2011 DD 07/22/11	(2,315,800)	2,315,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 08/08/2011 DD 08/05/11	(1,434,300)	1,434,300

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.005% 08/25/2011 DD 08/24/11	(1,173,359)	1,173,359
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 04/29/2011 DD 04/28/11	(933,464)	933,464
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 06/29/2011 DD 06/28/11	(12,804,068)	12,804,068
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/18/2011 DD 07/15/11	(2,323,001)	2,323,001
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/22/2011 DD 07/21/11	(2,348,700)	2,348,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/26/2011 DD 07/25/11	(2,326,500)	2,326,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 07/27/2011 DD 07/26/11	(2,389,583)	2,389,583
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 08/11/2011 DD 08/10/11	(157,100)	157,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 09/30/2011 DD 09/29/11	(12,739,894)	12,739,894
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.010% 12/23/2011 DD 12/22/11	(2,691,582)	2,691,582
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 05/10/2011 DD 05/09/11	(2,004,100)	2,004,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/10/2011 DD 08/09/11	(2,026,700)	2,026,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/26/2011 DD 08/25/11	(1,522,400)	1,522,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 08/31/2011 DD 08/30/11	(2,340,392)	2,340,392
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/22/2011 DD 12/21/11	(2,691,582)	2,691,582

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/29/2011 DD 12/28/11	(3,142,121)	3,142,121
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.020% 12/30/2011 DD 12/29/11	(1,625,483)	1,625,483
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 04/28/2011 DD 04/27/11	(1,905,129)	1,905,129
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 06/23/2011 DD 06/22/11	(2,195,300)	2,195,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 07/05/2011 DD 07/01/11	(598,365)	598,365
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 08/18/2011 DD 08/17/11	(150,000)	150,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 08/29/2011 DD 08/26/11	(2,151,600)	2,151,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 04/27/2011 DD 04/26/11	(1,000,000)	1,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 06/09/2011 DD 06/08/11	(2,205,500)	2,205,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 06/10/2011 DD 06/09/11	(2,239,000)	2,239,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/13/2011 DD 10/12/11	(1,854,403)	1,854,403
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/25/2011 DD 10/24/11	(1,952,574)	1,952,574
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/27/2011 DD 10/26/11	(2,418,976)	2,418,976
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/28/2011 DD 10/27/11	(2,804,469)	2,804,469
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 10/31/2011 DD 10/28/11	(1,931,909)	1,931,909

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/08/2011 DD 12/07/11	(1,861,220)	1,861,220
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/09/2011 DD 12/08/11	(1,923,981)	1,923,981
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/12/2011 DD 12/09/11	(1,902,021)	1,902,021
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 12/27/2011 DD 12/23/11	(3,118,711)	3,118,711
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 04/07/2011 DD 04/06/11	(1,130,000)	1,130,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/08/2011 DD 06/07/11	(2,234,200)	2,234,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/13/2011 DD 06/10/11	(2,256,100)	2,256,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/15/2011 DD 06/14/11	(2,226,100)	2,226,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/21/2011 DD 06/20/11	(2,219,400)	2,219,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 06/22/2011 DD 06/21/11	(2,217,000)	2,217,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 08/05/2011 DD 08/04/11	(1,408,800)	1,408,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 10/14/2011 DD 10/13/11	(386,829)	386,829
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 10/20/2011 DD 10/19/11	(2,122,099)	2,122,099

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 12/15/2011 DD 12/14/11	(9,619,296)	9,619,296
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 12/28/2011 DD 12/27/11	(3,347,733)	3,347,733
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 04/15/2011 DD 04/14/11	(2,314,500)	2,314,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 05/26/2011 DD 05/25/11	(1,618,000)	1,618,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 07/28/2011 DD 07/27/11	(2,386,200)	2,386,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 10/26/2011 DD 10/25/11	(2,284,542)	2,284,542
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/06/2011 DD 12/05/11	(1,855,742)	1,855,742
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/07/2011 DD 12/06/11	(168,885)	168,885
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 12/20/2011 DD 12/19/11	(4,363,605)	4,363,605
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 04/08/2011 DD 04/07/11	(948,000)	948,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 05/25/2011 DD 05/24/11	(950,541)	950,541
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 06/17/2011 DD 06/16/11	(2,178,500)	2,178,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 07/29/2011 DD 07/28/11	(2,413,800)	2,413,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 09/07/2011 DD 09/06/11	(928,800)	928,800

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 09/26/2011 DD 09/23/11	(832,000)	832,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/14/2011 DD 11/10/11	(1,779,733)	1,779,733
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/22/2011 DD 11/21/11	(57,979)	57,979
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 11/30/2011 DD 11/29/11	(2,687,931)	2,687,931
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/05/2011 DD 12/02/11	(1,861,114)	1,861,114
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/16/2011 DD 12/15/11	(2,142,378)	2,142,378
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 12/21/2011 DD 12/20/11	(1,587,752)	1,587,752
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 03/30/2011 DD 03/29/11	(2,713,257)	2,713,257
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 03/31/2011 DD 03/30/11	(2,891,200)	2,891,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 06/07/2011 DD 06/06/11	(2,302,600)	2,302,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 09/19/2011 DD 09/16/11	(1,721,900)	1,721,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 10/17/2011 DD 10/14/11	(1,601,550)	1,601,550
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/02/2011 DD 11/01/11	(1,998,800)	1,998,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/21/2011 DD 11/18/11	(15,992)	15,992
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 05/17/2011 DD 05/16/11	(2,062,800)	2,062,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 06/03/2011 DD 06/02/11	(2,370,800)	2,370,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 06/06/2011 DD 06/03/11	(2,337,400)	2,337,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 12/19/2011 DD 12/16/11	(1,971,071)	1,971,071
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 02/25/2011 DD 02/24/11	(2,596,300)	2,596,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/11/2011 DD 03/10/11	(1,516,000)	1,516,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/14/2011 DD 03/11/11	(2,522,100)	2,522,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/25/2011 DD 03/24/11	(2,748,700)	2,748,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/29/2011 DD 03/28/11	(3,049,500)	3,049,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 06/16/2011 DD 06/15/11	(2,173,400)	2,173,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 06/20/2011 DD 06/17/11	(2,518,704)	2,518,704
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 09/16/2011 DD 09/15/11	(529,200)	529,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 10/19/2011 DD 10/18/11	(2,186,087)	2,186,087
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 02/10/2011 DD 02/09/11	(268,000)	268,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 02/24/2011 DD 02/23/11	(2,740,900)	2,740,900

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/03/2011 DD 03/02/11	(2,819,000)	2,819,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/09/2011 DD 03/08/11	(2,589,200)	2,589,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 03/10/2011 DD 03/09/11	(2,549,900)	2,549,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 04/04/2011 DD 04/01/11	(171,000)	171,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 06/01/2011 DD 05/31/11	(2,524,500)	2,524,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 06/02/2011 DD 06/01/11	(2,374,200)	2,374,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/09/2011 DD 02/08/11	(1,905,000)	1,905,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/15/2011 DD 02/14/11	(2,461,000)	2,461,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/17/2011 DD 02/16/11	(2,986,800)	2,986,800
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 02/23/2011 DD 02/22/11	(2,334,400)	2,334,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/07/2011 DD 03/04/11	(2,630,200)	2,630,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/08/2011 DD 03/07/11	(2,630,600)	2,630,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/15/2011 DD 03/14/11	(2,698,100)	2,698,100
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/17/2011 DD 03/16/11	(2,656,500)	2,656,500
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/24/2011 DD 03/23/11	(2,711,100)	2,711,100

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/07/2011 DD 02/04/11	(749,000)	749,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/08/2011 DD 02/07/11	(1,410,000)	1,410,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/22/2011 DD 02/18/11	(2,724,400)	2,724,400
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/04/2011 DD 03/03/11	(2,747,700)	2,747,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 11/16/2011 DD 11/15/11	(1,842,556)	1,842,556
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/10/2011 DD 01/07/11	(19,207,379)	19,207,379
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/14/2011 DD 01/13/11	(2,922,880)	2,922,880
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/25/2011 DD 01/24/11	(2,731,000)	2,731,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/26/2011 DD 01/25/11	(3,231,600)	3,231,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 01/27/2011 DD 01/26/11	(1,987,731)	1,987,731
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 02/04/2011 DD 02/03/11	(253,000)	253,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/16/2011 DD 03/15/11	(2,652,200)	2,652,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/18/2011 DD 03/17/11	(369,045)	369,045
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/23/2011 DD 03/22/11	(2,277,559)	2,277,559

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/18/2011 DD 01/14/11	(3,268,676)	3,268,676
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/31/2011 DD 01/28/11	(1,786,000)	1,786,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 03/02/2011 DD 03/01/11	(2,763,000)	2,763,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 04/18/2011 DD 04/15/11	(2,790,700)	2,790,700
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 03/21/2011 DD 03/18/11	(8,845,893)	8,845,893
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 02/16/2011 DD 02/15/11	(2,854,900)	2,854,900
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 01/20/2011 DD 01/19/11	(4,198,694)	4,198,694
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 01/21/2011 DD 01/20/11	(3,314,600)	3,314,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 02/02/2011 DD 02/01/11	(227,000)	227,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 01/19/2011 DD 01/18/11	(3,929,518)	3,929,518
BNP PARIBAS FIN DISC	BNP PARIBAS FIN DISC 8/29/2011	(998,932)	999,610
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.110% 10/25/2011 DD 10/24/11	(326,682)	326,682
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/27/2011 DD 10/26/11	(354,082)	354,082
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/28/2011 DD 10/27/11	(356,818)	356,818

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 10/31/2011 DD 10/28/11	(529,130)	529,130
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/09/2011 DD 11/08/11	(4,388,455)	4,388,455
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/14/2011 DD 11/10/11	(260,532)	260,532
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/15/2011 DD 11/14/11	(190,943)	190,943
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 11/28/2011 DD 11/25/11	(76,991)	76,991
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/05/2011 DD 12/02/11	(260,363)	260,363
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/12/2011 DD 12/09/11	(150,133)	150,133
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/13/2011 DD 12/12/11	(138,291)	138,291
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/14/2011 DD 12/13/11	(182,389)	182,389
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/15/2011 DD 12/14/11	(176,089)	176,089
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/16/2011 DD 12/15/11	(1,909,395)	1,909,395
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/19/2011 DD 12/16/11	(2,062,787)	2,062,787
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/20/2011 DD 12/19/11	(2,220,300)	2,220,300
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/22/2011 DD 12/21/11	(1,172,333)	1,172,333
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/23/2011 DD 12/22/11	(1,172,333)	1,172,333

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/27/2011 DD 12/23/11	(630,773)	630,773
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/28/2011 DD 12/27/11	(545,756)	545,756
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/29/2011 DD 12/28/11	(711,914)	711,914
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.120% 12/30/2011 DD 12/29/11	(961,123)	961,123
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/03/2011 DD 09/30/11	(858,539)	858,539
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/04/2011 DD 10/03/11	(231,905)	231,905
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/05/2011 DD 10/04/11	(159,046)	159,046
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/06/2011 DD 10/05/11	(106,472)	106,472
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/07/2011 DD 10/06/11	(117,485)	117,485
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/11/2011 DD 10/07/11	(138,184)	138,184
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/12/2011 DD 10/11/11	(111,164)	111,164
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/13/2011 DD 10/12/11	(114,572)	114,572
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/14/2011 DD 10/13/11	(259,861)	259,861
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/18/2011 DD 10/17/11	(8,321,132)	8,321,132
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/19/2011 DD 10/18/11	(1,728,920)	1,728,920

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/20/2011 DD 10/19/11	(1,281,951)	1,281,951
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/21/2011 DD 10/20/11	(1,113,418)	1,113,418
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/24/2011 DD 10/21/11	(161,576)	161,576
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 10/26/2011 DD 10/25/11	(212,521)	212,521
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/03/2011 DD 11/02/11	(150,425)	150,425
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/04/2011 DD 11/03/11	(123,368)	123,368
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/17/2011 DD 11/16/11	(109,717)	109,717
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/23/2011 DD 11/22/11	(2,463,000)	2,463,000
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/25/2011 DD 11/23/11	(90,520)	90,520
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/29/2011 DD 11/28/11	(85,944)	85,944
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 11/30/2011 DD 11/29/11	(73,439)	73,439
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/01/2011 DD 11/30/11	(910,345)	910,345
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/02/2011 DD 12/01/11	(355,539)	355,539
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/06/2011 DD 12/05/11	(292,741)	292,741
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/08/2011 DD 12/07/11	(197,341)	197,341

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/09/2011 DD 12/08/11	(134,636)	134,636
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.130% 12/21/2011 DD 12/20/11	(2,221,740)	2,221,740
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.140% 03/14/2011 DD 03/11/11	(1,040,209)	1,040,209
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.150% 05/18/2011 DD 05/17/11	(378,024)	378,024
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.150% 11/16/2011 DD 11/15/11	(157,644)	157,644
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/22/2011 DD 08/19/11	(108,559)	108,559
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/23/2011 DD 08/22/11	(111,695)	111,695
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/30/2011 DD 08/29/11	(160,963)	160,963
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 08/31/2011 DD 08/30/11	(174,808)	174,808
BNP PARIBAS FIN REPO	BNP PARIBAS FIN REPO 0.170% 09/01/2011 DD 08/31/11	(264,002)	264,002
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.000% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.005% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.010% 06/23/2011 DD 06/22/11	(2,080,895)	2,080,895

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 06/08/2011 DD 06/07/11	(507,903)	507,903
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 06/22/2011 DD 06/21/11	(2,177,016)	2,177,016
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.040% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 06/07/2011 DD 06/06/11	(2,148,391)	2,148,391
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 03/31/2011 DD 03/30/11	(2,857,028)	2,857,028
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 06/03/2011 DD 06/02/11	(2,370,800)	2,370,800
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 03/29/2011 DD 03/28/11	(421,906)	421,906
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 06/01/2011 DD 05/31/11	(1,256,874)	1,256,874
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 02/18/2011 DD 02/17/11	(1,004,154)	1,004,154
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 06/02/2011 DD 06/01/11	(1,377,779)	1,377,779
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/03/2011 DD 03/02/11	(2,819,000)	2,819,000
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/07/2011 DD 03/04/11	(149,634)	149,634

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 03/24/2011 DD 03/23/11	(371,066)	371,066
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.120% 02/17/2011 DD 02/16/11	(359,689)	359,689
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.130% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.140% 01/10/2011 DD 01/07/11	(20,442,139)	20,442,139
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.140% 04/18/2011 DD 04/15/11	(2,790,700)	2,790,700
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.150% 01/11/2011 DD 01/10/11	(3,081,745)	3,081,745
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(2,226,657)	2,226,657
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.160% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.190% 01/21/2011 DD 01/20/11	(3,314,600)	3,314,600
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.210% 01/19/2011 DD 01/18/11	(696,469)	696,469
BRENNTAG AG	BRENNTAG AG	(334,401)	344,896
BRYANT PARK FDG DISC	BRYANT PARK FDG DISC 7/26/2011	(3,499,633)	3,499,611
BURLINGTON COAT FACTORY	BURLINGTON COAT FACTORY 10.000% 02/15/2019 DD 02/24/11	(405,000)	416,863
BZW CAT 1 REPO	BZW CAT 1 REPO 0.070% 11/14/2011 DD 11/10/11	(810,837)	810,837
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/14/2011 DD 07/13/11	(126,062)	126,062
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/20/2011 DD 07/19/11	(677,941)	677,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.000% 07/21/2011 DD 07/20/11	(1,518,257)	1,518,257
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/24/2011 DD 06/23/11	(1,823,066)	1,823,066
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 07/19/2011 DD 07/18/11	(1,092,932)	1,092,932
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 08/08/2011 DD 08/05/11	(1,967,700)	1,967,700
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 08/25/2011 DD 08/24/11	(1,817,400)	1,817,400
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 12/22/2011 DD 12/21/11	(1,111,740)	1,111,740
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.010% 12/23/2011 DD 12/22/11	(1,111,740)	1,111,740
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 07/18/2011 DD 07/15/11	(564,244)	564,244
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 07/27/2011 DD 07/26/11	(2,281,309)	2,281,309
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/11/2011 DD 08/10/11	(1,900,900)	1,900,900
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/17/2011 DD 08/16/11	(1,574,000)	1,574,000

