Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acc	cordance with the instru	ections to the Form 550	0-SF.					
Part I		Identification Information								
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/2	2012	and ending 1	2/31/2	2012				
	turn/report is for:	a single-employer plan		olan (not multiemployer)		a one-participant plan				
B This ret	turn/report is:	the first return/report	the final return/report							
		an amended return/report	a short plan year retu	rn/report (less than 12 mo	onths)	· <u></u>				
C Check I	box if filing under:	Form 5558	automatic extension			DFVC program				
		special extension (enter descri	iption)							
Part II	Basic Plan Info	rmation—enter all requested info	ormation							
1a Name	of plan	·			1b	Three-digit				
RED APPLE	DENTAL PC 401 K P	ROFIT SHARING PLAN TRUST				plan number				
					_	(PN) ▶ 001				
					1c	Effective date of plan				
20.01					01	01/01/2000				
	ponsor's name and ad EDENTAL PC	ldress; include room or suite numbe	r (employer, if for a single	e-employer plan)	2b	Employer Identification Number (EIN) 22-3771651				
					2c	Sponsor's telephone number				
	NY POST RD					845-234-8465				
MONTGOM	ERY, NY 12549-2158				2d	Business code (see instructions) 621210				
3a Plan a	dministrator's name ar	nd address XSame as Plan Sponso	or Name Same as Pla	an Sponsor Address	3b	Administrator's EIN				
					3c	Administrator's telephone number				
						, , , , , , , , , , , , , , , , , , , ,				
		e plan sponsor has changed since the	he last return/report filed	for this plan, enter the	4b EIN					
	•	mber from the last return/report.			_					
•	or's name				4c					
5a lotalr	number of participants	at the beginning of the plan year			5a	3				
b Total r	number of participants	at the end of the plan year			5b	3				
		account balances as of the end of the		•	. 5c					
6a Were	all of the plan's asset	s during the plan year invested in eli	igible assets? (See instru	ctions.)		X Yes No				
_		f the annual examination and report								
		? (See instructions on waiver eligibil				-				
If you	answered "No" to e	ither line 6a or line 6b, the plan ca	annot use Form 5500-SF	and must instead use	Form	5500.				
Caution: A	penalty for the late	or incomplete filing of this return	/report will be assessed	l unless reasonable cau	ıse is	established.				
		her penalties set forth in the instruct								
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, as	s well as the electronic ve	ersion of this return/report	, and	to the best of my knowledge and				
501101, 1010	rae, correct, and com			T						
SIGN	Filed with authorized	valid electronic signature.	05/15/2013	RED APPLE DENTAL	TAL PC					
HERE	Signature of plan a	dministrator	Date	Enter name of individu	Enter name of individual signing as plan administrate					
SIGN										
HERE	Signature of employer/plan sponsor Date Enter name of ind		Enter name of individu	ividual signing as employer or plan sponsor						
Preparer's		name, if applicable) and address; inc				parer's telephone number (optional)				
		, , , , , , , , , , , , , , , , , , , ,		,	· ·	,				

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Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar .			(b) End o	f Voor		
<u>′</u>	Total plan assets	7a	(a) Beginning of Tea				83863			
	·		0010	0			0			
			6549				83863			
			(a) Amount				(b) To			
	Contributions received or receivable from:		(a) ranount				(3) 10	<u></u>		
	(1) Employers	8a(1)	320	0						
	(2) Participants			20						
	(3) Others (including rollovers)	3) Others (including rollovers)								
<u>b</u>	Other income (loss)	8b	924	7						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						183	67	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	(0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							0	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					18367			
j_	Transfers to (from) the plan (see instructions)	8j		0						
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Char	acteris	tic Co	odes in	the instructi	ons:		
b	2E 2F 2G 2J 2K 2T 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristi	c Coc	des in t	he instructio	ns:		
Par	Part V Compliance Questions									
10						No	Amount			
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X				20	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance carrier.							
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g		•	,	10g		Х				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part		1-0		101						
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
112										
12										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
	b Enter the minimum required contribution for this plan year									

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	Yes X No						
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					