

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089
		2012
		This Form is Open to Public Inspection

Part I	Annual Report Identification Information
For calendar plan year 2012 or fiscal plan year beginning <u>01/01/2012</u> and ending <u>12/31/2012</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☐ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information
1a Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	1b Three-digit plan number (PN) ► <u>001</u> 1c Effective date of plan <u>12/06/1996</u>
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ROCKWELL COLLINS, INC.</u> <u>400 COLLINS ROAD, MAIL CODE 124-318</u> <u>CEDAR RAPIDS, IA 52498</u>	2b Employer Identification Number (EIN) <u>52-2314475</u> 2c Sponsor's telephone number <u>714-503-1032</u> 2d Business code (see instructions) <u>335900</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>07/26/2013</u>	<u>ROSA BARONE</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>07/26/2013</u>	<u>ROSA BARONE</u>
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN
		3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		4b EIN 4c PN
5 Total number of participants at the beginning of the plan year		5 33390
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	10415
b Retired or separated participants receiving benefits.....	6b	10753
c Other retired or separated participants entitled to future benefits.....	6c	10900
d Subtotal. Add lines 6a , 6b , and 6c	6d	32068
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e	898
f Total. Add lines 6d and 6e	6f	32966
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	6g	
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h	11
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1G 3H 3F		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2012</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012	
Round off amounts to nearest dollar.	
Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.	
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information	
1	Enter the valuation date: Month 01 Day 01 Year 2012	
2	Assets:	
	a Market value	2a 2218881759
	b Actuarial value	2b 2218881759
3	Funding target/participant count breakdown:	(1) Number of participants (2) Funding Target
	a For retired participants and beneficiaries receiving payment	3a 11568 1535414763
	b For terminated vested participants	3b 11393 343869788
	c For active participants:	
	(1) Non-vested benefits	3c(1) 52599758
	(2) Vested benefits	3c(2) 722262321
	(3) Total active	3c(3) 11556 774862079
	d Total	3d 34517 2654146630
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☒	
	a Funding target disregarding prescribed at-risk assumptions	4a 2569298237
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b 2781419220
5	Effective interest rate	5 7.00 %
6	Target normal cost	6 17222147

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		07/11/2013
JAMES P NEAL	Signature of actuary	Date
TOWERS WATSON DELAWARE INC	Type or print name of actuary	11-06418
901 N. GLEBE ROAD ARLINGTON, VA 22203-8215	Firm name	Most recent enrollment number
	Address of the firm	703-258-8000
		Telephone number (including area code)

Part II		Beginning of Year Carryover Prefunding Balances	
		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	83652656
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	0	83652656
10	Interest on line 9 using prior year's actual return of <u>2.05</u> %		1714879
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		1839462
	b Interest on (a) using prior year's effective interest rate of <u>5.92</u> % except as otherwise provided (see instructions)		108896
	c Total available at beginning of current plan year to add to prefunding balance		1948358
	d Portion of (c) to be added to prefunding balance		1948358
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	87315893

Part III		Funding Percentages	
14	Funding target attainment percentage	14	82.96 %
15	Adjusted funding target attainment percentage	15	69.24 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	73.80 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV		Contributions and Liquidity Shortfalls	
18 Contributions made to the plan for the plan year by employer(s) and employees:			
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	
01/17/2012	63000000		
07/13/2012	2600000		
10/04/2012	55000000		
01/16/2013	44573901		
		Totals ▶	18(b) 165173901
		18(c)	0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:	
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a 0
b Contributions made to avoid restrictions adjusted to valuation date	19b 0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c 159108514
20 Quarterly contributions and liquidity shortfalls:	
a Did the plan have a "funding shortfall" for the prior year?	☒ Yes ☐ No
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☒ Yes ☐ No
c If line 20a is "Yes," see instructions and complete the following table as applicable:	

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0		0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 5.54%	2nd segment: 6.85%	3rd segment: 7.52 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	17222147	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	522580764	92867173	
b Waiver amortization installment		0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	110089320	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	110089320	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	159108514	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	49019194	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years.....	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☒ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42	2270062	
43 Excess installment acceleration amount to be carried over to future plan years	43	0	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2012
		This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
THE VANGUARD GROUP

23-1945930

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRIMECAP MANAGEMENT COMPANY

95-3868081

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	1073099	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ROCKWELL COLLINS

52-2314475

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 49 50	PLAN SPONSOR	729813	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

INTEGRITY ASSET MANAGEMENT LLC

86-1063006

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 99	NONE	794565	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HOTCHKIS & WILEY CAPITAL MANAGEMENT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	659148	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

WCM INVESTMENT MANAGEMENT

95-3046237

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	715894	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

EPOCH

20-1003862

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	713719	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FAYEZ SAROFIM & CO

74-1312679

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	531697	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

JP MORGAN INVESTMENT MANAGEMENT INC

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	455216	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SEIX INVESTMENT ADVISORS

26-1483110

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	371120	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50 49	NONE	368091	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

UBS GLOBAL ASSET MGT TRUST COMPANY

36-3718331

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 61	NONE	367540	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

TCW ASSET MGT COMPANY

95-2642764

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	354311	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

04-1867445

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 28 51	NONE	284379	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON ASSET SERVICING

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 62 99	NONE	253749	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

STANDISH MELLON ASSET MGT LLC

25-1890416

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	234110	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CAUSEWAY CAPITAL MANAGEMENT

95-4861680

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	181916	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

CLEARBROOK INVESTMENT CONSULTING

11-3290218

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
70 16	NONE	160000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS CAPTIAL MANAGEMENT

95-3692822

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	91836	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BNY MELLON CASH INV STRATEGIES

13-5673135

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	82648	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	77108	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MELLON CAPITAL MANAGEMENT

25-1442864

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 19 21 71	NONE	76495	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVES INSTIT OPS CO

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 50	NONE	71200	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DELOITTE & TOUCHE

13-3891517

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	55000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK

94-2984230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
65 50	NONE	38694	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CEDAR GRAPHICS

42-1280051

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 99	NONE	39949	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2012 This Form is Open to Public Inspection.
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For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV STOCK INDEX FUND</u>			
b Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u>			
c EIN-PN <u>25-6078093-010</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>58767034</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>UBS EMERGING MKTS DEBT COLLECTIVE</u>			
b Name of sponsor of entity listed in (a): <u>UBS US GROUP TRUST</u>			
c EIN-PN <u>36-3762086-067</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>75543335</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>JPMCP EXTENDED DURATION FUND</u>			
b Name of sponsor of entity listed in (a): <u>TRANSAMERICA LIFE INSURANCE CO</u>			
c EIN-PN <u>39-0989781-145</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>26989244</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV LGE CAP GRWTH SIF</u>			
b Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u>			
c EIN-PN <u>25-6078093-003</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>126896483</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LONG US TREASURY INDEX NL FD</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>04-0025081-479</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>60321665</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>20 YR US HIGH QUALITY CORP BD NL FD</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>90-0337987-296</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>204975231</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>5-20 YR US HIGH QUALITY CORP BD NL</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>90-0337987-297</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>36404067</u>	

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2012 This Form is Open to Public Inspection
For calendar plan year 2012 or fiscal plan year beginning <u>01/01/2012</u> and ending <u>12/31/2012</u>		
A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>		B Three-digit plan number (PN) ► <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>		D Employer Identification Number (EIN) <u>52-2314475</u>

Part I Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets			
a Total noninterest-bearing cash	1a	188562	13152346
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		44573901
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	10781416	12620576
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	14172713	4382645
(2) U.S. Government securities.....	1c(2)	205571295	188926379
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	303877039	317764981
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	2243304	2766687
(B) Common	1c(4)(B)	998252035	1129172809
(5) Partnership/joint venture interests	1c(5)	76682	83164
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	505932370	589897059
(10) Value of interest in pooled separate accounts.....	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	182667550	235869511
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	8911109	8083850

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	2232674075	2547293908

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	2334678	3808212
i Acquisition indebtedness	1i	10210161	6375280
j Other liabilities	1j	1247477	1215106
k Total liabilities (add all amounts in lines 1g through 1j)	1k	13792316	11398598

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	2218881759	2535895310
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAS, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	165173901	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		165173901
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	18016	
(B) U.S. Government securities	2b(1)(B)	5017722	
(C) Corporate debt instruments	2b(1)(C)	18074945	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	224425	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		23335108
(2) Dividends: (A) Preferred stock	2b(2)(A)	131613	
(B) Common stock	2b(2)(B)	25558913	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	4606814	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		30297340
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	779592848	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	745583144	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		34009704
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	133802741	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		133802741