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/19/2011 DD 08/18/11	(1,388,000)	1,388,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/26/2011 DD 08/25/11	(1,726,633)	1,726,633
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.020% 08/31/2011 DD 08/30/11	(2,038,045)	2,038,045
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 06/10/2011 DD 06/09/11	(509,688)	509,688
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/18/2011 DD 08/17/11	(1,769,000)	1,769,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/23/2011 DD 08/22/11	(494,504)	494,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 08/29/2011 DD 08/26/11	(2,046,802)	2,046,802
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 06/09/2011 DD 06/08/11	(1,106,491)	1,106,491
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 08/22/2011 DD 08/19/11	(1,570,961)	1,570,961
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/13/2011 DD 10/12/11	(725,981)	725,981
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/25/2011 DD 10/24/11	(2,194,528)	2,194,528
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/27/2011 DD 10/26/11	(1,937,841)	1,937,841
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 10/31/2011 DD 10/28/11	(1,981,234)	1,981,234
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 11/09/2011 DD 11/08/11	(101,185)	101,185
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/08/2011 DD 12/07/11	(369,792)	369,792

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/09/2011 DD 12/08/11	(465,298)	465,298
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/12/2011 DD 12/09/11	(435,348)	435,348
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 12/27/2011 DD 12/23/11	(407,225)	407,225
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/08/2011 DD 06/07/11	(993,241)	993,241
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/13/2011 DD 06/10/11	(1,308,816)	1,308,816
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 08/05/2011 DD 08/04/11	(1,966,200)	1,966,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 08/30/2011 DD 08/29/11	(1,421,000)	1,421,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/05/2011 DD 10/04/11	(1,937,200)	1,937,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/11/2011 DD 10/07/11	(1,941,100)	1,941,100
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/12/2011 DD 10/11/11	(1,991,600)	1,991,600
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/20/2011 DD 10/19/11	(3,070,631)	3,070,631
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/28/2011 DD 10/27/11	(817,915)	817,915
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,595,704)	3,595,704

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 06/15/2011 DD 06/14/11	(1,227,753)	1,227,753
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 06/21/2011 DD 06/20/11	(1,765,413)	1,765,413
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(1,762,915)	1,762,915
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/20/2011 DD 12/19/11	(290,698)	290,698
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 12/21/2011 DD 12/20/11	(1,448,443)	1,448,443
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/07/2011 DD 06/06/11	(1,066,878)	1,066,878
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/17/2011 DD 06/16/11	(1,645,806)	1,645,806
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 08/04/2011 DD 08/03/11	(345,000)	345,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/26/2011 DD 10/25/11	(2,309,063)	2,309,063
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/30/2011 DD 11/29/11	(2,661,835)	2,661,835
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/02/2011 DD 12/01/11	(1,702,768)	1,702,768
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/05/2011 DD 12/02/11	(1,759,707)	1,759,707
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 09/02/2011 DD 09/01/11	(901,000)	901,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 09/07/2011 DD 09/06/11	(1,598,200)	1,598,200

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(1,050,586)	1,050,586
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 05/17/2011 DD 05/16/11	(116,199)	116,199
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/03/2011 DD 06/02/11	(1,178,980)	1,178,980
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/06/2011 DD 06/03/11	(1,085,361)	1,085,361
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 10/04/2011 DD 10/03/11	(517,000)	517,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 11/02/2011 DD 11/01/11	(850,576)	850,576
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(791,629)	791,629
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 06/02/2011 DD 06/01/11	(1,042,508)	1,042,508
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 06/20/2011 DD 06/17/11	(1,712,130)	1,712,130
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/09/2011 DD 08/08/11	(1,063,000)	1,063,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 02/25/2011 DD 02/24/11	(657,098)	657,098
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 03/24/2011 DD 03/23/11	(1,782,332)	1,782,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 06/01/2011 DD 05/31/11	(1,196,332)	1,196,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 06/16/2011 DD 06/15/11	(2,173,400)	2,173,400
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 08/16/2011 DD 08/15/11	(411,270)	411,270

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 09/16/2011 DD 09/15/11	(1,678,800)	1,678,800
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 10/19/2011 DD 10/18/11	(3,473,167)	3,473,167
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 02/17/2011 DD 02/16/11	(2,012,332)	2,012,332
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/03/2011 DD 03/02/11	(820,530)	820,530
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/09/2011 DD 03/08/11	(782,451)	782,451
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 02/22/2011 DD 02/18/11	(1,519,863)	1,519,863
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/08/2011 DD 03/07/11	(375,625)	375,625
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/15/2011 DD 03/14/11	(919,941)	919,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/17/2011 DD 03/16/11	(524,037)	524,037
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 02/28/2011 DD 02/25/11	(1,768,382)	1,768,382
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 11/16/2011 DD 11/15/11	(480,014)	480,014
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(1,653,899)	1,653,899
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/02/2011 DD 03/01/11	(2,763,000)	2,763,000
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/16/2011 DD 03/15/11	(219,019)	219,019
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/18/2011 DD 03/17/11	(461,980)	461,980

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 03/21/2011 DD 03/18/11	(9,002,490)	9,002,490
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 02/16/2011 DD 02/15/11	(2,510,318)	2,510,318
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 01/19/2011 DD 01/18/11	(1,255,459)	1,255,459
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 01/21/2011 DD 01/20/11	(1,792,423)	1,792,423
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 08/03/2011 DD 08/02/11	(1,147,000)	1,147,000
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/13/2011 DD 07/12/11	(593,336)	593,336
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/14/2011 DD 07/13/11	(158,932)	158,932
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/15/2011 DD 07/14/11	(283,179)	283,179
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 07/21/2011 DD 07/20/11	(729,921)	729,921
BZW CAT 2 REPO	BZW CAT 2 REPO 0.050% 08/08/2011 DD 08/05/11	(219,744)	219,744
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 04/13/2011 DD 04/12/11	(3,366,444)	3,366,444
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 05/05/2011 DD 05/04/11	(2,147,399)	2,147,399
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 05/06/2011 DD 05/05/11	(572,717)	572,717
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/07/2011 DD 07/06/11	(544,128)	544,128
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/11/2011 DD 07/08/11	(287,124)	287,124

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 07/12/2011 DD 07/11/11	(176,174)	176,174
BZW CAT 2 REPO	BZW CAT 2 REPO 0.060% 08/25/2011 DD 08/24/11	(350,207)	350,207
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/06/2011 DD 04/05/11	(860,469)	860,469
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/14/2011 DD 04/13/11	(4,601,584)	4,601,584
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 04/29/2011 DD 04/28/11	(1,101,962)	1,101,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/04/2011 DD 05/03/11	(3,062,349)	3,062,349
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/09/2011 DD 05/06/11	(3,884,635)	3,884,635
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/10/2011 DD 05/09/11	(580,962)	580,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 05/12/2011 DD 05/11/11	(660,804)	660,804
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/24/2011 DD 06/23/11	(5,704,260)	5,704,260
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/27/2011 DD 06/24/11	(18,243,579)	18,243,579
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 06/28/2011 DD 06/27/11	(2,361,178)	2,361,178
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/08/2011 DD 07/07/11	(89,850)	89,850
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/19/2011 DD 07/18/11	(1,161,605)	1,161,605
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/20/2011 DD 07/19/11	(749,263)	749,263

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/22/2011 DD 07/21/11	(517,226)	517,226
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/25/2011 DD 07/22/11	(648,155)	648,155
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 07/26/2011 DD 07/25/11	(353,706)	353,706
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 08/11/2011 DD 08/10/11	(2,398,828)	2,398,828
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 08/26/2011 DD 08/25/11	(283,265)	283,265
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 09/30/2011 DD 09/29/11	(5,286,073)	5,286,073
BZW CAT 2 REPO	BZW CAT 2 REPO 0.070% 12/23/2011 DD 12/22/11	(1,383,263)	1,383,263
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 04/05/2011 DD 04/04/11	(685,636)	685,636
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 04/12/2011 DD 04/11/11	(3,494,742)	3,494,742
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 08/10/2011 DD 08/09/11	(135,847)	135,847
BZW CAT 2 REPO	BZW CAT 2 REPO 0.080% 12/22/2011 DD 12/21/11	(1,425,676)	1,425,676
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 04/28/2011 DD 04/27/11	(1,890,482)	1,890,482
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 05/11/2011 DD 05/10/11	(752,670)	752,670
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 07/06/2011 DD 07/05/11	(657,371)	657,371
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 07/27/2011 DD 07/26/11	(308,434)	308,434

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/17/2011 DD 08/16/11	(15,894)	15,894
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/18/2011 DD 08/17/11	(199,066)	199,066
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/19/2011 DD 08/18/11	(883,874)	883,874
BZW CAT 2 REPO	BZW CAT 2 REPO 0.090% 08/29/2011 DD 08/26/11	(140,429)	140,429
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 04/27/2011 DD 04/26/11	(493,433)	493,433
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 05/13/2011 DD 05/12/11	(56,224)	56,224
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/09/2011 DD 06/08/11	(2,264,477)	2,264,477
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/10/2011 DD 06/09/11	(2,289,639)	2,289,639
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/13/2011 DD 06/10/11	(1,656,240)	1,656,240
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 06/23/2011 DD 06/22/11	(1,078,235)	1,078,235
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/23/2011 DD 08/22/11	(177,825)	177,825
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/30/2011 DD 08/29/11	(439,080)	439,080
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 08/31/2011 DD 08/30/11	(796,054)	796,054
BZW CAT 2 REPO	BZW CAT 2 REPO 0.100% 12/09/2011 DD 12/08/11	(610,420)	610,420
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 04/21/2011 DD 04/20/11	(2,829,064)	2,829,064

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 06/14/2011 DD 06/13/11	(66,793)	66,793
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 06/21/2011 DD 06/20/11	(921,983)	921,983
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 08/22/2011 DD 08/19/11	(171,939)	171,939
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 09/01/2011 DD 08/31/11	(1,050,813)	1,050,813
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 09/14/2011 DD 09/13/11	(501,145)	501,145
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 11/08/2011 DD 11/07/11	(390,947)	390,947
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 04/07/2011 DD 04/06/11	(1,224,956)	1,224,956
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 04/11/2011 DD 04/08/11	(2,131,071)	2,131,071
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 05/26/2011 DD 05/25/11	(146,873)	146,873
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 06/08/2011 DD 06/07/11	(1,546,825)	1,546,825
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 06/15/2011 DD 06/14/11	(1,365,427)	1,365,427
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 08/05/2011 DD 08/04/11	(58,434)	58,434
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/09/2011 DD 09/08/11	(364,150)	364,150
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/12/2011 DD 09/09/11	(40,871)	40,871
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 09/15/2011 DD 09/14/11	(239,184)	239,184

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/11/2011 DD 10/07/11	(107,413)	107,413
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/13/2011 DD 10/12/11	(2,701,466)	2,701,466
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/21/2011 DD 10/20/11	(288,033)	288,033
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/24/2011 DD 10/21/11	(2,884,004)	2,884,004
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/25/2011 DD 10/24/11	(342,548)	342,548
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/27/2011 DD 10/26/11	(821,173)	821,173
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 10/31/2011 DD 10/28/11	(546,347)	546,347
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 11/07/2011 DD 11/04/11	(390,947)	390,947
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 11/09/2011 DD 11/08/11	(263,078)	263,078
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/08/2011 DD 12/07/11	(250,521)	250,521
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/12/2011 DD 12/09/11	(229,763)	229,763
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 12/15/2011 DD 12/14/11	(4,142,652)	4,142,652
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 04/15/2011 DD 04/14/11	(4,093,893)	4,093,893
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/03/2011 DD 05/02/11	(3,911,222)	3,911,222
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/16/2011 DD 05/13/11	(285,081)	285,081

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/18/2011 DD 05/17/11	(876,025)	876,025
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/23/2011 DD 05/20/11	(752,306)	752,306
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 05/31/2011 DD 05/27/11	(111,868)	111,868
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 06/17/2011 DD 06/16/11	(826,666)	826,666
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 09/08/2011 DD 09/07/11	(283,761)	283,761
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 09/29/2011 DD 09/28/11	(131,189)	131,189
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/03/2011 DD 09/30/11	(464,995)	464,995
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/07/2011 DD 10/06/11	(144,654)	144,654
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 10/28/2011 DD 10/27/11	(911,302)	911,302
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 11/10/2011 DD 11/09/11	(59,550)	59,550
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 12/13/2011 DD 12/12/11	(212,459)	212,459
BZW CAT 2 REPO	BZW CAT 2 REPO 0.130% 12/14/2011 DD 12/13/11	(381,642)	381,642
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/08/2011 DD 04/07/11	(1,755,288)	1,755,288
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/19/2011 DD 04/18/11	(5,579,100)	5,579,100
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/20/2011 DD 04/19/11	(3,967,318)	3,967,318

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/25/2011 DD 04/21/11	(1,975,561)	1,975,561
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 04/26/2011 DD 04/25/11	(1,560,233)	1,560,233
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 05/19/2011 DD 05/18/11	(405,799)	405,799
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 05/24/2011 DD 05/23/11	(486,179)	486,179
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 06/07/2011 DD 06/06/11	(1,726,554)	1,726,554
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 08/04/2011 DD 08/03/11	(4,010,686)	4,010,686
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 09/07/2011 DD 09/06/11	(269,244)	269,244
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 09/20/2011 DD 09/19/11	(636,850)	636,850
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 10/06/2011 DD 10/05/11	(1,066,912)	1,066,912
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 10/20/2011 DD 10/19/11	(594,177)	594,177
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 12/20/2011 DD 12/19/11	(7,490,515)	7,490,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 12/21/2011 DD 12/20/11	(2,403,051)	2,403,051
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/28/2011 DD 03/25/11	(5,154,002)	5,154,002
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/29/2011 DD 03/28/11	(5,591,631)	5,591,631
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/30/2011 DD 03/29/11	(8,904,162)	8,904,162

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 03/31/2011 DD 03/30/11	(375,500)	375,500
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 05/17/2011 DD 05/16/11	(533,201)	533,201
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 05/20/2011 DD 05/19/11	(71,203)	71,203
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 06/06/2011 DD 06/03/11	(1,616,280)	1,616,280
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 07/28/2011 DD 07/27/11	(532,294)	532,294
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 08/15/2011 DD 08/12/11	(154,201)	154,201
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/21/2011 DD 09/20/11	(82,429)	82,429
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/22/2011 DD 09/21/11	(166,221)	166,221
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/26/2011 DD 09/23/11	(1,268,606)	1,268,606
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 09/28/2011 DD 09/27/11	(1,314,515)	1,314,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 11/14/2011 DD 11/10/11	(220,907)	220,907
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 11/22/2011 DD 11/21/11	(1,624,874)	1,624,874
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/05/2011 DD 12/02/11	(340,157)	340,157
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/06/2011 DD 12/05/11	(385,568)	385,568
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/07/2011 DD 12/06/11	(4,177,107)	4,177,107

