Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2011

This Form is Open to Public Inspection

| Р | ension Benefit Guaranty Corporation Complete all entries in accord | dance witl | n the instructions to the Form 5500 | -SF. | | p | |
|-------|---|--------------|--|--------------------|-------------------------|-----------------|----------------|
| | art I Annual Report Identification Information | | | | | | |
| For | calendar plan year 2011 or fiscal plan year beginning 01/01/201 | 1 | and ending 12 | 2/31/20 | 011 | | |
| Α . | This return/report is for: | a multiple | -employer plan (not multiemployer) | | a one-particip | ant plan | |
| В. | This return/report is: the first return/report | the final re | eturn/report | - | _ | | |
| | | a short pla | an year return/report (less than 12 mo | nths) | | | |
| _ | H_ | • | . , | лило <i>)</i> Г | DEVC progra | m | |
| C | | | extension | L | DFVC progra | 111 | |
| | special extension (enter descriptio | , | | | | | |
| | rt II Basic Plan Information—enter all requested information | ation | | | | | |
| | Name of plan | | | | Three-digit | | |
| TRAN | ISTECH ELECTRIC, INC. 401(K) SALARY REDUCTION PLAN AND | TRUST | | | plan number (PN) ▶ | 001 | |
| | | | - | | ` , | | |
| | | | | 10 | Effective date of 08/14 | | |
| 2a | Plan sponsor's name and address; include room or suite number (er | mnlover if | for a single-employer plan) | 2h 1 | Employer Identif | | or |
| | NSTECH ELECTRIC, INC. | inployer, ii | Tor a single employer plan | | EIN) 91-16 | | 5 1 |
| | | | | | Sponsor's telep | hone number | |
| C/O N | IANOV IAMES PHILIPPOV TRUCTES | | | | 425-48 | | |
| | JANCY JAMES, BNKRPTCY TRUSTEE 3-63RD DRIVE SE | | | 2d | Business code (| see instruction | าร) |
| SNO | HOMISH, WA 98296 | | | | 23821 | | -, |
| 3a | Plan administrator's name and address (if same as plan sponsor, er | nter "Same | 2") | 3b / | Administrator's I | ΞIN | |
| | STECH ELECTRIC, INC. C/O NANCY C | JAMES, BI | NKRPTCY TRUSTEE | | 91-16 | 76753 | |
| | 15008-63RD I SNOHOMISH | | | 3c / | Administrator's t | | nber |
| _ | | | | 41 | 425-485 | 0-5541 | |
| 4 | If the name and/or EIN of the plan sponsor has changed since the landame, EIN, and the plan number from the last return/report. | ast return/i | report filed for this plan, enter the | 4b | EIN | | |
| а | Sponsor's name | | | 4c | PN | | |
| | Total number of participants at the beginning of the plan year | | | 5a | | | 5 |
| b | Total number of participants at the end of the plan year | | - | | | | 3 |
| ~ | Number of participants with account balances as of the end of the p | | - | 5b | | | |
| C | complete this item) | , | · | 5c | | | 3 |
| 6a | Were all of the plan's assets during the plan year invested in eligible | | | | 1 | X Yes | No |
| b | Are you claiming a waiver of the annual examination and report of a | | · · | | ••••• | | _ |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility a | | | , | | X Yes | No |
| | If you answered "No" to either 6a or 6b, the plan cannot use Fo | orm 5500- | SF and must instead use Form 550 | 0. | | | |
| Pa | rt III Financial Information | 1 | I | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | | (b) End | of Year | |
| а | Total plan assets | . 7a | 876089 | | | 513662 | 2 |
| b | Total plan liabilities | 7b | 0 | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 876089 | | | 513662 | 2 |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | (b) T | otal | |
| а | Contributions received or receivable from: | | 044 | | , , | | |
| | (1) Employers | 8a(1) | 244 | _ | | | |
| | (2) Participants | 8a(2) | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | |
| b | Other income (loss) | 8b | -5269 | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | -5025 | |
| d | Benefits paid (including direct rollovers and insurance premiums | | 057400 | | | | |
| | to provide benefits) | . 8d | 357402 | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | |
| g | Other expenses | . 8g | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | · | 357402 | 2 |
| i | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | -362427 | , |
| j | Transfers to (from) the plan (see instructions) | | | | | | |
| • | , , , , , , | o j | | | | | |

| Form 5500-SF 2011 Page 2 - 1 | |
|-------------------------------------|--|
|-------------------------------------|--|

| Dart IV | Dlan | Chara | otorictics |
|---------|------|-------|------------|
| Part IV | Plan | Cnara | cteristics |

