| For | rm 5500-SF | Short Form Annual R | • | of Small Employ | yee | | OMB Nos. 1210-0110 1210-0089 | | |
|---|--|--|---------------------------|------------------------------|--------------|---|---------------------------------|--|--|
| Department of the Treasury Internal Revenue Service | | Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employe | | | 0 | 2012 | | | |
| Department of Labor Employee Benefits Security Administration | | Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058 the Internal Revenue Code (the Code). | | B(a) of This Form is Open to | | | | | |
| Pension Be | Pension Benefit Guaranty Corporation Inspection | | | | | | | | |
| Part I Annual Report Identification Information For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012 | | | | | | | | | |
| | Γ | a single-employer plan | | an (not multiemployer) | 2/31/ | a one-partici | hant nlan | | |
| | turn/report is: | the first return/report | the final return/report | | | | | | |
| | | onths |) | | | | | | |
| C Check box if filing under: Form 5558 a short plan year return/report (less than 12 r | | | | | DFVC program | | | | |
| special extension (enter description) | | | | | | | | | |
| Part II | Basic Plan Inform | nation—enter all requested information | ation | | | | | | |
| 1a Name of plan HBE ADVISORS LLC 401(K) PROFIT SHARING PLAN | | | | | 1b | Three-digit plan number (PN) ► | 001 | | |
| | | | | | 1c | Effective date o | | | |
| 2a Plan s HC HEALTH | ponsor's name and addre | ess; include room or suite number (e LC | mployer, if for a single- | employer plan) | 2b | 2b Employer Identification Number (EIN) 27-0859404 | | | |
| 250 BOBWH | HITE COURT, SUITE 350 |) | | | 2c | Sponsor's telep 208-31 | | | |
| BOISE, ID 8 | | | | | 2d | Business code (see instructions) 541600 | | | |
| 3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address HC HEALTHCARE CONSULTING, LLC 250 BOBWHITE COURT, SUITE 350 | | | | | | Administrator's EIN 27-0859404 | | | |
| | | BOISE, ID 837 | | | | 208-31 | telephone number 9-0074 | | |
| 4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. | | | | | | 4b EIN | | | |
| · _ · | or's name | the beginning of the plan year | | | | 4C PN | | | |
| 5a Total number of participants at the beginning of the plan year | | | | | 5a | | 7 | | |
| b Total number of participants at the end of the plan year c Number of participants with account balances as of the end of the plan year (defined benefit plans do not | | | | | 5b | | 9 | | |
| complete this item) | | | | | 5c | | 9 | | |
| | 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | | | |
| b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | | | |
| | | incomplete filing of this return/rep | | | | | | | |
| Under pena SB or Sche | alties of perjury and othe | r penalties set forth in the instruction signed by an enrolled actuary, as we | s, I declare that I have | examined this return/rep | oort, ii | ncluding, if applic | | | |
| SIGN | Filed with authorized/va | lid electronic signature. | 07/10/2013 | KENNETH HOOPER | | | | | |
| HERE | Signature of plan adn | ninistrator | Date | Enter name of individu | ual sig | gning as plan adr | ninistrator | | |
| SIGN HERE | | | | | | | | | |
| · · · · · · · · · · · · · · · · · · · | Signature of employe | | Date | Enter name of individu | | | | | |
| Flepalers | Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional) | | | | | | | | |
| | | | | | | | | | |
| For Paperw | Ork Reduction Act Notice a | and OMB Control Numbers, see the ins | tructions for Form 5500- | SF. | | | Form 5500-SF (2012) | | |

