Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

						Inspection			
Part I	Annual Report Identifi	ication Information							
For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012									
A This	eturn/report is for:	a multiemployer plan;	a multipl	e-employer plan; or					
		x a single-employer plan;	a DFE (s	specify)					
		_	<u> </u>						
B This r	eturn/report is:	the first return/report;	the final	return/report;					
		an amended return/report;	a short p	lan year return/report (les	s than 12 m	onths).			
C If the	plan is a collectively bargained p	blan, check here	_			, П			
			_		_	, DE/(0			
D Chec	k box if filing under:	Form 5558;		c extension;	□ the	e DFVC program;			
		special extension (enter desc	· · ·						
Part	I Basic Plan Informat	t ion —enter all requested informat	tion						
1a Nam	•				1b	Three-digit plan	002		
PLAINVI	EW ORAL & MAXILLOFACIAL A	ASSOCIATES PC RETIREMENT 1	TRUST - PROFIT S	HARING PLAN	10	number (PN) >			
					10	1c Effective date of plan 06/25/1975			
2a Plan	sponsor's name and address: in	nclude room or suite number (empl	lover, if for a single	employer plan)	2b	Employer Identifica	ation		
	,	(,,			Number (EIN)			
PLAINV	EW ORAL & MAXILLOFACIAL A	ASSOCIATES PC				11-2337757			
					2c Sponsor's telephone				
						number 516-882-7880)		
	D COUNTRY ROAD		COUNTRY ROAD		2d	2d Business code (see			
PLAINVI	EW, NY 11803-5018	PLAINVIEV	N, NY 11803-5018			instructions)			
						621210			
Caution	A panalty for the late or incon	mplete filing of this return/report	will he assessed	unless reasonable caus	eo is ostablic	shad			
		alties set forth in the instructions, I					dulas		
		he electronic version of this return/							
SIGN	Filed with authorized/valid electr	ronic signature	06/30/2013	KENNETH MORRIS					
HERE	Signature of plan administrat	•	Date	Enter name of individua	al cianina ac	plan administrator			
	Signature or plan administrat	.01	Date	Litter flame of flavious	ai sigililig as	pian administrator			
SIGN									
HERE									
	Signature of employer/plan s	ponsor	Date	Enter name of individua	al signing as	employer or plan sp	onsor		
SIGN									
HERE									
Signature of DFE Date Enter name of individual signing Proporties are a continuous from name of individual signing Dranger (notional).				, ,					
				(optional)	telephone number				
					(optional)				

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3a	Plan administrator's name and address XSame as Plan Sponsor Name	Same as Plan Sponsor Address	3b Administrator's EIN		
			3c Administrator's telephone number		
4	If the name and/or EIN of the plan sponsor has changed since the last return EIN and the plan number from the last return/report:	n/report filed for this plan, enter the name,	4b EIN		
а	Sponsor's name		4c PN		
5	Total number of participants at the beginning of the plan year		5 29		
6	Number of participants as of the end of the plan year (welfare plans complete	te only lines 6a, 6b, 6c, and 6d).			
а	Active participants		6a 32		
b	Retired or separated participants receiving benefits		6b		
С	Other retired or separated participants entitled to future benefits		6c		
d	Subtotal. Add lines 6a, 6b, and 6c		6d 32		
е	Deceased participants whose beneficiaries are receiving or are entitled to re	eceive benefits	6e		
f	Total. Add lines 6d and 6e		6f 32		
g	Number of participants with account balances as of the end of the plan year complete this item)	6g 32			
h	•	<u>0</u>			
	Number of participants that terminated employment during the plan year witless than 100% vested				
7	Enter the total number of employers obligated to contribute to the plan (only		<u> </u>		
oa	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E				
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:				
9a	Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all t	hat apply)		
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) Insurance Code section 412(e)(3) insurance contracts		
	(3) X Trust	(3) X Trust	, insurance contracts		
	(4) General assets of the sponsor	(4) General assets of the	sponsor		
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a	attached, and, where indicated, enter the nur	nber attached. (See instructions)		
а	Pension Schedules				
	(1) R (Retirement Plan Information)	(1) H (Financial Info	rmation)		
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) X I (Financial Info	rmation – Small Plan)		
	Purchase Plan Actuarial Information) - signed by the plan actuary	(3) A (Insurance Info	,		
		(4) C (Service Provi			
	(3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		nting Plan Information)		
	information, signed by the plan actually	(V) [] 3 (Financial Ha	ioadion denedules)		

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012		and ending	12/	/31/2012	2
A Name of plan PLAINVIEW ORAL & MAXILLOFACIAL ASSOCIATES PC RETIREMENT TRUST - PROFIT SHARING PLAN	В	Three-digit plan number (PN)	<u> </u>	002
C Plan sponsor's name as shown on line 2a of Form 5500 PLAINVIEW ORAL & MAXILLOFACIAL ASSOCIATES PC	D	Employer Ident	ificati	on Num	ber (EIN)

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	6031159	6980903
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	6031159	6980903
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)	393097	
	(2) Participants	. 2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	685511	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	2d		1078608
е	Benefits paid (including direct rollovers)	. 2e	79748	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions).	. 2h	48191	
i	Other expenses	. 2i	925	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		128864
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		949744
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

	_		Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
е	Participant loans	3e		X	

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Schedule I (Form 5500) 2012

		Г				
	Г		Yes	No		Amount
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
P	art II Compliance Questions					
4	During the plan year:		Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e	X			125000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X		
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	Ye	s XN	lo A	Amount:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	n(s) to w	hich assets	or liabilities were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	5b(3) PN(s)
Pa	rt III Trust Information (optional)					
	Name of trust			6b Tru	ust's EIN	