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a 2D 2E 2F 2G 2J 2K 3D

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part | | | ., | | | | |
|--------------|---|---------|----------|----------------|----------------|----------|--------|
| 10 | During the plan year: | | Yes | No | Α | mount | |
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10a | | Χ | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | 10b | | X | | | |
| С | Was the plan covered by a fidelity bond? | 10c | X | | | | 130000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) | 10e | | X | | | |
| f | Has the plan failed to provide any benefit when due under the plan? | 10f | | X | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | 10q | | X | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 10h | | Х | | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | |
| Part | VI Pension Funding Compliance | | | | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500)) | | | | | Yes | X No |
| | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver. Mon | ctions, | , and e | enter th | e date of the | | - |
| | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | Г | 12b | | | |
| b | Enter the minimum required contribution for this plan year | | | 12c | | | |
| c d | Enter the amount contributed by the employer to the plan for this plan year | of a | | 12d | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | N/A |
| Part | | | | | | <u>-</u> | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | | \ \ \ | ′es X No | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 1 | 3a | | - | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC? | | | | | Yes | X No |
| C | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.) | he pla | n(s) to |) | | | |
| 1 | 3c(1) Name of plan(s): | | 13 | c(2) El | N(s) | 13c(3) | PN(s) |
| | | | | | | | |
| Caut | ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab | le cau | ıse is | establ | ished. | | |
| Unde SB o | or penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return, i, it is true, correct, and complete. | urn/re | port, ir | ncludin | g, if applicab | | |

| SIGN | Filed with authorized/valid electronic signature. | 06/28/2013 | NANCY JAMES, BNKRPTCY TRUSTEE |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2011

OMB Nos. 1210-0110

Form 5500-SF (2011)

