Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

								Inspection		
Part I	Annual Report Identif	ca	tion Information							
For caler	ndar plan year 2012 or fiscal plar	ye	ear beginning 01/01/2012			and ending 12/	31/2012			
A This	eturn/report is for:	L	a multiemployer plan;	L	a multiple	e-employer plan; or				
		×	a single-employer plan;		a DFE (sr	pecify)				
			•		•					
B This r	eturn/report is:		the first return/report;		the final r	eturn/report;				
		Ī	an amended return/report;		a short pl	an year return/report (les	ss than 12 r	nonths).		
C If the	plan is a collectively-bargained p	∟ Jar	<u> </u>		-			·Π		
		Г	7		_			· / 🗌		
D Chec	k box if filing under:	Ļ	Form 5558;	L . L	automatic	extension;	□ τ	he DFVC program;		
		ᆚ	special extension (enter desc							
Part	I Basic Plan Informat	io	n —enter all requested informa	tion					1	
1a Nam							11	Three-digit plan	001	
CASCAE	DE FRICTION MATERIALS, INC	. 40	11(K) PROFIT SHARING PLAN	1			1,	number (PN) ▶ Effective date of pl		
							''	01/01/1994	an	
2a Plan	sponsor's name and address; ir	ıclu	de room or suite number (emp	lover, if fo	or a single-	emplover plan)	21	• Employer Identifica	ation	
	.,		(-)	-,-,				Number (EIN)		
CASCA	DE FRICTION MATERIALS, INC							91-1191881		
							20	Sponsor's telephor	ne	
								number 253-565-287	1	
	OCUST LANE		954 S. LOC				2d Business code (see			
TACOM	A, WA 98465		TACOMA, WA 98465			-	instructions)			
							441300			
Caution	A penalty for the late or incor	nni	ete filing of this return/renor	t will he :	aeeaeead i	ınlass reasonahla caus	sa is astahl	ishad		
	enalties of perjury and other pena								dules	
	its and attachments, as well as t									
SIGN	Filed with authorized/valid electronic elect	on	ic signature.	06/04/2	2013	PATRICIA MCNEAL				
HERE	Signature of plan administrat			Date		Enter name of individu	al signing a	e nlan administrator		
	Signature or plan administrat	<u> </u>		Date		Litter flame of individu	ai sigililig a	s pian administrator		
SIGN										
HERE		_		 						
	Signature of employer/plan s	ро	nsor	Date		Enter name of individu	ai signing a	s employer or plan sp	onsor	
SIGN										
HERE										
Signature of DFE Date Enter name of individual signir Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional) Prepa										
Preparer	's name (including firm name, if a	app	licable) and address; include re	oom or su	lite number	r. (optional)	(optional)	s telephone number		
							(00101101)			

Form 5500 (2012) Page **2**

3a	Plan administrator's name and address Same as Plan Sponsor Name	Same as Plan Sponsor Address	3b Administrator's EIN 91-1191881
CA	SCADE FRICTION MATERIALS, INC.	3c Administrator's telephone	
	4 S. LOCUST LANE COMA, WA 98465	number 253-565-2871	
17	OONIA, WA 30403		233 303 207 1
4	If the name and/or EIN of the plan sponsor has changed since the last return	n/report filed for this plan, enter the name,	4b EIN
	EIN and the plan number from the last return/report:		
а	Sponsor's name		4c PN
5	Total number of participants at the beginning of the plan year		5 29
6	Number of participants as of the end of the plan year (welfare plans complet	te only lines 6a, 6b, 6c, and 6d).	
а	Active participants		6a 24
b	Retired or separated participants receiving benefits		6b
~			
С	Other retired or separated participants entitled to future benefits		6c 1
d	Subtotal. Add lines 6a, 6b, and 6c.		6d 25
е	Deceased participants whose beneficiaries are receiving or are entitled to re	eceive benefits	6e 0
f	Total. Add lines 6d and 6e	6f 25	
g	Number of participants with account balances as of the end of the plan year		
	complete this item)		6g 21
h	Number of participants that terminated employment during the plan year with		6h 0
7	less than 100% vested		
8a	If the plan provides pension benefits, enter the applicable pension feature co	odes from the List of Plan Characteristics Co	odes in the instructions:
	2E 2F 2G 2J 2K 2T 3D		
b	If the plan provides welfare benefits, enter the applicable welfare feature coo	des from the List of Plan Characteristics Coo	des in the instructions:
9a	Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all	that apply)
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) Insurance Code section 412(e)(3)	3) insurance contracts
	(3) X Trust	(3) X Trust	o) insurance contracts
	(4) General assets of the sponsor	(4) General assets of the	sponsor
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a	attached, and, where indicated, enter the nu	mber attached. (See instructions)
а	Pension Schedules	b General Schedules	
u	(1) R (Retirement Plan Information)	(1) H (Financial Info	ormation)
	(a) D MD (Multi-arralause Defined Description and Court M		
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan	`´ H `	rmation – Small Plan)
	actuary	(3) A (Insurance Inf	
	·	(4) C (Service Prov	ating Plan Information)
	(3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		unsaction Schedules)
	information) - signed by the plan actuary	(b) [] G (Financial Ha	insacion soneadies)

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012	and ending 12/31/2012
A Name of plan CASCADE FRICTION MATERIALS, INC. 401(K) PROFIT SHARING PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500	D Employer Identification Number (EIN)
CASCADE FRICTION MATERIALS, INC.	91-1191881
	•

