Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2012

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

 Complete all entries in accordance with the instructions to the Form 5500-SF. **Annual Report Identification Information** For calendar plan year 2012 or fiscal plan year beginning and ending a single-employer plan a one-participant plan A This return/report is for: a multiple-employer plan (not multiemployer) the first return/report the final return/report **B** This return/report is: an amended return/report a short plan year return/report (less than 12 months) Form 5558 DFVC program automatic extension **C** Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan Three-digit WASHINGTON UROLOGY ASSOCIATES 401(K) PLAN plan number 001 (PN) 1c Effective date of plan 01/01/2012 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2b Employer Identification Number WASHINGTON UROLOGY ASSOCIATES, PLLC 27-3331851 (EIN) Sponsor's telephone number 425-454-8016 **1135 116TH AVENUE NE** SUITE 620 Business code (see instructions) BELLEVUE, WA 98004 621111 **3a** Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PΝ Total number of participants at the beginning of the plan year 5a 29 **b** Total number of participants at the end of the plan year..... 5_b 45 Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. Filed with authorized/valid electronic signature. 05/15/2013 LORINDA WISDOM SIGN **HERE** Signature of plan administrator Enter name of individual signing as plan administrator Date SIGN **HERE** Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional)

Form 5500-SF 2012 Page **2**

| Par | t III Financial Information | | <u> </u> | | | | | |
|-------------|---|-------------|--------------------------------|---------|---------|-----------|---|--|
| <u> Par</u> | Plan Assets and Liabilities | | (a) Paginning of Var | | | | (b) End of Your | |
| | Total plan assets | 7a | (a) Beginning of Yea | ar | | | (b) End of Year 2370134 | |
| | Total plan liabilities | 7a 7b | | | | | 2370134 | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | | 0 | | | 2370134 | |
| | Income, Expenses, and Transfers for this Plan Year | ,,, | (a) Amount | | | | (b) Total | |
| | Contributions received or receivable from: | | (u) Amount | | | | (b) Total | |
| | (1) Employers | 8a(1) | 9868 | 7 | | | | |
| | (2) Participants | 8a(2) | 13190 |)8 | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | |
| | Other income (loss) | 8b | 18642 | 28 | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | 417023 | |
| | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 538 | 5384 | | | | |
| | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | |
| | Administrative service providers (salaries, fees, commissions) | 8f | 59 | 15 | | | | |
| | Other expenses | 8g | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 5979 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | 411044 | |
| j | Transfers to (from) the plan (see instructions) | 8i | 195909 | 90 | | | | |
| Par | t IV Plan Characteristics | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instructions: | |
| b | 2E 2F 2G 2J 2T 3D 2K 2R 2A If the plan provides welfare benefits, enter the applicable welfare fe | natura cod | os from the List of Plan Chara | ctorict | ic Cod | loc in t | ho instructions: | |
| D | in the plan provides wehate behends, enter the applicable wehate is | sature cou | es nom the List of Flan Chara | Clensi | ic Coo | 162 III t | ne msu actions. | |
| Part | V Compliance Questions | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | Amount | |
| а | Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu | | | 10a | | X | | |
| b | Were there any nonexempt transactions with any party-in-interest on line 10a.) | ` | • | 10b | | X | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | Χ | | 2700 | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | - | | 10d | | X | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all of | ner person | s by an insurance carrier, | | | | | |
| | instructions.) | | | 10e | | X | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | X | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount a | s of year e | end.) | 10g | Χ | | 0 | |
| h | If this is an individual account plan, was there a blackout period? (2520.101-3.) | • | | 10h | | X | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | |
| Part | 1 1 5 11 | | | | | <u> </u> | | |
| 11 | | | | | | | | |
| 11a | Enter the amount from Schedule SB line 39 | | | | | 11a | , , , , , , , , , , , , , , , , , , , | |
| 12 | 2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver | | | | | | | |
| If | you completed line 12a, complete lines 3, 9, and 10 of Schedul | e MB (For | m 5500), and skip to line 13. | | - | | | |
| b | Enter the minimum required contribution for this plan year | | | | | 12b | | |

| | Form 5500-SF 2012 Page 3 - 1 | | | | | | | | |
|-------------------------|---|--------------|------------|---------------------|--|--|--|--|--|
| | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | Yes X No | | | | | |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | |
| 13c(1) Name of plan(s): | | | | 13c(3) PN(s) | | | | | |
| Part | VIII Trust Information (optional) | | | | | | | | |
| | Name of trust | 14b ⊤ | rust's EIN | | | | | | |