## Form 5500-SF

Employee Benefits Security Administration

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public

Department of the Treasury 2011 Internal Revenue Service This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of Department of Labor the Internal Revenue Code (the Code). Inspection Pension Benefit Guaranty Corporation ▶ Complete all entries in accordance with the instructions to the Form 5500-SF **Annual Report Identification Information** For calendar plan year 2011 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan A This return/report is for: the first return/report **B** This return/report is: the final return/report an amended return/report a short plan year return/report (less than 12 months) Form 5558 automatic extension DFVC program C Check box if filing under: special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit plan number SPEKTRUM GRAPHICS, INC. 401(K) PROFIT SHARING PLAN (PN) ▶ 001 1c Effective date of plan

01/01/2004 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number SPEKTRUM GRAPHICS, INC. 06-1603743 (EIN) 2c Sponsor's telephone number 203-219-5702 186 HARTSDALE AVE. WHITE PLAINS, NY 10606 2d Business code (see instructions) 323100 3a Plan administrator's name and address (if same as plan sponsor, enter "Same") 3b Administrator's EIN 06-1603743 SPEKTRUM GRAPHICS, INC. 186 HARTSDALF AVE. WHITE PLAINS, NY 10606 3c Administrator's telephone number 203-219-5702 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN Sponsor's name 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (defined benefit plans do not

**6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.

Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 237619 223707 Total plan assets..... 7a 7b Total plan liabilities..... 237619 223707 Net plan assets (subtract line 7b from line 7a)..... 7с Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 0 8a(1) (1) Employers ..... (2) Participants ..... 8a(2) (3) Others (including rollovers)..... 8a(3) -7117 **b** Other income (loss)..... 8b -7117 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) ..... 8с Benefits paid (including direct rollovers and insurance premiums 6795 to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e Administrative service providers (salaries, fees, commissions)....... 8f Other expenses..... 8g 6795 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h -13912 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions) .....

complete this item).....

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Part IV	Plan	Characteristics
Parriv	Pian	Characteristics

- **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 3D
- **b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

<b>0</b> During the plan year:					1			
	г		Yes	No		Am	ount	
29 CFR 2510.3-102?	transmit to the plan any participant contributions within the time period described in (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
-	empt transactions with any party-in-interest? (Do not include transactions reported	10b		X				
<b>c</b> Was the plan covered	by a fidelity bond?	10c	Χ					300
•	ss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud	10d		X				
insurance service or o	missions paid to any brokers, agents, or other persons by an insurance carrier, ther organization that provides some or all of the benefits under the plan? (See	10e		X				
f Has the plan failed to	provide any benefit when due under the plan?	10f		X				
g Did the plan have any	participant loans? (If "Yes," enter amount as of year end.)	10g	Χ					385
	account plan, was there a blackout period? (See instructions and 29 CFR	10h		X				
	Yes," check the box if you either provided the required notice or one of the g the notice applied under 29 CFR 2520.101-3	10i						
rt VI Pension Fun	ding Compliance	'		•				
Is this a defined benef	t plan subject to minimum funding requirements? (If "Yes," see instructions and comp						Yes	X
	bution plan subject to the minimum funding requirements of section 412 of the Code						Yes	X
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	a or 12b, 12c, 12d, and 12e below, as applicable.) num funding standard for a prior year is being amortized in this plan year, see instruc	tions	and a	antar th	atch ar	of the le	ttar rul	ina
	Mont							
If you completed line 12	a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
<b>b</b> Enter the minimum re	a, complete files o, s, and to or concade in (1 orm cood), and skip to line to:							
	juired contribution for this plan year		[	12b				
				12b 12c				
<b>c</b> Enter the amount cond <b>d</b> Subtract the amount in	juired contribution for this plan year	 of a						
C Enter the amount cont     Subtract the amount in negative amount)	ributed by the employer to the plan for this plan year	of a		12c 12d	Yes		No [	] N/
c Enter the amount cond d Subtract the amount in negative amount) e Will the minimum func	ributed contribution for this plan yearributed by the employer to the plan for this plan year	of a		12c 12d			No [	N/
C Enter the amount cond     Subtract the amount in negative amount)      Will the minimum function     VII Plan Termin	ributed contribution for this plan year	of a		12c 12d	Yes		No [	N
c Enter the amount cond d Subtract the amount in negative amount) e Will the minimum functor VII Plan Termin Has a resolution to term	ributed by the employer to the plan for this plan year  line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left common amount reported on line 12d be met by the funding deadline?	of a		12c 12d	Yes	1	No [	] N/
C Enter the amount cond     d Subtract the amount in negative amount)      e Will the minimum funct     rt VII Plan Termin     Has a resolution to term     If "Yes," enter the amount.	ributed by the employer to the plan for this plan year	of a	 3a	12c	Yes	No		
C Enter the amount cond d Subtract the amount in negative amount) e Will the minimum funct rt VII Plan Termin d Has a resolution to term If "Yes," enter the amount b Were all the plan asset of the PBGC?	ributed by the employer to the plan for this plan year	of a	3a the co	12c 12d	Yes	No	No [	
c Enter the amount cond Subtract the amount in negative amount) e Will the minimum funct rt VII Plan Termin Ba Has a resolution to term If "Yes," enter the amount of the PBGC?	ributed by the employer to the plan for this plan year	of a	3a the conn(s) to	12c 12d	Yes Yes X	No		× 1
C Enter the amount cond d Subtract the amount in negative amount) e Will the minimum funct rt VII Plan Termin Ba Has a resolution to term If "Yes," enter the amount b Were all the plan asset of the PBGC? C If during this plan yea which assets or liability	ributed by the employer to the plan for this plan year	of a	3a the conn(s) to	12c 12d	Yes Yes X	No	Yes	X
c Enter the amount cond Subtract the amount in negative amount) e Will the minimum functivity Plan Terminga Has a resolution to term If "Yes," enter the amount of the PBGC?	ributed by the employer to the plan for this plan year	of a 1	33a   13	12c 12d	Yes Yes X	No	Yes	X

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/15/2012	DEAN MEDICO			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	10/15/2012	DEAN MEDICO			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			