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/16/2011 DD 12/15/11	(4,988,768)	4,988,768
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 12/19/2011 DD 12/16/11	(3,242,229)	3,242,229
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/14/2011 DD 03/11/11	(1,182,110)	1,182,110
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/25/2011 DD 03/24/11	(4,005,999)	4,005,999
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 03/25/2011 DD 03/24/11	(1,674,285)	1,674,285
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 06/03/2011 DD 06/02/11	(1,882,239)	1,882,239
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 06/20/2011 DD 06/17/11	(487,770)	487,770
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 07/29/2011 DD 07/28/11	(250,227)	250,227
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 08/09/2011 DD 08/08/11	(746,666)	746,666
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 08/16/2011 DD 08/15/11	(10,426)	10,426
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 09/23/2011 DD 09/22/11	(954,395)	954,395
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 10/26/2011 DD 10/25/11	(194,883)	194,883
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/02/2011 DD 11/01/11	(91,509)	91,509
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/03/2011 DD 11/02/11	(119,588)	119,588
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 11/15/2011 DD 11/14/11	(159,181)	159,181

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 12/02/2011 DD 12/01/11	(461,624)	461,624
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 03/10/2011 DD 03/09/11	(1,828,606)	1,828,606
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 03/11/2011 DD 03/10/11	(2,385,210)	2,385,210
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 06/02/2011 DD 06/01/11	(1,592,072)	1,592,072
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 11/21/2011 DD 11/18/11	(44,282)	44,282
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/10/2011 DD 02/09/11	(185,952)	185,952
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/18/2011 DD 02/17/11	(1,388,962)	1,388,962
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/25/2011 DD 02/24/11	(1,855,701)	1,855,701
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/03/2011 DD 03/02/11	(3,028,479)	3,028,479
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/07/2011 DD 03/04/11	(3,803,300)	3,803,300
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/09/2011 DD 03/08/11	(2,564,086)	2,564,086
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/24/2011 DD 03/23/11	(1,373,172)	1,373,172
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 04/04/2011 DD 04/01/11	(225,066)	225,066
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/17/2011 DD 11/16/11	(90,271)	90,271
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/25/2011 DD 11/23/11	(132,073)	132,073

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 11/30/2011 DD 11/29/11	(1,500,858)	1,500,858
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/09/2011 DD 02/08/11	(66,611)	66,611
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/14/2011 DD 02/11/11	(193,473)	193,473
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/17/2011 DD 02/16/11	(974,468)	974,468
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 02/24/2011 DD 02/23/11	(5,481,900)	5,481,900
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/08/2011 DD 03/07/11	(2,496,515)	2,496,515
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/15/2011 DD 03/14/11	(1,498,588)	1,498,588
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/17/2011 DD 03/16/11	(2,513,187)	2,513,187
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 06/16/2011 DD 06/15/11	(726,663)	726,663
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 10/19/2011 DD 10/18/11	(3,410,131)	3,410,131
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 11/28/2011 DD 11/25/11	(63,813)	63,813
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 11/29/2011 DD 11/28/11	(118,125)	118,125
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 01/13/2011 DD 01/12/11	(3,098,443)	3,098,443
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/07/2011 DD 02/04/11	(71,172)	71,172
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/22/2011 DD 02/18/11	(1,204,537)	1,204,537

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/23/2011 DD 02/22/11	(5,447,800)	5,447,800
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/28/2011 DD 02/25/11	(3,512,955)	3,512,955
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 03/04/2011 DD 03/03/11	(5,974,987)	5,974,987
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 04/18/2011 DD 04/15/11	(5,586,151)	5,586,151
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 10/18/2011 DD 10/17/11	(8,063,586)	8,063,586
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 01/12/2011 DD 01/11/11	(6,595,509)	6,595,509
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 01/14/2011 DD 01/13/11	(1,328,121)	1,328,121
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/16/2011 DD 03/15/11	(2,435,017)	2,435,017
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/23/2011 DD 03/22/11	(794,958)	794,958
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/06/2011 DD 01/05/11	(352,074)	352,074
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/07/2011 DD 01/06/11	(185,661)	185,661
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/10/2011 DD 01/07/11	(12,900,000)	12,900,000
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/11/2011 DD 01/10/11	(11,729,217)	11,729,217
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/25/2011 DD 01/24/11	(6,406,500)	6,406,500
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/26/2011 DD 01/25/11	(1,577,701)	1,577,701

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/27/2011 DD 01/26/11	(2,039,999)	2,039,999
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 01/31/2011 DD 01/28/11	(804,428)	804,428
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 03/02/2011 DD 03/01/11	(2,109,326)	2,109,326
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 11/16/2011 DD 11/15/11	(336,916)	336,916
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 01/18/2011 DD 01/14/11	(1,536,333)	1,536,333
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 01/24/2011 DD 01/21/11	(1,616,130)	1,616,130
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 01/28/2011 DD 01/27/11	(1,661,026)	1,661,026
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 02/16/2011 DD 02/15/11	(344,582)	344,582
BZW CAT 2 REPO	BZW CAT 2 REPO 0.260% 01/04/2011 DD 01/03/11	(1,333,953)	1,333,953
BZW CAT 2 REPO	BZW CAT 2 REPO 0.260% 01/20/2011 DD 01/19/11	(1,279,309)	1,279,309
BZW CAT 2 REPO	BZW CAT 2 REPO 0.270% 01/21/2011 DD 01/20/11	(1,522,177)	1,522,177
BZW CAT 2 REPO	BZW CAT 2 REPO 0.290% 01/19/2011 DD 01/18/11	(1,204,489)	1,204,489
BZW CAT 2 REPO	BZW CAT 2 REPO 0.350% 08/02/2011 DD 08/01/11	(777,866)	777,866
BZW CAT 3 REPO	BZW CAT 3 REPO 0.150% 01/07/2011 DD 01/06/11	(12,904,787)	12,904,787
BZW CAT 3 REPO	BZW CAT 3 REPO 0.150% 10/18/2011 DD 10/17/11	(12,119,425)	12,119,425

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 3 REPO	BZW CAT 3 REPO 0.180% 08/03/2011 DD 08/02/11	(692,255)	692,255
CALPINE CORP	CALPINE CORP 7.875% 01/15/2023 DD 01/14/11	(200,000)	202,250
CASELLA WASTE SYSTEMS IN 144A	CASELLA WASTE SYSTEMS IN 144A 7.750% 02/15/2019 DD 02/07/11	(150,000)	154,500
CBS CORP	CBS CORP 4.300% 02/15/2021 DD 10/08/10	(489,200)	486,246
CCO HLDGS LLC / CAP CORP 144A	CCO HLDGS LLC / CAP CORP 144A 7.000% 01/15/2019 DD 01/11/11	(9,950)	10,000
CDRT MERGER SUB INC 144A	CDRT MERGER SUB INC 144A 8.125% 06/01/2019 DD 05/25/11	(235,000)	238,444
CEMEX SAB DE CV	CEMEX SAB DE CV 9.000% 01/11/2018 DD 01/11/11	(154,014)	155,775
CENOVUS ENERGY INC	CENOVUS ENERGY INC	(1,276,097)	1,263,470
CENTAMIN EGYPT LTD ORD SHS	CENTAMIN EGYPT LTD ORD SHS	(629,149)	519,423
CENTERPOINT ENERGY INC	CENTERPOINT ENERGY INC 5.850% 01/15/2041 DD 01/11/11	(664,528)	669,974
CHAPARRAL ENERGY INC 144A	CHAPARRAL ENERGY INC 144A 8.250% 09/01/2021 DD 02/22/11	(410,000)	416,081
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 10/17/2011	(1,009,717)	1,009,717
CHARTA LLC DISC	CHARTA LLC DISC 7/7/2011	(1,978,639)	1,979,573
CHEMTURA CORP	CHEMTURA CORP 7.875% 09/01/2018 DD 03/01/11	(99,269)	103,750
CHINA SHANSHUI CEMENT GROUP	CHINA SHANSHUI CEMENT GROUP LTD USD0.01	(395,250)	275,882
CHINOS ACQUISITION CORP 144A	CHINOS ACQUISITION CORP 144A 8.125% 03/01/2019 DD 03/07/11	(125,000)	124,688

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CHRYSLER GP/CG CO-ISSUER	CHRYSLER GP/CG CO-ISSUER 8.000% 06/15/2019 DD 05/24/11	(200,000)	202,000
CHRYSLER GROUP LLC/CG CO-ISSUE	CHRYSLER GROUP LLC/CG CO-ISSUE 8.250% 06/15/2021 DD 05/24/11	(200,000)	172,500
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.010% 06/24/2011 DD 06/23/11	(2,995,950)	2,995,950
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.010% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
CLAIRE'S ESCROW CORP	CLAIRE'S ESCROW CORP 8.875% 03/15/2019 DD 03/04/11	(710,000)	711,881
CLARIANT CHF4.00(REGD)	CLARIANT CHF4.00(REGD)	(440,907)	394,255
CLEAN HARBORS INC	CLEAN HARBORS INC 7.625% 08/15/2016 DD 02/15/11	(52,250)	52,656
CLEAR CHANNEL COMMUNICATI 144A	CLEAR CHANNEL COMMUNICATI 144A 9.000% 03/01/2021 DD 02/23/11	(375,000)	382,344
CLEVO TWD9	CLEVO TWD10	(231,832)	225,094
CLINICAL DATA INC	CLINICAL DATA INC	(554,571)	663,340
CMA CGM SA	CMA CGM SA 8.500% 04/15/2017 DD 04/21/11	(293,281)	115,650
CNOOC FINANCE 2011 LTD 144A	CNOOC FINANCE 2011 LTD 144A 5.750% 01/26/2041 DD 01/26/11	(994,480)	1,015,959
COGENT COMMUNICATIONS GR 144A	COGENT COMMUNICATIONS GR 144A 8.375% 02/15/2018 DD 01/26/11	(36,000)	37,080
COLUMBUS MCKINNON CORP	COLUMBUS MCKINNON CORP 7.875% 02/01/2019 DD 01/25/11	(64,054)	66,394
COMMERCIAL VEHICLE GROUP	COMMERCIAL VEHICLE GROUP 7.875% 04/15/2019 DD 04/26/11	(65,000)	66,138
COMMERZBANK US DISC	COMMERZBANK US DISC 7/6/2011	(3,099,948)	3,099,931

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
COMMSCOPE INC 144A	COMMSCOPE INC 144A 8.250% 01/15/2019 DD 01/14/11	(300,000)	313,125
CONSOL ENERGY INC	CONSOL ENERGY INC 6.375% 03/01/2021 DD 03/09/11	(65,000)	65,163
CONSOL ENERGY INC	CONSOL ENERGY INC 8.250% 04/01/2020 DD 10/01/10	(145,000)	160,788
COOPERATIEVE CENTRALE RAIFFEIS	COOPERATIEVE CENTRALE RAIFFEIS 5.250% 05/24/2041 DD 05/24/11	(231,301)	254,912
CPI INTERNATIONAL ACQ 144A	CPI INTERNATIONAL ACQ 144A 8.000% 02/15/2018 DD 02/11/11	(100,000)	100,500
CRC FDG LLC DISC	CRC FDG LLC DISC 4/19/2011	(1,998,460)	1,998,830
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.020% 12/23/2011 DD 12/22/11	(140,007)	140,007
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.030% 12/22/2011 DD 12/21/11	(140,007)	140,007
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 12/21/2011 DD 12/20/11	(426,880)	426,880
CRH ORD EUR0.31	CRH ORD EUR0.32	(942,071)	917,993
CRICKET COMMUNICATIONS I	CRICKET COMMUNICATIONS I 7.750% 10/15/2020 DD 04/15/11	(54,556)	55,206
CRICKET COMMUNICATIONS INC	CRICKET COMMUNICATIONS INC 7.750% 10/15/2020 DD 11/19/10	(257,902)	211,900
CROWN POINT CAP DISC	CROWN POINT CAP DISC 10/4/2011	(1,618,531)	1,619,004
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.010% 06/27/2011 DD 06/24/11	(5,370,522)	5,370,522
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.010% 08/25/2011 DD 08/24/11	(239,023)	239,023

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 07/27/2011 DD 07/26/11	(2,387,700)	2,387,700
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 12/22/2011 DD 12/21/11	(271,565)	271,565
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.020% 12/23/2011 DD 12/22/11	(271,565)	271,565
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,868,389)	3,868,389
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 11/30/2011 DD 11/29/11	(2,761,370)	2,761,370
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(71,251)	71,251
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 07/28/2011 DD 07/27/11	(2,386,200)	2,386,200
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 12/21/2011 DD 12/20/11	(874,307)	874,307
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 07/29/2011 DD 07/28/11	(2,413,800)	2,413,800
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(4,051,773)	4,051,773
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(4,033,858)	4,033,858
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 06/06/2011 DD 06/03/11	(1,406,166)	1,406,166
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 10/19/2011 DD 10/18/11	(332,965)	332,965
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 12/01/2011 DD 11/30/11	(1,839,081)	1,839,081

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 01/26/2011 DD 01/25/11	(285,765)	285,765
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 03/16/2011 DD 03/15/11	(717,573)	717,573
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 03/18/2011 DD 03/17/11	(483,253)	483,253
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 03/21/2011 DD 03/18/11	(2,141,421)	2,141,421
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 02/28/2011 DD 02/25/11	(964,135)	964,135
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 03/01/2011 DD 02/28/11	(890,698)	890,698
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.050% 10/11/2011 DD 10/07/11	(833,340)	833,340
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.110% 06/17/2011 DD 06/16/11	(200,000)	200,000
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.150% 04/15/2011 DD 04/14/11	(1,000,000)	1,000,000
CS FOB CAT 3 REPO	CS FOB CAT 3 REPO 0.240% 08/03/2011 DD 08/02/11	(1,032,217)	1,032,217
CUMULUS MEDIA INC 144A	CUMULUS MEDIA INC 144A 7.750% 05/01/2019 DD 05/13/11	(620,000)	630,656
CVS CAREMARK CORP	CVS CAREMARK CORP 5.750% 05/15/2041 DD 05/12/11	(796,465)	800,104
DANA HOLDING CORP	DANA HOLDING CORP 6.500% 02/15/2019 DD 01/28/11	(100,000)	101,375
DANA HOLDING CORP	DANA HOLDING CORP 6.750% 02/15/2021 DD 01/28/11	(70,000)	71,488
DANSKE CORP DISC	DANSKE CORP DISC 7/18/2011	(3,499,686)	3,499,703

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/27/2011 DD 06/24/11	(3,763,200)	3,763,200
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 06/30/2011 DD 06/29/11	(6,900,000)	6,900,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 07/01/2011 DD 06/30/11	(6,800,000)	6,800,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.000% 07/21/2011 DD 07/20/11	(2,414,100)	2,414,100
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.005% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 08/31/2011 DD 08/30/11	(512,983)	512,983
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 12/22/2011 DD 12/21/11	(1,445,311)	1,445,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.010% 12/23/2011 DD 12/22/11	(1,445,311)	1,445,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.020% 06/23/2011 DD 06/22/11	(2,195,300)	2,195,300
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.030% 10/25/2011 DD 10/24/11	(899,758)	899,758
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.030% 12/27/2011 DD 12/23/11	(3,749,484)	3,749,484
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 06/22/2011 DD 06/21/11	(2,217,000)	2,217,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 12/09/2011 DD 12/08/11	(281,167)	281,167
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.040% 12/12/2011 DD 12/09/11	(253,457)	253,457

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 11/10/2011 DD 11/09/11	(213,478)	213,478
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 12/08/2011 DD 12/07/11	(331,632)	331,632
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 12/15/2011 DD 12/14/11	(3,868,389)	3,868,389
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 05/31/2011 DD 05/27/11	(410,500)	410,500
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 11/30/2011 DD 11/29/11	(2,761,370)	2,761,370
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 12/06/2011 DD 12/05/11	(1,127,881)	1,127,881
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/02/2011 DD 12/01/11	(1,723,202)	1,723,202
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/05/2011 DD 12/02/11	(1,195,131)	1,195,131
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 12/21/2011 DD 12/20/11	(3,644,663)	3,644,663
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(1,037,082)	1,037,082
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 06/03/2011 DD 06/02/11	(280,138)	280,138
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 12/16/2011 DD 12/15/11	(4,051,773)	4,051,773
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 12/01/2011 DD 11/30/11	(505,007)	505,007
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 12/19/2011 DD 12/16/11	(4,033,858)	4,033,858