1210-0089

This Form is Open to Public Inspection

| | | Identification Information | 7/01/2 | Oli and coding | | 12/31/201 | 1 |
|-------------|---|---|-------------|--|----------------|-------------------------------|---------------------------------------|
| For | calendar plan year 2011 or fis | | 1/01/2 | | | - | |
| Α | This return/report is for: | a single-employer plan | a multiple | e-employer plan (not multiemployer) | Ĺ | a one-particir | oant plan |
| В | This return/report is: | the first return/report | the final r | eturn/report | | | |
| | | an amended return/report | a short pla | an year return/report (less than 12 mo | onths) | | |
| С | Check box if filing under: | Ñ Form 5558 | automatic | extension | ſ | DFVC progra | m |
| | ondok box ii iiinig undon | special extension (enter description | on) | | L | | |
| P: | art II Basic Plan Info | rmation—enter all requested information | | | | | |
| | Name of plan | | | | 1b | Three-digit | |
| | • | C, INC. 401(K) SALARY | | | | plan number | |
| | REDUCTION PLAN ANI | • | | | | (PN) • | 001 |
| | REDUCTION PLAN AND | J IRUSI | | | | Effective date of | |
| | | | | | | 08/14/199 | |
| 2a | Plan sponsor's name and add TRANSTECH ELECTRIC | for a single-employer plan) | 2b | Employer Identil (EIN) 91-167 | ication Number | | |
| | TRANSIECH ELECIRIO | C, INC. | | | | ` | |
| | | | | | | Sponsor's telep (425) 485- | |
| | c/o Nancy James, 1 | Bnkrptcy Trustee | | | | | see instructions) |
| | 15008-63rd Drive : Snohomish | SE | | WA 98296 | 2.0 | 238210 | see mstructions) |
| 3a | | id address (if same as plan sponsor, er | nter "Same | | 3b | Administrator's I | =IN |
| | SAME | , , , | | , | | | |
| | | | | | 3c / | Administrator's t | elephone number |
| 4 | If the name and/or FIN of the | plan sponsor has changed since the I | act roturni | report filed for this plan, enter the | 4b | | - |
| 7 | | mber from the last return/report. | astretum | report med for this plan, enter the | 40 | EIIN | |
| a | Sponsor's name | | | | 4c | PN | |
| 5a | Total number of participants | at the beginning of the plan year | | | 5a | | 50 |
| b | Total number of participants | at the end of the plan year | | | 5b | | 39 |
| С | Number of participants with a | account balances as of the end of the p | olan year (| defined benefit plans do not | | <u> </u> | |
| | complete this item) | | ····· | | 5c | | 39 |
| | | during the plan year invested in eligible | | | | ····· | Yes No |
| b | Are you claiming a waiver of | the annual examination and report of a | an indeper | ndent qualified public accountant (IQF | PA) | | — — — — — — — — — — — — — — — — — — — |
| | | ? (See instructions on waiver eligibility a ther 6a or 6b, the plan cannot use Fo | | | | •••••• | X Yes No |
| Pa | rt III Financial Inform | | 3111 3300 | or and must instead use Form 550 | 70. | | |
| 7 | Plan Assets and Liabilities | | | (a) Beginning of Year | | (b) End | of Year |
| а | Total plan assets | | 7a | 876,08 | 9 | 327 2 | 513,662 |
| b | · . | | 7b | | 0 | | • |
| С | Net plan assets (subtract line | e 7b from line 7a) | 7c | 876,08 | 9 | - ,,= | 513,662 |
| 8 | Income, Expenses, and Tran | | | (a) Amount | 1 | (b) 7 | |
| а | Contributions received or rec | | | | + | (b) 7 | otai |
| | (1) Employers | | 8a(1) | 24 | 4 | | |
| | (2) Participants | | 8a(2) | | _ | | |
| | (3) Others (including rollove | rs) | 8a(3) | | | | |
| b | Other income (loss) | | 8b | (5,269 |) | | |
| С | Total income (add lines 8a(1 |), 8a(2), 8a(3), and 8b) | 8c | | | | (5,025) |
| d | | t rollovers and insurance premiums | | 357,40 | 2 | | |
| _ | | | 8d | 357,40 | 4 | | |
| e | | ective distributions (see instructions) | 8e | | 4 | | |
| 1 | | ers (salaries, fees, commissions) | 8f | | 4 | | |
| g | | | 8g | | - | | <u> </u> |
| h | · · · · · · | l, 8e, 8f, and 8g) | 8h | | - | ··· | 357,402 |
| 1 | | ne 8h from line 8c) | 8i | | +- | | (362,427) |
| | | see instructions) | 8j | | <u></u> | | |
| For E | aperwork Keduction Act Notice and | OMB Control Numbers, see the instructions for S ACCOUNTING | Form 5500-S | F | | | Form 5500-SF (2011) |

