Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instru	ections to the Form 550	0-SF.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Part I	Annual Report	Identification Information								
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/	2012	and ending 1	2/31/2	2012				
	turn/report is for:	a single-employer plan	=	olan (not multiemployer)	r) a one-participant plan					
B This ret	turn/report is:	the first return/report	the final return/repor							
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)	_				
C Check I	box if filing under:	Form 5558	automatic extension			DFVC progra	ım			
		special extension (enter descr	iption)							
Part II	Basic Plan Info	ermation—enter all requested info	ormation							
1a Name	of plan	·			1b	Three-digit				
RONALD C. RICMAN MD PROFIT SHARING PLAN AND TRUST						plan number				
					4 -	(PN) •	001			
					1C	C Effective date of plan 07/01/1986				
2a Plan a	noncor's name and ad	Idroon: include room or quite numbe	or (ampleyor if for a single	omployer plan)	2h					
	RICHMAN MD, PC	ldress; include room or suite numbe	er (employer, ii ior a singi	e-employer plan)	20	Employer Identi (EIN) 20-47	82953			
					20	2c Sponsor's telephone number				
700 OLD CC	DUNTRY ROAD				20	516-68				
PLAINVIEW					2d	Business code	(see instructions)			
						62111	,			
3a Plan a	dministrator's name ar	nd address Same as Plan Spons	or Name Same as Pla	n Sponsor Address	3b	Administrator's				
ONALD C. F	RICHMAN MD, PC		OUNTRY ROAD				82953			
		PLAINVIEV	V, NY 11803		3c Administrator's telephone numbe 516-681-0888					
						310-00	1-0000			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN					
		mber from the last return/report.	no last retain, report mod	ior and plan, order are	46 EIN					
a Spons	or's name				4c PN					
5a Total	number of participants	at the beginning of the plan year			5a	a				
b Total i	number of participants	at the end of the plan year			5b		5			
C Numb	er of participants with	account balances as of the end of t	he plan year (defined ber	efit plans do not						
compl	lete this item)				5c		5			
6a Were	all of the plan's assets	s during the plan year invested in e	ligible assets? (See instru	ctions.)			X Yes No			
		f the annual examination and repor					Voc □ No			
		? (See instructions on waiver eligibi					X Yes No			
		ither line 6a or line 6b, the plan c								
		or incomplete filing of this return					abla a Cabadula			
		her penalties set forth in the instruc nd signed by an enrolled actuary, a								
	true, correct, and com			·	•	,	J			
	Filed with authorized	/valid electronic signature.	02/27/2013	RONALD RICHMAN						
SIGN HERE	riled with authorized/	valid electronic signature.	02/21/2013							
IILIKE	Signature of plan a	dministrator	Date	Enter name of individ	ual sig	ning as plan adr	ninistrator			
SIGN										
HERE	Signature of employer/plan sponsor Date Enter name of individual signing as employer.				ning as employe	r or plan sponsor				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)		er (optional)	Prep	arer's telephone	number (optional)					

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Par	t III Financial Information										
	Plan Assets and Liabilities		(a) Beginning of Yea		(b) End of Year						
	Total plan assets	7a	` ' "	155836			10164				
	Total plan liabilities	7b									
	Net plan assets (subtract line 7b from line 7a)	7c	15583	36			10164				
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b)	Total			
	Contributions received or receivable from:		(a) runount				(2)	Total			
	(1) Employers										
	(2) Participants										
	(3) Others (including rollovers)	8a(3)	19986	199867							
b	Other income (loss)	8b	1300	13004							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	243543	3	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	38890	17							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	30	8							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							38921	5	
	Net income (loss) (subtract line 8h from line 8c)	8i					-145672				
	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics	, oj									
	If the plan provides pension benefits, enter the applicable pension 2E 2J 2K 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	uctions	s:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions:			
Don	V Campliana Ovations										
Part	•				V	NI -	I				
	During the plan year:				Yes	No		Am	ount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X					
С	Was the plan covered by a fidelity bond?			10c	X					100	000
d	"	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See			100		X					
	instructions.)			10e 10f		Χ					
	f Has the plan failed to provide any benefit when due under the plan?										
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3										
Part	VI Pension Funding Compliance										
11											
11a						11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon		and e	enter th Day	ne date of	the le		ling	_
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b	Enter the minimum required contribution for this plan year					12b					

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			1					
С	Enter the amount contributed by the employer to the plan for this plan year.			12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?					Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?			X	Yes	No)	
	If "Yes," enter the amount of any plan assets that reverted to the employer	this year		13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?						Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this pl which assets or liabilities were transferred. (See instructions.)	lan to another plan(s), identify the p	lan(s) t	0				
13c(1) Name of plan(s):				13c(2) EIN(s)			13c(3) PN(s)	
Part	VIII Trust Information (optional)	_						
			14b	Trust'	s EIN			