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 10/19/2011 DD 10/18/11	(447,118)	447,118
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.130% 02/28/2011 DD 02/25/11	(532,316)	532,316
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.140% 01/26/2011 DD 01/25/11	(87,741)	87,741
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 03/01/2011 DD 02/28/11	(411,312)	411,312
DEUTSCHE BANK AG	DEUTSCHE BANK AG 3.250% 01/11/2016 DD 01/11/11	(999,080)	1,021,611
DIRECTV HLDG / FIN INC	DIRECTV HLDG / FIN INC 5.000% 03/01/2021 DD 03/10/11	(1,493,385)	1,549,869
DJO FIN LLC / DJO FIN CORP	DJO FIN LLC / DJO FIN CORP 7.750% 04/15/2018 DD 04/07/11	(100,000)	101,025
DR PEPPER SNAPPLE GROUP	DR PEPPER SNAPPLE GROUP 2.900% 01/15/2016 DD 01/11/11	(998,890)	1,002,615
DYNACAST INTL LLC/FIN IN	DYNACAST INTL LLC/FIN IN 9.250% 07/15/2019 DD 07/19/11	(90,000)	91,856
EAGLE PARENT INC 144A	EAGLE PARENT INC 144A 8.625% 05/01/2019 DD 05/16/11	(265,000)	270,269
EAGLE ROCK ENERGY PARTNER 144A	EAGLE ROCK ENERGY PARTNER 144A 8.375% 06/01/2019 DD 05/27/11	(134,027)	134,750
EKSPORTFINANS ASA	EKSPORTFINANS ASA VAR RT 04/05/2013 DD 04/05/11	(505,000)	432,420
ELIZABETH ARDEN INC	ELIZABETH ARDEN INC 7.375% 03/15/2021 DD 01/21/11	(95,000)	96,875
ENDO PHARMACEUT HLDGS IN	ENDO PHARMACEUT HLDGS IN 7.000% 07/15/2019 DD 06/08/11	(60,000)	61,800
ENERGY PARTNERS LTD	ENERGY PARTNERS LTD 8.250% 02/15/2018 DD 02/14/11	(320,000)	315,900

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ENERGYSOLUTIONS INC / ENERGYSO	ENERGYSOLUTIONS INC / ENERGYSO 10.750% 08/15/2018 DD 02/15/11	(88,821)	88,650
ENI EURO	ENI EUR1	(811,280)	775,157
ENTERPRISE PRODUCTS OPER	ENTERPRISE PRODUCTS OPER 5.950% 02/01/2041 DD 01/13/11	(1,023,430)	1,011,530
ESTERLINE TECHNOLOGIES CORP	ESTERLINE TECHNOLOGIES CORP 7.000% 08/01/2020 DD 08/02/10	(60,000)	63,000
EURAZEONCE NPV	EURAZEONCE NPV	(492,281)	284,122
EV ENERGY PARTNERS LP / E 144A	EV ENERGY PARTNERS LP / E 144A 8.000% 04/15/2019 DD 03/22/11	(110,000)	110,313
EXOPACK HOLDING CORP	EXOPACK HOLDING CORP 10.000% 06/01/2018 DD 05/31/11	(380,000)	382,231
EXOR SPA EURO	EXOR SPA EUR1	(759,866)	515,924
FCAR OWNER TR II DISC	FCAR OWNER TR II DISC 10/4/2011	(849,298)	849,642
FIAT INDUSTRIAL	FIAT INDUSTRIAL	(869,276)	887,625
FLORIDA EAST RR 144A	FLORIDA EAST RR 144A 8.125% 02/01/2017 DD 01/25/11	(105,000)	108,763
FORBES ENERGY SERVICES	FORBES ENERGY SERVICES 9.000% 06/15/2019 DD 06/07/11	(165,000)	165,319
FORD MOTOR CREDIT CO LLC	FORD MOTOR CREDIT CO LLC 5.000% 05/15/2018 DD 05/03/11	(250,000)	250,313
FORD MOTOR CREDIT CO LLC	FORD MOTOR CREDIT CO LLC 5.750% 02/01/2021 DD 02/07/11	(569,750)	578,563
FREESCALE SEMICONDUCTOR 144A	FREESCALE SEMICONDUCTOR 144A 8.050% 02/01/2020 DD 06/10/11	(210,700)	216,713
GAZPROM OAO-SPON ADR	GAZPROM OAO-SPON ADR	(913,341)	1,089,834
GCB 144A WIND AF SA F	GCB 144A WIND AF SA F 12.250% 07/15/2017 DD 12/15/09		1,049

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GCB144A WIND ACQUISITF ESCROW	GCB144A WIND ACQUISITF ESCROW 12.250% 07/15/2017 DD 12/15/09		1,000
GCB144A WIND AF SA F	GCB144A WIND AF SA F 11.750% 07/15/2017 DD 07/13/09		340
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.005% 12/22/2011 DD 12/21/11	(88,925)	88,925
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.005% 12/23/2011 DD 12/22/11	(88,925)	88,925
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 11/30/2011 DD 11/29/11	(2,517,452)	2,517,452
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/06/2011 DD 12/05/11	(115,318)	115,318
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/15/2011 DD 12/14/11	(2,578,072)	2,578,072
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/16/2011 DD 12/15/11	(3,229,236)	3,229,236
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.010% 12/21/2011 DD 12/20/11	(395,164)	395,164
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.020% 12/02/2011 DD 12/01/11	(367,746)	367,746
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 10/19/2011 DD 10/18/11	(149,108)	149,108
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 12/19/2011 DD 12/16/11	(1,099,971)	1,099,971
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.040% 12/01/2011 DD 11/30/11	(1,429,538)	1,429,538
GOLDMAN CAT 3 REPO	GOLDMAN CAT 3 REPO 0.150% 01/12/2011 DD 01/11/11	(11,942,469)	11,942,469

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOODRICH PETROLEUM CORP 144A	GOODRICH PETROLEUM CORP 144A 8.875% 03/15/2019 DD 03/02/11	(530,000)	528,994
GOTHAM FDG CORP DISC	GOTHAM FDG CORP DISC 7/15/2011	(3,499,751)	3,499,782
GREAT LAKES DREDGE&DOCK 144A	GREAT LAKES DREDGE&DOCK 144A 7.375% 02/01/2019 DD 01/28/11	(50,000)	50,925
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.160% 10/25/2011 DD 10/24/11	(79,843)	79,843
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 10/31/2011 DD 10/28/11	(128,210)	128,210
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 11/28/2011 DD 11/25/11	(19,895)	19,895
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/05/2011 DD 12/02/11	(63,882)	63,882
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/12/2011 DD 12/09/11	(35,431)	35,431
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/13/2011 DD 12/12/11	(32,929)	32,929
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/14/2011 DD 12/13/11	(43,196)	43,196
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/15/2011 DD 12/14/11	(42,280)	42,280
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/16/2011 DD 12/15/11	(460,942)	460,942
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/19/2011 DD 12/16/11	(499,644)	499,644
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/20/2011 DD 12/19/11	(533,598)	533,598
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/22/2011 DD 12/21/11	(278,707)	278,707

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/23/2011 DD 12/22/11	(278,707)	278,707
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/27/2011 DD 12/23/11	(153,026)	153,026
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/28/2011 DD 12/27/11	(129,838)	129,838
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/29/2011 DD 12/28/11	(149,341)	149,341
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 12/30/2011 DD 12/29/11	(208,954)	208,954
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/03/2011 DD 09/30/11	(214,567)	214,567
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/19/2011 DD 10/18/11	(418,306)	418,306
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/20/2011 DD 10/19/11	(315,984)	315,984
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 10/21/2011 DD 10/20/11	(271,219)	271,219
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/17/2011 DD 11/16/11	(28,390)	28,390
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/25/2011 DD 11/23/11	(23,437)	23,437
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/29/2011 DD 11/28/11	(22,284)	22,284
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 11/30/2011 DD 11/29/11	(19,079)	19,079
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/01/2011 DD 11/30/11	(232,203)	232,203
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/02/2011 DD 12/01/11	(88,040)	88,040

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/06/2011 DD 12/05/11	(71,876)	71,876
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/08/2011 DD 12/07/11	(47,079)	47,079
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/09/2011 DD 12/08/11	(32,107)	32,107
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 12/21/2011 DD 12/20/11	(529,074)	529,074
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.190% 07/21/2011 DD 07/20/11	(114,939)	114,939
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 04/19/2011 DD 04/18/11	(282,782)	282,782
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 04/20/2011 DD 04/19/11	(142,563)	142,563
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/08/2011 DD 06/07/11	(398,118)	398,118
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/09/2011 DD 06/08/11	(334,862)	334,862
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/10/2011 DD 06/09/11	(253,325)	253,325
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/13/2011 DD 06/10/11	(283,140)	283,140
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/15/2011 DD 06/14/11	(309,651)	309,651
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/21/2011 DD 06/20/11	(109,560)	109,560
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/23/2011 DD 06/22/11	(103,874)	103,874
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/24/2011 DD 06/23/11	(137,258)	137,258

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/27/2011 DD 06/24/11	(603,443)	603,443
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 06/28/2011 DD 06/27/11	(14,000,000)	14,000,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/19/2011 DD 07/18/11	(131,835)	131,835
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/20/2011 DD 07/19/11	(132,504)	132,504
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/26/2011 DD 07/25/11	(78,454)	78,454
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 07/28/2011 DD 07/27/11	(113,469)	113,469
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 11/16/2011 DD 11/15/11	(39,941)	39,941
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 04/21/2011 DD 04/20/11	(107,178)	107,178
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/02/2011 DD 06/01/11	(447,835)	447,835
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/03/2011 DD 06/02/11	(401,703)	401,703
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/06/2011 DD 06/03/11	(403,893)	403,893
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/07/2011 DD 06/06/11	(410,866)	410,866
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/17/2011 DD 06/16/11	(130,625)	130,625
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/20/2011 DD 06/17/11	(123,924)	123,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 06/22/2011 DD 06/21/11	(138,021)	138,021

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 07/29/2011 DD 07/28/11	(107,593)	107,593
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 04/01/2011 DD 03/31/11	(1,462,953)	1,462,953
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 09/01/2011 DD 08/31/11	(133,924)	133,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.230% 04/18/2011 DD 04/15/11	(248,275)	248,275
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.230% 08/09/2011 DD 08/08/11	(3,403,000)	3,403,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.240% 03/31/2011 DD 03/30/11	(1,927,591)	1,927,591
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/14/2011 DD 03/11/11	(665,868)	665,868
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/15/2011 DD 03/14/11	(721,141)	721,141
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/17/2011 DD 03/16/11	(1,131,037)	1,131,037
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/18/2011 DD 03/17/11	(1,222,349)	1,222,349
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/24/2011 DD 03/23/11	(303,918)	303,918
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/25/2011 DD 03/24/11	(1,184,152)	1,184,152
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/28/2011 DD 03/25/11	(1,420,857)	1,420,857
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/29/2011 DD 03/28/11	(1,735,356)	1,735,356
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.250% 03/30/2011 DD 03/29/11	(2,240,689)	2,240,689

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/14/2011 DD 02/11/11	(190,542)	190,542
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/18/2011 DD 02/17/11	(1,393,291)	1,393,291
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/22/2011 DD 02/18/11	(1,197,115)	1,197,115
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/23/2011 DD 02/22/11	(5,447,800)	5,447,800
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/24/2011 DD 02/23/11	(5,481,900)	5,481,900
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/25/2011 DD 02/24/11	(967,698)	967,698
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 02/28/2011 DD 02/25/11	(823,924)	823,924
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/03/2011 DD 03/02/11	(1,329,220)	1,329,220
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/04/2011 DD 03/03/11	(1,606,812)	1,606,812
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/07/2011 DD 03/04/11	(1,484,708)	1,484,708
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/08/2011 DD 03/07/11	(1,301,552)	1,301,552
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/09/2011 DD 03/08/11	(1,020,530)	1,020,530
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/10/2011 DD 03/09/11	(883,383)	883,383
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/11/2011 DD 03/10/11	(788,961)	788,961
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/16/2011 DD 03/15/11	(1,024,419)	1,024,419

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/22/2011 DD 03/21/11	(1,912,204)	1,912,204
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.260% 03/23/2011 DD 03/22/11	(455,637)	455,637
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 02/10/2011 DD 02/09/11	(182,196)	182,196
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 02/17/2011 DD 02/16/11	(976,923)	976,923
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.270% 03/02/2011 DD 03/01/11	(1,084,183)	1,084,183
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/14/2011 DD 01/13/11	(1,639,477)	1,639,477
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/18/2011 DD 01/14/11	(1,598,468)	1,598,468
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/24/2011 DD 01/21/11	(1,588,998)	1,588,998
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/25/2011 DD 01/24/11	(6,406,500)	6,406,500
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/26/2011 DD 01/25/11	(1,523,563)	1,523,563
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/27/2011 DD 01/26/11	(2,103,932)	2,103,932
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/28/2011 DD 01/27/11	(1,691,016)	1,691,016
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 01/31/2011 DD 01/28/11	(832,690)	832,690
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/07/2011 DD 02/04/11	(69,777)	69,777
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/09/2011 DD 02/08/11	(65,002)	65,002

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.280% 02/16/2011 DD 02/15/11	(349,349)	349,349
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/10/2011 DD 01/07/11	(12,900,000)	12,900,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/11/2011 DD 01/10/11	(13,000,000)	13,000,000
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/12/2011 DD 01/11/11	(7,062,353)	7,062,353
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.290% 01/13/2011 DD 01/12/11	(3,682,610)	3,682,610
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/06/2011 DD 01/05/11	(426,888)	426,888
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/07/2011 DD 01/06/11	(223,911)	223,911
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 01/21/2011 DD 01/20/11	(1,497,923)	1,497,923
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.300% 02/01/2011 DD 01/31/11	(889,945)	889,945
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.310% 01/20/2011 DD 01/19/11	(1,223,894)	1,223,894
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.320% 01/04/2011 DD 01/03/11	(19,719)	19,719
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.330% 01/19/2011 DD 01/18/11	(1,193,485)	1,193,485
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 06/24/2011 DD 06/23/11	(2,205,142)	2,205,142
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 06/30/2011 DD 06/29/11	(7,598,440)	7,598,440
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 07/12/2011 DD 07/11/11	(269,523)	269,523

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.000% 07/13/2011 DD 07/12/11	(274,386)	274,386
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.005% 06/27/2011 DD 06/24/11	(3,159,757)	3,159,757
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 04/29/2011 DD 04/28/11	(425,536)	425,536
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 05/06/2011 DD 05/05/11	(966,000)	966,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/01/2011 DD 06/30/11	(3,445,051)	3,445,051
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/18/2011 DD 07/15/11	(2,269,876)	2,269,876
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/19/2011 DD 07/18/11	(1,869,314)	1,869,314
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/20/2011 DD 07/19/11	(2,293,896)	2,293,896
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/22/2011 DD 07/21/11	(1,084,178)	1,084,178
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/25/2011 DD 07/22/11	(487,151)	487,151
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.010% 07/26/2011 DD 07/25/11	(987,865)	987,865
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 04/13/2011 DD 04/12/11	(2,314,252)	2,314,252
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/05/2011 DD 05/04/11	(974,000)	974,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/09/2011 DD 05/06/11	(579,000)	579,000