| Part III Financial Information | | | | | | | | |
|--|---|---|--|-------------------------------|---|----------------|--------------------------------------|----|
| 7 Plan Assets and Liabilities | | (a) Beginning of Yea | r | | (b) End of Year | | | |
| a Total plan assets | 7a | | 0 | | | 33310 | | |
| b Total plan liabilities | 7b | | | | | | | |
| C Net plan assets (subtract line 7b from line 7a) | 7c | | 0 | | | | 33310 | |
| 8 Income, Expenses, and Transfers for this Plan Year | | (a) Amount | ount | | | (b) Total | | |
| a Contributions received or receivable from: | | | _ | | | | | |
| (1) Employers | 8a(1) | 3331 | 0 | | | | | |
| (2) Participants | 8a(2) | | | | | | | |
| (3) Others (including rollovers) | 8a(3) | | | | | | | |
| b Other income (loss) | 8b | | | _ | | | | |
| C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | _ | | | 33310 | |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | | | | | |
| Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | |
| f Administrative service providers (salaries, fees, commissions) | 8f | | | | | | | |
| g Other expenses | | | | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | 8g 8h | | | | | | 0 | |
| i Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 33310 | |
| Transfers to (from) the plan (see instructions) | 8j | | | | | | 00010 | |
| Part IV Plan Characteristics | oj | | | | | | | |
| 9a If the plan provides pension benefits, enter the applicable pension for 2E 2F 2G 2R 2T 3D 3H b If the plan provides welfare benefits, enter the applicable welfare for a planet benefits. | | | | | | | | |
| Part V Compliance Questions | | | | | | | | |
| | | | | Vee | | | | |
| 10 During the plan year: | | the time period described in | | Yes I | No | | Amount | |
| | | | 10a | | No X | | Amount | |
| 10 During the plan year:a Was there a failure to transmit to the plan any participant contribut | iciary Corre ? (Do not ir | ection Program) nclude transactions reported | 10a 10b | | | | Amount | |
| During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest? | iciary Corre ? (Do not ir | ection Program) | | | x | | Amount | |
| During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.). | iciary Corre ? (Do not ir fidelity bon | ction Program) nclude transactions reported d, that was caused by fraud | 10b | | x x | | Amount | |
| During the plan year: Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest' on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's | iciary Corre ? (Do not ir fidelity bon er persons of the benef | d, that was caused by fraud by an insurance carrier, fits under the plan? (See | 10b 10c | | × × × | | Amount | |
| During the plan year: Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest' on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all compared to the provides some or all compared to the | iciary Corre ? (Do not ir fidelity bon er persons of the benef | by an insurance carrier, fits under the plan? (See | 10b 10c 10d | | x x x x | | Amount | |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all or instructions.) | iciary Corre ? (Do not ir fidelity bon er persons of the benef | by an insurance carrier, fits under the plan? (See | 10b 10c 10d 10e 10f | | x x x x x x x x x x x x x x x x x x x | | Amount | |
| During the plan year: Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest' on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all o instructions.) Has the plan failed to provide any benefit when due under the plan | ciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er See instruc | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See | 10b 10c 10d 10e | | x x x x x x x x x x x x x x x x x x x | | Amount | |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all constructions.) f Has the plan failed to provide any benefit when due under the plan g Did the plan have any participant loans? (If "Yes," enter amount as h If this is an individual account plan, was there a blackout period? (If the plan have a blackout period?) | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er See instruc- ne required | action Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the | 10b 10c 10d 10e 10f 10g | | x x x x x x x x x x x x x x x x x x x | | Amount | |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest' on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all c instructions.) f Has the plan failed to provide any benefit when due under the plan g Did the plan have any participant loans? (If "Yes," enter amount as h If this is an individual account plan, was there a blackout period? (2520.101-3.) i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101 | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er See instruc- ne required | action Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the | 10b 10c 10d 10e 10f 10g 10h | | x x x x x x x x x x x x x x x x x x x | | Amount | |
| During the plan year: Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest' on line 10a.) | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er See instruc- ne required 1-3 ents? (If "Y | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the | 10b 10c 10d 10e 10f 10g 10h 10i | Schedul | X X X X X X X X X X X X X X X X X X X | (Form | Amount | No |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er ?See instruct he required 1-3 ents? (If "Y | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the es," see instructions and com | 10b 10c 10d 10e 10f 10g 10h 10i | Schedul | X X X X X X X X X X X X X X X X X X X | (Form | | No |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest' on line 10a.) c Was the plan covered by a fidelity bond? | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er ?See instruc ne required 1-3 ents? (If "Y | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See | 10b 10c 10d 10e 10f 10g 10h 10i | Schedul | X X X X X X X X X X X X X X X X X X X | (Form | | No |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) | Iciary Corre ? (Do not ir fidelity bon fidelity bon fidelity bon fithe benef for s of year er See instruct the required 1-3 | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ttions and 29 CFR notice or one of the es," see instructions and com | 10b 10c 10d 10e 10f 10g 10h 10i | Schedul | X X X X X X X X X X X X X X X X X X X | (Form | Yes | |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest' on line 10a.) | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er ? See instruct ne required 1-3 | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the es," see instructions and com nts of section 412 of the Code ble.) d in this plan year, see instructions | 10b 10c 10d 10e 10f 10g 10h 10i plete \$ or set | Schedul Schedul and ent | X X X X X X X X X X X X 2 of E | (Form RISA? | Yes | No |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? | iciary Corre ? (Do not ir fidelity bon er persons of the benef n? s of year er ?See instruct ne required 1-3 ents? (If "Y requirement as applica ng amortize | ection Program) nclude transactions reported d, that was caused by fraud by an insurance carrier, fits under the plan? (See nd.) ctions and 29 CFR notice or one of the es," see instructions and com nts of section 412 of the Code ble.) d in this plan year, see instructions and com | 10b 10c 10d 10e 10f 10g 10h 10i plete \$ or set | Schedul Schedul and ent | X X X X X X X X X X X X X X X X X X X | (Form RISA? | Yes Yes Yes e letter ruling | No |

| С | Enter | the amount contributed by the employer to the plan for this plan year | 12c | | | | |
|---|--|--|----------------|----------|---------------------|--|--|
| d | | act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a tive amount) | 12d | | | | |
| е | | he minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | |
| Part | Part VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a | a resolution to terminate the plan been adopted in any plan year? | , , | Yes X No | | | |
| | lf "Ye | es," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b | | all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the pBGC? | control | | Yes X No | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | |
| 1 | 3c(1) | Name of plan(s): 1 | 3c(2) E | IN(s) | 13c(3) PN(s) | | |
| | | | | | | | |
| | | | | | | | |
| Part | VIII | Trust Information (optional) | | | | | |

| 14a Name of trust | 14b Trust's EIN |
|-------------------|-----------------|
| | |
| | |