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		65467005
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		2175
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		35745778
c Other income.....	2c		573623
d Total income. Add all income amounts in column (b) and enter total.....	2d		488407375

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	155017058	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		155017058
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	753948	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	7147693	
(4) Other	2i(4)	8475125	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		16376766
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		171393824

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		317013551
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **DELOITTE & TOUCHE, LLP**

(2) EIN: **13-3891517**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
e Was this plan covered by a fidelity bond?.....	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)

5b(2) EIN(s)	5b(3) PN(s)

Part V Trust Information (optional)

6a Name of trust

6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2012 This Form is Open to Public Inspection.
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For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>23-2871599</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>176</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
--	-----------------------------------	-----------------------------------	-------------------------------	--

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?.....	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 64% Investment-Grade Debt: 31% High-Yield Debt: 5% Real Estate: 0% Other: 0%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☒ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Rockwell Collins Pension Plan

EIN #: 52-2314475, Plan #: 001

**Financial Statements as of and for the
Years Ended December 31, 2012 and 2011,
Supplemental Schedules as of and for the
Year Ended December 31, 2012 and
Independent Auditors' Report**

ROCKWELL COLLINS PENSION PLAN

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SUPPLEMENTAL SCHEDULES:	
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All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.	

INDEPENDENT AUDITORS' REPORT

To the Participants of the Rockwell Collins Pension Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements and supplemental schedules of Rockwell Collins Pension Plan (the "Plan") as of December 31, 2012 and 2011, and for the years ended December 31, 2012 and 2011, listed in the foregoing Table of Contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of December 31, 2012 and 2011, and for the years ended December 31, 2012 and 2011, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the Table of Contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance with Department of Labor Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Deloitte + Touche LLP

July 23, 2013

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2012 AND 2011

	<u>2012</u>	<u>2011</u>
ASSETS:		
Non-interest bearing cash	\$ 13,152,346	\$ 188,562
Investments:		
Equity investments	1,550,070,347	1,317,600,974
Fixed income investments	913,251,682	879,795,288
Securities on loan under lending agreement	1,158,561	1,224,013
Interest-bearing cash	4,382,645	14,172,713
Other	6,883,851	7,685,786
Total investments	<u>2,475,747,086</u>	<u>2,220,478,774</u>
Receivables:		
Contribution receivable from employer	44,573,901	-
Sale of securities	5,951,017	3,492,072
Accrued interest and dividends	6,669,559	7,289,344
Total receivables	<u>57,194,477</u>	<u>10,781,416</u>
Collateral held under securities lending agreement	<u>1,199,999</u>	<u>1,225,323</u>
Total assets	<u>2,547,293,908</u>	<u>2,232,674,075</u>
LIABILITIES:		
Liability to return collateral held under securities lending agreement	1,199,999	1,225,323
Purchase of securities	6,375,280	10,210,161
Accrued administrative expenses	3,808,212	2,334,678
Other	15,107	22,154
Total liabilities	<u>11,398,598</u>	<u>13,792,316</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 2,535,895,310</u>	<u>\$ 2,218,881,759</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

	<u>2012</u>	<u>2011</u>
Additions:		
Investment income:		
Interest and dividends	\$ 53,632,448	\$ 52,079,569
Net appreciation (depreciation) in fair value of investments	269,027,403	(2,510,401)
Other income	573,623	3,287,566
Total investment income	<u>323,233,474</u>	<u>52,856,734</u>
Contributions	165,173,901	147,000,000
Total additions	<u>488,407,375</u>	<u>199,856,734</u>
Deductions:		
Benefit payments	155,017,058	149,059,062
Administrative and investment expenses	16,376,766	16,583,498
Total deductions	<u>171,393,824</u>	<u>165,642,560</u>
NET INCREASE	317,013,551	34,214,174
NET ASSETS AVAILABLE FOR BENEFITS, BEGINNING OF YEAR	<u>2,218,881,759</u>	<u>2,184,667,585</u>
NET ASSETS AVAILABLE FOR BENEFITS, END OF YEAR	<u>\$ 2,535,895,310</u>	<u>\$ 2,218,881,759</u>

See notes to financial statements.

ROCKWELL COLLINS PENSION PLAN

NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

1. DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Pension Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the Plan document for more complete information. The Plan’s sponsor is Rockwell Collins, Inc. (the “Company”).

General – The Plan is a defined benefit plan sponsored by the Company. The Rockwell Collins Employee Benefit Plan Committee and the Plan Administrator control and manage the Plan. The Bank of New York Mellon (the “Trustee”) serves as the trustee of the Plan. The Bank of New York Mellon, along with several investment managers, manages the Plan’s investment assets. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), and the Pension Protection Act of 2006 (“PPA”).

Pension Benefits – The Plan consists of various “subsidiary parts” which represent individual benefit plans which have merged into the Plan over time. Generally, participants become fully vested after five years of service with no partial vesting. The Plan provides for normal retirement benefits upon reaching age 65, with certain subsidiary parts providing these benefits at age 62. The Plan also has provisions for early retirement, disability, and death benefits and provides benefits upon meeting certain other preconditions. Joint and survivor annuities or single life annuities provide the typical form of benefit payments, in addition to other optional forms. The amount of a participant’s benefit depends on the specific provisions of the Plan document for the subsidiary part where the participant earned the benefit. Pension benefits are generally calculated using benefit formulas that primarily consider the participant’s years of credited service, annual earnings, final pay, and other factors noted in the Plan documents for each subsidiary part.

The Plan has multiple subsidiary parts using various benefit formulas. Participants are directed to the applicable Summary Plan Description for details that pertain to their pension benefits. The benefit formulas for the three largest subsidiary parts are listed below. The majority of the other subsidiary parts have similar benefit formulas based upon years of credited service, as defined by the subsidiary parts, multiplied by a benefit unit.

The annual normal retirement benefit for the Certain Salaried Plan and the Electronics Salaried Plan as defined in the Plan document is the greater of (1) the Career Earnings Formula, (2) the Alternate Benefit Formula, or (3) the Minimum Benefit Formula. Under each benefit computation, the annual benefit is a percentage of earnings or average annual earnings multiplied or adjusted by years of credited service.

The monthly normal retirement benefit for the Collins Production Plan is based on a two-part formula. Benefits accrued through April 30, 1992 are based on a final pay formula using earnings and credited service through that date. Benefits accrued after April 30, 1992 are based on a benefit unit and credited service after that date and vary by labor grade and union.

The Company amended the Plan in June 2003 to discontinue benefit accruals for salary increases and services rendered after September 30, 2006 for all new and existing salaried and hourly employees not covered by collective bargaining agreements. When this action was taken, the Company increased its contributions to its defined contribution savings plan.

In May 2008, a contract agreement between the Company and three bargaining unit unions covering active and retired employees in Cedar Rapids, Iowa, Coralville, Iowa, and Richardson, Texas was ratified. The contract covers a five year period from May 3, 2008 through May 3, 2013. Under the new contract signed in May 2013 and expiring in May 2018, certain pension benefits for bargaining unit employees were amended.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan’s management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits and changes therein at the date of the financial statements. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments including U.S. Government securities, corporate debt instruments, and corporate stocks. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur and that such changes could materially affect the amounts reported in the financial statements.

Investment Valuation and Income Recognition – Investments of the Plan are presented at fair value. If available, investments are valued at quoted market prices on the measurement date. Common stock is valued at the closing price reported on the active market on which the individual securities are traded. If prices of fixed income securities are not readily available or do not accurately reflect fair value, then fixed income securities are valued based on a matrix pricing model in which all significant inputs are observable or can be derived from or corroborated by observable market data such as interest rates, yield curves, volatilities, credit risk and prepayment speeds. In instances where quoted market prices are not available, securities are stated at fair value as determined by independent investment brokerage firms. Common collective trust funds are stated at fair value as determined by the issuer of the common collective trust funds based on the net asset value of the underlying investments, which are generally readily marketable. Interest-bearing cash is cash denominated in foreign currencies and measured in U.S. dollars.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Net appreciation includes the plan’s gains and losses on investments bought and sold as well as held during the year.

Administrative Expenses – Expenses incurred in the administration of the Plan are paid by the Plan, except to the extent that the Company elects to pay the expenses at its discretion.

