Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

| | rt I | Annual Report Identification Information | | | | | |
|---|--|---|--|---|-------------------|--|--|
| For o | calenda | or plan year 2012 or fiscal plan year beginning 01/01/2012 and ending | 12/31/ | 2012 | | | |
| A T | This retu | urn/report is for: a single-employer plan a multiple-employer plan (not multiemployer) | | a one-particip | oant plan | | |
| Вт | his ret | urn/report is: the first return/report the final return/report | | | | | |
| | | an amended return/report a short plan year return/report (less than 12 m | onths |) | | | |
| C | Check b | ox if filing under: Form 5558 automatic extension | | DFVC progra | ım | | |
| | | special extension (enter description) | | | | | |
| Da | rt II | Basic Plan Information—enter all requested information | | | | | |
| | | | 1h | Three-digit | | | |
| 1a Name of plan DRIVE SYSTEMS INC 401 K PROFIT SHARING PLAN TRUST | | | | plan number | | | |
| | | | | (PN) • | 001 | | |
| | | | | Effective date o | f plan | | |
| | | | | 01/01 | /2011 | | |
| 2a DRIVI | Plan sp E SYS1 | consor's name and address; include room or suite number (employer, if for a single-employer plan) TEMS INC. | 2b | 2b Employer Identification Number (EIN) 16-1113358 | | | |
| PO BO | OX 653 | | 2c | Sponsor's telephone number 716-662-6676 | | | |
| | | PARK, NY 14127-0653 | 2d | Business code (see instructions) | | | |
| 3a | Plan ac | Iministrator's name and address XSame as Plan Sponsor Name Same as Plan Sponsor Address | 3b | 3b Administrator's EIN | | | |
| | | | 30 | Administrator's | telephone number | | |
| | | | 36 | Administrators | telephone number | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| 4 | If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. | | | 4b EIN | | | |
| а | | or's nameDRIVE SYSTEMS INC. | 4c | PN | | | |
| | | umber of participants at the beginning of the plan year | 5a | | 2 | | |
| b | Total n | umber of participants at the end of the plan year | 5b | | 3 | | |
| С | | er of participants with account balances as of the end of the plan year (defined benefit plans do not | 5c | | 1 | | |
| 62 | | ete this item) | • | | X Yes No | | |
| | | all of the plan's assets during the plan year invested in eligible assets? (See instructions.)uclains of Under the plan's awaiver of the annual examination and report of an independent qualified public accountant (IC | | | M 100 II 110 | | |
| ~ | | 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | X Yes No | | |
| | If you | answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use | Form | 5500. | | | |
| Cau | tion: A | penalty for the late or incomplete filing of this return/report will be assessed unless reasonable ca | use is | established. | | | |
| | | lties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/re | | | | | |
| | | dule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/repor rue, correct, and complete. | t, and | to the best of my | knowledge and | | |
| SIGI | | Filed with authorized/valid electronic signature. 06/27/2013 DRIVE SYSTEMS IN | MS INC. | | | | |
| HER | ĽΕ | Signature of plan administrator Date Enter name of individ | me of individual signing as plan administrator | | | | |
| SIGN | N | | | | | | |
| HER | E | Signature of employer/plan sponsor Date Enter name of individ | ual sid | ning as employe | r or plan sponsor | | |
| Preparer's | | name (including firm name, if applicable) and address; include room or suite number (optional) | Preparer's telephone number (optional) | | | | |
| | | | · | | • | | |
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| Part III Financial Information | | | | | | | | | |
|---|--|--|----------------------|-----|------|-----------------|---------|----------|----|
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | ır | | (b) End of Year | | | |
| a | Total plan assets | 7a | 3660 | | | | | 6185 | i9 |
| | Total plan liabilities | 7b | | 0 | 010 | | | | 0 |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 3660 | | 6185 | | 9 | | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | - | | (b) Total | | | |
| | Contributions received or receivable from: | | (a) Amount | | | | (6) 100 | <u> </u> | |
| | (1) Employers | 8a(1) | 2157 | 0 | | | | | |
| | (2) Participants | 8a(2) | 1700 | 00 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | |
| b | Other income (loss) | 8b | -1331 | 8 | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 2525 | 2 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 0 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 0 | | | | | |
| g | Other expenses | 8g | | 0 | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | 0 |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 2525 | 52 |
| j | Transfers to (from) the plan (see instructions) | 8j | | 0 | | | | | |
| Pai | t IV Plan Characteristics | , <u>, , , , , , , , , , , , , , , , , , </u> | l | | | | | | |
| | If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | |
| b | 2E 2G 2J 2K 2T 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | |
| D | (| | | | | | | | |
| Par | • | | | | | | | | |
| 10 | During the plan year: | 4: · · · · i 4 - : | | | Yes | No | Ai | nount | |
| a | 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu | Vas there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | X | | | |
| D | Were there any nonexempt transactions with any party-in-interest on line 10a.) | | | 10b | | X | | | |
| | | | | | | X | | | |
| | · · · · · · · · · · · · · · · · · · · | | | 10c | | | | | |
| d | or dishonesty? | | | 10d | | X | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all of | | | | | | | | |
| | instructions.) | | | 10e | | X | | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | X | | | |
| g | | | | | | X | | | |
| <u>s</u> | | - | | 10g | | | | | |
| | 2520.101-3.) | ` | | 10h | | X | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | |
| Part | | | | | | | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form | | | | | | | | |
| 110 | | | | | | . 110 | | | |
| | Enter the amount from Schedule SB line 39 | | | | | | | | |
| 12 | the discount of the control of the c | | | | | X No | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling | | | | | ıling | | | |
| a | granting the waiver Day Year | | | | | | | | |
| If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | | | |
| b Enter the minimum required contribution for this plan year | | | | | | | | | |

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|------|---|------------------|------------|---------------------|--|--|--|
| | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | | | Yes X No | | | |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | |
| 1 | 3c(1) Name of plan(s): | 1 3c(2) E | IN(s) | 13c(3) PN(s) | | | |
| Part | VIII Trust Information (optional) | | | | | | |
| | Name of trust | 14b ⊤ | rust's EIN | | | | |