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 05/10/2011 DD 05/09/11	(817,900)	817,900
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 08/31/2011 DD 08/30/11	(2,515,200)	2,515,200
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 12/22/2011 DD 12/21/11	(1,023,333)	1,023,333
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.020% 12/23/2011 DD 12/22/11	(1,023,333)	1,023,333
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 04/12/2011 DD 04/11/11	(1,235,000)	1,235,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 04/28/2011 DD 04/27/11	(770,871)	770,871
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 05/02/2011 DD 04/29/11	(1,237,000)	1,237,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 06/09/2011 DD 06/08/11	(1,870,638)	1,870,638
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 06/10/2011 DD 06/09/11	(1,917,312)	1,917,312
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 08/23/2011 DD 08/22/11	(1,303,120)	1,303,120
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 08/29/2011 DD 08/26/11	(2,151,600)	2,151,600
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.030% 12/27/2011 DD 12/23/11	(3,596,458)	3,596,458
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 08/12/2011 DD 08/11/11	(555,441)	555,441
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/05/2011 DD 10/04/11	(1,644,800)	1,644,800
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/11/2011 DD 10/07/11	(865,900)	865,900

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/12/2011 DD 10/11/11	(1,829,236)	1,829,236
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/25/2011 DD 10/24/11	(2,199,413)	2,199,413
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/27/2011 DD 10/26/11	(2,433,776)	2,433,776
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/28/2011 DD 10/27/11	(2,515,676)	2,515,676
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 10/31/2011 DD 10/28/11	(2,332,829)	2,332,829
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/07/2011 DD 11/04/11	(831,764)	831,764
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/08/2011 DD 11/07/11	(831,764)	831,764
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/09/2011 DD 11/08/11	(1,912,830)	1,912,830
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 11/10/2011 DD 11/09/11	(2,125,152)	2,125,152
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.040% 12/09/2011 DD 12/08/11	(2,026,510)	2,026,510
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 04/27/2011 DD 04/26/11	(661,779)	661,779
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/08/2011 DD 06/07/11	(1,836,082)	1,836,082
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/13/2011 DD 06/10/11	(573,084)	573,084
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/15/2011 DD 06/14/11	(112,147)	112,147
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 06/22/2011 DD 06/21/11	(1,341,566)	1,341,566

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 10/20/2011 DD 10/19/11	(3,088,066)	3,088,066
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 10/26/2011 DD 10/25/11	(2,475,630)	2,475,630
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 11/18/2011 DD 11/17/11	(825,390)	825,390
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/08/2011 DD 12/07/11	(2,011,482)	2,011,482
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/12/2011 DD 12/09/11	(2,016,723)	2,016,723
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 12/15/2011 DD 12/14/11	(3,826,109)	3,826,109
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/11/2011 DD 04/08/11	(1,353,000)	1,353,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 05/03/2011 DD 05/02/11	(985,000)	985,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 07/28/2011 DD 07/27/11	(964,467)	964,467
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 11/14/2011 DD 11/10/11	(2,040,265)	2,040,265
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 11/30/2011 DD 11/29/11	(2,742,291)	2,742,291
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/02/2011 DD 12/01/11	(2,076,352)	2,076,352
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/05/2011 DD 12/02/11	(2,057,595)	2,057,595
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/06/2011 DD 12/05/11	(1,979,601)	1,979,601
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/07/2011 DD 12/06/11	(2,069,906)	2,069,906

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/20/2011 DD 12/19/11	(3,354,933)	3,354,933
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 12/21/2011 DD 12/20/11	(3,284,330)	3,284,330
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/15/2011 DD 04/14/11	(2,709,500)	2,709,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/19/2011 DD 04/18/11	(941,036)	941,036
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/20/2011 DD 04/19/11	(948,000)	948,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 06/07/2011 DD 06/06/11	(1,891,734)	1,891,734
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 11/22/2011 DD 11/21/11	(1,858,609)	1,858,609
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 12/16/2011 DD 12/15/11	(3,590,831)	3,590,831
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/01/2011 DD 10/31/11	(1,372,059)	1,372,059
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/03/2011 DD 11/02/11	(167,717)	167,717
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/04/2011 DD 11/03/11	(438,699)	438,699
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/15/2011 DD 11/14/11	(1,012,394)	1,012,394
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/21/2011 DD 11/18/11	(1,856,314)	1,856,314
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 11/25/2011 DD 11/23/11	(522,088)	522,088

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 12/19/2011 DD 12/16/11	(3,534,214)	3,534,214
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 03/30/2011 DD 03/29/11	(650,311)	650,311
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 03/31/2011 DD 03/30/11	(963,609)	963,609
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 05/19/2011 DD 05/18/11	(1,036,000)	1,036,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 06/03/2011 DD 06/02/11	(1,969,097)	1,969,097
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 06/06/2011 DD 06/03/11	(1,933,507)	1,933,507
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 10/19/2011 DD 10/18/11	(3,496,701)	3,496,701
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 11/02/2011 DD 11/01/11	(1,998,800)	1,998,800
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 11/23/2011 DD 11/22/11	(790,035)	790,035
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 12/01/2011 DD 11/30/11	(2,529,100)	2,529,100
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 03/28/2011 DD 03/25/11	(1,419,243)	1,419,243
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 06/01/2011 DD 05/31/11	(2,067,585)	2,067,585
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 10/18/2011 DD 10/17/11	(910,000)	910,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 11/29/2011 DD 11/28/11	(89,406)	89,406
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/14/2011 DD 03/11/11	(147,900)	147,900

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/25/2011 DD 03/24/11	(1,564,548)	1,564,548
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 03/29/2011 DD 03/28/11	(1,314,144)	1,314,144
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 06/02/2011 DD 06/01/11	(1,926,365)	1,926,365
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 11/28/2011 DD 11/25/11	(1,905,158)	1,905,158
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 02/18/2011 DD 02/17/11	(2,981,700)	2,981,700
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 02/25/2011 DD 02/24/11	(1,628,602)	1,628,602
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/03/2011 DD 03/02/11	(1,489,780)	1,489,780
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/10/2011 DD 03/09/11	(1,666,517)	1,666,517
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/24/2011 DD 03/23/11	(2,407,182)	2,407,182
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/17/2011 DD 02/16/11	(2,009,877)	2,009,877
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/22/2011 DD 02/18/11	(1,527,285)	1,527,285
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/28/2011 DD 02/25/11	(2,513,376)	2,513,376
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/04/2011 DD 03/03/11	(1,140,888)	1,140,888
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/07/2011 DD 03/04/11	(1,145,492)	1,145,492
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/08/2011 DD 03/07/11	(1,329,048)	1,329,048

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/09/2011 DD 03/08/11	(1,568,670)	1,568,670
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/15/2011 DD 03/14/11	(1,976,959)	1,976,959
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/17/2011 DD 03/16/11	(1,525,463)	1,525,463
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/22/2011 DD 03/21/11	(1,022,596)	1,022,596
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 11/16/2011 DD 11/15/11	(1,960,259)	1,960,259
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 01/26/2011 DD 01/25/11	(1,708,037)	1,708,037
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/02/2011 DD 03/01/11	(1,678,817)	1,678,817
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/16/2011 DD 03/15/11	(1,627,781)	1,627,781
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/18/2011 DD 03/17/11	(1,402,151)	1,402,151
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/23/2011 DD 03/22/11	(1,596,096)	1,596,096
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 01/18/2011 DD 01/14/11	(1,764,878)	1,764,878
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 02/16/2011 DD 02/15/11	(2,505,551)	2,505,551
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 03/01/2011 DD 02/28/11	(1,323,127)	1,323,127
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 01/20/2011 DD 01/19/11	(5,209,306)	5,209,306
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 01/21/2011 DD 01/20/11	(1,816,677)	1,816,677

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 02/03/2011 DD 02/02/11	(341,872)	341,872
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 08/01/2011 DD 07/29/11	(488,790)	488,790
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.230% 01/19/2011 DD 01/18/11	(2,034,146)	2,034,146
GRIFFON CORP	GRIFFON CORP 7.125% 04/01/2018 DD 03/17/11	(120,000)	114,900
GRIFOLS INC	GRIFOLS INC 8.250% 02/01/2018 DD 01/21/11	(105,000)	108,688
HANA BANK	HANA BANK 4.000% 11/03/2016 DD 05/03/11	(1,988,780)	2,016,804
HANNOVER FDG CO DISC	HANNOVER FDG CO DISC 7/14/2011	(3,199,689)	3,199,688
HANOVER INSURANCE GROUP	HANOVER INSURANCE GROUP 6.375% 06/15/2021 DD 06/17/11	(178,796)	179,888
HANOVER INSURANCE GROUP INC/TH	HANOVER INSURANCE GROUP INC/TH 7.500% 03/01/2020 DD 02/23/10	(181,055)	180,484
HARTFORD FINANCIAL SERVICES GR	HARTFORD FINANCIAL SERVICES GR 5.500% 03/30/2020 DD 03/23/10	(152,873)	153,234
HEALTH CARE SERVICES INC	HEALTH CARE SERVICES INC 4.700% 01/15/2021 DD 01/07/11	(996,980)	1,009,070
HENNES & MAURITZ AB 'B' NPV	HENNES & MAURITZ AB 'B' NPV		171,190
HEWLETT-PACKARD CO	HEWLETT-PACKARD CO VAR RT 05/24/2013 DD 05/31/11	(460,352)	454,487
HOME DEPOT INC	HOME DEPOT INC 5.950% 04/01/2041 DD 03/31/11	(985,327)	1,022,789
HONEYWELL INTERNATIONAL	HONEYWELL INTERNATIONAL 5.375% 03/01/2041 DD 02/17/11	(1,596,670)	1,680,796

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
IGATE CORP 144A	IGATE CORP 144A 9.000% 05/01/2016 DD 04/29/11	(95,000)	97,125
INTERNATIONAL PAPER CO	INTERNATIONAL PAPER CO 7.300% 11/15/2039 DD 12/07/09	(1,140,430)	1,141,420
INTESA SANPAOLO SPA	INTESA SANPAOLO SPA 6.500% 02/24/2021 DD 02/24/11	(74,425)	81,011
INTL AUTOMOTIVE COMPONEN	INTL AUTOMOTIVE COMPONEN 9.125% 06/01/2018 DD 06/03/11	(205,000)	209,275
INVENTIV HEALTH INC	INVENTIV HEALTH INC 10.000% 08/15/2018 DD 02/15/11	(99,750)	101,894
IPAYMENT HOLDINGS INC 144A	IPAYMENT HOLDINGS INC 144A 10.250% 05/15/2018 DD 05/06/11	(365,000)	373,594
IPSOS EUR0.24	IPSOS EUR0.25 RTS EXP 22-SEP-2011		49,241
ITV ORD GBP0.9	ITV ORD GBP0.10	(594,712)	458,074
JAMES RIVER ESCROW INC	JAMES RIVER ESCROW INC 7.875% 04/01/2019 DD 03/29/11	(70,000)	72,225
JOHNSON CONTROLS INC	JOHNSON CONTROLS INC 4.250% 03/01/2021 DD 02/04/11	(168,769)	166,068
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/27/2011 DD 06/24/11	(3,018,052)	3,018,052
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/28/2011 DD 06/27/11	(7,000,000)	7,000,000
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.000% 06/29/2011 DD 06/28/11	(6,900,000)	6,900,000
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.002% 09/30/2011 DD 09/29/11	(4,306,898)	4,306,898
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.020% 12/15/2011 DD 12/14/11	(2,762,077)	2,762,077

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.030% 06/14/2011 DD 06/13/11	(2,256,900)	2,256,900
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 11/30/2011 DD 11/29/11	(1,953,710)	1,953,710
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 12/06/2011 DD 12/05/11	(57,033)	57,033
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.040% 12/20/2011 DD 12/19/11	(3,888,531)	3,888,531
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.050% 12/16/2011 DD 12/15/11	(3,412,637)	3,412,637
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.050% 12/21/2011 DD 12/20/11	(119,227)	119,227
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 03/31/2011 DD 03/30/11	(2,891,200)	2,891,200
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 12/01/2011 DD 11/30/11	(1,314,829)	1,314,829
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 12/19/2011 DD 12/16/11	(1,242,473)	1,242,473
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/25/2011 DD 03/24/11	(2,468,786)	2,468,786
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/28/2011 DD 03/25/11	(2,840,100)	2,840,100
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.080% 03/29/2011 DD 03/28/11	(3,049,500)	3,049,500
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.090% 03/24/2011 DD 03/23/11	(2,472,359)	2,472,359
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 02/28/2011 DD 02/25/11	(3,337,300)	3,337,300
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 03/03/2011 DD 03/02/11	(461,238)	461,238

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 03/22/2011 DD 03/21/11	(2,934,800)	2,934,800
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 01/10/2011 DD 01/07/11	(19,207,379)	19,207,379
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 01/26/2011 DD 01/25/11	(879,539)	879,539
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 01/11/2011 DD 01/10/11	(19,088,037)	19,088,037
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 03/01/2011 DD 02/28/11	(3,310,200)	3,310,200
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 01/21/2011 DD 01/20/11	(700,187)	700,187
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 01/19/2011 DD 01/18/11	(34,958)	34,958
JPM CAT 3 REPO	JPM CAT 3 REPO 0.170% 10/18/2011 DD 10/17/11	(12,485,923)	12,485,923
JPMORGAN CHASE CAPITAL XXVII	JPMORGAN CHASE CAPITAL XXVII 7.000% 11/01/2039 DD 10/27/09	(872,056)	858,042
JUNGHEINRICH AG	JUNGHEINRICH AG PFD	(373,260)	232,910
JUNIPER NETWORKS INC	JUNIPER NETWORKS INC 5.950% 03/15/2041 DD 03/03/11	(914,588)	902,096
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 4/12/2011	(999,343)	999,448
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 8/3/2011	(489,806)	489,993
KABEL BW ERSTE BETEILGU	KABEL BW ERSTE BETEILGU 7.500% 03/15/2019 DD 03/31/11	(200,000)	204,750
KENNEDY-WILSON INC 144A	KENNEDY-WILSON INC 144A 8.750% 04/01/2019 DD 04/05/11	(53,929)	55,630

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
KEY ENERGY SERVICES INC	KEY ENERGY SERVICES INC 6.750% 03/01/2021 DD 03/04/11	(165,000)	167,300
KEYCORP	KEYCORP 5.100% 03/24/2021 DD 03/24/11	(799,256)	801,800
KODIAK OIL & GAS CORP	KODIAK OIL & GAS CORP 8.125% 12/01/2019 DD 11/23/11	(670,000)	684,450
KRATON POLYMERS LLC/CAP 144A	KRATON POLYMERS LLC/CAP 144A 6.750% 03/01/2019 DD 02/11/11	(50,000)	51,000
KRATOS DEFENSE & SEC	KRATOS DEFENSE & SEC 10.000% 06/01/2017 DD 12/01/10	(107,000)	110,000
KRYMENERGO	KRYMENERGO	(58,537)	6,560
KVAERNER ASA	KVAERNER ASA	(51,237)	78,616
L-3 COMMUNICATIONS CORP	L-3 COMMUNICATIONS CORP 4.950% 02/15/2021 DD 02/07/11	(139,201)	143,044
LAREDO PETROLEUM INC	LAREDO PETROLEUM INC 9.500% 02/15/2019 DD 01/20/11	(145,000)	148,806
LEVEL 3 COMMUNICATIONS 144A	LEVEL 3 COMMUNICATIONS 144A 11.875% 02/01/2019 DD 01/19/11	(171,211)	178,794
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 10/17/2011	(929,222)	929,907
LLOYDS TSB BANK PLC	LLOYDS TSB BANK PLC 6.375% 01/21/2021 DD 01/21/11	(997,010)	1,061,456
LONGTOP FINANCIAL TECHNOLOGIES	LONGTOP FINANCIAL TECHNOLOGIES ADR	(1,112,743)	33,497
MAN WAH HOLDINGS LTD	MAN WAH HOLDINGS LTD	(372,524)	158,557
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 7/7/2011	(3,499,876)	3,499,895
MARATHON PETROLEUM CORP 144A	MARATHON PETROLEUM CORP 144A 6.500% 03/01/2041 DD 02/01/11	(907,268)	930,015