Payment of Benefits – Benefit payments to participants are recorded upon distribution.

Adoption of New Accounting Guidance – In May 2011, the Financial Accounting Standards Board (“FASB”) issued additional guidance related to fair value measurement disclosures. This guidance requires the Plan to disclose information about transfers between Level 1 and Level 2 fair value measurements, information about the sensitivity of Level 3 fair value measurements to changes in unobservable inputs, and to categorize by fair value measurement level items that are not measured at fair value in the statement of net assets, but for which disclosure of fair value is required. The guidance was effective for the Plan for the year ending December 31, 2012. There was no impact on the financial statements or the disclosures as a result of adopting this guidance.

Subsequent Events – The Plan has assessed subsequent events through July 23, 2013. This date represents the date that the financial statements were available to be issued.

3. FUNDING POLICY

Contributions to provide benefits under the Plan are made solely by the Company. The Company's policy is to fund the Plan as required by governmental agencies and to consider discretionary contributions as conditions warrant. The Company made the following contributions for the Plan years ended December 31, 2011 and 2012:

Statement Plan Year	ERISA Plan Year	Date of Contribution	Amount
2011	2011	January 6, 2011	100,000,000
2011	2011	October 17, 2011	47,000,000
			<u>\$ 147,000,000</u>
2012	2012	January 17, 2012	63,000,000
2012	2012	July 13, 2012	2,600,000
2012	2012	October 4, 2012	55,000,000
2012	2012	January 16, 2013	44,573,901
			<u>\$ 165,173,901</u>

The Company met the PPA and ERISA minimum funding requirements for both 2011 and 2012. In January 2013, the Company made a \$10 million contribution to the Plan for the Plan year ending December 31, 2013.

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those future benefit payments attributable under the Plan's provisions to service that employees have rendered and shall be paid (1) to retirees or terminated vested employees who retire, (2) to the beneficiaries of such retirees or terminated employees, and (3) covered present employees or their beneficiaries. The actuarial present value of accumulated plan benefits have been determined by the Plan's independent actuary, and is that amount which results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements for death, disability, withdrawal, or retirement) between the benefit information date and the expected payment dates. The effect of Plan amendments on accumulated plan benefits is recognized during the year in which such amendments are adopted.

The actuarial present value of accumulated plan benefits as of January 1, 2012 and 2011 is as follows:

	<u>January 1, 2012</u>	<u>January 1, 2011</u>
Vested benefits:		
Participants and/or beneficiaries currently receiving benefit payments	\$ 1,478,087,000	\$ 1,420,022,000
Other participants	<u>1,005,739,000</u>	<u>1,025,060,000</u>
Total vested benefits	2,483,826,000	2,445,082,000
Nonvested benefits	<u>41,710,000</u>	<u>48,670,000</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 2,525,536,000</u>	<u>\$ 2,493,752,000</u>

The change in the actuarial present value of the Plan's accumulated plan benefits for the year ended January 1, 2012 is as follows:

Actuarial present value of accumulated plan benefits, beginning of year, January 1, 2011	\$2,493,752,000
Increase (decrease) during the year attributable to:	
Benefit payments	(149,059,000)
Benefits accumulated - net	3,290,000
Actuarial losses	7,977,000
Increase for interest due to decrease in the discount period	<u>169,576,000</u>
Net increase	<u>31,784,000</u>
Actuarial present value of accumulated plan benefits, end of year, January 1, 2012	<u>\$2,525,536,000</u>

The significant actuarial assumptions used in determining accumulated plan benefits as of January 1, 2012 and 2011 are as follows:

Discount Rate:	7.0% compounded annually
Mortality:	Generally, the 2010 IRS Annuitant Tables (sex distinct); set forward and projected 3 years with scale AA
Retirement:	Average retirement age – 63

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that certain changes in these estimates and assumptions could be material to the financial statements.

The amount reported above with respect to plan amendments reflects an estimate by the Plan's independent actuary of the increase in the actuarial present value of the accumulated plan benefits attributable to certain amendments made by the Company that were identified in the Plan's Voluntary Correction Program ("VCP") application to the IRS, as described in Note 6 below. Generally, these amendments document long-standing administrative practices that had not been properly reflected in the Plan document.

5. DERIVATIVE FINANCIAL INSTRUMENTS

Derivative financial instruments are used by portfolio investment managers primarily to hedge the currency risk when transactions are settled in currencies where a liquidity issue may change the value of the settlement. Only the investment managers dedicated to the international investments are permitted to use foreign currency exchange contracts to hedge these exposures. Leveraging of the Plan's assets and speculation are prohibited. The Plan also uses futures contracts to manage market risk associated with the Plan's fixed income investments.

Foreign Currency Forward Contracts – The Plan enters into forward contracts to purchase and sell foreign currencies in the normal course of its investing activities to manage the currency exposures as outlined above with the Plan's foreign equity and fixed income investments. The terms of these contracts generally do not exceed one year. The credit risk associated with these contracts is minimal as they are entered into with a limited number of highly rated counterparties.

The Plan reflects the fair value of all forward contracts as an asset or liability in the Plan's financial statements. The fair values associated with the foreign currency contracts have been estimated by valuing the net position of the contracts using the applicable spot rates and forward rates as of the reporting date. In the Statements of Net Assets Available for Benefits, forward contracts to purchase foreign currency are included within purchases of securities and forward contracts to sell foreign currency are included within sale of securities. Changes in fair value are included in net appreciation (depreciation) in fair value of investments.

U.S. Treasury Futures Contracts – The Plan utilizes U.S. Treasury futures contracts to extend the duration of certain fixed income investments in order to match the profile of the Plan's accumulated plan benefit liability. The terms of these contracts may extend for either 5, 10, or 30 years. The contracts are traded on the Chicago Board of Trade. The credit risk associated with these contracts is minimal as they are entered into with a highly rated counterparty.

Upon entering into a futures contract, the Plan is required to deposit either in cash or securities an amount equal to a certain percentage of the nominal value of the contract. Subsequent payments are then made or received by the Plan, depending on the daily fluctuation in the value of the underlying contracts. U.S. Treasury Bonds and Notes owned and included in the investments of the Plan as of December 31, 2012 and 2011 was \$337,240 and \$185,000 respectively. These investments were held by the Plan's brokers as performance security on futures contracts.

The futures contracts are classified in the Statements of Net Assets Available for Benefits as other investments. Notional amounts of outstanding future contracts were \$89,000 for contracts purchased and \$58,000 for contracts sold as of December 31, 2012. Notional amounts of outstanding future contracts were \$43,000 for contracts purchased and \$10,000 for contracts sold as of December 31, 2011. The number of futures contracts was 31 and 33 as of December 31, 2012 and 2011, respectively.

Fair Value of Derivative Instruments – Fair values of derivative instruments in Statements of Net Assets Available for Benefits as of December 31, 2012 and 2011 are as follows:

		December 31, 2012		December 31, 2011	
	Classification	Asset Derivatives	Liability Derivatives	Asset Derivatives	Liability Derivatives
Foreign currency forward contracts	Sale of securities	\$ 4,432,499	\$ -	\$ 1,941,640	\$ -
Foreign currency forward contracts	Purchases of securities	-	(4,576,246)	-	(1,933,192)
U.S. Treasury futures contracts	Other investments	-	(186,976)	73,063	-
Total		<u>\$ 4,432,499</u>	<u>\$ (4,763,222)</u>	<u>\$ 2,014,703</u>	<u>\$ (1,933,192)</u>

The effect of derivative instruments on the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2012 and 2011 is as follows:

	Location of Gain	Year ended December 31, 2012	Year ended December 31, 2011
Foreign currency forward contracts	Net appreciation in fair value of investments	\$ 89,960	\$ 281,366
U.S. Treasury futures contracts	Net appreciation in fair value of investments	43,163	291,666
Total		<u>\$ 133,123</u>	<u>\$ 573,032</u>

6. FEDERAL INCOME TAX STATUS

The Internal Revenue Service (“IRS”) has determined and informed the Company by a letter dated September 25, 2002 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (“IRC”). The Company believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC, except for noncompliance with certain administrative requirements that were discovered by the Company. In January 2011, the Company filed an application (the “application”) for a compliance statement from the IRS under the voluntary correction program. The compliance statement was sought with respect to this noncompliance with certain administrative requirements. At that time of the Application, the Company amended and restated the Plan and submitted the Plan to the IRS for a new determination letter. The Company subsequently amended the Application to include an additional administrative matter. The IRS has not yet responded to the Application for a compliance statement. The Company believes, that by taking these corrective actions, the Plan’s trust will continue to be tax-exempt. As a result, no provision for income taxes has been included in the Plan’s financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Company has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2012, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan Administrator believes it is no longer subject to income tax examinations for years prior to 2009.

