## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

	<u> </u>	Complete all entries in act	cordance with the instru	ictions to the Form 550	10-SF.				
Part I		<b>Identification Information</b>							
For calend	dar plan year 2012 or fis	scal plan year beginning 01/01	2012	and ending	12/31/2	2012			
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer	olan (not multiemployer)	a one-participant plan				
<b>B</b> This re	eturn/report is:	the first return/report	the final return/report	t					
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)	_			
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	m		
		special extension (enter desc	ription)						
Part II	Basic Plan Info	rmation—enter all requested in	ormation						
1a Name	of plan				1b	Three-digit			
NORSCOT	INVESTMENTS, INC.	401(K) PROFIT SHARING PLAN				plan number	004		
						(PN) <b>•</b>	001		
					1C	<sup>1</sup> plan 1989			
2a Plan s	sponsor's name and ad	dress; include room or suite numb	er (employer, if for a single	e-employer plan)	2b Employer Identification Num				
NORSCOT	INVESTMENTS, INC.		· · · · · · · · ·	, , , ,		(EIN) 98-003			
					2c	none number			
2920 STAT EVERETT,						425-339			
LVEREII,	WA 90201				2d	2d Business code (see instructi 531390			
3a Plan a	administrator's name ar	nd address XSame as Plan Spons	sor Name Same as Pla	an Sponsor Address	3b	Administrator's E			
			ш						
					3с	Administrator's to	elephone number		
4 If the	name and/or FIN of the	e plan sponsor has changed since	the last return/report filed	for this plan, enter the	4h	EINI			
		mber from the last return/report.	the last return/report filed	ioi tilis piari, eriter tile	4b EIN				
<b>a</b> Spons	sor's name	· 			4c PN				
5a Total number of participants at the beginning of the plan year				5a	a				
<b>b</b> Total	number of participants	at the end of the plan year			5b	<b>o</b> 6			
	· ·	account balances as of the end of		•	5c		38		
	,	s during the plan year invested in e			1		X Yes No		
		the annual examination and report							
		? (See instructions on waiver eligib					X Yes No		
If you	u answered "No" to ei	ther line 6a or line 6b, the plan o	annot use Form 5500-SI	and must instead use	Form	5500.			
Caution:	A penalty for the late	or incomplete filing of this retur	n/report will be assessed	l unless reasonable car	use is	established.			
		her penalties set forth in the instru							
	edule MB completed ar true, correct, and comp	nd signed by an enrolled actuary, a	is well as the electronic ve	ersion of this return/repor	t, and t	to the best of my	knowledge and		
501101, 1010	T			<u></u>					
SIGN	Filed with authorized/	valid electronic signature.	07/19/2013	GRETCHEN BEAUCH	EN BEAUCHAMP				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	Enter name of individual signing as plan administrator				
SIGN									
HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer				r or plan sponsor					
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)  Preparer's telephone num									

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7 Plan Assets and Labilities	Part III Financial Information											
a Total plan assets. 75	7			(a) Beginning of Yea	ar			(b) En	d of Y	ear		
D Total plan liabilities	a		. 7a				. ,					
8 Income. Expenses, and Transfers for this Plan Year  8 Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including pollowers) (3) Others (including pollowers) (4) Septimized for the property of the pr		·										
8 Income. Expenses, and Transfers for this Plan Year  8 Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including pollowers) (3) Others (including pollowers) (4) Septimized for the property of the pr	С	•		133419	99							
a Combibutions received or receivable from: (1) Employers				(a) Amount				(b)	Total			
(2) Participants.		·		(2) - 1112 1111								
(3) Others (including rollovers)		(1) Employers	8a(1)	2151	3							
b Cther income (loss)		(2) Participants	8a(2)	10375	56							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)		0							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits).   8d   135216	b	Other income (loss)	8b	21666	80							
to provide benefits)	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						3	341929	)	
f Administrative service providers (salaries, fees, commissions)		• • • •	. 8d	13521	135216							
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions)	. 8e	623	34							
n Total expenses (add lines 8d. 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f	45	59							
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g									
i Net income (loss) (subtract line 8h from line 8c)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							14190	9	
Transfers to (from) the plan (see instructions)   8			. 8i									
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E ≥ F ≥ C ≥ J ≥ K ≥ T ⇒ D ⇒ H  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102 (See instructions and DOL's Voluntary Fiduciary Correction Program)		· · · · · · · · · · · · · · · · · · ·			0							
9a	Par	t IV Plan Characteristics	<u> </u>	l								
But the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:    Part V   Compliance Questions		9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions).  f Has the plan have any participant loans? (If "Yes," enter amount as of year end.).  f Has the plan have any participant loans? (If "Yes," enter amount as of year end.).  f If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3).  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.  10h Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below).  11a Enter the amount from Schedule SB line 39.  11a Enter the amount from Schedule SB line 39.  11a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month Day Year  If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	b		eature cod	les from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions:			
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions).  f Has the plan have any participant loans? (If "Yes," enter amount as of year end.).  f Has the plan have any participant loans? (If "Yes," enter amount as of year end.).  f If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3).  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.  10h Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below).  11a Enter the amount from Schedule SB line 39.  11a Enter the amount from Schedule SB line 39.  11a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month Day Year  If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	Dord	V Compliance Questions										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a		<u> </u>				Vac	Na					
29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a			tiono withi	n the time period described in	1	res	NO		Am	ount		
on line 10a.)		29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?							X					
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	С	Was the plan covered by a fidelity bond?			10c	X					150	000
insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	d				10d		X					
f Has the plan failed to provide any benefit when due under the plan?	е						Y					
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)		•			10e							
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?					Х					
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
Part VI Pension Funding Compliance  11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	h						X					
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11a Enter the amount from Schedule SB line 39	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)  a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver	11a											
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granting the waiver	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)											
	a											
b Enter the minimum required contribution for this plan year	If	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.								
	b Enter the minimum required contribution for this plan year											

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	ntrol Yes X					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	3c(2) E	IN(s)	<b>13c(3)</b> PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	<b>14b</b> ⊤	rust's EIN					