## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2012

1210-0089

OMB Nos. 1210-0110

This Form is Open to Public Inspection ▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I	Annual Report	Identification Inform	ation							
For calend	ar plan year 2012 or fis	scal plan year beginning	01/01/2012		and ending	12/31/	2012			
A This ref	turn/report is for:	a single-employer plan	ı a	multiple-employer p	lan (not multiemployer)	a one-participant plan				
<b>B</b> This ref	turn/report is:	the first return/report	th	e final return/report						
		an amended return/rep	oort a s	short plan year retur	n/report (less than 12 m	onths	)			
C Check box if filing under: Form 5558 automatic extension			DFVC program							
		special extension (ente	er description)							
Part II	Basic Plan Info	rmation—enter all reque	sted information	on						
1a Name of plan							Three-digit			
NORTHWESTERN PAPER BOX COMPANY 401(K) PLAN					plan number (PN) ▶	001				
						10	Effective date or			
						.	01/01/1995			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) NORTHWESTERN PAPER BOX COMPANY 644 NORTHWEST 44TH STREET						2b	<b>2b</b> Employer Identification Number (EIN) 91-1659484			
						2c	C Sponsor's telephone number 206-782-7105			
SEATTLE, WA 98107					2d	Business code (see instructions) 322100				
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address ORTHWESTERN PAPER BOX COMPANY 644 NORTHWEST 44TH STREET					3b	<b>3b</b> Administrator's EIN 91-1659484				
JKIIIWESI	TERN FAFER BOX CC		ATTLE, WA 98			<b>3c</b> Administrator's telephone number 206-782-7105				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the							4b EIN			
name, EIN, and the plan number from the last return/report.  a Sponsor's name						4c PN				
		at the beginning of the plar	ı vear			+				
		at the end of the plan year.						18		
		account balances as of the				30		10		
			•	•	·	5c		15		
		during the plan year inves	_			X Yes No				
		the annual examination and (See instructions on waive						X Yes □ No		
		ther line 6a or line 6b, the		,				<u> </u>		
		or incomplete filing of this								
Under pena SB or Sche	alties of perjury and oth	ner penalties set forth in the	instructions, I	declare that I have	examined this return/re	port, iı	ncluding, if applic			
SIGN	Filed with authorized/	valid electronic signature.		04/22/2013	GREG DONALD					
HERE	Signature of plan a	dministrator		Date	Enter name of individ	ter name of individual signing as plan administrator				
SIGN										
HERE	Signature of emplo	yer/plan sponsor		Date	Enter name of individ	lual siç	ual signing as employer or plan sponsor			
Preparer's	Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)		Prep	parer's telephone	number (optional)					

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Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End of Year			
a	Total plan assets	7a	` ' -	855571			831986			
	Total plan liabilities	7b		0			0			
	C Net plan assets (subtract line 7b from line 7a)		85557	-			831986			
	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
	Contributions received or receivable from:		(a) Amount				(b) Total			
	(1) Employers	8a(1)	1075	7						
	(2) Participants	8a(2)	2776	88						
	(3) Others (including rollovers)	8a(3)		-1						
b	Other income (loss)	8b	8028	80289						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					118813			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)		13729	137295						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	510	5103						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					142398			
	Net income (loss) (subtract line 8h from line 8c)	8i					-23585			
	Transfers to (from) the plan (see instructions)	8j								
Par	t IV Plan Characteristics	<u> </u>								
	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 3D	feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instructions:			
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	des in t	he instructions:			
Part	V Compliance Questions									
10	<u> </u>				Yes	No	A			
	<ul><li>During the plan year:</li><li>Was there a failure to transmit to the plan any participant contributions within the time period described in</li></ul>						Amount			
b	<ul> <li>29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)</li> <li><b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported</li> </ul>					X				
	on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	X		500000			
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See					X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	J Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X		28347			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part	VI Pension Funding Compliance									
11										
11a						11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? 📗 Yes 🗵 No									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	b Enter the minimum required contribution for this plan year									

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				<b>13c(3)</b> PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	<b>14b</b> ⊤	rust's EIN					