## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acc	ordance with the instru	uctions to the Form 550	0-SF.					
Part I		Identification Information								
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/2	2012	and ending 1	12/31/20	012				
	curn/report is for: curn/report is:	a single-employer plan the first return/report	the final return/repor		, , , , , ,					
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)	<u></u>				
C Check box if filing under: ☐ Form 5558 ☐ automatic extension  Special extension (enter description)					DFVC program					
Dort II	Decis Dien Info	<u> </u>	. ,							
Part II		rmation—enter all requested info	ormation		1h ·	Thurs a slimit				
THE NORTH	1a Name of plan THE NORTHWEST SCHOOL OF THE ARTS HUMANITIES AND ENVIRONMENT DEFINED CONTRIBUTION RETIREMENT PLAN					Three-digit plan number (PN)	001			
						<b>1c</b> Effective date of plan 09/01/1990				
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) THE NORTHWEST SCHOOL OF THE ARTS HUMANITIES AND ENVIRONMENT					<b>2b</b> Employer Identification Number (EIN) 91-1061146					
1415 SUMMIT AVE					<b>2c</b> Sponsor's telephone number 206-816-6214					
SEATTLE, WA 98122-3619					2d Business code (see instructions) 611000					
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address HE NORTHWEST SCHOOL OF THE ARTS IUMANITIES AND ENVIRONMENT  SEATTLE, WA 98122-3619				<b>3b</b> Administrator's EIN 91-1061146						
				3c Administrator's telephone number 206-816-6214						
		e plan sponsor has changed since to mber from the last return/report.	ne last return/report filed	for this plan, enter the	4b	EIN				
	or's name				4c PN					
<b>5a</b> Total r	number of participants	at the beginning of the plan year			5a	5a 11				
<b>b</b> Total r	number of participants	at the end of the plan year			5b	<b>5b</b> 1				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					. <b>5c</b> 12					
<b>b</b> Are you under	ou claiming a waiver of 29 CFR 2520.104-46	s during the plan year invested in elf the annual examination and report ? (See instructions on waiver eligibil ther line 6a or line 6b, the plan ca	of an independent qualifity and conditions.)	ied public accountant (IQ	PA)		X Yes No			
Caution: A	penalty for the late	or incomplete filing of this return	report will be assessed	d unless reasonable cau	ıse is e	established.				
SB or Sche		her penalties set forth in the instruct nd signed by an enrolled actuary, as plete.								
SIGN	Filed with authorized/	valid electronic signature.	07/17/2013	JANICE K CHILES						
HERE Signature of plan administrator Date				Enter name of individual signing as plan administrator						
SIGN										
HERE	Signature of emplo		Date	Enter name of individ						
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) GARY MAUGER NEW PINNACLE CONSULTANT GROUP, LLC 19825-B NORTH COVE ROAD,#105 CORNELIUS, NC 28031					Preparer's telephone number (optional) 866-367-3143					

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Pai	t III Financial Information											
				ng of Year				(b) End of Year				
	Total plan assets	7a	814436			9124842						
	Total plan liabilities	7b										
	Net plan assets (subtract line 7b from line 7a)	7c	814436	67				91	24842			
			(a) Amount			(b) Total						
	Contributions received or receivable from:		(a) runount				(2)	- tui				
	(1) Employers			7								
	(2) Participants			8								
	(3) Others (including rollovers)	8a(3)	8595	7								
<u>b</u>	Other income (loss)	Other income (loss)										
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						17	90585			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)				873829							
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e										
f	Administrative service providers (salaries, fees, commissions)	8f										
g	Other expenses	8g	27	9								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						8	374108	}		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						g	16477	•		
j	Transfers to (from) the plan (see instructions)	8j	6399	8								
Par	t IV Plan Characteristics											
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2L 2C 2F 2G											
b												
Part	V Compliance Questions											
10	During the plan year:				Yes	No		Amo	unt			
а						X						
b				10a 10b		X						
С	,					X						
				10c								
d	or dishonesty?			10d		X						
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all or	of the bene	efits under the plan? (See			X						
	instructions.)			10e								
f	Has the plan failed to provide any benefit when due under the plan?					X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X						
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3											
Part	VI Pension Funding Compliance					•						
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)												
11a	11a Enter the amount from Schedule SB line 39											
	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? X Yes No											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)											
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month Day Year											
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.												
	Enter the minimum required contribution for this plan year	•				12b				257	087	

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	Enter the amount contributed by the employer to the plan for this plan year	<b> </b>	12c		257087
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the negative amount)	12d		(	
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No X N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Y	'es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broof the PBGC?			Yes X No	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide which assets or liabilities were transferred. (See instructions.)	ntify the plan(s)	to		
1	13c(1) Name of plan(s):	1:	3c(2) El	N(s)	<b>13c(3)</b> PN(s)
Part	VIII Trust Information (optional)				

14b Trust's EIN

14a Name of trust