## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.													
P	art I	Annual Report I	de	ntification Information	1								
For	calenda	ar plan year 2012 or fis	cal	plan year beginning 01/01	1/2012		and ending 1	12/31/	2012				
Α	This retu	urn/report is for:	X	a single-employer plan	a mu	ltiple-employer pl	an (not multiemployer)		a one-partici	pant plan			
В	This retu	urn/report is:		the first return/report	the fi	nal return/report			_				
			П	an amended return/report	a sho	rt plan year returi	n/report (less than 12 m	onths	)				
С	Check b	oox if filing under:	Ī	Form 5558	autor	natic extension			DFVC progra	am			
			Ī	special extension (enter desc	cription)				_				
Pa	art II	Basic Plan Infor	ma	ation—enter all requested in	nformation								
1a	Name o	of plan		·				1b	Three-digit				
MICH	HAEL J.	DOYLE, M.D., PLLC P	RO	FIT SHARING 401K PLAN					plan number				
								(PN) <b>•</b>	001				
									Effective date of 03/12	•			
2a MICI	Plan sp HAEL J.	ponsor's name and add	lres	s; include room or suite numb	per (employ	er, if for a single-	employer plan)	<b>2b</b> Employer Identification Number (EIN) 26-2133367					
								2c Sponsor's telephone number					
	SPRIN								502-65				
LOU	ISVILLE	, KY 40205						2d Business code (see instruction 621111					
3a	Plan ac	dministrator's name and	d ac	ddress XSame as Plan Spon	sor Name	Same as Plar	Sponsor Address	<b>3b</b> Administrator's EIN					
								3c	Administrator's	telephone number			
										•			
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					or this plan, enter the	4b EIN						
а		EIN, and the plan num or's name	ıber	r from the last return/report.				4c PN					
		Total number of participants at the beginning of the plan year						5a					
b	Total n	number of participants	at th	ne end of the plan year				5b					
С	Numbe	er of participants with a	CCO	ount balances as of the end of	f the plan ye	ear (defined bene	fit plans do not			4			
	complete this item)												
		•		ring the plan year invested in e	-	•	•			X Yes No			
b				annual examination and repo ee instructions on waiver eligib						X Yes No			
				· line 6a or line 6b, the plan	-								
Cai				complete filing of this retur									
										ahla a Schadula			
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and													
beli	ief, it is t	rue, correct, and comp	lete				•		•	· ·			
SIG	2N	Filed with authorized/v	with authorized/valid electronic signature.  06/18/2013  MICHAEL J. DOYL				MICHAEL J. DOYLE,	F. M.D.					
HE					dual signing as plan administrator								
SIC	· NI	Signature of plan administrator Date Enter name of individu					uai siţ	grillig as plair au	Timistrator				
SIG		Signature of amplement		nlan snonsor		late	Enter name of individ	ادر ادر	ning as ample:	ar or plan enoncer			
Preparer's							ual signing as employer or plan sponsor  Preparer's telephone number (optional)						
	,	. (		, , , , , , , , , , , , , , , , , , , ,			V 1 /			(-[			

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Part III Financial Information												
7	Plan Assets and Liabilities		(a) Reginning of Ver				(b) End	of V	/oor			
		7-		(a) Beginning of Year			(b) End of Year 211246					
_ <u>a</u>	Total plan liabilities	7a 7b	10090	13	+				21124	Ю		
	Total plan liabilities	76 7c	10500	\E	+				04404	^		
	,	70		165905			211246					
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(D)	Total				
u	(1) Employers	8a(1)	2101	8								
	(2) Participants	8a(2)	1845	57								
	(3) Others (including rollovers)											
b	Other income (loss)	8b	586	66								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							4534	1		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d										
е	Certain deemed and/or corrective distributions (see instructions)	8e										
f	Administrative service providers (salaries, fees, commissions)	8f										
g	Other expenses	8g										
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h										
i	Net income (loss) (subtract line 8h from line 8c)	8i							4534	11		
j	Transfers to (from) the plan (see instructions)	8j										
Pa	rt IV Plan Characteristics											
9a												
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:											
Par	t V Compliance Questions											
10	During the plan year:				Yes	No		Λm	ount			
a		tions withi	n the time period described in		100	110		AIII	Ount			
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X						
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	,	•	10b		X						
C	Was the plan covered by a fidelity bond?			10c	Χ					25	5000	
d		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraucor dishonesty?										
е	Were any fees or commissions paid to any brokers, agents, or oth			10d								
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See			X						
	instructions.)			10e								
	Has the plan failed to provide any benefit when due under the plan	n?		10f		X						
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g		X						
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X						
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i		X						
Par	VI Pension Funding Compliance											
11												
112	Enter the amount from Schedule SB line 39											
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)											
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month Day Year											
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.												
	Enter the minimum required contribution for this plan year	•	•			12b						

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 <b>3c(2)</b> E	IN(s)	<b>13c(3)</b> PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	<b>14b</b> ⊤	rust's EIN					