## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the in	structions to the Form 550	0-SF.		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Part I	Annual Report	<b>Identification Information</b>							
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/	2012	and ending 1	2/31/2	2012			
	turn/report is for:	a single-employer plan		yer plan (not multiemployer)	er) a one-participant plan				
<b>B</b> This ret	turn/report is:	the first return/report	the final return/re	port					
		an amended return/report	a short plan year	return/report (less than 12 m	onths)				
C Check I	box if filing under:	Form 5558	automatic extens	sion		DFVC progra	am		
		special extension (enter descr	iption)						
Part II	Basic Plan Info	prmation—enter all requested inf	ormation						
1a Name		oner an requested in	omation		1b	Three-digit			
	TRAUSS MD PC RET	TREMENT PLAN				plan number			
						(PN) ▶	001		
					1c	Effective date of plan			
						01/01/2007			
<b>2a</b> Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) RAPHAEL STRAUSS MD PC						<b>2b</b> Employer Identification Number (EIN) 20-4919025			
					2c	Sponsor's telep	hone number		
283 COMMA	ACK ROAD					2-2980			
COMMACK,					2d	Business code	(see instructions)		
						62111	11		
3a Plan a	dministrator's name a	nd address XSame as Plan Spons	or Name Same as	Plan Sponsor Address	3b	Administrator's	EIN		
					3c	Administrator's	telephone number		
4 If the r	name and/or EIN of the	e plan sponsor has changed since	the last return/report f	led for this plan, enter the	4b EIN				
	•	mber from the last return/report.							
	or's name				4c PN				
5a Total number of participants at the beginning of the plan year					5a	ı			
<b>b</b> Total i	number of participants	at the end of the plan year			5b		17		
		account balances as of the end of t		•	5c		16		
_		s during the plan year invested in e				•	X Yes No		
_		f the annual examination and repor	•	•					
		? (See instructions on waiver eligib					X Yes No		
If you	ı answered "No" to e	ither line 6a or line 6b, the plan c	annot use Form 550	0-SF and must instead use	Form	5500.			
Caution: A	A penalty for the late	or incomplete filing of this returr	/report will be asses	sed unless reasonable cau	ıse is	established.			
		her penalties set forth in the instruc							
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, a	s well as the electron	c version of this return/report	t, and t	to the best of my	knowledge and		
Deliel, it is		piete.							
SIGN	Filed with authorized	valid electronic signature.	06/18/2013	MINDY STRAUSS					
HERE	Signature of plan a	dministrator	Date	Enter name of individ	dual signing as plan administrator				
SIGN									
HERE	Ciamatuma at amula		Data	Fatan mana af in divid					
Prenarer's		Inature of employer/plan sponsor Date Enter name of individue (including firm name, if applicable) and address; include room or suite number (optional)			dual signing as employer or plan sponsor  Preparer's telephone number (optional)				
Tropardi 3 hamo (indiduing initi hame, ii applicable) and address, include footh of suite humber (optional)				Γιορ	a.o. o tolopilolle	manibol (optional)			
					L				

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Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End o	Year		
<u>.</u>	Total plan assets	7a	48337				665150			
	Total plan liabilities		1000.	0			003130			
	'		48337				665150			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		0		(b) To			
	Contributions received or receivable from:		(a) Amount				(b) 10	aı		
	(1) Employers	8a(1)	1698	89						
	(2) Participants	8a(2)	8338	33						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	8200	)5						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						18237	7	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0	0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	60	)5						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					605			
ī	Net income (loss) (subtract line 8h from line 8c)	8i					181772			
j	Transfers to (from) the plan (see instructions)	8j		0						
Pa	rt IV Plan Characteristics	٠,								
	If the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension benefits, enter the applicable pension for the plan provides pension pens	feature co	des from the List of Plan Char	acteri	stic Co	odes in	the instruction	ons:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	des in t	he instruction	ns:		
_										
Par							1			
10	During the plan year:			1	Yes	No	Α	mount		
	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
C	Was the plan covered by a fidelity bond?			10c	X				70	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	•	10d		Х				
е	• Were any fees or commissions paid to any brokers, agents, or oth									
	insurance service or other organization that provides some or all o	of the bene	efits under the plan? (See			X				
	instructions.)			10e		<b>.</b>				
f	Has the plan failed to provide any benefit when due under the plan	າ?		10f		X				
9	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				13	3733
h	( )	•				X				
<del>-</del> :	2520.101-3.)			10h		^				
i	exceptions to providing the notice applied under 29 CFR 2520.101			10i						
Par					<u> </u>					
11										
114										
12										
12	2 - 10 time of dominate of print case poor to the time time time of the case o									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)  a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling									
granting the waiver										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	Enter the minimum required contribution for this plan year					12b				

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 <b>3c(2)</b> E	IN(s)	<b>13c(3)</b> PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	<b>14b</b> ⊤	rust's EIN					