## Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012								
A This	eturn/report is for:	a multiemployer plan;	a multiple	le-employer plan; or				
a single-employer plan;			a DFE (s	a DFE (specify)				
<b>B</b> This	return/report is:	the first return/report;		return/report;				
		an amended return/report;	a short pl	an year return/report (les	s than 12 months).			
C If the	plan is a collectively-bargained p	olan, check here				<b>→</b> □		
<b>D</b> Chec	k box if filing under:	Form 5558;	automatio	extension; the DFVC program;				
		special extension (enter des	cription)					
Part	II Basic Plan Informat	tion—enter all requested informa	ation					
	ne of plan DE. ASKELAND DDS, PA INDIV	IDUAL 401K ACCOUNT			1b	Three-digit plan number (PN) ▶		
					1c Effective date of plan 01/01/2003			
	•	nclude room or suite number (emp	oloyer, if for a single-	employer plan)	2b	Employer Identification Number (EIN) 59-1531723		
RONALD E. ASKELAND DDS, PA  RONALD ASKELAND						2c Sponsor's telephone number		
	AND VIEW DRIVE	102 ISLAN			24	321-773-2333		
INDIAN HARBOUR BEACH, FL 32937 INDIAN HARBOUR BEACH, FL 32937					2d Business code (see instructions) 621210			
Caution	: A penalty for the late or incor	mplete filing of this return/repor	rt will be assessed (	unless reasonable caus	e is establi:	shed.		
		alties set forth in the instructions, I he electronic version of this return						
SIGN	Filed with authorized/valid electronic	ronic signature.	07/15/2013	RONALD E. ASKELAN	D			
HERE	Signature of plan administrat		Date		Enter name of individual signing as plan administrator			
	Signature of plan administrat	.01	Date	Litter flame of marvidua	ii sigiiiiig as	pian auministrator		
SIGN HERE Filed with authorized/valid electronic signature. 07/15/2013 RONALD E. ASKELA					ND DDS, PA			
HEIKE	Signature of employer/plan s	ponsor	Date	Enter name of individual signing as employer or plan s				
SIGN								
HERE Signature of DFE Date Enter name of individual signing as DFE								
· · ·					Preparer's telephone number			
					(optional)	•		
AHEARN, JASCO COMPANY, P.A.					954-781-8800			
190 S.E. 19TH AVENUE POMPANO BEACH, FL 33060-7541								

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3a	Plan administrator's name and address XSame as Plan Sponsor Name	Same as Plan Sponsor Address	3b Administrator's EIN		
			<b>3c</b> Administrator's telephone number		
4	If the name and/or EIN of the plan sponsor has changed since the last return EIN and the plan number from the last return/report:	n/report filed for this plan, enter the name,	4b EIN		
а	Sponsor's name		4c PN		
5	Total number of participants at the beginning of the plan year		5 2		
6	Number of participants as of the end of the plan year (welfare plans complete	te only lines <b>6a</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).			
а	Active participants		<mark>6a 0</mark>		
b	Retired or separated participants receiving benefits		6b		
С	Other retired or separated participants entitled to future benefits		6c		
d	Subtotal. Add lines 6a, 6b, and 6c		6d 0		
е	Deceased participants whose beneficiaries are receiving or are entitled to re	eceive benefits	6e		
f	Total. Add lines <b>6d</b> and <b>6e</b>		6f 0		
g	Number of participants with account balances as of the end of the plan year complete this item)	<b>6g</b> 0			
h	Number of participants that terminated employment during the plan year with				
7	less than 100% vested				
	Enter the total number of employers obligated to contribute to the plan (only If the plan provides pension benefits, enter the applicable pension feature of		•		
	2E 2J 2R				
b	If the plan provides welfare benefits, enter the applicable welfare feature coo	des from the List of Plan Characteristics Coo	des in the instructions:		
9a	Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all t	that apply)		
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) Insurance Code section 412(e)(3)	R) insurance contracts		
	(3) X Trust	(3) X Trust	y mourance contracte		
	General assets of the sponsor	(4) General assets of the	sponsor		
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a	attached, and, where indicated, enter the nu	mber attached. (See instructions)		
а	Pension Schedules	<b>b</b> General Schedules			
	(1) R (Retirement Plan Information)	(1) H (Financial Info	ormation)		
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) X I (Financial Info	rmation – Small Plan)		
	Purchase Plan Actuarial Information) - signed by the plan	(3) A (Insurance Inf	,		
	actuary	C (Service Provi			
	(3) SB (Single-Employer Defined Benefit Plan Actuarial		ating Plan Information)		
	Information) - signed by the plan actuary	(6) G (Financial Tra	nsaction Schedules)		

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012	and ending 12/31/2012
A Name of plan RONALD E. ASKELAND DDS, PA INDIVIDUAL 401K ACCOUNT	B Three-digit plan number (PN)
C Plan sponsor's name as shown on line 2a of Form 5500 RONALD E. ASKELAND DDS, PA	D Employer Identification Number (EIN) 59-1531723

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

## Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	143335	
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	143335	
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)		
	(2) Participants	. 2a(2)		
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	5983	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		5983
е	Benefits paid (including direct rollovers)	. 2e		
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans	20		
h	(see instructions)			
ï	Other expenses	. 211 . 2i		
	•			
J k	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)			5983
K	Net income (loss) (subtract line 2j from line 2d)			-149318
	Transfers to (from) the plan (see instructions)	. 2I		-143310

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
	Participant loans			X	

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Schedule I (Form 5500) 2012

		Г	ı	T		
			Yes	No		Amount
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
Pá	art II Compliance Questions					
4	During the plan year:		Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period					
	described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
_		40				
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e		Χ		
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X		
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year	Ye	s XN	lo A	mount:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	ı(s) to w	hich assets	or liabilities were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	<b>5b(3)</b> PN(s)
		+				
Pai	t III Trust Information (optional)					
	6a Name of trust			6h Tri	ust's EIN	
Ju	Va Name of trust			J. 110	AGE O EIIN	