Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instr	uctions to the Form 550	0-SF.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Part I	Annual Report	Identification Information						
For calend	ar plan year 2012 or f	iscal plan year beginning 01/01/	2012	and ending 1	2/31/2	2012		
	turn/report is for:	X a single-employer plan		plan (not multiemployer)	a one-participant plan			
B This ref	turn/report is:	the first return/report	the final return/repor					
		an amended return/report	a short plan year retu	ırn/report (less than 12 m	onths)	_		
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter descr	iption)					
Part II	Basic Plan Info	ormation—enter all requested inf	ormation					
1a Name	of plan	·			1b	Three-digit		
YVONNE A.	MASON 401(K) PLAI	N				plan number		
						(PN) •	001	
					1c	Effective date o	•	
2a Disease		dalara e de de de como de como de como de			O.L.	01/01		
	ponsor's name and ac MASON MD, PC	ddress; include room or suite numbe	er (employer, if for a single	e-employer plan)	20	Employer Identification Number (EIN) 20-0653904		
					2c	Sponsor's telep	hone number	
	OXFORD ST					718-623	3-5500	
BROOKLYN	I, NY 11217				2d	Business code ((see instructions)	
3a Plan a	dministrator's name a	nd address XSame as Plan Spons	or Name Same as Pla	an Sponsor Address	3b	Administrator's		
					20	Λ -l:-:-tt'		
					30	Administrators	telephone number	
4 If the r	name and/or EIN of th	e plan sponsor has changed since	he last return/report filed	for this plan, enter the	4b EIN			
name, EIN, and the plan number from the last return/report.								
	or's name				4c PN			
5a Total	number of participants	s at the beginning of the plan year			5a	4		
b Total	number of participants	s at the end of the plan year			5b		4	
		account balances as of the end of t	. , ,	•	5c		1	
_		ts during the plan year invested in e					X Yes No	
_	•	of the annual examination and repor	•	,				
		6? (See instructions on waiver eligib					X Yes No	
If you	ı answered "No" to e	either line 6a or line 6b, the plan c	annot use Form 5500-S	F and must instead use	Form	5500.		
Caution: A	A penalty for the late	or incomplete filing of this return	/report will be assessed	d unless reasonable cau	ıse is	established.		
		ther penalties set forth in the instruc						
	edule MB completed a true, correct, and com	and signed by an enrolled actuary, a	s well as the electronic ve	ersion of this return/report	i, and i	to the best of my	knowledge and	
			1	T				
SIGN	Filed with authorized	/valid electronic signature.	06/21/2013	YVONNE MASON				
HERE	Signature of plan a	administrator	Date	Enter name of individ	ual sig	ning as plan adr	ninistrator	
SIGN								
HERE	Signature of emplo	tture of employer/plan sponsor Date Enter name of individual signing as employer or plan spo		er or plan sponsor				
Preparer's		name, if applicable) and address; in	clude room or suite numb				number (optional)	

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Por	t III Financial Information		-						
	t III Financial Information Plan Assets and Liabilities	(a) Paginning of Vas		.			(b) End of Voor		
	Total plan assets	7a	(a) Beginning of Yea	(a) Beginning of Year		(b) End of Year			
	Total plan liabilities	7a 7b	20110	7 1			223401		
	Net plan assets (subtract line 7b from line 7a)	7c	26116	261161			223401		
	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount				(b) Total		
	Contributions received or receivable from:		(a) Amount				(b) Total		
	(1) Employers	8a(1)							
	(2) Participants	8a(2)	12	20					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	. 8b	2404	24042					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					24162		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	4037	40378					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	2149	14					
f	Administrative service providers (salaries, fees, commissions)	8f	5	0					
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					61922		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-37760		
j	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:		
Par	V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				100	X	Amount		
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
	, , , , , , , , , , , , , , , , , , ,			10b	Χ				
				10c			27000		
d	or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	efits under the plan? (See	10e		X			
f	Has the plan failed to provide any benefit when due under the pla					X			
	· · · · · · · · · · · · · · · · · · ·			10f	X				
g h	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	^	X	0		
i	2520.101-3.)			10h		Α			
Dowl	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i					
11	Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	Enter the amount from Schedule SB line 39					11a			
12									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver					.			
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b	b Enter the minimum required contribution for this plan year								

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				