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
MARKET STREET FDG DISC	MARKET STREET FDG DISC 4/18/2011	(999,246)	999,380
MARKET STREET FDG DISC	MARKET STREET FDG DISC 8/11/2011	(1,319,352)	1,319,900
MASONITE INTERNATIONAL C 144A	MASONITE INTERNATIONAL C 144A 8.250% 04/15/2021 DD 04/15/11	(25,000)	25,313
MEDIOLANUM NPV POST SUBD	MEDIOLANUM NPV POST SUBD	(731,145)	631,629
MEG ENERGY CORP	MEG ENERGY CORP 6.500% 03/15/2021 DD 03/18/11	(175,000)	176,450
MEMC ELECTRONICS MATERIA	MEMC ELECTRONICS MATERIA 7.750% 04/01/2019 DD 03/10/11	(20,000)	20,425
METLIFE SHORT DISC	METLIFE SHORT DISC 6/27/2011	(998,571)	999,656
MIRABELA NICKEL LTD	MIRABELA NICKEL LTD 8.750% 04/15/2018 DD 04/14/11	(100,000)	102,063
mitsui & co Y49	MITSUI & CO Y50	(205,312)	186,562
MONT BLANC CAP DISC	MONT BLANC CAP DISC 7/7/2011	(3,999,907)	3,999,936
MONT BLANC CAP DISC	MONT BLANC CAP DISC 9/9/2011	(959,558)	959,558
MORGAN STANLEY	MORGAN STANLEY 5.750% 01/25/2021 DD 01/25/11	(546,288)	549,221
NATIONAL CINEMEDIA LLC 144A	NATIONAL CINEMEDIA LLC 144A 7.875% 07/15/2021 DD 07/05/11	(150,000)	151,125
NEEDLE MERGER SUB CORP 144A	NEEDLE MERGER SUB CORP 144A 8.125% 03/15/2019 DD 03/18/11	(385,000)	386,931
NEFF RENTAL LLC/NEFF FIN	NEFF RENTAL LLC/NEFF FIN 9.625% 05/15/2016 DD 05/13/11	(55,000)	55,688
NESTLE FIN FRANCE DISC	NESTLE FIN FRANCE DISC 7/15/2011	(2,999,925)	2,999,895

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
NEWFIELD EXPLORATION CO	NEWFIELD EXPLORATION CO 5.750% 01/30/2022 DD 09/30/11	(134,941)	135,338
NEWS AMERICA INC	NEWS AMERICA INC 6.150% 02/15/2041 DD 02/16/11	(2,691,069)	2,765,184
NEXEO SOLUTIONS LLC/CORP	NEXEO SOLUTIONS LLC/CORP 8.375% 03/01/2018 DD 03/09/11	(38,000)	38,428
NINTENDO CO	NINTENDO CO	(1,018,898)	883,896
NITTO DENKO CORP NPV	NITTO DENKO CORP NPV	(201,343)	166,091
NORDEA BANK AB	NORDEA BANK AB 4.875% 05/13/2021 DD 05/13/11	(208,967)	181,633
NORDEA NORTH AMER DISC	NORDEA NORTH AMER DISC 9/2/2011	(769,435)	769,843
NORTEK INC 144A	NORTEK INC 144A 8.500% 04/15/2021 DD 04/26/11	(100,000)	99,988
NRG ENERGY INC 144A	NRG ENERGY INC 144A 7.625% 01/15/2018 DD 01/26/11	(685,000)	707,106
NUVEEN INVESTMENTS INC	NUVEEN INVESTMENTS INC 10.500% 11/15/2015 DD 11/15/10	(411,000)	405,850
OASIS PETROLEUM INC	OASIS PETROLEUM INC 6.500% 11/01/2021 DD 11/10/11	(450,000)	455,475
OASIS PETROLEUM INC 144A	OASIS PETROLEUM INC 144A 7.250% 02/01/2019 DD 02/02/11	(250,000)	255,063
OBRASCON HUARTE SA EUR0.5	OBRASCON HUARTE SA EUR0.6	(1,058,810)	821,532
OGK-2-CLS	OGK-2-CLS		4,610
OGK-4 OJSC	OGK-4 OJSC		64,925
OGX PETROLEO E GAS PARTI 144A	OGX PETROLEO E GAS PARTI 144A 8.500% 06/01/2018 DD 06/03/11	(500,000)	510,800
OHIO ST UNIV GEN RCPTS	OHIO ST UNIV GEN RCPTS 4.910% 06/01/2040 DD 10/06/10	(186,213)	233,867

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ONO FINANCE II PLC	ONO FINANCE II PLC 10.875% 07/15/2019 DD 01/28/11	(175,000)	189,219
OPPENHEIMER HOLDINGS INC 144A	OPPENHEIMER HOLDINGS INC 144A 8.750% 04/15/2018 DD 04/12/11	(55,000)	56,050
ORIX CORP	ORIX CORP 5.000% 01/12/2016 DD 01/12/11	(2,039,940)	2,048,137
PACKAGING DYNAMICS CORP 144A	PACKAGING DYNAMICS CORP 144A 8.750% 02/01/2016 DD 02/01/11	(55,000)	56,094
PALACE ENT HLDG LLC/CORP	PALACE ENT HLDG LLC/CORP 8.875% 04/15/2017 DD 02/28/11	(90,000)	92,856
PENN VIRGINIA CORP	PENN VIRGINIA CORP 7.250% 04/15/2019 DD 04/13/11	(90,000)	90,769
PERNOD-RICARD SA	PERNOD-RICARD SA 5.750% 04/07/2021 DD 04/07/11	(247,663)	250,163
PHH CORP	PHH CORP 9.250% 03/01/2016 DD 03/01/11	(105,000)	104,475
PITTSBURGH GLASS WORKS L	PITTSBURGH GLASS WORKS L 8.500% 04/15/2016 DD 04/15/11	(75,000)	77,438
PLAINS EXPLORATION & PRO	PLAINS EXPLORATION & PRO 6.625% 05/01/2021 DD 03/29/11	(100,000)	100,825
PLY GEM INDUSTRIES 144A	PLY GEM INDUSTRIES 144A 8.250% 02/15/2018 DD 02/11/11	(540,000)	555,525
PRECISION DRILLING CORP	PRECISION DRILLING CORP 6.500% 12/15/2021 DD 07/29/11	(90,000)	91,775
PREMIER OIL ORD GBP0.49	PREMIER OIL ORD GBP0.50	(601,600)	587,765
PRETIUM PGK LLC/FIN INC	PRETIUM PGK LLC/FIN INC 11.500% 04/01/2016 DD 03/31/11	(90,000)	90,100
PRODUCTION RES GROUP	PRODUCTION RES GROUP 8.875% 05/01/2019 DD 04/15/11	(145,000)	146,713

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
PRUDENTIAL FINANCIAL INC	PRUDENTIAL FINANCIAL INC 5.625% 05/12/2041 DD 05/12/11	(658,816)	659,128
RADIOSHACK CORP	RADIOSHACK CORP 6.750% 05/15/2019 DD 05/03/11	(64,502)	65,419
REYNOLDS GROUP HOLDINGS	REYNOLDS GROUP HOLDINGS 8.250% 02/15/2021 DD 02/01/11	(750,000)	756,875
REZIDOR HOTEL GROUP AB NPV	REZIDOR HOTEL GROUP AB NPV	(660,826)	386,750
ROADHOUSE FINANCING INC	ROADHOUSE FINANCING INC 10.750% 10/15/2017 DD 04/15/11	(115,000)	98,900
ROLLS ROYCE GROUP	ROLLS ROYCE GROUP C SHS ENTITLEMENT (JULY 2011)	(89,471)	87,794
ROLLS-ROYCE GROUP - C SHS	ROLLS-ROYCE GROUP - C SHS		51,805
ROTECH HEALTHCARE INC 144A	ROTECH HEALTHCARE INC 144A 10.500% 03/15/2018 DD 03/17/11	(39,279)	40,563
RR DONNELLEY & SONS CO	RR DONNELLEY & SONS CO 7.250% 05/15/2018 DD 06/01/11	(155,000)	155,838
SAFILO GROUP EUR5.01	SAFILO GROUP EUR5.00	(436,496)	208,122
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 06/24/2011 DD 06/23/11	(2,050,979)	2,050,979
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 06/27/2011 DD 06/24/11	(2,437,027)	2,437,027
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/12/2011 DD 07/11/11	(279,174)	279,174
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/13/2011 DD 07/12/11	(289,370)	289,370
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/18/2011 DD 07/15/11	(1,827,669)	1,827,669
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/19/2011 DD 07/18/11	(2,049,227)	2,049,227

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/20/2011 DD 07/19/11	(2,147,269)	2,147,269
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/22/2011 DD 07/21/11	(1,778,162)	1,778,162
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/25/2011 DD 07/22/11	(1,809,413)	1,809,413
SALI CAT 1 REPO	SALI CAT 1 REPO 0.010% 07/26/2011 DD 07/25/11	(1,989,783)	1,989,783
SALI CAT 1 REPO	SALI CAT 1 REPO 0.020% 07/27/2011 DD 07/26/11	(1,048,291)	1,048,291
SALI CAT 1 REPO	SALI CAT 1 REPO 0.020% 08/31/2011 DD 08/30/11	(2,515,200)	2,515,200
SALI CAT 1 REPO	SALI CAT 1 REPO 0.030% 08/29/2011 DD 08/26/11	(938,998)	938,998
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 06/09/2011 DD 06/08/11	(158,371)	158,371
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/08/2011 DD 06/07/11	(1,671,574)	1,671,574
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/14/2011 DD 06/13/11	(1,822,400)	1,822,400
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 06/21/2011 DD 06/20/11	(1,065,187)	1,065,187
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 05/31/2011 DD 05/27/11	(2,420,500)	2,420,500
SALI CAT 1 REPO	SALI CAT 1 REPO 0.070% 06/07/2011 DD 06/06/11	(1,765,397)	1,765,397
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 06/17/2011 DD 06/16/11	(1,443,694)	1,443,694
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 07/28/2011 DD 07/27/11	(2,120,135)	2,120,135

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 07/29/2011 DD 07/28/11	(2,152,282)	2,152,282
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/30/2011 DD 03/29/11	(253,432)	253,432
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/31/2011 DD 03/30/11	(2,502,963)	2,502,963
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 06/03/2011 DD 06/02/11	(1,871,185)	1,871,185
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 06/06/2011 DD 06/03/11	(1,824,566)	1,824,566
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/25/2011 DD 03/24/11	(2,490,966)	2,490,966
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/28/2011 DD 03/25/11	(2,527,303)	2,527,303
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 06/01/2011 DD 05/31/11	(1,925,709)	1,925,709
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 06/20/2011 DD 06/17/11	(1,836,970)	1,836,970
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 03/29/2011 DD 03/28/11	(2,664,950)	2,664,950
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 06/02/2011 DD 06/01/11	(1,816,148)	1,816,148
SALI CAT 1 REPO	SALI CAT 1 REPO 0.110% 06/16/2011 DD 06/15/11	(1,929,200)	1,929,200
SALI CAT 1 REPO	SALI CAT 1 REPO 0.120% 02/18/2011 DD 02/17/11	(2,247,426)	2,247,426
SALI CAT 1 REPO	SALI CAT 1 REPO 0.120% 03/24/2011 DD 03/23/11	(2,711,100)	2,711,100
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 02/17/2011 DD 02/16/11	(2,300,302)	2,300,302

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 02/22/2011 DD 02/18/11	(1,473,452)	1,473,452
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/03/2011 DD 03/02/11	(2,529,452)	2,529,452
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/04/2011 DD 03/03/11	(1,625,412)	1,625,412
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/07/2011 DD 03/04/11	(1,768,308)	1,768,308
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/08/2011 DD 03/07/11	(1,037,727)	1,037,727
SALI CAT 1 REPO	SALI CAT 1 REPO 0.130% 03/09/2011 DD 03/08/11	(740,679)	740,679
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 02/28/2011 DD 02/25/11	(2,744,720)	2,744,720
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 03/18/2011 DD 03/17/11	(1,880,369)	1,880,369
SALI CAT 1 REPO	SALI CAT 1 REPO 0.140% 03/22/2011 DD 03/21/11	(173,006)	173,006
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 01/26/2011 DD 01/25/11	(2,136,515)	2,136,515
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 03/02/2011 DD 03/01/11	(1,236,183)	1,236,183
SALI CAT 1 REPO	SALI CAT 1 REPO 0.150% 08/01/2011 DD 07/29/11	(179,473)	179,473
SALI CAT 1 REPO	SALI CAT 1 REPO 0.160% 01/10/2011 DD 01/07/11	(6,173,800)	6,173,800
SALI CAT 1 REPO	SALI CAT 1 REPO 0.160% 01/18/2011 DD 01/14/11	(2,136,446)	2,136,446
SALI CAT 1 REPO	SALI CAT 1 REPO 0.180% 02/16/2011 DD 02/15/11	(1,669,231)	1,669,231

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 1 REPO	SALI CAT 1 REPO 0.180% 03/01/2011 DD 02/28/11	(1,832,520)	1,832,520
SALI CAT 1 REPO	SALI CAT 1 REPO 0.210% 01/21/2011 DD 01/20/11	(2,232,513)	2,232,513
SALI CAT 1 REPO	SALI CAT 1 REPO 0.230% 01/19/2011 DD 01/18/11	(2,448,909)	2,448,909
SALI CAT 2 REPO	SALI CAT 2 REPO 0.160% 07/21/2011 DD 07/20/11	(243,683)	243,683
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/19/2011 DD 04/18/11	(356,506)	356,506
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/20/2011 DD 04/19/11	(185,353)	185,353
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/28/2011 DD 04/27/11	(119,248)	119,248
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 04/29/2011 DD 04/28/11	(118,716)	118,716
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/08/2011 DD 06/07/11	(562,626)	562,626
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/09/2011 DD 06/08/11	(520,280)	520,280
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/10/2011 DD 06/09/11	(435,476)	435,476
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/13/2011 DD 06/10/11	(430,400)	430,400
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/15/2011 DD 06/14/11	(455,080)	455,080
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/21/2011 DD 06/20/11	(248,437)	248,437
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/23/2011 DD 06/22/11	(225,408)	225,408

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/24/2011 DD 06/23/11	(296,221)	296,221
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/27/2011 DD 06/24/11	(1,326,173)	1,326,173
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/28/2011 DD 06/27/11	(14,000,000)	14,000,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/29/2011 DD 06/28/11	(13,900,000)	13,900,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 06/30/2011 DD 06/29/11	(13,800,000)	13,800,000
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/19/2011 DD 07/18/11	(268,182)	268,182
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/20/2011 DD 07/19/11	(279,131)	279,131
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/22/2011 DD 07/21/11	(181,734)	181,734
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/25/2011 DD 07/22/11	(166,309)	166,309
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/26/2011 DD 07/25/11	(177,046)	177,046
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/27/2011 DD 07/26/11	(232,931)	232,931
SALI CAT 2 REPO	SALI CAT 2 REPO 0.170% 07/28/2011 DD 07/27/11	(266,065)	266,065
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 04/21/2011 DD 04/20/11	(135,123)	135,123
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/02/2011 DD 06/01/11	(558,052)	558,052
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/03/2011 DD 06/02/11	(499,615)	499,615

