Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2010

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

Р										
	art I		Identification Information		and and an	40/04/0	2040			
For	r calenda	ar plan year 2012 or fi		1/2012	and ending	12/31/2				
Α	This ret	turn/report is for:	a single-employer plan		olan (not multiemployer)	yer) a one-participant plan				
В	This ret	turn/report is:	the first return/report	the final return/report						
			an amended return/report	a short plan year retu	rn/report (less than 12 r	nonths)	_			
С	Check I	box if filing under:	Form 5558	automatic extension			DFVC program			
			special extension (enter desc	cription)						
P	art II	Basic Plan Info	ormation—enter all requested in	nformation						
	Name	•			1b	Three-digit				
NOR	RTHWES	T CLINICAL RESEARCH GROUP INC 401K PLAN				plan number (PN) ▶ 001				
						1c	Effective date of plan			
						.	03/31/2005			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)					2b	Employer Identification Number				
NOF	RIHWES	ST CLINICAL RESEA	RCH GROUP INC				(EIN) 26-3389503			
						2c	Sponsor's telephone number			
		AVE SE LE, WA 98072-9587				24	425-481-8380			
****	ODIITVIL	LLL, W/(00072 0007				2 a	Business code (see instructions) 111100			
	Plan a	dministrator's name a	ind address XSame as Plan Spon	sor Name Same as Pla	ın Sponsor Address	3b	Administrator's EIN			
-	i i iaii a	arminotrator o riarrio a	na address Poams as Flam open		ar openion / idanoo		, ranimistrator o Env			
						3с	Administrator's telephone number			
	If the r	name and/or FINI of th	and an anapar has shanged since	the last return/report filed	for this plan anter the	415	- FINI			
4			ne plan sponsor has changed since imber from the last return/report.	the last return/report filed	for this plan, enter the	40	EIN			
а		or's name				4c	PN			
5a	Total r	number of participants	s at the beginning of the plan year.			. 5a	2			
b	Total r	number of participants	Total number of participants at the end of the plan year			. 5b				
С	Numb					· DD	3			
	000001	or or participants with								
	compi			f the plan year (defined ben	efit plans do not	_	3			
	Were	lete this item)all of the plan's asset	account balances as of the end of	f the plan year (defined ben eligible assets? (See instru	efit plans do not	. 5c	3			
6a b	Were Are yo	lete this item)all of the plan's asset ou claiming a waiver o	account balances as of the end of the end of the during the plan year invested in of the annual examination and repo	f the plan year (defined ben eligible assets? (See instru ort of an independent qualifi	efit plans do not ctions.)	. 5c	3 X Yes No			
	Were Are you	all of the plan's asset ou claiming a waiver of 29 CFR 2520.104-46	account balances as of the end of the same as a same as	the plan year (defined ben eligible assets? (See instru ort of an independent qualifi bility and conditions.)	efit plans do not ctions.)ed public accountant (IG	. 5c				
b	Were Are you	ete this item)all of the plan's asset ou claiming a waiver of 29 CFR 2520.104-46 answered "No" to e	ts during the plan year invested in of the annual examination and reposit (See instructions on waiver eligit	eligible assets? (See instruort of an independent qualifibility and conditions.)	efit plans do not ctions.) ed public accountant (IG	. 5c	X Yes ☐ No			
Ca	Were Are you under If you ution: A	all of the plan's asset ou claiming a waiver of 29 CFR 2520.104-46 answered "No" to e	ts during the plan year invested in of the annual examination and reposit (See instructions on waiver eligible ther line 6a or line 6b, the plan or incomplete filing of this return.	the plan year (defined ben eligible assets? (See instru ort of an independent qualifi bility and conditions.) cannot use Form 5500-SF rn/report will be assessed	efit plans do not ctions.) ed public accountant (IGF and must instead use I unless reasonable ca	DPA)	X Yes No No No S500.			
Car Und SB	Were Are you under If you ution: A der pena	all of the plan's asset ou claiming a waiver of 29 CFR 2520.104-46 answered "No" to each penalty for the late alties of perjury and of edule MB completed a	ts during the plan year invested in of the annual examination and reposit (See instructions on waiver eligible ther line 6a or line 6b, the plan or incomplete filing of this return ther penalties set forth in the instruction signed by an enrolled actuary,	the plan year (defined ben eligible assets? (See instru ort of an independent qualifi bility and conditions.) cannot use Form 5500-SF rn/report will be assessed actions, I declare that I have	ctions.)ed public accountant (IGF and must instead use a examined this return/re	QPA) E Form Suse is Export, ir	3 Yes No X Yes No X Yes No 5500. established. acluding, if applicable, a Schedule			
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Part III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) En	d of Y	ear	
a	Total plan assets	. 7a	15293				(b) End of Year 211710			0
	Total plan liabilities	7b		0			0			
	Net plan assets (subtract line 7b from line 7a)	7c	15293				211710			0
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total			
	Contributions received or receivable from:		(u) Amount				(15)	Total		
	(1) Employers	8a(1)	982	5						
	(2) Participants	8a(2)	3380	0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	1535	2						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					58977			7
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	20	0						
q	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							20	0
ī	Net income (loss) (subtract line 8h from line 8c)	8i							5877	
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics	, oj		0						
	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instr	uction	s:	
b	2E 2F 2G 2J 2K 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	c Cod	les in t	he instru	ctions		
Par	t V Compliance Questions					1	1			
10	During the plan year:				Yes	No		Am	ount	
a	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X				
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X				
	Were any fees or commissions paid to any brokers, agents, or oth			100						
·	insurance service or other organization that provides some or all of instructions.)	of the bene	efits under the plan? (See	10e		X				
f	Has the plan failed to provide any benefit when due under the plan			10f		Χ				
					Χ					
g	If this is an individual account plan, was there a blackout period?	(See instru	uctions and 29 CFR	10g	^	X				36644
i	2520.101-3.)	he require	d notice or one of the	10h						
	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a	Enter the amount from Schedule SB line 39									
12							X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b Enter the minimum required contribution for this plan year										

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				