7. INVESTMENTS REPRESENTING 5% OR MORE OF NET ASSETS

The Plan's investments representing 5% or more of net assets as of December 31, 2011 and 2012 are as follows

Description of Investment

December 31, 2011

SSGA 20+ Year US High Quality Bond	\$ 187,004,994
Vanguard International Growth	147,395,696

December 31, 2012

SSGA 20+ Year US High Quality Bond	\$ 204,975,231
Vanguard International Growth	190,725,191
EB DV LGE CAP GRWTH SIF	126,896,483

8. NET APPRECIATION (DEPRECIATION) IN FAIR VALUE OF INVESTMENTS

During 2012 and 2011, the Plan's investments (including gains and losses on investments bought and sold) generated net appreciation (depreciation) in the fair value of investments as follows:

	<u>2012</u>	<u>2011</u>
Net appreciation (depreciation) of investments at fair value as determined by quoted market price:		
Equity investments	\$ 175,174,899	\$ (102,246,418)
Fixed income investments	4,439,355	28,476,791
	<u>179,614,254</u>	<u>(73,769,627)</u>
Net appreciation of investments at estimated fair value:		
Equity investments	23,314,505	4,951,064
Fixed income investments	65,666,592	65,390,274
Interest-bearing cash	3,110	32
Other investments	428,942	917,856
	<u>89,413,149</u>	<u>71,259,226</u>
Net appreciation (depreciation) in fair value of investments	<u>\$ 269,027,403</u>	<u>\$ (2,510,401)</u>

9. INFORMATION CERTIFIED BY THE TRUSTEE

The Company has elected to provide a limited scope audit as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following is a summary of the unaudited information regarding the Plan as of and for the years ended December 31, 2012 and 2011, included in the Plan's financial statements and supplemental schedules, that was prepared by or derived from information prepared by the Trustee of the Plan and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from the Trustee that such information is complete and accurate.

	<u>2012</u>	<u>2011</u>
Statements of Net Assets Available for Benefits:		
Investments:		
Equity investments	\$ 1,550,070,347	\$ 1,317,600,974
Fixed income investments	913,251,682	879,795,288
Securities held under lending agreement	1,158,561	1,224,013
Interest-bearing cash	4,382,645	14,172,713
Other	6,883,851	7,685,786
Total investments	<u>\$ 2,475,747,086</u>	<u>\$ 2,220,478,774</u>
Receivables:		
Sale of securities	\$ 5,951,017	\$ 3,492,072
Accrued interest and dividends	6,669,559	7,289,344
Total receivables	<u>\$ 12,620,576</u>	<u>\$ 10,781,416</u>
Collateral held under securities lending agreement	<u>\$ 1,199,999</u>	<u>\$ 1,225,323</u>
Liabilities - Purchase of securities	<u>\$ 6,375,280</u>	<u>\$ 10,210,161</u>

Statements of Changes in Net Assets Available for Benefits:

Investment income:		
Interest and dividends	\$ 53,632,448	\$ 52,079,569
Net appreciation (depreciation) in fair value of investments	269,027,403	(2,510,401)
Other income	573,623	3,287,566
Total investment income	<u>\$ 323,233,474</u>	<u>\$ 52,856,734</u>

All information included in the Supplemental Schedules on Form 5500, Schedule H, Part IV, Lines 4i and 4j, and investment amounts in Note 10 were certified and unaudited.

10. FAIR VALUE MEASUREMENTS

The FASB standard related to fair value measurements defines fair value, establishes a framework for measuring fair value and expands the related disclosure requirements. The standard indicates, among other things, that a fair value measurement assumes that the transaction to sell an asset or transfer a liability occurs in the principal market for the asset or liability or, in the absence of a principal market, the most advantageous market for the asset or liability. The standard established a valuation hierarchy for disclosure of the inputs to valuation techniques used to measure fair value. This hierarchy prioritizes the inputs into three broad levels as follows:

Level 1 - quoted prices (unadjusted) in active markets for identical assets or liabilities

Level 2 - quoted prices for similar assets and liabilities in active markets or inputs that are observable for the asset or liability, either directly or indirectly through market corroboration, for substantially the full term of the financial instrument

Level 3 - unobservable inputs based on the Plan's own assumptions used to measure assets and liabilities at fair value

A financial asset or liability's classification within the hierarchy is determined based on the lowest level input that is significant to the fair value measurement. The fair value of the Plan's financial assets as of December 31, 2012 and 2011 was as follows:

Fair Value Measurements at December 31, 2012, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 4,382,645	\$ -	\$ 4,382,645
U.S. government securities	140,657,018	48,269,361	-	188,926,379
Corporate debt	-	317,618,005	146,976	317,764,981
Preferred stock	2,766,687	-	-	2,766,687
Common stock:				
Financial Services	117,331,307	-	-	117,331,307
Oil & Gas	92,164,716	-	-	92,164,716
Pharmaceuticals	84,721,054	-	-	84,721,054
Retail	59,456,725	-	-	59,456,725
Insurance	49,544,977	-	-	49,544,977
Software	47,054,928	-	-	47,054,928
Health Care	40,553,516	-	-	40,553,516
Computers	39,820,504	-	-	39,820,504
Chemicals	38,753,018	-	-	38,753,018
Semiconductors	30,069,776	-	-	30,069,776
Transportation	29,613,102	-	-	29,613,102
Telecommunications	29,390,079	-	-	29,390,079
Electric	29,260,990	-	-	29,260,990
Internet	25,554,441	-	-	25,554,441
Biotechnology	25,177,584	-	-	25,177,584
Commercial Services	23,301,052	-	-	23,301,052
Food Processing	21,305,607	-	-	21,305,607
Beverages	20,871,930	-	-	20,871,930
Aerospace and Defense	20,018,961	-	-	20,018,961
Other	305,208,542	-	-	305,208,542
Partnership	-	-	83,164	83,164
Common collective trusts:				
Fixed income	-	404,233,542	-	404,233,542
Equity	-	185,663,517	-	185,663,517
Registered investment companies -				
Fixed income	2,564,867	-	-	2,564,867
Equity	233,304,644	-	-	233,304,644
Other	-	6,883,851	-	6,883,851
Total investments at fair value	<u>\$ 1,508,466,025</u>	<u>\$ 967,050,921</u>	<u>\$ 230,140</u>	<u>\$ 2,475,747,086</u>

Fair Value Measurements at December 31, 2011, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 14,172,713	\$ -	\$ 14,172,713
U.S. government securities	127,459,807	78,111,488	-	205,571,295
Corporate debt	-	303,819,556	57,483	303,877,039
Preferred stock	2,243,304	-	-	2,243,304
Common stock:				
Financial Services	116,169,420	-	-	116,169,420
Transportation	30,111,112	-	-	30,111,112
Chemicals	31,608,686	-	-	31,608,686
Oil & Gas	90,696,942	-	-	90,696,942
Pharmaceuticals	68,764,629	-	-	68,764,629
Retail	60,806,220	-	-	60,806,220
Software	46,253,273	-	-	46,253,273
Computers	38,188,805	-	-	38,188,805
Health Care	38,093,359	-	-	38,093,359
Biotechnology	25,634,674	-	-	25,634,674
Semiconductors	29,385,148	-	-	29,385,148
Food Processing	21,393,634	-	-	21,393,634
Electric	21,999,492	-	-	21,999,492
Telecommunications	19,677,478	-	-	19,677,478
Aerospace and Defense	19,277,928	-	-	19,277,928
Internet	18,600,534	-	-	18,600,534
Media	18,102,477	-	-	18,102,477
Other	303,488,224	-	-	303,488,224
Partnership	-	-	76,682	76,682
Common collective trusts:				
Fixed income	-	370,576,748	-	370,576,748
Equity	-	135,355,622	-	135,355,622
Registered investment companies -				
Equity	182,667,550	-	-	182,667,550
Other investments	-	7,685,786	-	7,685,786
Total investments at fair value	<u>\$ 1,310,622,696</u>	<u>\$ 909,721,913</u>	<u>\$ 134,165</u>	<u>\$ 2,220,478,774</u>

The fair value of investments classified within Level 3 and changes therein at December 31, 2012 and 2011 was less than 1% of the aggregate fair value. Accordingly, a tabular reconciliation and disclosure of the key assumptions used to value the investments classified within Level 3 has not been presented.

Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. We evaluate the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. There were no investments held by the Plan that were transferred between levels during the years ended December 31, 2012 and 2011, respectively.

11. SECURITIES HELD UNDER LENDING AGREEMENT

The Plan participates in a securities lending program through its Trustee. Under this program, the Plan's investment securities may be loaned to investment brokers for a fee. Securities so loaned are fully collateralized by cash, letters of credit, and securities issued or guaranteed by the U.S. government, its agencies, and instrumentalities. At December 31, 2012 and 2011, \$1,158,561 and \$1,224,013, respectively, of the Plan's securities were on loan under the Trustee's securities lending program. The fair value of the collateral received as a percentage of the total current value of the securities on loan by the Plan was approximately 104% and 100% at December 31, 2012 and 2011, respectively, and income from the program was \$46,220 and \$14,873 for the years ended December 31, 2012 and 2011, respectively.

12. RELATED-PARTY TRANSACTIONS

Certain Plan investments are managed by the Trustee and these transactions qualify as exempt party-in-interest transactions.

Transactions with the following parties qualify as exempt party-in-interest transactions:

- BNY Mellon Asset Servicing
- Standish Mellon Asset Management LLC
- BNY Mellon Cash Investment Strategies

Fees paid by the Plan for investment management services provided by the Trustees, totaled \$564,354 and \$369,666 for the years ended December 31, 2012 and 2011, respectively.

During 2012, it was discovered that the Plan's trust had been inadvertently overcharged for various administrative expenses. This is a non-exempt party in interest transaction that is prohibited by ERISA and the Internal Revenue Code. As a result, in September 2012, the Company made an additional payment to the Plan's trust in order to correct these overcharges. The Company filed a Form 5330 with the IRS to pay required excise taxes, penalties, and interest related to the prohibited transactions.

13. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guarantee Corporation ("PBGC") if the Plan terminates. The PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling based on an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

14. NET ASSET VALUE PER SHARE

The Plan holds investments in collective trust funds (the “Funds”) whose fair value is estimated using a Net Asset Value (“NAV”) per share.

A summary of the Plan’s investments with a reported NAV for the years ended December 31, 2012 and 2011, is as follows:

Fair Value Estimated Using Net Asset Value per Share December 31, 2012				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
SSGA 20+YEAR US HIGH QUALITY BOND (a)	\$ 204,975,231	\$ -	Daily	1 day
EB DV LGE CAP GRWTH SIF (b)	126,896,483	-	Daily	1 day
UBS EMERGING MARKETS DEBT (c)	75,543,335	-	Daily	1 day
SSGA LONG US TREASURY INDEX NL (d)	60,321,665	-	Daily	1 day
EB DV STOCK INDEX FUND (e)	58,767,034	-	Daily	1 day
SSGA 5-20YEAR US HIGH QUALITY (f)	36,404,067	-	Daily	1 day
JPMCB EXTENDED DURATION FUND (g)	26,989,244	-	Daily	1 day
Total	<u>\$ 589,897,059</u>	<u>\$ -</u>		

Fair Value Estimated Using Net Asset Value per Share December 31, 2011				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
SSGA 20+YEAR US HIGH QUALITY BOND (a)	\$ 187,004,994	\$ -	Daily	1 day
EB DV LGE CAP GRWTH SIF (b)	88,948,122	-	Daily	1 day
UBS EMERGING MARKETS DEBT (c)	68,525,445	-	Daily	1 day
SSGA LONG US TREASURY INDEX NL (d)	54,935,139	-	Daily	1 day
EB DV STOCK INDEX FUND (e)	46,407,500	-	Daily	1 day
SSGA 5-20YEAR US HIGH QUALITY (f)	32,918,509	-	Daily	1 day
JPMCB EXTENDED DURATION FUND (g)	27,192,661	-	Daily	1 day
Total	<u>\$ 505,932,370</u>	<u>\$ -</u>		

* The fair values of the investments have been estimated using the net asset value of the investment.

(a) The investment objective of the SSGA 20+ Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 20+ Year, Bullets Only Index (the "Index") over the long term.

(b) The investment objective of the Employee Benefit ("EB") DV Large Cap Growth Stock Index Fund is to track the performance of the Russell 1000 Growth® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Large Cap Growth Stock Index Fund, and a combination of other collective funds, including but not limited to the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(c) The investment objective of the UBS Emerging Markets Debt Collective Fund (the “Fund”) is to seek to maximize total return, consisting of capital appreciation and current income, while controlling risk. The Fund has a blended benchmark which consists of 50% JP Morgan Emerging Markets Bond Index - Global Index (“EMBI-Global”) and 50% JP Morgan Government Bond Index-Emerging Markets Global Diversified (“GBI-EM Global Diversified”).

(d) The investment objective for this fund is to seek an investment return that approximates as closely as practicable, before expenses, the performance of its benchmark index, the Barclay’s Capital US Long Treasury Index, over the long term.

(e) The investment objective of the EB DV Stock Index Fund is to track the performance of the S&P 500® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Stock Index Fund, and the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(f) The investment objective of the SSGA 5-20 Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 5-20 Year, Bullets Only Index (the "Index") over the long term.

(g) The objective of the Fund is to outperform (based on the Fund's total return, gross of fees) the Barclays Capital Long Government/Credit Index (the "Benchmark") while maintaining total return risk similar to that of the Benchmark over a market cycle.

