Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I	Annual Report Identification Information							
For calend	For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012							
A This re	turn/report is for:	multiple-employer p	lan (not multiemployer)	nultiemployer) a one-participant plan				
		ne final return/report						
		short plan year retur	n/report (less than 12 m	onths)			
C Check		utomatic extension			DFVC progra	m		
• • • • • • • • • • • • • • • • • • • •	special extension (enter description))						
Part II	Basic Plan Information—enter all requested informati							
		OH		1h	Three-digit			
	1a Name of plan ELIX NIHAMIN ASSOCIATES PC 401 K PROFIT SHARING PLAN TRUST				plan number			
					(PN) ▶	001		
				1c	Effective date of plan			
30 Disc			I I \	Ole	01/01/			
FELIX NIHA	ponsor's name and address; include room or suite number (em. MIN & ASSOCIATES PC	ployer, if for a single-	employer plan)	2b Employer Identification Numb (EIN) 26-3863989				
				20				
65 W 36TH	ST FL 9			2c Sponsor's telephone number 212-502-4868				
	X, NY 10018-7938			2d	Business code (see instructions)		
					54111	0		
3a Plan a	dministrator's name and address $oxed{X}$ Same as Plan Sponsor Na	me Same as Plar	Sponsor Address	3b	ΞIN			
				30	Administrator's	elephone number		
				30	Auministrator 5 t	elephone number		
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				4b EIN				
	, EIN, and the plan number from the last return/report.			4-				
	or's name				PN	7		
	number of participants at the beginning of the plan year			5a				
	number of participants at the end of the plan year			5b		4		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				5c		1		
·	all of the plan's assets during the plan year invested in eligible					X Yes No		
	ou claiming a waiver of the annual examination and report of an							
undei	29 CFR 2520.104-46? (See instructions on waiver eligibility an	d conditions.)				X Yes No		
lf you	ı answered "No" to either line 6a or line 6b, the plan cannot	use Form 5500-SF	and must instead use	Form	<u> 5500.</u>			
	A penalty for the late or incomplete filing of this return/repo							
	alties of perjury and other penalties set forth in the instructions, edule MB completed and signed by an enrolled actuary, as well							
	true, correct, and complete.	as the electronic ver	sion of this return/repor	ı, anu	to the best of my	knowledge and		
	<u>.</u>	0.0/0.0/0.00	T					
SIGN	Filed with authorized/valid electronic signature.	06/28/2013	FELIX NIHAMIN ASSOCIATES PC					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			ninistrator		
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)				Preparer's telephone number (optional)				

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Pa	Part III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar .			(b) End	of V	nar .		
		. 7a	(a) Beginning of Tea				(b) End of Year			2	
	Total plan assets		1302	0			17422 0				
	et plan assets (subtract line 7b from line 7a)		1502				17422				
8	Income, Expenses, and Transfers for this Plan Year	7c					(b) T	otal	1742	_	
	Contributions received or receivable from:		(a) Amount				(b) T	Olai			
	(1) Employers	8a(1)		0							
	(2) Participants	8a(2)	53	3							
	(3) Others (including rollovers)	8a(3)		0							
b	Other income (loss)	. 8b	186	5							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							2398	3	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		0							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	8f		0							
g	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							239	8	
j_	Transfers to (from) the plan (see instructions)	8j		0							
Pa	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2T 3D	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instruc	tions	:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	c Coc	les in t	he instructi	ons:			
Par	t V Compliance Questions										
10	During the plan year:				Yes	No		Amo	nt		
	Was there a failure to transmit to the plan any participant contributions within the time period described in				100	110		AIIIC	Juni		
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					20	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X					, 000
е	Were any fees or commissions paid to any brokers, agents, or oth										
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See			Х					
	instructions.)			10e							
f	Has the plan failed to provide any benefit when due under the plan	Has the plan failed to provide any benefit when due under the plan?				X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)											
112	1a Enter the amount from Schedule SB line 39										
12	Тпп										
	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						. 10				
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling										
granting the waiver											
b Enter the minimum required contribution for this plan year											

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	Part VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	ol Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					