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/06/2011 DD 06/03/11	(512,834)	512,834
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/07/2011 DD 06/06/11	(537,203)	537,203
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/17/2011 DD 06/16/11	(287,009)	287,009
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/20/2011 DD 06/17/11	(269,198)	269,198
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 06/22/2011 DD 06/21/11	(275,722)	275,722
SALI CAT 2 REPO	SALI CAT 2 REPO 0.180% 07/29/2011 DD 07/28/11	(261,518)	261,518
SALI CAT 2 REPO	SALI CAT 2 REPO 0.190% 04/01/2011 DD 03/31/11	(288,315)	288,315
SALI CAT 2 REPO	SALI CAT 2 REPO 0.190% 09/01/2011 DD 08/31/11	(144,753)	144,753
SALI CAT 2 REPO	SALI CAT 2 REPO 0.200% 04/18/2011 DD 04/15/11	(282,222)	282,222
SALI CAT 2 REPO	SALI CAT 2 REPO 0.210% 03/31/2011 DD 03/30/11	(388,237)	388,237
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/14/2011 DD 03/11/11	(151,719)	151,719
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/15/2011 DD 03/14/11	(163,122)	163,122
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/17/2011 DD 03/16/11	(258,170)	258,170
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/18/2011 DD 03/17/11	(286,228)	286,228
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/25/2011 DD 03/24/11	(257,734)	257,734

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/28/2011 DD 03/25/11	(312,797)	312,797
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/29/2011 DD 03/28/11	(384,550)	384,550
SALI CAT 2 REPO	SALI CAT 2 REPO 0.220% 03/30/2011 DD 03/29/11	(427,782)	427,782
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/18/2011 DD 02/17/11	(326,685)	326,685
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/22/2011 DD 02/18/11	(285,157)	285,157
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/25/2011 DD 02/24/11	(234,401)	234,401
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 02/28/2011 DD 02/25/11	(197,696)	197,696
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/03/2011 DD 03/02/11	(289,548)	289,548
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/04/2011 DD 03/03/11	(343,739)	343,739
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/07/2011 DD 03/04/11	(289,109)	289,109
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/08/2011 DD 03/07/11	(265,527)	265,527
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/09/2011 DD 03/08/11	(207,407)	207,407
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/10/2011 DD 03/09/11	(181,099)	181,099
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/11/2011 DD 03/10/11	(170,060)	170,060
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/16/2011 DD 03/15/11	(237,590)	237,590

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/22/2011 DD 03/21/11	(399,243)	399,243
SALI CAT 2 REPO	SALI CAT 2 REPO 0.230% 03/23/2011 DD 03/22/11	(103,109)	103,109
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 02/10/2011 DD 02/09/11	(44,091)	44,091
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 02/17/2011 DD 02/16/11	(229,120)	229,120
SALI CAT 2 REPO	SALI CAT 2 REPO 0.240% 03/02/2011 DD 03/01/11	(265,645)	265,645
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/14/2011 DD 01/13/11	(301,280)	301,280
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/18/2011 DD 01/14/11	(343,462)	343,462
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/24/2011 DD 01/21/11	(372,728)	372,728
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/26/2011 DD 01/25/11	(365,110)	365,110
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/27/2011 DD 01/26/11	(470,866)	470,866
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/28/2011 DD 01/27/11	(393,740)	393,740
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 01/31/2011 DD 01/28/11	(191,014)	191,014
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 02/07/2011 DD 02/04/11	(16,788)	16,788
SALI CAT 2 REPO	SALI CAT 2 REPO 0.250% 02/09/2011 DD 02/08/11	(15,933)	15,933
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/10/2011 DD 01/07/11	(3,335,280)	3,335,280

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/11/2011 DD 01/10/11	(2,647,112)	2,647,112
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/12/2011 DD 01/11/11	(1,469,998)	1,469,998
SALI CAT 2 REPO	SALI CAT 2 REPO 0.260% 01/13/2011 DD 01/12/11	(702,954)	702,954
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/06/2011 DD 01/05/11	(80,324)	80,324
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/07/2011 DD 01/06/11	(39,306)	39,306
SALI CAT 2 REPO	SALI CAT 2 REPO 0.270% 01/21/2011 DD 01/20/11	(360,730)	360,730
SALI CAT 2 REPO	SALI CAT 2 REPO 0.280% 01/20/2011 DD 01/19/11	(303,925)	303,925
SALI CAT 2 REPO	SALI CAT 2 REPO 0.290% 01/04/2011 DD 01/03/11	(3,805)	3,805
SALI CAT 2 REPO	SALI CAT 2 REPO 0.300% 01/19/2011 DD 01/18/11	(277,793)	277,793
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/18/2011 DD 02/17/11	(652,782)	652,782
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/22/2011 DD 02/18/11	(568,466)	568,466
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/25/2011 DD 02/24/11	(467,910)	467,910
SALI CAT 2 REPO	SALI CAT 2 REPO 0.310% 02/28/2011 DD 02/25/11	(394,884)	394,884
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 02/10/2011 DD 02/09/11	(88,182)	88,182
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 02/17/2011 DD 02/16/11	(457,378)	457,378

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.320% 03/02/2011 DD 03/01/11	(530,030)	530,030
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/14/2011 DD 01/13/11	(602,353)	602,353
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/18/2011 DD 01/14/11	(686,672)	686,672
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/24/2011 DD 01/21/11	(745,270)	745,270
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/26/2011 DD 01/25/11	(729,975)	729,975
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/27/2011 DD 01/26/11	(941,424)	941,424
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/28/2011 DD 01/27/11	(787,481)	787,481
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 01/31/2011 DD 01/28/11	(382,029)	382,029
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/07/2011 DD 02/04/11	(33,577)	33,577
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/09/2011 DD 02/08/11	(31,867)	31,867
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 02/16/2011 DD 02/15/11	(164,399)	164,399
SALI CAT 2 REPO	SALI CAT 2 REPO 0.330% 03/01/2011 DD 02/28/11	(848,773)	848,773
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/10/2011 DD 01/07/11	(6,454,714)	6,454,714
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/11/2011 DD 01/10/11	(5,292,209)	5,292,209
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/12/2011 DD 01/11/11	(2,939,996)	2,939,996

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.340% 01/13/2011 DD 01/12/11	(1,405,908)	1,405,908
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/06/2011 DD 01/05/11	(153,267)	153,267
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/07/2011 DD 01/06/11	(75,696)	75,696
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 01/21/2011 DD 01/20/11	(721,357)	721,357
SALI CAT 2 REPO	SALI CAT 2 REPO 0.350% 02/01/2011 DD 01/31/11	(98,304)	98,304
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 01/20/2011 DD 01/19/11	(607,745)	607,745
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/11/2011 DD 02/10/11	(119,987)	119,987
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/14/2011 DD 02/11/11	(231,743)	231,743
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/15/2011 DD 02/14/11	(451,655)	451,655
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/18/2011 DD 02/17/11	(1,804,981)	1,804,981
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/22/2011 DD 02/18/11	(315,040)	315,040
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/23/2011 DD 02/22/11	(101,041)	101,041
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/24/2011 DD 02/23/11	(446,451)	446,451
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/25/2011 DD 02/24/11	(386,337)	386,337
SALI CAT 2 REPO	SALI CAT 2 REPO 0.360% 02/28/2011 DD 02/25/11	(995,917)	995,917

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 01/04/2011 DD 01/03/11	(7,116)	7,116
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 02/10/2011 DD 02/09/11	(205,640)	205,640
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 02/17/2011 DD 02/16/11	(25,324)	25,324
SALI CAT 2 REPO	SALI CAT 2 REPO 0.370% 03/02/2011 DD 03/01/11	(926,505)	926,505
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/14/2011 DD 01/13/11	(1,234,359)	1,234,359
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/18/2011 DD 01/14/11	(136,475)	136,475
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/19/2011 DD 01/18/11	(555,395)	555,395
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/24/2011 DD 01/21/11	(1,083,347)	1,083,347
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/25/2011 DD 01/24/11	(481,659)	481,659
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/26/2011 DD 01/25/11	(2,437,663)	2,437,663
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/27/2011 DD 01/26/11	(5,223,185)	5,223,185
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/28/2011 DD 01/27/11	(452,787)	452,787
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 01/31/2011 DD 01/28/11	(144,747)	144,747
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/04/2011 DD 02/03/11	(2,082,480)	2,082,480
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/07/2011 DD 02/04/11	(401,820)	401,820

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/08/2011 DD 02/07/11	(883,975)	883,975
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/09/2011 DD 02/08/11	(338,522)	338,522
SALI CAT 2 REPO	SALI CAT 2 REPO 0.380% 02/16/2011 DD 02/15/11	(1,671,760)	1,671,760
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 01/11/2011 DD 01/10/11	(64,554)	64,554
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 01/12/2011 DD 01/11/11	(3,038,225)	3,038,225
SALI CAT 2 REPO	SALI CAT 2 REPO 0.390% 02/03/2011 DD 02/02/11	(509,463)	509,463
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 01/06/2011 DD 01/05/11	(1,159,090)	1,159,090
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 01/21/2011 DD 01/20/11	(941,702)	941,702
SALI CAT 2 REPO	SALI CAT 2 REPO 0.400% 02/02/2011 DD 02/01/11	(348,195)	348,195
SALI CAT 2 REPO	SALI CAT 2 REPO 0.410% 01/05/2011 DD 01/04/11	(1,341,989)	1,341,989
SALI CAT 3 REPO	SALI CAT 3 REPO 0.100% 05/23/2011 DD 05/20/11	(245,000)	245,000
SALI CAT 3 REPO	SALI CAT 3 REPO 0.170% 01/07/2011 DD 01/06/11	(19,696,780)	19,696,780
SALI CAT 3 REPO	SALI CAT 3 REPO 0.180% 01/11/2011 DD 01/10/11	(7,513,215)	7,513,215
SAMSUNG ELECTRONICS CO LTD	SAMSUNG ELECTRONICS CO LTD	(1,230,299)	1,105,869
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC	(225,000)	229,625

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SANOFI-AVENTIS	SANOFI-AVENTIS 4.000% 03/29/2021 DD 03/29/11	(989,760)	970,370
SANTANDER UK PLC	SANTANDER UK PLC 7.950% 10/26/2029 DD 10/26/99	(1,024,380)	1,123,514
SENSATA TECHNOLOGIES BV	SENSATA TECHNOLOGIES BV 6.500% 05/15/2019 DD 05/12/11	(185,000)	186,281
SESI LLC 144A	SESI LLC 144A 6.375% 05/01/2019 DD 04/27/11	(170,000)	171,825
SEVEN SEAS CRUISES 144A	SEVEN SEAS CRUISES 144A 9.125% 05/15/2019 DD 05/19/11	(45,000)	46,350
SHEFFIELD REC CP DISC	SHEFFIELD REC CP DISC 9/7/2011	(999,570)	999,570
SHIRE PLC ORD GBP0.04	SHIRE PLC ORD GBP0.05	(303,119)	313,817
SINO-FOREST CORP	SINO-FOREST CORP	(1,102,950)	351,648
SM ENERGY CO 144A	SM ENERGY CO 144A 6.500% 11/15/2021 DD 11/08/11	(64,000)	63,840
SM ENERGY CO 144A	SM ENERGY CO 144A 6.625% 02/15/2019 DD 02/07/11	(45,000)	45,650
SMITH & NEPHEW ORD USD0.19	SMITH & NEPHEW ORD USD0.20	(318,581)	312,623
SOCIETE GENERALE	SOCIETE GENERALE 5.200% 04/15/2021 DD 04/18/11	(2,196,964)	2,204,532
SOCIETE GENERALE	SOCIETE GENERALE VAR RT 04/29/2049 DD 04/05/07	(312,000)	242,125
SOLITAIRE FDG LTD DISC	SOLITAIRE FDG LTD DISC 9/15/2011	(1,059,444)	1,059,500
STERLING MERGER INC 144A	STERLING MERGER INC 144A 11.000% 10/01/2019 DD 07/20/11	(145,000)	149,713

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
STHI HOLDING CORP 144A	STHI HOLDING CORP 144A 8.000% 03/15/2018 DD 03/25/11	(115,000)	118,968
STROER OUT-OF-HOME MEDIA AG	STROER OUT-OF-HOME MEDIA AG	(196,427)	154,919
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 10/7/2011	(959,032)	959,785
SUNCOKE ENERGY INC 144A	SUNCOKE ENERGY INC 144A 7.625% 08/01/2019 DD 07/26/11	(150,000)	153,694
SUNCOR ENERGY INC	SUNCOR ENERGY INC NEW	(2,528,779)	2,502,060
SYMBION INC	SYMBION INC 8.000% 06/15/2016 DD 06/14/11	(103,417)	103,675
TARGA RESOURCES PARTNERS 144A	TARGA RESOURCES PARTNERS 144A 6.875% 02/01/2021 DD 02/02/11	(100,000)	99,650
TASEKO MINES LTD	TASEKO MINES LTD 7.750% 04/15/2019 DD 04/15/11	(30,000)	30,788
TELEFLEX INC	TELEFLEX INC 6.875% 06/01/2019 DD 06/13/11	(150,000)	152,313
TEN CATE KONINKLIJKE EUR2.4	TEN CATE KONINKLIJKE EUR2.5	(767,634)	597,417
THERMO FISHER SCIENTIFIC	THERMO FISHER SCIENTIFIC 3.200% 03/01/2016 DD 02/22/11	(414,747)	427,048
THERMON INDUSTRIES INC	THERMON INDUSTRIES INC 9.500% 05/01/2017 DD 11/01/10	(77,000)	82,775
THOMPSON CREEK METALS CO INC	THOMPSON CREEK METALS CO INC 7.375% 06/01/2018 DD 05/20/11	(185,000)	166,500
THUNDER BAY FDG DISC	THUNDER BAY FDG DISC 4/15/2011	(1,998,575)	1,998,808
TOYOTA MTR CR CP DISC	TOYOTA MTR CR CP DISC 10/28/2011	(3,317,391)	3,317,645

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
TUI TRAVEL PLC ORD GBP1	TUI TRAVEL PLC ORD GBP0	(434,215)	283,543
UKRTELECOM UAH0.24	UKRTELECOM UAH0.25	(768)	754
UNCLE ACQUISITION 2010 144A	UNCLE ACQUISITION 2010 144A 8.625% 02/15/2019 DD 01/26/11	(290,000)	307,813
UNIT CORP	UNIT CORP 6.625% 05/15/2021 DD 05/18/11	(45,000)	45,900
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP DEC 11		202,489
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP JUN 11		(7,031)
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP SEP 11		4,150
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP DEC 11		180,633
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP JUN 11		173,141
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP SEP 11		530,303
US 10 YR TREAS NTS FUTURE (CBT)	US 10 YR TREAS NTS FUTURE (CBT) EXP DEC 11		(27,828)
US 10 YR TREAS NTS FUTURE (CBT)	US 10 YR TREAS NTS FUTURE (CBT) EXP JUN 11		(294,797)
US 10YR NOTE FUTURE (CBT)	US 10YR NOTE FUTURE (CBT) EXP SEP 11		(207,508)
US 5YR TREAS NTS FUT (CBT)	US 5YR TREAS NTS FUT (CBT) EXP JUN 11		(10,648)
USG PEOPLE NV	USG PEOPLE NV EUR0.5 (POST SUBD)	(195,892)	171,426