* * * * *

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2012

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
GMAC CAPITAL TRUST I	11,730	226,858	312,604	
PETROLEO BRASILEIRO SA	30,300	637,317	585,093	
PROSIEBENSAT.1 MEDIA AG	63,350	1,249,871	1,778,990	
ROYAL BANK OF SCOTLAND GROUP P	100,000	85,500	90,000	
TOTAL PREFERRED STOCKS		<u>2,199,546</u>	<u>2,766,687</u>	
COMMON STOCK				
3D SYSTEMS CORP	1,500	35,557	80,025	
ABBOTT LABORATORIES	101,590	5,972,172	6,654,145	
ABERCROMBIE & FITCH CO	17,775	647,544	852,667	
ABERDEEN ASSET MANAGEMENT PLC	98,350	338,559	586,875	
ABIOMED INC	15,920	271,982	213,965	
ACTIVISION BLIZZARD INC	16,700	188,635	177,354	
ADOBE SYSTEMS INC	175,100	4,962,720	6,597,768	
ADVISORY BOARD CO/THE	23,330	1,097,321	1,091,611	
AECOM TECHNOLOGY CORP	8,800	181,016	209,440	
AFFYMETRIX INC	63,700	303,848	201,929	5,994
AFREN ORD GBP0	538,650	807,578	1,147,880	
AIR PRODUCTS & CHEMICALS INC	10,000	851,900	840,200	
AIR.WATER INC JPY50	48,000	615,423	611,762	
AKORN INC	157,760	2,020,036	2,107,674	
AKZO NOBEL NV EUR2	80,443	3,963,975	5,275,758	
ALENT PLC ORD GBP0.10	68,430	345,233	343,487	
ALEXANDER & BALDWIN INC	17,000	185,856	499,290	
ALGONQUIN POWER & UTIL CORP	159,962	1,014,745	1,098,865	
ALGONQUIN PWR & UTIL CO	30,100	167,017	206,773	
ALIGN TECHNOLOGY INC	52,310	1,343,462	1,451,603	
ALLIANT ENERGY CORP	14,580	649,999	640,208	
ALLOT COMMUNICATIONS LTD	36,910	851,324	657,736	
ALLSTATE CORP/THE	136,000	3,846,401	5,463,120	
ALTEN NPV	22,270	532,620	767,784	
ALTERA CORP	27,600	1,023,960	949,164	
ALTRAN TECHNOLOGIES EUR0.5	190,720	955,704	1,445,810	
ALTRIA GROUP INC	145,670	4,319,116	4,579,865	
AMERICAN CAMPUS COMMUNITIES IN	33,790	1,486,360	1,558,733	
AMERICAN CAPITAL LTD	81,255	546,846	976,766	
AMERICAN EXPRESS CO	38,150	1,799,536	2,192,862	
AMERICAN FINANCIAL GROUP INC/O	33,609	1,239,836	1,328,228	
AMERICAN INTERNATIONAL GROUP I	254,800	7,668,835	8,994,440	
AMERISAFE INC	52,830	1,228,298	1,439,618	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
AMGEN INC	147,100	9,445,291	12,680,020	
AMPLIFON EUR0.02	306,940	1,305,422	1,519,130	
ANDERSONS INC/THE	31,500	1,375,290	1,351,350	
ANDRITZ AG NPV (POST SPLIT)	16,150	678,998	1,033,521	
ANRITSU CORP	57,000	636,913	672,411	
APACHE CORP	20,000	1,811,600	1,570,000	
APPLE INC	26,400	10,692,000	14,049,367	
APPLIED MATERIALS INC	65,400	700,434	748,176	
APPROACH RESOURCES INC	52,900	1,296,096	1,323,029	
ARCH CAPITAL GROUP LTD SHS	37,973	1,413,735	1,671,571	
ARCOS DORADOS HOLDINGS INC	35,000	718,550	418,600	1
ARRIS GROUP INC	61,467	750,526	918,317	
ARROW ELECTRONICS INC	27,855	919,570	1,060,718	
ARUBA NETWORKS INC	21,276	421,379	441,264	
ASBURY AUTOMOTIVE GROUP INC	49,668	1,407,605	1,590,866	
ASHLAND INC	10,316	708,096	829,510	
ASHTREAD GROUP PLC	326,330	1,146,328	2,261,306	
ASML HLDG N V	1,694	65,853	109,077	161
ASPEN TECHNOLOGY INC	17,450	453,879	482,318	
ASTALDI SPA	138,750	936,421	925,616	
ASTRAZENECA PLC	30,700	1,377,058	1,451,189	
ATLAS AIR WORLDWIDE HOLDINGS I	9,558	500,949	423,611	
AUSDRILL LIMITED NPV	56,450	197,490	165,856	
AUTOMATIC DATA PROCESSING INC	39,400	2,156,938	2,243,042	
AVISTA CORP	46,925	1,208,319	1,131,362	
AVIVA PLC GBP0.25	381,003	1,758,437	2,310,065	
AXA EUR2.29	90,785	1,183,829	1,597,874	
AZ ELECTRONIC MATERIALS SA	133,450	741,324	757,712	
B/E AEROSPACE INC	23,829	922,421	1,177,153	
BABCOCK & WILCOX CO/THE	25,800	628,664	675,960	
BABCOCK INTL GROUP ORD GBP0.60	94,900	1,108,388	1,489,380	
BALFOUR BEATTY ORD GBP0.50	433,440	1,810,833	1,928,372	
BANCA GENERALI EUR1	48,770	577,018	830,092	
BANCORPSOUTH INC	77,716	889,179	1,129,991	
BANK OF AMERICA CORP	586,931	3,524,968	6,814,269	
BANK OF YOKOHAMA Y50	131,000	601,050	606,026	
BARCLAYS ORD GBP0.25	553,247	1,496,113	2,359,771	
BARNES GROUP INC	32,810	791,049	736,913	
BARRATT DEVELOPMENTS	336,490	1,035,846	1,135,498	
BASF SE	9,657	645,752	905,867	
BAYER AG ORD NPV	24,846	1,593,339	2,354,898	
BBCN BANCORP INC	115,955	1,334,981	1,341,599	
BEAZLEY PLC	181,100	469,189	520,755	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
BED BATH & BEYOND INC	33,800	1,959,386	1,889,758	
BERKSHIRE HATHAWAY INC	19,900	1,525,423	1,785,030	
BG GROUP PLC ORD GBP0.10	136,326	2,735,269	2,243,679	
BIC EUR3.82	7,980	707,498	950,242	
BIOGEN IDEC INC	62,400	6,867,120	9,133,488	
BIOMARIN PHARMACEUTICAL INC	32,600	1,120,788	1,603,920	
BIOSENSORS INTERNATIONAL GROUP	749,000	837,220	738,883	
BLACKROCK INC	10,000	1,950,181	2,067,100	
BLUCORA INC COM	156,000	2,272,717	2,450,760	
BNP PARIBAS EUR2	42,998	1,625,733	2,414,082	
BOEING CO/THE	89,485	6,537,783	6,743,590	
BOLSAS Y MERCADOS ESPANOL	12,200	305,121	296,759	
BOSTON SCIENTIFIC CORP	163,300	872,022	935,709	
BOVIS HOMES GROUP ORD 50P	55,426	444,844	518,046	
BR PROPERTIES SA	62,940	638,767	783,868	
BRE PROPERTIES INC	13,540	683,499	688,238	
BRIGHTCOVE INC	88,448	968,455	799,570	
BRINKER INTERNATIONAL INC	65,000	1,739,400	2,014,350	
BRITISH AMERICAN TOBACCO ORD	78,095	3,752,243	3,961,904	
BROADSOFT INC	46,110	1,420,790	1,675,176	
BROOKS AUTOMATION INC	92,000	1,119,174	740,600	
BRUNSWICK CORP/DE	31,785	752,021	924,626	
BS FINANCIAL GROUP INC	66,700	653,149	822,399	
BUCKEYE TECHNOLOGIES INC	31,244	842,131	897,015	
BULGARIAN TELECOMMUNICATION CO	112,439	219,386	200,872	
CA INC	90,221	1,829,307	1,983,058	
CABELA'S INC	31,260	794,629	1,305,105	
CALFRAC WELL SERVICES LTD	17,750	483,968	446,558	
CAL-MAINE FOODS INC	28,300	1,171,757	1,138,226	
CAMERON INTERNATIONAL CORP	4,500	217,369	254,070	
CANADIAN PACIFIC RAILWAY LTD	800	54,136	81,296	
CAPITAL ONE FINANCIAL CORP	50,000	2,134,387	2,896,500	
CAPSTONE MINING CORP	95,300	265,717	230,665	
CARMAX INC	92,400	2,815,886	3,468,696	
CARNIVAL CORP	76,400	2,411,334	2,809,228	
CARPENTER TECHNOLOGY CORP	13,760	715,240	710,429	
CARRIZO OIL & GAS INC	13,542	337,166	283,299	
CATERPILLAR INC	57,700	5,218,089	5,170,439	
CATLIN GROUP COM STK USD0.01	187,650	1,237,502	1,535,801	
CAVIUM INC	13,600	411,104	424,456	
CBL & ASSOCIATES PROPERTIES IN	120,955	1,898,994	2,565,456	
CCL INDUSTRIES INC	16,650	515,763	718,875	
CEC ENTERTAINMENT INC	18,905	651,277	627,457	

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ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
CELESTICA INC	109,930	805,787	895,930	
CENOVUS ENERGY INC	13,100	434,920	439,374	
CEPHEID INC	12,770	381,273	432,392	
CH ROBINSON WORLDWIDE INC	28,300	1,904,714	1,789,126	
CHALLENGER LTD	74,922	322,759	275,355	
CHARLES SCHWAB CORP/THE	352,394	3,967,956	5,060,378	
CHART INDUSTRIES INC	13,553	899,092	903,836	
CHEVRON CORP	75,660	8,043,193	8,181,872	
CHILDRENS PLACE RETAIL STORES	10,519	543,090	465,887	
CHINA ZHENG TONG AUTO SERVICES	376,500	372,081	261,821	
CHRISTIAN HANSEN HOLDING A/S	34,350	787,666	1,115,035	
CHUBB CORP	30,050	2,080,061	2,263,366	
CIENA CORP	32,905	500,142	516,609	1
CITIGROUP INC	188,016	5,009,046	7,437,913	
CNO FINANCIAL GROUP INC	314,750	2,252,473	2,936,618	
COCA-COLA CO/THE	239,220	8,369,112	8,671,725	
COGNEX CORP	67,840	2,484,084	2,495,834	
COMCAST CORP	54,100	1,274,596	1,943,272	
COMPUWARE CORP	66,295	589,917	720,627	
CONOCOPHILLIPS	58,500	3,645,929	3,392,415	
CONSOLIDATED GRAPHICS INC	12,654	610,935	441,878	
CORE LABORATORIES N V	2,940	334,346	321,371	
CORNING INC	453,500	5,638,291	5,723,170	
CORPORATE EXECUTIVE BOARD CO/T	52,820	2,054,851	2,506,837	
COTT CORP	194,315	1,233,330	1,560,676	
CREE INC	58,200	1,410,945	1,977,636	
CREW ENERGY INC	62,930	675,540	410,179	
CSX CORP	5,800	125,503	114,434	
CUBESMART	73,263	779,518	1,067,442	
CUBIC CORP	28,000	1,399,247	1,343,160	
CUMMINS INC	28,900	2,626,438	3,131,315	
CVB FINANCIAL CORP	155,000	1,831,882	1,612,000	
CVS CAREMARK CORP	56,000	2,315,746	2,707,600	
CYNOSURE INC	34,163	851,268	823,670	
DAICEL CORP	120,000	731,868	786,908	
DAIMLER AG ORD NPV	59,829	2,898,585	3,259,262	
DANA HOLDING CORP	46,410	677,340	724,460	
DANIELI & C OFFICINE	43,551	519,880	731,501	
DAUM COMMUNICATIONS CORP	4,750	398,823	404,198	
DDR CORP	60,080	733,775	940,853	
DELTA AIR LINES INC	40,300	447,398	478,361	
DELTA LLOYD NV	41,750	698,376	678,958	
DELUXE CORP	40,615	924,397	1,309,428	

