Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

2012

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Pension Be	enerit Guaranty Corporation	▶ Complete all entries in acc	cordance with the instr	uctions to the Form 550	0-SF.				
Part I	Annual Report	Identification Information							
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/2	2012	and ending 1	12/31/2	2012			
	turn/report is for: turn/report is:	a single-employer plan the first return/report	a multiple-employer the final return/repor	plan (not multiemployer) t	a one-participant plan				
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths))			
C Chook I	box if filing under:	Form 5558	automatic extension		,	DFVC progra	am		
• Check	box ii iiiiiig under.	special extension (enter descri							
Don't II	Dania Diam Info								
Part II		ermation—enter all requested info	ormation		1 h	There is all all	Τ		
1a Name of plan DIAMOND RESEARCH & MARKETING INSTITUTE, LLC 401(K) PLAN				10	Three-digit plan number				
DIAMOND IX	CLOCATOTT & WATER	TINO INSTITUTE, ELO 401(K) I EA	MIN.			(PN) ▶	001		
					1c	Effective date o	of plan		
							/2002		
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) DIAMOND RESEARCH & MARKETING INSTITUTE, LLC						2b Employer Identification Number (EIN) 13-4199392			
1212 AVFNI	UE OF THE AMERICA	AS			2c	Sponsor's telep			
SUITE 1103 NEW YORK, NY 10036						2d Business code (see instructions) 561900			
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address Same as Plan Sponsor Address Same as Plan Sponsor Addr						3b Administrator's EIN 13-4199392			
IAMOND RE	SEARON & WARRET	ING INSTITUTE, LLC			3с	Administrator's 212-354	telephone number 4-9100		
		e plan sponsor has changed since t	he last return/report filed	for this plan, enter the	4b	EIN			
name, EIN, and the plan number from the last return/report.									
a Sponsor's name					4c PN				
		at the beginning of the plan year			5a				
		at the end of the plan year			5b		10		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c		2		
·	•								
_		s during the plan year invested in el f the annual examination and report	-				X Yes No		
•	•	? (See instructions on waiver eligibi	·		,		X Yes No		
		ither line 6a or line 6b, the plan ca							
Caution: A	A penalty for the late	or incomplete filing of this return	/report will be assessed	d unless reasonable cau	use is	established.			
SB or Sche	, , ,	her penalties set forth in the instruc nd signed by an enrolled actuary, a plete.	•	•		ο, II	,		
SIGN	Filed with authorized	valid electronic signature.	06/21/2013	AMBER MICHELLE					
HERE	Signature of plan a	dministrator	Date	Enter name of individ	lual sig	gning as plan adr	ministrator		
SIGN					`	, ,			
HERE	Ciamatura of ample	wer/plan ananar	Data	Enter name of individ	ادرا ما				
Preparer's	Signature of emplo	name, if applicable) and address; inc	Date		ual signing as employer or plan sponsor Preparer's telephone number (optional)				
. Toparor s	manie (moldding milli	amo, ii appiloabio) and addices, iii	Siddo room of Suite Hullic	or (optional)	'''	a.o. o totoprione	nambor (optional)		

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Dar	t III Financial Information								
	Plan Assets and Liabilities		(a) Danimina of Vaca			(h) Ford of Voca			
		70		(a) Beginning of Year		(b) End of Year			
	Total plan assets	7a 7b	11331	10			128992		
	Net plan assets (subtract line 7b from line 7a)	70 7c	11221	442245			128002		
		70	113315		128992				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total		
	(1) Employers	8a(1)							
	(2) Participants	8a(2)	1205	52					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	362	25					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					15677		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d							
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					15677		
j	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	des in t	he instructions:		
Part	V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
С				10c	Χ		5000		
	Did the plan have a loss, whether or not reimbursed by the plan's			100			3000		
	or dishonesty?		· · · · · · · · · · · · · · · · · · ·	10d		X			
е	insurance service or other organization that provides some or all of instructions.)	of the bene	efits under the plan? (See	10e	X		365		
f	Has the plan failed to provide any benefit when due under the pla			10f		Χ			
g						X			
h	Did the plan have any participant loans? (If "Yes," enter amount as of year end.) If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X			
i	2520.101-3.)			10ii					
Dart		1 0		101					
11									
11a	5500) and line 11a below) Yes X No Enter the amount from Schedule SB line 39								
12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b Enter the minimum required contribution for this plan year						12b			

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				