Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

			Complete all entries in acco	ruance with the motion	ctions to the Form 55	00-3F.				
Par			Identification Information							
For ca	alenda	ir plan year 2012 or fis	scal plan year beginning 01/01/20	12 	and ending	12/31/2	2012 			
A Th	nis retu	urn/report is for:	a single-employer plan	╡ ' ' '	lan (not multiemployer)	er) a one-participant plan				
B Th	nis retu	urn/report is:	the first return/report	the final return/report						
			an amended return/report	a short plan year retur	n/report (less than 12 n	nonths))			
C C	heck b	ox if filing under:	Form 5558	automatic extension			DFVC progra	m		
			special extension (enter descript	ion)			_			
Par	t II	Basic Plan Info	rmation—enter all requested inforr	mation						
1a №	Name o	of plan				1b	Three-digit			
ALTITU	JNES I	S PARTNERS LP 401 K PROFIT SHARING PLAN TRUST					plan number	004		
						4-	(PN) •	001		
						1c Effective date of plan 01/01/2011				
2a 🗈	Dlan en	oneor's name and add	dress; include room or suite number (employer if for a single	-employer plan)	2h				
ALTITU	JNES	PARTNERS LP	ress, include room or suite number (employer, ir for a single-	employer planij	20	b Employer Identification Number (EIN) 13-3774596			
						20	Sponsor's telep	hone number		
133 F∆	ST 80	TH STREET					212-249			
NEW Y	ORK,	NY 10075				2d	Business code (see instructions)			
								453220		
3a F	Plan ac	lministrator's name an	nd address XSame as Plan Sponsor	Name Same as Plar	n Sponsor Address	3b	Administrator's	ΞIN		
			_	_						
						3c	Administrator's t	elephone number		
4 I	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b					4h	4b EIN			
			nber from the last return/report.			TO LIN				
a s	Sponso	or's name				4c PN				
5a ⁻	Total number of participants at the beginning of the plan year					. 5a	a 1			
			at the end of the plan year			- 5b		14		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						. 5c		1		
							X Yes No			
			the annual examination and report of							
			? (See instructions on waiver eligibility					X Yes No		
	lf you	answered "No" to ei	ther line 6a or line 6b, the plan can	not use Form 5500-SF	and must instead use	Form	5500.			
			or incomplete filing of this return/re							
	•	, , ,	ner penalties set forth in the instruction and signed by an enrolled actuary, as well	•			O, 11	,		
		rue, correct, and comp		ven as the electronic ven	sion of this return/repor	it, and	to the best of my	Knowicage and		
		-		05/04/0040	T					
SIGN			valid electronic signature.	05/31/2013	ALTITUNES PARTNERS LP Enter name of individual signing as plan administrator					
		Signature of plan ac	dministrator	Date						
SIGN										
HERE		Signature of employ		Date	Enter name of individual signing as employer or plan sponsor					
Prepa	arer's r	name (including firm na	ame, if applicable) and address; inclu	ide room or suite numbe	er (optional)	Prep	arer's telephone	number (optional)		

	- 0
Form 5500-SF 2012	Page 2

Part III Financial Information										
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End of Year			
	Total plan assets	7a 		3						3
	Total plan liabilities	7b		0						0
	Net plan assets (subtract line 7b from line 7a)	7c		3			3		3	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Tota	l	
а	Contributions received or receivable from: (1) Employers			0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		0						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							()
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	nefits paid (including direct rollovers and insurance premiums		0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
q	Other expenses	8g		0						
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0
ī	Net income (loss) (subtract line 8h from line 8c)									0
Ť	Transfers to (from) the plan (see instructions)	8j		0						<u> </u>
Pai		, oj		0						
9a										
	2A 2E 2G 2J 2T 3D									
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instru	ıctions	:	
Part V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount	
а	Was there a failure to transmit to the plan any participant contribu	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				Х				
b				10a						
	on line 10a.)		'	10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?		-	10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or oth									
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See			Χ				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
110										
12	a Enter the amount from Schedule SB line 39									
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No						^ NO			
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling						lina			
granting the waiver										
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. b. Enter the minimum required contribution for this plan year.									
D	Enter the minimum required contribution for this plan year				I	17	I			

	Form 5500-SF 2012 Page 3 - 1							
	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	Yes No N/A						
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					