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	1089718	1020633
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	1089718	1020633
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)	36123	
	(2) Participants	. 2a(2)	87129	
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	127207	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		250459
е	Benefits paid (including direct rollovers)	. 2e	314653	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions).	. 2h	4891	
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		319544
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-69085
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
			103	140	Allount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
е	Participant loans	3e	X		2076

Page 2	2 -
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Schedule I (Form 5500) 2012

		Γ				_
	г		Yes	No		Amount
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
Pa	art II Compliance Questions					
4	During the plan year:		Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e	X			150000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m	X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n	X			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	Ye	s XN	lo A	Amount:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	ntify t	he plan	n(s) to w	hich assets o	or liabilities were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	5b(3) PN(s)
Pa	rt III Trust Information (optional)					
	Name of trust			6b Tru	ust's EIN	

SCHEDULE R (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

Retirement Plan Information

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For	calendar pl	an year 2012 or fiscal plan year beginning 01/01/2012 and	l endin	g	12/31/2	012				
	lame of pla		В	Thre	e-digit					
CAS	CADE FRIC	CTION MATERIALS, INC. 401(K) PROFIT SHARING PLAN		plaı	n numbe	r	00	1		
				(PN	1)	•				
CF	Plan sponso	r's name as shown on line 2a of Form 5500	D	Emp	loyer Ide	entifica	tion Number	(EIN))	
CAS	CADE FRIC	CTION MATERIALS, INC.		0.4	140400	14				
				91	-119188	01				
Pa	rt I Di	stributions								
		to distributions relate only to payments of benefits during the plan year.								
_										
1		e of distributions paid in property other than in cash or the forms of property specified in the			_					0
2										
2		EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries d no paid the greatest dollar amounts of benefits):	luring t	ne yea	r (if more	e than	two, enter E	NS Of	the tw	∤ 0
	EIN(s):	04-6568107								
	Profit-sha	aring plans, ESOPs, and stock bonus plans, skip line 3.								
2										
3		f participants (living or deceased) whose benefits were distributed in a single sum, during			3					
P	art II	Funding Information (If the plan is not subject to the minimum funding requirement	s of se	ction o	f 412 of	the Inte	ernal Reveni	ue Co	de or	
		ERISA section 302, skip this Part)								
4	Is the plan	administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?				Yes	No	,	1	N/A
	If the plan	n is a defined benefit plan, go to line 8.					<u> </u>		_	
5	_									
J		r of the minimum funding standard for a prior year is being amortized in this see instructions and enter the date of the ruling letter granting the waiver. Date: Me	onth		Da	V	Yea	ar		
		mpleted line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the				,				_
6	-	the minimum required contribution for this plan year (include any prior year accumulated fu					-			
•		ency not waived)			6a					
	_	the amount contributed by the employer to the plan for this plan year			6b					
	D LINE	the amount contributed by the employer to the plan for this plan year			0.0					
		act the amount in line 6b from the amount in line 6a. Enter the result a minus sign to the left of a negative amount)			60					
					6c	<u> </u>				
7	-	npleted line 6c, skip lines 8 and 9.								
7	vviii trie m	inimum funding amount reported on line 6c be met by the funding deadline?	•••••			Yes	No)	□ I	N/A
0	161									
8		e in actuarial cost method was made for this plan year pursuant to a revenue procedure o providing automatic approval for the change or a class ruling letter, does the plan sponsor								
	administra	ator agree with the change?				Yes	No	1	□ I	N/A
Pa	art III	Amendments								
9		defined benefit pension plan, were any amendments adopted during this plan								
3		ncreased or decreased the value of benefits? If yes, check the appropriate		г	_					
	•	check the "No" box.	rease	L	Decre	ase	Both		_ No)
Pa	rt IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 497 skip this Part.	75(e)(7) of the	Internal	Rever	nue Code,			
10	Were upo	llocated employer securities or proceeds from the sale of unallocated securities used to re	nav ar	N ever	nnt loan	2	П	Yes	П	No
11		s the ESOP hold any preferred stock?			•			Yes	岩	No
• •										
		ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a instructions for definition of "back-to-back" loan.)						Yes		No
12	Does the	ESOP hold any stock that is not readily tradable on an established securities market?						Yes		No

Pa	rt V	Additional Information for Multiemployer Defined Benefit Pension Plans							
13		er the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in lars). See instructions. Complete as many entries as needed to report all applicable employers.							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	а	Name of contributing employer							
	b	EIN C Dollar amount contributed by employer							
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							

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Н	age	
•	~9~	-

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:					
	a The current year	14a				
	b The plan year immediately preceding the current plan year	14b				
	C The second preceding plan year	14c				
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to ma employer contribution during the current plan year to:	ke an				
	a The corresponding number for the plan year immediately preceding the current plan year	15a				
	b The corresponding number for the second preceding plan year	15b				
16	Information with respect to any employers who withdrew from the plan during the preceding plan year.					
	a Enter the number of employers who withdrew during the preceding plan year	16a				
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b				
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, cf supplemental information to be included as an attachment.					
Р	art VI Additional Information for Single-Employer and Multiemployer Defined Benefi	t Pens	ion Plans			
18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see in information to be included as an attachment	struction	ns regarding supplemental			
19	If the total number of participants is 1,000 or more, complete lines (a) through (c) a Enter the percentage of plan assets held as: Stock:% Investment-Grade Debt:% High-Yield Debt:% Real Estate: b Provide the average duration of the combined investment-grade and high-yield debt:					
	Effective duration Macaulay duration Modified duration Other (specify):					