Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

| | | Complete all entries in accor | uance with the mont | ictions to the Form 55 | 00-3F. | | | |
|---|---------------------------------------|---|---------------------------|--|---|------------------------------|--|--|
| Part I | | Identification Information | | | | | | |
| For calend | lar plan year 2012 or f | iscal plan year beginning 01/01/201 | 2 | and ending | 12/31/2012 | | | |
| A This re | turn/report is for: | X a single-employer plan | a multiple-employer | olan (not multiemployer) | a one-participant plan | | | |
| B This re | turn/report is: | the first return/report | the final return/report | İ | | | | |
| | | an amended return/report | a short plan year retu | rn/report (less than 12 n | nonths) | | | |
| C Check | box if filing under: | Form 5558 | automatic extension | | DFVC pro | gram | | |
| | | special extension (enter description | on) | | | | | |
| Part II | Basic Plan Info | ormation—enter all requested inform | ation | | | | | |
| 1a Name | • | | | | 1b Three-digit | | | |
| ICON CONS | SULTING 401(K) PLAI | N | | | plan numbei (PN) ▶ | 001 | | |
| | | | | | 1c Effective dat | | | |
| | | | | | | /01/2009 | | |
| | | ddress; include room or suite number (e | employer, if for a single | e-employer plan) | | entification Number | | |
| ICON CON | SULTING | | | | (2114) | -1405201 | | |
| | | | | | 2c Sponsor's te | elephone number -644-4266 | | |
| BELLEVUE | TH STREET, #704 , WA 98004 | | | | | de (see instructions) | | |
| | | | | | | 3900 | | |
| 3a Plan a | administrator's name a | nd address Same as Plan Sponsor N | Name Same as Pla | n Sponsor Address | 3b Administrato | | | |
| CON CONSU | JLTING | | STREET, #704 | | | -1405201 | | |
| | | BELLEVUE, W | /A 98004 | | 3c Administrator's telephone number 425-644-4266 | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | e plan sponsor has changed since the | last return/report filed | for this plan, enter the | 4b EIN | | | |
| | e, EIN, and the plan hu sor's name | mber from the last return/report. | | | 4c PN | | | |
| | | s at the beginning of the plan year | | | 1 1 | 4 | | |
| | | | | | | 7 | | |
| Total number of participants at the end of the plan year. Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item). | | | | 35 | · · | | | |
| | | | | . 5c | 7 | | | |
| | | s during the plan year invested in eligib | | | | X Yes No | | |
| | | of the annual examination and report of | | | | X Yes No | | |
| | | :? (See instructions on waiver eligibility:ither line 6a or line 6b, the plan canr | | | | | | |
| | | or incomplete filing of this return/re | | | | | | |
| | | ther penalties set forth in the instruction | | | | plicable, a Schedule | | |
| | | and signed by an enrolled actuary, as w | ell as the electronic ve | ersion of this return/report | rt, and to the best of | my knowledge and | | |
| belief, it is | true, correct, and com | piete. | | | | | | |
| SIGN | Filed with authorized | /valid electronic signature. | 04/22/2013 | MATT MCKELLAR | AR | | | |
| HERE | Signature of plan a | administrator | Date | Enter name of individual signing as plan administrator | | | | |
| SIGN | | | | | | | | |
| HERE | Signature of emplo | oyer/plan sponsor | Date | Enter name of individ | nter name of individual signing as employer or plan sponsor | | | |
| Preparer's | name (including firm | name, if applicable) and address; includ | de room or suite numb | er (optional) | Preparer's telepho | one number (optional) | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

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| Dor | t III Financial Information | | - | | | | | |
|---|--|---|--------------------------------|-----------------------|---------|-----------------|-------------------|--|
| Par | • | | () 5 | | | | (1) = 1 (V | |
| | Plan Assets and Liabilities | | | (a) Beginning of Year | | (b) End of Year | | |
| | Total plan assets | 7a | 20787 | | | | 293233 | |
| | Total plan liabilities | 7b 7c | 20707 | 0 | | 0 | | |
| | , | | | 207875 | | 293233 | | |
| | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: | | (a) Amount | | | | (b) Total | |
| | (1) Employers | 8a(1) | 1333 | 13332 | | | | |
| | (2) Participants | 8a(2) | 4480 |)8 | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | |
| b | Other income (loss) | 8b | 2765 | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | 85794 | | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | | | 0 | | 33.3. | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 43 | 436 | | | | |
| g | Other expenses | 8g | | 0 | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 436 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | 85358 | | |
| j | Transfers to (from) the plan (see instructions) | 8i | | | | | | |
| Par | t IV Plan Characteristics | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 3D | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cterist | ic Cod | les in t | he instructions: | |
| Part | V Compliance Questions | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | Amount | |
| a | | | | | | X | Amount | |
| b | b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | | | X | | |
| | Was the plan covered by a fidelity bond? | | | 10b | X | | | |
| | <u> </u> | | | 10c | | | 24000 | |
| | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | | | 10d | | X | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.) | of the bene | efits under the plan? (See | 10e | | X | | |
| f | Has the plan failed to provide any benefit when due under the plan | | | | | X | | |
| | | | | 10f | | | | |
| <u>g</u> | Did the plan have any participant loans? (If "Yes," enter amount a | • | | 10g | | X | | |
| h — | 2520.101-3.) | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | | X | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | 10i | | | | |
| Part | VI Pension Funding Compliance | | | | | | | |
| 11 | 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) | | | | | | | |
| | Enter the amount from Schedule SB line 39. | | | | | 11a | | |
| 12 | | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver | | | | , and 6 | enter th Day | | |
| If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | Ī | | |
| b Enter the minimum required contribution for this plan year | | | | | | 12b | | |

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|-------------------------|---|--------------|------------|---------------------|--|--|--|
| | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | Yes X No | | | |
| С | C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | |
| 13c(1) Name of plan(s): | | | | 13c(3) PN(s) | | | |
| Part | VIII Trust Information (optional) | | | | | | |
| | Name of trust | 14b ⊤ | rust's EIN | | | | |