| | | Form 5500-SF 2011 Page 2 - | | | | | | | |
|--------|-------------|--|--------------|---------|-----------------|------------|-----------------|----------------|-------------|
| Par | t IV | Plan Characteristics | | | | | | | |
| | If th | e plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char 2D 2E 2F 2G 2J 2K 3D | acteris | tic Co | des in | the instru | uctions | : | |
| b | | e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara | cterist | ic Cod | es in ti | ne instruc | tions: | | |
| Part | v | Compliance Questions | | | | | | | |
| 10 | Dι | ring the plan year: | | Yes | No | | Amo | unt | |
| а | | as there a failure to transmit to the plan any participant contributions within the time period described in 9 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10a | | х | | | | |
| b | | ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.) | 10b | | Х | | | | |
| С | W | as the plan covered by a fidelity bond? | 10c | Х | | | | 13 | 0,000 |
| d | | the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud dishonesty? | 10d | | Х | | | | |
| е | ins | ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, surance service or other organization that provides some or all of the benefits under the plan? (See structions.) | 10e | | х | | | | |
| f | | is the plan failed to provide any benefit when due under the plan? | | ļ | Х | | | | |
| | | | 10f | | | | — | | |
| g h | | the plan have any participant loans? (If "Yes," enter amount as of year end.)his is an individual account plan, was there a blackout period? (See instructions and 29 CFR | 10g | | Х | | | | |
| •• | | 20.101-3.) | 10h | | Х | | | | |
| i | | Oh was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | _ | | |
| art | VI | Pension Funding Compliance | | | | | | | |
| 11 | | his a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 00)) | | | | | . [| Yes | X No |
| 12 | ls | this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code | e or se | ction (| 302 of | ERISA? | . [| Yes | ⊠ No |
| a | lf a gra | 'Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruinting the waiver | nth | and e | enter th Day | e date of | the let Year | lter ruli r | ng —— |
| b | • | ter the minimum required contribution for this plan year | | Γ | 12b | | | | |
| c | | ter the amount contributed by the employer to the plan for this plan year | | | 12c | | | | |
| d | Su | btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount). | of a | | 12d | | | | |
| е | Wi | I the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | | 40 | N/A |
| art | VII | Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | На | s a resolution to terminate the plan been adopted in any plan year? | | | | res X | No | | |
| | If " | Yes," enter the amount of any plan assets that reverted to the employer this year | 1 | 3a | | | | | |
| b | | ere all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC? | | | | | | Yes | X No |
| С | | luring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify t ich assets or liabilities were transferred. (See instructions.) | he pla | n(s) to | | | _ | | |
| | 13c(| 1) Name of plan(s): | | 13 | c(2) El | N(s) | | 13c(3) | PN(s) |
| | | | | | | | | | |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions. I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Day- Clary | 627.13 | Nancy James, Bnkrptcy Trustee |
|------|------------------------------------|--------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |

ATTACHMENT TO FORM 5500

Re: Transtech Electric, Inc., 401(k) Salary Reduction Plan

PLN No.: 001

Plan Sponsor: Transtech Electric, Inc.

EIN: 91-1676753

Plan Years Ending: December 31, 2011

I am the Bankruptcy Trustee for the Plan Sponsor, Transtech Electric, Inc., and have been serving as Plan Administrator to the Plan, as required under the Bankruptcy Code. The Plan Sponsor is in bankruptcy and has ceased operations.

Serving as Chapter 7 Bankruptcy Trustee, I was without much of the information needed to prepare the Form 5500 and, as such, was compelled to rely on available information. As a result of the bankruptcy, I did not have access to all of the information needed to confirm the accuracy of the information contained herein and cannot attest to its correctness.

I request that no penalties be imposed for late, inaccurate or incomplete filing of this report given the circumstances of the bankruptcy as described above.

The Plan is being terminated, and the disbursement of all Plan assets to participants will be completed shortly.

Ng 2 Jams 6.27.13

ATTACHMENT TO FORM 5500

Re: Transtech Electric, Inc., 401(k) Salary Reduction Plan

PLN No.: 001

Plan Sponsor: Transtech Electric, Inc.

EIN: 91-1676753

Plan Years Ending: December 31, 2011

I am the Bankruptcy Trustee for the Plan Sponsor, Transtech Electric, Inc., and have been serving as Plan Administrator to the Plan, as required under the Bankruptcy Code. The Plan Sponsor is in bankruptcy and has ceased operations.

Serving as Chapter 7 Bankruptcy Trustee, I was without much of the information needed to prepare the Form 5500 and, as such, was compelled to rely on available information. As a result of the bankruptcy, I did not have access to all of the information needed to confirm the accuracy of the information contained herein and cannot attest to its correctness.

I request that no penalties be imposed for late, inaccurate or incomplete filing of this report given the circumstances of the bankruptcy as described above.

The Plan is being terminated, and the disbursement of all Plan assets to participants will be completed shortly.