## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	lar plan year 2012 or	fiscal plan year beginning 01/01/	2012	and ending 12	/31/2	2012		
A This re	turn/report is for:	X a single-employer plan	a multiple-employer p	olan (not multiemployer)		a one-particip	oant plan	
<b>B</b> This ref	turn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retu	rn/report (less than 12 mor	nths)			
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
	-	special extension (enter descr	iption)			_		
Part II	Basic Plan In	formation—enter all requested inf	ormation					
1a Name	of plan	·			1b	Three-digit		
SANDY HOOK PILOTS FOR LOCAL 333 EMPLOYEES AND APPRENTICE PILOTS						plan number	001	
				_	10	(PN) FEFFECTIVE date of		
					10	07/01/	•	
2a Plan s	ponsor's name and	address; include room or suite numbe	er (employer, if for a single	e-employer plan)	2b Employer Identification Number			
UNITED NE	EW YORK SANDY H	OOK PILOTS ASSOCIATION				(EIN) 13-5458437		
					2c	Sponsor's telep		
	VATER STREET LAND, NY 10305			_	24	718-448	see instructions)	
	,				Zu	48300	,	
3a Plan a	administrator's name	and address Same as Plan Spons	or Name Same as Pla	n Sponsor Address	3b	Administrator's I	EIN	
	YORK SANDY HO		WATER STREET	_			58437	
ASSOCIATIO	N .	STATEN IS	SLAND, NY 10305		3C	Administrator's t	telephone number 3-3900	
							, 6666	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN			
		number from the last return/report.			4c PN			
Sponsor's name     Total number of participants at the beginning of the plan year					<del>то</del> 5а	55		
_		its at the end of the plan year		_	5a 5b		52	
		, ,			JU		32	
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				•	5c		52	
		ets during the plan year invested in e	-				X Yes No	
		of the annual examination and report 6? (See instructions on waiver eligible					X Yes No	
		either line 6a or line 6b, the plan c	•				M 163   140	
		e or incomplete filing of this return						
		other penalties set forth in the instruc					able, a Schedule	
	edule MB completed true, correct, and co	and signed by an enrolled actuary, a	s well as the electronic ve	rsion of this return/report, a	and t	to the best of my	knowledge and	
DOILOT, IT IS	Truc, correct, and co	mpiete.	1					
SIGN	Filed with authorize	ed/valid electronic signature.	07/12/2013	TIMOTHY D. MCGOVE	OVERN			
HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorize	ed/valid electronic signature.	07/12/2013	TIMOTHY D. MCGOVERN				
HERE	Signature of emp	oloyer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				
					_		number (optional)	

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Por	t III Financial Information								
<u> Par</u>	Plan Assets and Liabilities		(a) Paginning of Year			(b) End of Your			
	Total plan assets	7a	(a) Beginning of Yea		(b) End of Year 3528420				
	Total plan liabilities	7a 7b	300132	.9			3320420		
	Net plan assets (subtract line 7b from line 7a)	7c	306152	9		3528420			
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total		
	Contributions received or receivable from:		(a) Amount				(b) Total		
	(1) Employers	8a(1)	11848	3					
	(2) Participants	8a(2)	15972	25					
	(3) Others (including rollovers)	8a(3)							
<u>b</u>	Other income (loss)	8b	28373	283733					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					561941		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	8585	85858					
e	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f	919	9192					
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					95050		
	Net income (loss) (subtract line 8h from line 8c)	8i					466891		
j	Transfers to (from) the plan (see instructions)	8j							
Par									
9a	If the plan provides pension benefits, enter the applicable pension 3D 2J 2F 2G 2E 2T	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instructions:		
Part	V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X	Amount		
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
	·			10b	Χ				
	Was the plan covered by a fidelity bond?			10c			1000000		
d	or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	efits under the plan? (See	10e		X			
f	Has the plan failed to provide any benefit when due under the plan			10f		X			
g					X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g		Χ	59793		
	,			10h					
Dout	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i					
Part VI Pension Funding Compliance  11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a	11a Enter the amount from Schedule SB line 39								
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.						
b Enter the minimum required contribution for this plan year									

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				<b>13c(3)</b> PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	<b>14b</b> ⊤	rust's EIN				