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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
DESCARTES SYSTEMS GROUP INC/TH	11,400	81,624	106,020	
DETOUR GOLD CORP	40,110	1,024,420	1,002,649	
DEUTSCHE BOERSE AG NPV	41,539	2,447,064	2,530,691	
DEUTSCHE POST AG NPV (REGD)	96,730	1,523,580	2,116,979	
DEUTSCHE WOHNEN AG NPV (BR)	31,700	499,157	585,106	
DEXCOM INC	49,174	646,936	668,275	
DIAGEO PLC	23,000	2,615,714	2,681,340	
DIAMONDBACK ENERGY INC	19,330	330,747	369,590	
DIAMONDROCK HOSPITALITY CO	113,706	1,096,126	1,023,354	
DIRECTV	61,002	2,608,446	3,059,860	
DOLAN CO/THE	92,500	788,100	359,825	12
DOMINOS PIZZA GROUP PLC	87,560	554,566	707,943	
DOREL INDUSTRIES INC	9,900	247,927	357,442	
DOUGLAS EMMETT INC	68,000	1,240,320	1,584,400	
DOW CHEMICAL CO/THE	15,900	465,042	514,031	
DR HORTON INC	68,031	857,871	1,345,653	
DSW INC	22,190	1,356,954	1,457,661	
DXP ENTERPRISES INC	31,200	1,502,818	1,530,984	
DYCOM INDUSTRIES INC	51,058	988,586	1,010,948	
EAST WEST BANCORP INC	100,325	1,981,419	2,155,984	
EBIX INC	38,915	860,022	627,310	5,027
EDISON INTERNATIONAL	58,700	2,430,180	2,652,653	
EFG INTERNATIONAL AG CHF0.50	46,483	364,231	451,957	
EHEALTH INC	7,740	181,170	212,695	
EL PASO ELECTRIC CO	24,378	844,454	777,902	
ELECTRONIC ARTS INC	97,300	1,937,564	1,412,796	
ELI LILLY & CO	176,600	7,352,200	8,709,912	
EMBRAER SA	37,700	952,052	1,074,827	
EMC CORP/MA	72,200	1,660,409	1,826,660	
EMCOR GROUP INC	29,528	791,646	1,021,964	
ENAGAS SA EUR1.50	94,237	1,748,150	2,005,267	
ENCANA CORP	36,400	711,634	719,264	
ENDO HEALTH SOLUTIONS INC	21,710	723,474	569,453	
ENDOLOGIX INC	156,061	2,076,765	2,222,309	
ENERGEN CORP	15,694	776,744	707,642	
ENQUEST PLC	258,970	519,265	505,147	
ENTEGRIS INC	106,968	890,610	981,966	
ENVESTNET INC	84,395	1,135,160	1,177,310	
EOG RESOURCES INC	10,700	1,060,925	1,292,453	
ERICSSON	71,100	720,243	718,110	2
ESTEE LAUDER COS INC/THE	51,260	2,910,543	3,068,424	
ESTERLINE TECHNOLOGIES CORP	22,125	1,401,169	1,407,371	
EUROFINS SCIENTIFIC EUR0.10	3,900	286,091	629,866	

