## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

	Comp	lete all entries in accor	dance witl	h the instructions to the Form 5500	0-SF.		•			
Pa	art I Annual Report Identificat	ion Information								
For	calendar plan year 2011 or fiscal plan year	beginning 10/01/201	11	and ending 0	9/30/2	2012				
Α	This return/report is for:	employer plan	a multiple	e-employer plan (not multiemployer)		a one-particip	ant plan			
В	This return/report is: the first r	eturn/report	the final r	eturn/report		<u> </u>				
_		ded return/report	1	an year return/report (less than 12 mo	nnths)					
_	H	·		, ,	511(110)	DFVC progra	<b>m</b>			
C			1	extension			111			
_		xtension (enter descripti								
Pa	art II Basic Plan Information—	enter all requested inform	nation		1					
	Name of plan				1b	Three-digit				
RAM	GEN POWER SYSTEMS, LLC 401(K) P/S	PLAN				plan number	004			
					10	(PN)	001			
					10	Effective date of				
2a	Plan sponsor's name and address; include	room or suite number (	amployer if	for a single-employer plan)	2h	Employer Identif				
	IGEN POWER SYSTEMS, LLC	room or suite number (6	employer, ii	ioi a single-employer plan	20	(EIN) 26-35		<i>;</i> 1		
					20	Sponsor's telep	hone number			
1100	SO NORTHIR WAY #W400					425-828				
	18 NORTHUP WAY, #W190 LEVUE, WA 98005				2d	Business code (	see instruction	າຣ)		
						33361		,		
3a	Plan administrator's name and address (if	same as plan sponsor, e	nter "Same	?")	3b	Administrator's I				
RAM	GEN POWER SYSTEMS, LLC	11808 NORT BELLEVUE,		, #W190	26-3533759					
		DELEE VOE,	WA 90003		3c	Administrator's t		ıber		
4	If the name and/or EIN of the plan sponsor	r has changed since the	last return/	report filed for this plan, enter the	4b		)- <del>4</del> 313			
7	name, EIN, and the plan number from the		iast return/	report med for this plant, enter the	40	CIIN				
а	Sponsor's name	·			4c	PN				
5a	Total number of participants at the beginning	ing of the plan year			5a	5a				
b	Total number of participants at the end of	the plan year			5b			42		
С										
	complete this item)			•	5c			4(		
6a	Were all of the plan's assets during the pl	an year invested in eligib	ole assets?	(See instructions.)			X Yes	No		
b	3						V vaa 🗆	l		
	under 29 CFR 2520.104-46? (See instruct	• •		•			X Yes	No		
Da	If you answered "No" to either 6a or 6b	, the plan cannot use F	orm 5500-	SF and must instead use Form 550	00.					
	art III   Financial Information									
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End				
а	Total plan assets			2214714			3143228			
b	Total plan liabilities			0			04.40000			
<u>C</u>	Net plan assets (subtract line 7b from line		. 7с	2214714			3143228			
8	Income, Expenses, and Transfers for this			(a) Amount		(b) T	otal			
а	Contributions received or receivable from:		90(1)	156069						
	(1) Employers			341937						
	(2) Participants			0						
	(3) Others (including rollovers)									
b	Other income (loss)			430508	i		000544			
C.	Total income (add lines 8a(1), 8a(2), 8a(3)		. 8c				928514			
d	Benefits paid (including direct rollovers an to provide benefits)		8d	0						
е	Certain deemed and/or corrective distribut	tions (see instructions)	8e	0						
f	Administrative service providers (salaries,	fees, commissions)	8f	0						
g	Other expenses		8g	0						
h	Total expenses (add lines 8d, 8e, 8f, and 8	Bg)					0			
i	Net income (loss) (subtract line 8h from lin						928514			
j	Transfers to (from) the plan (see instruction	,								
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Page 2 -	1
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Part IV	Plan	Charac	teristics
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- 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D 3H
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions							
10	During the plan year:		Yes	No	Α	mount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X				275000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X				63322	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
Part	VI Pension Funding Compliance							
11								
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?  \[ \] Yes \[ \] No (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.  b. Enter the minimum required contribution for this plan year.							
b	Enter the minimum required contribution for this plan year.			12c				
d	Enter the amount contributed by the employer to the plan for this plan year.							
е							N/A	
Part				l l		<u>_</u>		
13a	13a Has a resolution to terminate the plan been adopted in any plan year?							
	If "Yes," enter the amount of any plan assets that reverted to the employer this year							
<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?								
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
1	3c(1) Name of plan(s):		13	c(2) El	N(s)	13c(3)	PN(s)	
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.								
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								

SIGN	Filed with authorized/valid electronic signature.	02/04/2013	DEBRA NICOLET
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor