Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2011

This Form is Open to Public Inspection

| Pa | art I Annual Report Identification Information | | | | | | |
|----------|--|--------------|--|--------|-----------------------------------|--|--|
| For | r calendar plan year 2011 or fiscal plan year beginning 05/01/2011 and ending 04/30/2012 | | | | | | |
| Α . | return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan | | | | | | |
| В | his return/report is: | | | | | | |
| | an amended return/report | a short pla | in year return/report (less than 12 mo | onths) | | | |
| С | Check box if filing under: | automatic | extension | | DFVC program | | |
| | special extension (enter description | n) | | | | | |
| Pa | art II Basic Plan Information—enter all requested informa | • | | | | | |
| | Name of plan | 111011 | | 1b | Three-digit | | |
| | S PLUMBING AND HEATING 401(K) PLAN | | | | plan number | | |
| | | | | | (PN) • 001 | | |
| | | | | 1C | Effective date of plan | | |
| | 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number | | | | | | |
| TILO | S PLUMBING AND HEATING CORP | iipioyoi, ii | Tot a single employer plany | 20 | (EIN) 11-2693287 | | |
| | | | | 2c | Sponsor's telephone number | | |
| 58 25 | 5 57TH DRIVE | | | | 718-278-9495 | | |
| | PETH, NY 11378 | | | 2d | Business code (see instructions) | | |
| | | | | | 238220 | | |
| | Plan administrator's name and address (if same as plan sponsor, en S PLUMBING AND HEATING CORP 58 25 57TH DI | | ") | 3b | Administrator's EIN 11-2693287 | | |
| TIEO. | MASPETH, N | | | 3c | Administrator's telephone number | | |
| | | | | , | 718-278-9495 | | |
| 4 | If the name and/or EIN of the plan sponsor has changed since the la | st return/ | report filed for this plan, enter the | 4b | EIN | | |
| а | name, EIN, and the plan number from the last return/report. Sponsor's name | | | 4c | PN | | |
| 5a | • | | | 5a | 30 | | |
| b | Total number of participants at the end of the plan year | | i | 5b | 30 | | |
| С | Number of participants with account balances as of the end of the pl | | | 35 | | | |
| | complete this item) | | | 5c | | | |
| 6a | Were all of the plan's assets during the plan year invested in eligible | | | | X Yes No | | |
| b | Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a | | | | X Yes ☐ No | | |
| | If you answered "No" to either 6a or 6b, the plan cannot use Fo | | | | | | |
| Pa | rt III Financial Information | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | | (b) End of Year | | |
| а | Total plan assets | 7a | 35597 | | 35598 | | |
| b | Total plan liabilities | 7b | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 35597 | | 35598 | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | (b) Total | | |
| а | Contributions received or receivable from: | o (1) | | | | | |
| | (1) Employers | 8a(1) | | | | | |
| | (2) Participants | 8a(2) | | | | | |
| L | (3) Others (including rollovers) | 8a(3) | 1 | | | | |
| b | Other income (loss) | 8b | | | 1 | | |
| c d | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | 1 | | |
| u | to provide benefits) | 8d | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | |
| g | Other expenses | 8g | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | 0 | | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | 1 | | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | |

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| Part IV | Plan Characteristics |
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9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

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2E 2F 3D 2K 2G 2J 2T

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| art | V Compliance Questions | | | | | | | |
|-------|---|--------|---------|-------------|--|----------|--------|-------|
| 0 | During the plan year: | | Yes | No | | Amoui | nt | |
| | as there a failure to transmit to the plan any participant contributions within the time period described in 9 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | X | | Amou | | |
| b | Vere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported in line 10a.) | | | X | | | | |
| С | Was the plan covered by a fidelity bond? | 10c | Χ | | | | | 25000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | X | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) | | X | | | | | |
| f | Has the plan failed to provide any benefit when due under the plan? | 10f | | X | | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | 10g | | X | | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | 10h | | Χ | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | | |
| art | VI Pension Funding Compliance | | | | • | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500)) | | | | | | ⁄es [| X No |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code | | | | | ΠY | es | X No |
| | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver. Montloou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | |
| | Enter the minimum required contribution for this plan year | | | 12b | | | | |
| | | | | 12c | | | | |
| | The the allount contributed by the employer to the plan year. | | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | П | N/A |
| art | | | | | <u> </u> | | | |
| | Has a resolution to terminate the plan been adopted in any plan year? | | | \ \ | res X N |) | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC? | | | ntrol | | П у | res [| X No |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.) | e plar | n(s) to | | | ш | L | _ |
| 1 | 13c(1) Name of plan(s): | | | c(2) EIN(s) | | 13 | c(3) F | N(s) |
| Cauti | on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable | e cau | se is | estab | lished | | | |
| Jnde | penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this returned the Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/r | rn/rep | ort, in | cludin | g, if applica | | | |

belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 02/15/2013 | MICHAEL GIANOURAKIS | | | | |
|------|---|------------|--|--|--|--|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator | | | | |
| SIGN | Filed with authorized/valid electronic signature. | 02/15/2013 | MICHAEL GIANOURAKIS | | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor | | | | |