## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

	Part I		t Identification Informatior	1								
Fo	r calend	lar plan year 2012 or	fiscal plan year beginning 01/0	1/2012	and ending	12/31/2	2012					
Α	This re	turn/report is for:	X a single-employer plan	a multiple-employer	olan (not multiemployer)		a one-participa	ant plan				
В	This re	turn/report is:	the first return/report	the final return/report								
			an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)	)					
С	Check	box if filing under:	Form 5558	automatic extension			DFVC program	m				
			special extension (enter desc	special extension (enter description)		_						
Р	art II	Basic Plan Inf	ormation—enter all requested ir	nformation								
	Name					1b	Three-digit					
DR.	STUAR	RT R. LEVINE DERMATOLOGY & DERMATOLOGIC 401(K) PSP					plan number (PN) ▶	002				
							Effective date of					
							01/01/2					
2a	Plan s	Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) STUART R. LEVINE DERMATOLOGY & DERMATOLOGIC SURGERY, P.C.				2b	Employer Identifi (EIN) 11-339		mbei	•		
DIX.	010/11	STOAKT IN LEVINE DERIVIATOLOGI & DERIVIATOLOGIO SURGERT, F.C.										
171	7 86TH 1	STREET					Sponsor's teleph 718-331		ber			
		N, NY 11214				2d	Business code (s	ee instruc	ctions	3)		
							621399					
3a	Plan a	administrator's name a	and address XSame as Plan Spor	sor Name Same as Pla	in Sponsor Address	3b	Administrator's E	IN				
						3c	Administrator's te	elephone i	numb	er		
								•				
_												
4	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b	EIN					
а		Sponsor's name										
5a	Total	number of participant	s at the beginning of the plan year			5a				19		
b	Total	number of participant	s at the end of the plan year			5b				14		
С		Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)								20		
62		•				5c		X Yes	П	No		
b		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							' Ш	140		
	under	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes	Ш	No		
	If you	u answered "No" to	either line 6a or line 6b, the plan	cannot use Form 5500-SF	and must instead use	Form	5500.					
			or incomplete filing of this retu									
			other penalties set forth in the instru and signed by an enrolled actuary,									
		true, correct, and con				,	,					
	GN	Filed with authorized	d/valid electronic signature.	03/24/2013	STUART R. LEVINE							
	ERE	Signature of plan	administrator	Date	Enter name of individ	lual siç	gning as plan adm	inistrator				
SIC	GN ERE	Filed with authorized	d/valid electronic signature.	03/24/2013	STUART R. LEVINE							
HE		Signature of empl	loyer/plan sponsor	Date	Enter name of individ	lual siç	gning as employer	or plan s	oons	or		
Pre	eparer's		name, if applicable) and address; i	nclude room or suite numb			parer's telephone i					

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Por	t III Financial Information				_						
<u> </u>			(a) Beginning of Ves				(h) End of Voca				
	Plan Assets and Liabilities	7-	(a) Beginning of Yea	eginning of Year			(b) End of Year				
	Total plan assets	7a 7b	04237	9			784224				
		76 7c	64257	'O			784224				
	Net plan assets (subtract line 7b from line 7a)										
	Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:		(a) Amount				(b) Total				
	(1) Employers	8a(1)	6664	9							
	(2) Participants	8a(2)	4760	)3							
	(3) Others (including rollovers)	8a(3)	103	1032							
b	Other income (loss)	8b	31321								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					146605				
	Benefits paid (including direct rollovers and insurance premiums o provide benefits)		316	3163							
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	179	1797							
g	Other expenses	8g									
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					4960				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					141645				
<u>j</u>	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E 3H 2J 2A 2F										
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristic	Codes	s in t	he instructions:				
Part	V Compliance Questions										
10	During the plan year:				Yes	No	Amount				
а	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X					
b				10b		X					
С	Was the plan covered by a fidelity bond?			10c		Χ					
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	•	10d		Χ					
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance carrier,	100							
	insurance service or other organization that provides some or all cinstructions.)			10e		Χ					
f	Has the plan failed to provide any benefit when due under the plan					X					
				10f		X					
<u>g</u> h	Did the plan have any participant loans? (If "Yes," enter amount a  If this is an individual account plan, was there a blackout period?			10g	_	^					
	2520.101-3.)			10h		X					
<u>i</u>	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a	a Enter the amount from Schedule SB line 39										
12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month Day Year										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b Enter the minimum required contribution for this plan year											

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No				
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	<b>13c(2)</b> EIN(s)		<b>13c(3)</b> PN(s)				
Part	VIII Trust Information (optional)							
14a Name of trust								