ROCKWELL COLLINS PENSION PLAN**EIN #52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2011 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
VANGUARD HEALTH SYSTEMS	VANGUARD HEALTH SYSTEMS 0.000% 02/01/2016 DD 01/26/11	(101,164)	110,500
VANGUARD HEALTH SYSTEMS INC	VANGUARD HEALTH SYSTEMS INC 0.000% 02/01/2016 DD 06/08/11	(4,761)	5,400
VENOCO INC	VENOCO INC 8.875% 02/15/2019 DD 02/15/11	(165,000)	167,963
VERSO PAPER HOLDINGS LLC / VER	VERSO PAPER HOLDINGS LLC / VER 8.750% 02/01/2019 DD 08/01/11	(121,464)	89,400
VIRGIN MEDIA SECURED 144A	VIRGIN MEDIA SECURED 144A 5.250% 01/15/2021 DD 03/03/11	(283,524)	286,106
W&T OFFSHORE INC 144A	W&T OFFSHORE INC 144A 8.500% 06/15/2019 DD 06/10/11	(80,000)	80,438
WALT DISNEY COMPANY/THE	WALT DISNEY COMPANY/THE 4.375% 08/16/2041 DD 08/22/11	(148,517)	149,231
WEA FINANCE LLC	WEA FINANCE LLC 4.625% 05/10/2021 DD 05/10/11	(376,998)	374,036
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 4/11/2011	(2,308,365)	2,308,854
WINDMILL FDG CORP DISC	WINDMILL FDG CORP DISC 7/18/2011	(999,353)	999,511
WM FINANCE CORP 144A	WM FINANCE CORP 144A 9.500% 06/15/2016 DD 07/20/11	(52,375)	52,750
WM FINANCE CORP 144A	WM FINANCE CORP 144A 11.500% 10/01/2018 DD 07/20/11	(122,091)	124,138
XERIUM TECHNOLOGIES INC	XERIUM TECHNOLOGIES INC 8.875% 06/15/2018 DD 05/26/11	(105,000)	105,794
ZHUZHOU CSR TIMES ELECTRIC CO	ZHUZHOU CSR TIMES ELECTRIC CO LTD H CNY1	(465,433)	475,366

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

SINGLE TRANSACTIONS IN EXCESS OF FIVE PERCENT
OF THE CURRENT VALUE OF THE PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction	Net Gain (Loss)
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	\$ 143,000,000	\$ -	\$ -	\$ -	\$ -
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	173,537,807	-	-	-	-

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

SERIES OF TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF FIVE PERCENT
OF THE CURRENT VALUE OF THE PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	5	\$ 175,871,526	\$ -	\$ -	\$ -	\$ -
The Bank of New York Mellon	VANGUARD INTERNATIONAL GROWTH	1	-	5,000,000	4,917,975	5,000,000	82,025
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	6	183,102,130	-	-	-	-
The Bank of New York Mellon	SSGA 20+YEAR US HIGH QUALITY BOND	4	-	19,072,552	17,698,842	19,072,552	1,373,710

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2005 Maturity Date - 09/28/12 Maturity Value = \$1,697	\$ 1,176	\$ 1,697	\$ 521
Rockwell Collins, Inc.	Employer	Excess Comp - November 2005 Maturity Date - 09/28/12 Maturity Value = \$3,679	2,505	3,679	1,174
Rockwell Collins, Inc.	Employer	Excess Comp - December 2005 Maturity Date - 09/28/12 Maturity Value = \$1,933	1,352	1,933	581
Rockwell Collins, Inc.	Employer	Excess Comp - January 2006 Maturity Date - 09/28/12 Maturity Value = \$401	282	401	119
Rockwell Collins, Inc.	Employer	Excess Comp - February 2006 Maturity Date - 09/28/12 Maturity Value = \$5,556	4,025	5,556	1,531
Rockwell Collins, Inc.	Employer	Excess Comp - March 2006 Maturity Date - 09/28/12 Maturity Value = \$5,675	4,112	5,675	1,563

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2006 Maturity Date - 09/28/12 Maturity Value = \$386	283	386	103
Rockwell Collins, Inc.	Employer	Excess Comp - May 2006 Maturity Date - 09/28/12 Maturity Value = \$6,275	4,647	6,275	1,628
Rockwell Collins, Inc.	Employer	Excess Comp - June 2006 Maturity Date - 09/28/12 Maturity Value = \$9,979	7,185	9,979	2,794
Rockwell Collins, Inc.	Employer	Excess Comp - July 2006 Maturity Date - 09/28/12 Maturity Value = \$12,924	9,271	12,924	3,653
Rockwell Collins, Inc.	Employer	Excess Comp - August 2006 Maturity Date - 09/28/12 Maturity Value = \$11,641	8,336	11,641	3,305
Rockwell Collins, Inc.	Employer	Excess Comp - September 2006 Maturity Date - 09/28/12 Maturity Value = \$6,255	4,578	6,255	1,677

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2006 Maturity Date - 09/28/12 Maturity Value = \$1,452	1,081	1,452	371
Rockwell Collins, Inc.	Employer	Excess Comp - November 2006 Maturity Date - 09/28/12 Maturity Value = \$4,197	3,212	4,197	985
Rockwell Collins, Inc.	Employer	Excess Comp - December 2006 Maturity Date - 09/28/12 Maturity Value = \$474	370	474	104
Rockwell Collins, Inc.	Employer	Excess Comp - January 2007 Maturity Date - 09/28/12 Maturity Value = \$9,275	7,318	9,275	1,957
Rockwell Collins, Inc.	Employer	Excess Comp - February 2007 Maturity Date - 09/28/12 Maturity Value = \$5,325	4,256	5,325	1,069
Rockwell Collins, Inc.	Employer	Excess Comp - March 2007 Maturity Date - 09/28/12 Maturity Value = \$8,258	6,556	8,258	1,702

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2007 Maturity Date - 09/28/12 Maturity Value = \$3,780	3,025	3,780	755
Rockwell Collins, Inc.	Employer	Excess Comp - May 2007 Maturity Date - 09/28/12 Maturity Value = \$4,895	4,052	4,895	843
Rockwell Collins, Inc.	Employer	Excess Comp - June 2007 Maturity Date - 09/28/12 Maturity Value = \$1,950	1,649	1,950	301
Rockwell Collins, Inc.	Employer	Excess Comp - July 2007 Maturity Date - 09/28/12 Maturity Value = \$8,264	6,954	8,264	1,310
Rockwell Collins, Inc.	Employer	Excess Comp - August 2007 Maturity Date - 09/28/12 Maturity Value = \$3,827	3,164	3,827	663
Rockwell Collins, Inc.	Employer	Excess Comp - September 2007 Maturity Date - 09/28/12 Maturity Value = \$1,261	1,050	1,261	211

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2007 Maturity Date - 09/28/12 Maturity Value = \$105	90	105	15
Rockwell Collins, Inc.	Employer	Excess Comp - November 2007 Maturity Date - 09/28/12 Maturity Value = \$7,679	6,771	7,679	908
Rockwell Collins, Inc.	Employer	Excess Comp - December 2007 Maturity Date - 09/28/12 Maturity Value = \$997	856	997	141
Rockwell Collins, Inc.	Employer	Excess Comp - January 2008 Maturity Date - 09/28/12 Maturity Value = \$5,966	5,102	5,966	864
Rockwell Collins, Inc.	Employer	Excess Comp - February 2008 Maturity Date - 09/28/12 Maturity Value = \$3,054	2,487	3,054	567
Rockwell Collins, Inc.	Employer	Excess Comp - March 2008 Maturity Date - 09/28/12 Maturity Value = \$115	92	115	23

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2008 Maturity Date - 09/28/12 Maturity Value = \$13,419	10,729	13,419	2,690
Rockwell Collins, Inc.	Employer	Excess Comp - May 2008 Maturity Date - 09/28/12 Maturity Value = \$9,246	7,610	9,246	1,636
Rockwell Collins, Inc.	Employer	Excess Comp - June 2008 Maturity Date - 09/28/12 Maturity Value = \$976	813	976	163
Rockwell Collins, Inc.	Employer	Excess Comp - July 2008 Maturity Date - 09/28/12 Maturity Value = \$4,305	3,405	4,305	900
Rockwell Collins, Inc.	Employer	Excess Comp - August 2008 Maturity Date - 09/28/12 Maturity Value = \$2,586	2,020	2,586	566
Rockwell Collins, Inc.	Employer	Excess Comp - September 2008 Maturity Date - 09/28/12 Maturity Value = \$8,334	6,512	8,334	1,822

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2008 Maturity Date - 09/28/12 Maturity Value = \$35,866	25,746	35,866	10,120
Rockwell Collins, Inc.	Employer	Excess Comp - November 2008 Maturity Date - 09/28/12 Maturity Value = \$30,961	19,334	30,961	11,627
Rockwell Collins, Inc.	Employer	Excess Comp - December 2008 Maturity Date - 09/28/12 Maturity Value = \$34,044	20,388	34,044	13,656
Rockwell Collins, Inc.	Employer	Excess Comp - January 2009 Maturity Date - 09/28/12 Maturity Value = \$27,978	17,568	27,978	10,410
Rockwell Collins, Inc.	Employer	Excess Comp - February 2009 Maturity Date - 09/28/12 Maturity Value = \$36,624	21,487	36,624	15,137
Rockwell Collins, Inc.	Employer	Excess Comp - March 2009 Maturity Date - 09/28/12 Maturity Value = \$44,318	24,386	44,318	19,932

ROCKWELL COLLINS PENSION PLAN
EIN #52-2314475, PLAN #: 001

FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2009 Maturity Date - 09/28/12 Maturity Value = \$35,189	20,384	35,189	14,805
Rockwell Collins, Inc.	Employer	Excess Comp - May 2009 Maturity Date - 09/28/12 Maturity Value = \$31,120	19,175	31,120	11,945
Rockwell Collins, Inc.	Employer	Excess Comp - June 2009 Maturity Date - 09/28/12 Maturity Value = \$38,444	24,768	38,444	13,676
Rockwell Collins, Inc.	Employer	Excess Comp - July 2009 Maturity Date - 09/28/12 Maturity Value = \$29,714	19,180	29,714	10,534
Rockwell Collins, Inc.	Employer	Excess Comp - August 2009 Maturity Date - 09/28/12 Maturity Value = \$29,964	20,597	29,964	9,367
Rockwell Collins, Inc.	Employer	Excess Comp - September 2009 Maturity Date - 09/28/12 Maturity Value = \$36,153	25,574	36,153	10,579

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2009 Maturity Date - 09/28/12 Maturity Value = \$2,471	1,809	2,471	662
Rockwell Collins, Inc.	Employer	Excess Comp - November 2009 Maturity Date - 09/28/12 Maturity Value = \$77,637	55,754	77,637	21,883
Rockwell Collins, Inc.	Employer	Excess Comp - December 2009 Maturity Date - 09/28/12 Maturity Value = \$33,695	25,011	33,695	8,684
Rockwell Collins, Inc.	Employer	Excess Comp - January 2010 Maturity Date - 09/28/12 Maturity Value = \$29,810	22,434	29,810	7,376
Rockwell Collins, Inc.	Employer	Excess Comp - February 2010 Maturity Date - 09/28/12 Maturity Value = \$36,885	27,249	36,885	9,636
Rockwell Collins, Inc.	Employer	Excess Comp - March 2010 Maturity Date - 09/28/12 Maturity Value = \$50,049	37,683	50,049	12,366

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2010 Maturity Date - 09/28/12 Maturity Value = \$31,912	\$ 25,072	\$ 31,912	\$ 6,840
Rockwell Collins, Inc.	Employer	Excess Comp - May 2010 Maturity Date - 09/28/12 Maturity Value = \$28,687	\$ 22,966	\$ 28,687	\$ 5,721
Rockwell Collins, Inc.	Employer	Excess Comp - June 2010 Maturity Date - 09/28/12 Maturity Value = \$44,461	\$ 33,592	\$ 44,461	\$ 10,869
Rockwell Collins, Inc.	Employer	Excess Comp - July 2010 Maturity Date - 09/28/12 Maturity Value = \$40,055	\$ 29,736	\$ 40,055	\$ 10,319
Rockwell Collins, Inc.	Employer	Excess Comp - August 2010 Maturity Date - 09/28/12 Maturity Value = \$48,103	\$ 37,598	\$ 48,103	\$ 10,505
Rockwell Collins, Inc.	Employer	Excess Comp - September 2010 Maturity Date - 09/28/12 Maturity Value = \$54,869	\$ 42,354	\$ 54,869	\$ 12,515

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - October 2010 Maturity Date - 09/28/12 Maturity Value = \$45,597	37,519	45,597	8,078
Rockwell Collins, Inc.	Employer	Excess Comp - November 2010 Maturity Date - 09/28/12 Maturity Value = \$30,309	25,464	30,309	4,845
Rockwell Collins, Inc.	Employer	Excess Comp - December 2010 Maturity Date - 09/28/12 Maturity Value = \$41,478	34,620	41,478	6,858
Rockwell Collins, Inc.	Employer	Excess Comp - January 2011 Maturity Date - 09/28/12 Maturity Value = \$34,433	29,908	34,433	4,525
Rockwell Collins, Inc.	Employer	Excess Comp - February 2011 Maturity Date - 09/28/12 Maturity Value = \$34,496	30,223	34,496	4,273
Rockwell Collins, Inc.	Employer	Excess Comp - March 2011 Maturity Date - 09/28/12 Maturity Value = \$45,524	40,946	45,524	4,578

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FORM 5500, SCHEDULE G, PART III
SCHEDULE OF NONEXEMPT TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2011

Identity of Party Involved	Relationship to Plan Employer, or Other Party-In-Interest Description of Asset	Description of Transaction Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Asset	Current Value of Asset	Net Gain (Loss)
Rockwell Collins, Inc.	Employer	Excess Comp - April 2011 Maturity Date - 09/28/12 Maturity Value = \$34,587	\$ 31,177	\$ 34,587	\$ 3,410
Rockwell Collins, Inc.	Employer	Excess Comp - May 2011 Maturity Date - 09/28/12 Maturity Value = \$40,590	\$ 37,783	\$ 40,590	\$ 2,807
Rockwell Collins, Inc.	Employer	Excess Comp - June 2011 Maturity Date - 09/28/12 Maturity Value = \$35,170	\$ 32,549	\$ 35,170	\$ 2,621
Rockwell Collins, Inc.	Employer	Excess Comp - July 2011 Maturity Date - 09/28/12 Maturity Value = \$33,116	\$ 30,062	\$ 33,116	\$ 3,054
Rockwell Collins, Inc.	Employer	Excess Comp - August 2011 Maturity Date - 09/28/12 Maturity Value = \$45,346	\$ 40,913	\$ 45,346	\$ 4,433
Rockwell Collins, Inc.	Employer	Excess Comp - September 2011 Maturity Date - 09/28/12 Maturity Value = \$36,168	\$ 31,380	\$ 36,168	\$ 4,788

Attachment to 2011 Schedule SB, Line 15
Reconciliation of Differences between Valuation Results
and Amounts Used to calculate AFTAP as of January 1, 2011

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

The AFTAP reported on line 15 reflects adjustments pertaining to the plan year subsequent to the valuation.

Date of AFTAP certification/ recertification	AFTAP	Description and the amount of each adjustment to the funding target, AVA, carryover balance, prefunding balance
September 30, 2011	74.02%	<p>The January 1, 2011 Funding Target Liability was increased by \$8,256,565 subsequent to the certification date. This change was made to reflect the following amendments made after the beginning of the plan year:</p> <ul style="list-style-type: none"> • Salaried subplan death benefits were amended to remove the \$10,000 limit on post-65 in-service deaths • Salaried subplan death benefits were amended to provide benefits for all post-65 in-service deaths • Coralville and Offsite participants can retire prior to age 65 with only five years of vesting service • Changes in the requirements for a salaried employee on layoff to be eligible to “slide” into early retirement • All salaried employees can receive the early retirement subsidy at age 55 with 30 years of service • The Offsite Electronics subplan benefits were updated <p>The above changes did not cause a material change in funded status and the AFTAP was not recertified subsequent to September 30, 2011.</p>