Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

		Complete all entries in accord	aance with the instru	Clions to the Form 550	00-3r.				
Part I	Annual Report	Identification Information							
For calenda	ar plan year 2012 or fis	scal plan year beginning 02/01/201	2	and ending	12/31/2012				
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer p	olan (not multiemployer)	a one-participant plan				
B This ret	turn/report is:	X the first return/report	the final return/report						
		an amended return/report X	a short plan year retur	n/report (less than 12 m	nonths)				
C Check I	box if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter description	n)						
Part II	Basic Plan Info	rmation—enter all requested inform	ation						
1a Name	of plan	·			1b Three-digit				
QUMULO, IN	NC 401(K) PLAN				plan number				
					(PN) ▶ 001				
					1c Effective date of plan				
					02/01/2012				
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) QUMULO					2b Employer Identification Number (EIN) 45-0622538				
					2c Sponsor's telephone number				
1111 3RD A	VE. STE. 320				206-260-3588				
SEATTLE, V					2d Business code (see instructions)				
					511210				
3a Plan a	dministrator's name an	d address XSame as Plan Sponsor N	lame Same as Pla	n Sponsor Address	3b Administrator's EIN				
					3c Administrator's telephone numbe	r			
					/ tarrimotrator o toropriorio marriso	•			
4 If the r	name and/or EIN of the	plan sponsor has changed since the l	ast return/report filed f	or this plan, enter the	4b EIN				
	•	nber from the last return/report.			4				
	or's name				4c PN				
5a Total number of participants at the beginning of the plan year					<u> </u>	25			
		at the end of the plan year			5b	17			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					. 5c	8			
	•	during the plan year invested in eligib			·	No			
		the annual examination and report of							
		(See instructions on waiver eligibility				No			
If you	answered "No" to ei	ther line 6a or line 6b, the plan cann	ot use Form 5500-SF	and must instead use	e Form 5500.				
Caution: A	penalty for the late of	or incomplete filing of this return/rep	ort will be assessed	unless reasonable ca	use is established.				
					eport, including, if applicable, a Schedule				
	edule MB completed an true, correct, and comp		ell as the electronic ve	rsion of this return/repor	rt, and to the best of my knowledge and				
DONOI, It IS	r	note.		1					
SIGN	Filed with authorized/v	valid electronic signature.	07/24/2013	JUDY NAKAMURA					
HERE	Signature of plan ac	dministrator	Date	Enter name of individual signing as plan administrator					
SIGN									
HERE	Signature of employ	ver/plan sponsor	Date	Enter name of individ	dual signing as employer or plan sponsor	_			
Preparer's		ame, if applicable) and address; includ		•	Preparer's telephone number (optiona				
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Part III Financial Information												
			()5 : : ()		T		4) = 1					
	Plan Assets and Liabilities	7a	(a) Beginning of Yea	(a) Beginning of Year			(b) End of Year					
	•					46744						
	'			0					46747			
	C Net plan assets (subtract line 7b from line 7a)			U	-	46744						
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) To	tai				
	(1) Employers	8a(1)										
	(2) Participants	8a(2)	4559	90								
	3) Others (including rollovers)											
b	Other income (loss)	8b	115	54								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						4	46744			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d										
e	Certain deemed and/or corrective distributions (see instructions)	8e										
f	Administrative service providers (salaries, fees, commissions)	8f										
g	Other expenses	8g										
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							()		
	Net income (loss) (subtract line 8h from line 8c)	8i							46744	1		
j	Transfers to (from) the plan (see instructions)	8j										
Par	t IV Plan Characteristics											
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instructi	ons:				
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	des in t	he instructio	ns:				
Part	Part V Compliance Questions											
10	During the plan year:				Yes	No	,	۱mo	unt			
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X						
b				10b		X						
С	Was the plan covered by a fidelity bond?			10c	Χ					4	000	
d	Did the plan have a loss, whether or not reimbursed by the plan's	oid the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X					000	
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d								
C	insurance service or other organization that provides some or all of											
	instructions.)			10e		X						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g		X						
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X						
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i								
Part VI Pension Funding Compliance												
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)												
11a	11a Enter the amount from Schedule SB line 39											
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)											
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	ng amortiz	ed in this plan year, see instru		and e	enter th		e let Year		ing		
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.												
b	Enter the minimum required contribution for this plan year		-	<u></u>		12b						

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	Yes X No						
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					