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AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EXACT SCIENCES CORP	17,790	163,271	188,396	
EXELON CORP	176,300	7,340,025	5,243,162	
EXPRESS INC	40,300	561,832	608,127	
EXXON MOBIL CORP	141,360	11,981,674	12,234,708	
FAIRCHILD SEMICONDUCTOR INTERN	71,703	880,464	1,032,523	
FANUC CORP	10,400	1,679,411	1,914,856	
FEDERAL REALTY INVESTMENT TRUS	5,397	489,778	561,396	
FEDEX CORP	54,955	4,638,542	5,040,473	
FIDELITY NATIONAL FINANCIAL IN	33,305	637,912	784,333	
FINANCIAL ENGINES INC	75,120	1,879,067	2,083,829	
FIRST FINANCIAL BANCORP	42,288	703,672	618,251	
FIRST HORIZON NATIONAL CORP	127,230	1,064,686	1,260,849	
FIRST NIAGARA FINANCIAL GROUP	77,145	753,269	611,760	
FLEETMATICS GROUP LTD	33,870	727,293	852,169	
FLEXTRONICS INTERNATIONAL LTD	315,800	1,843,821	1,961,118	
FLIGHT CENTRE LTD	12,750	226,193	357,400	
FOOT LOCKER INC	38,125	908,900	1,224,575	
FORTINET INC	49,070	923,260	1,031,451	
FORUM ENERGY TECHNOLOGIES INC	57,952	1,264,515	1,434,312	
FRANKLIN RESOURCES INC	15,000	1,440,900	1,885,500	
FREEPORT-MCMORAN COPPER & GOLD	60,200	2,214,758	2,058,840	
FREIGHTCAR AMERICA INC	46,000	963,700	1,031,320	
FRESH MARKET INC/THE	26,300	1,584,748	1,264,767	
FUGRO NV CVZ EURO0.05	10,903	689,235	639,953	
GAM HOLDING LTD	71,600	905,323	966,035	
GANNETT CO INC	42,955	574,308	773,620	
GARDNER DENVER INC	7,725	542,333	529,163	
GARTNER INC	12,820	587,665	589,976	
GATX CORP	38,670	1,599,089	1,674,411	
GENERAL ELECTRIC CO	135,240	2,422,148	2,838,688	
GENERAL MILLS INC	23,100	887,164	933,702	
GENESEE & WYOMING INC	41,220	2,869,562	3,136,018	
GENOMIC HEALTH INC	8,350	257,731	227,454	
GENOMMA LAB INTERNACIONAL SAB	86,700	169,523	177,526	
GENWORTH FINANCIAL INC	245,245	1,685,282	1,841,790	
GFK SE NPV	12,000	480,897	610,525	
GIVAUDAN AG CHF10	2,817	2,716,010	2,963,643	
GLACIER BANCORP INC	113,382	1,363,985	1,667,849	
GLAXOSMITHKLINE PLC	81,400	3,714,282	3,538,458	
GLOBAL INDEMNITY PLC	36,220	718,243	801,549	
GLOBE TELECOM INC	8,230	215,388	218,865	
GMO INTERNET INC	107,600	444,791	694,394	
GOOGLE INC	14,976	9,616,332	10,593,723	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
GREAT CANADIAN GAMING CORP	34,150	281,894	327,541	
GREAT PLAINS ENERGY INC	35,480	720,874	720,599	
GREIF INC	2,000	93,993	89,000	
GROUP 1 AUTOMOTIVE INC	34,000	1,835,830	2,107,660	58
GUIDEWIRE SOFTWARE INC	20,894	616,148	620,970	
GULFPORT ENERGY CORP	28,561	772,976	1,091,601	
H&R BLOCK INC	190,600	3,082,103	3,539,442	
HAIN CELESTIAL GROUP INC/THE	14,198	520,499	769,816	
HAMBURGER HAFEN UND LOGISTIK A	17,030	519,134	400,101	
HB FULLER CO	22,897	737,595	797,274	
HEALTH MANAGEMENT ASSOCIATES I	86,361	620,080	804,885	
HEALTHSOUTH CORP	51,742	932,519	1,092,274	
HEALTHSTREAM INC	19,230	465,318	467,481	
HEARTWARE INTERNATIONAL INC	6,130	518,671	514,614	
HELEN OF TROY LTD NEW	42,975	1,319,333	1,436,225	
HELIX ENERGY SOLUTIONS GROUP I	47,023	867,099	970,555	
HELVETIA HOLDING AG CHF0.1	1,750	554,095	662,452	
HESS CORP	12,990	737,832	687,950	
HEWLETT-PACKARD CO	559,900	12,594,613	7,978,575	
HEXCEL CORP	26,081	631,421	703,144	
HIBBETT SPORTS INC	5,930	317,634	312,511	
HITACHI ZOSEN CORP Y50	181,500	247,629	253,993	
HI-TECH PHARMACAL CO INC	27,500	1,018,778	961,950	
HOLOGIC INC	37,320	777,454	746,773	
HOME DEPOT INC/THE	22,300	937,492	1,379,255	
HOMEAWAY INC	46,760	1,010,141	1,028,720	
HONEYWELL INTERNATIONAL INC	68,330	3,733,574	4,336,905	
HORIBA Y50	33,400	951,060	961,460	
HORNBECK OFFSHORE SERVICES INC	76,000	2,600,618	2,609,840	
HSBC HLDGS USD0.50 HONGKONG	373,788	3,014,936	3,920,727	
HSBC HOLDINGS PLC	35,650	1,358,265	1,891,946	
HUNTINGTON BANCSHARES INC/OH	152,048	834,744	971,587	
HUTCHISON TELECOMMUNICATIONS	1,408,000	546,754	646,701	
IDACORP INC	19,392	822,415	840,643	
IGNITE RESTAURANT GROUP INC	29,790	370,735	387,270	
IMAX CORP	61,050	1,351,601	1,372,404	
IMMUNOMEDICS INC	88,815	295,754	259,340	1
IMPAX LABORATORIES INC	59,275	1,347,944	1,214,545	
IMPERVA INC	22,860	694,799	720,776	
INFINITY PHARMACEUTICALS INC	5,840	112,807	204,400	
INFOBLOX INC	10,064	158,392	180,850	
INFORMA PLC GBP0.10	149,850	877,190	1,093,679	
INNERWORKINGS INC	94,610	1,311,769	1,303,726	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
INSIGHT ENTERPRISES INC	58,000	886,820	1,007,460	
INTEGRATED DEVICE TECHNOLOGY I	149,411	827,524	1,090,700	
INTEL CORP	252,520	6,104,789	5,206,962	
INTERMEDIATE CAP GROUP ORD 20P	269,470	1,013,863	1,384,154	
INTERNATIONAL BUSINESS MACHINE	31,700	5,987,050	6,072,135	
INTERPUBLIC GROUP OF COS INC/T	190,900	1,973,522	2,103,718	
INTERSIL CORP	79,835	835,772	661,832	
INTERTEK GROUP PLC ORD GBP0.01	12,750	405,901	642,272	
INTUIT INC	49,500	2,603,205	2,944,013	
INVESTMENT TECHNOLOGY GROUP IN	65,965	709,982	593,685	
IPSOS	27,860	806,079	1,033,967	
IXIA	92,530	1,308,081	1,571,159	
JABIL CIRCUIT INC	160,046	3,088,018	3,087,287	
JACOBS ENGINEERING GROUP INC	1,900	81,269	80,883	
JANUS CAPITAL GROUP INC	61,479	387,932	523,801	399
JAZZ PHARMACEUTICALS PLC	22,870	1,168,080	1,217,828	
JAZZTEL PLC	156,299	791,411	1,082,869	
JC DECAUX SA NPV	10,700	244,591	253,712	
JC PENNEY CO INC	202,200	5,427,157	3,985,362	4,826
JETBLUE AIRWAYS CORP	88,400	459,680	505,648	
JGC CORP Y50	159,000	3,913,611	4,920,881	
JOHNSON & JOHNSON	239,040	15,641,495	16,756,704	
JOHNSON CONTROLS INC	135,200	4,047,597	4,146,584	
JPMORGAN CHASE & CO	288,620	9,639,707	12,690,333	
JSR CORP JPY50	61,900	1,161,095	1,173,355	
KADANT INC	31,000	700,910	821,810	
KAISER ALUMINUM CORP	18,057	939,918	1,113,936	
KANSAI PAINT CO Y50	57,000	539,221	611,103	
KAYABA INDUSTRY CO Y50	129,000	498,173	517,701	
KBW INC	30,470	493,102	466,191	
KDDI CORP Y5000	47,700	3,171,371	3,359,660	
KELLOGG CO	15,800	799,277	882,430	
KEYCORP	90,073	692,661	758,415	
KINDER MORGAN INC/DELAWARE	65,000	2,358,564	2,296,450	
KINGBOARD CHEM HLDG HK\$0.10	169,500	481,023	601,386	
KIRBY CORP	31,100	2,047,624	1,924,779	
KLA-TENCOR CORP	24,700	1,191,775	1,179,672	
KODIAK OIL & GAS CORP	155,862	1,420,583	1,379,379	
KOHL'S CORP	6,600	325,710	283,668	
KRAFT FOODS GROUP INC	36,750	1,453,461	1,671,023	
KRONES AG ORD NPV	7,600	427,933	470,932	
KUKA AG	12,350	316,679	450,530	
KUONI REISEN HLDG CHF1(REGD)	2,150	744,746	643,579	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
LACLEDE GROUP INC/THE	44,775	1,848,074	1,728,763	
LANCASHIRE HLDGS COM SHS	65,750	738,552	828,828	
LANDEC CORP	15,050	151,512	143,126	
LAREDO PETROLEUM HOLDINGS INC	38,930	772,593	706,969	
LAURENTIAN BANK OF CANADA	7,800	365,835	346,562	
LA-Z-BOY INC	33,225	506,396	470,134	
LEAR CORP	34,000	1,394,463	1,592,560	
LEGRAND SA EUR4	74,882	2,458,097	3,146,841	
LEXINGTON REALTY TRUST	94,032	746,522	982,634	
LIANHUA SUPERMARKET HLDGS CO	164,000	218,212	157,000	
LIFE TECHNOLOGIES CORP	59,642	2,350,213	2,924,247	
LIFE TIME FITNESS INC	29,470	1,352,348	1,450,219	
LIG NON-LIFE INSURANCE CO LTD	24,110	495,317	582,157	
LINDE AG NPV	23,193	3,512,549	4,036,250	
LIQUIDITY SERVICES INC	25,790	1,011,053	1,053,779	
LOCALIZA RENT A CAR SA COM NPV	61,250	852,973	1,121,795	
L'OCCITANE INTERNATIONAL SA	231,750	468,412	734,044	
LOCKHEED MARTIN CORP	40,800	3,319,945	3,765,432	
LOWE'S COS INC	56,500	1,676,672	2,006,880	
LSI CORP	104,390	727,373	738,037	
LTD BRANDS INC	100,700	4,147,921	4,738,942	
LUMINEX CORP	21,900	457,153	367,898	
MAGELLAN HEALTH SERVICES INC	14,565	725,700	713,685	
MAGNA INTERNATIONAL INC	58,900	2,025,006	2,946,178	
MANPOWER INC	41,800	1,507,131	1,773,992	
MARATHON OIL CORP	83,000	2,540,519	2,544,780	
MARKETAXESS HOLDINGS INC	34,890	1,075,768	1,231,617	
MARSH & MCLENNAN COS INC	123,700	3,911,394	4,263,939	
MASIMO CORP	35,090	761,057	737,241	
MATSON INC	17,000	223,484	420,240	
MATTEL INC	47,500	1,318,600	1,739,450	
MAXIMUS INC	9,010	526,481	569,612	
MCCLATCHY CO/THE	194,500	464,855	636,015	21,105
MCDONALDS CO JAPAN JPY50	17,031	459,772	450,076	
MCDONALD'S CORP	71,100	7,114,443	6,271,731	
MCGRAW-HILL COS INC/THE	40,520	1,822,184	2,215,228	
MEDCATH CORP	51,000	301,771	0	
MEDICAL PROPERTIES TRUST INC	63,970	626,220	765,081	
MEDIOLANUM NPV POST SUBD	177,714	843,946	898,299	
MEDTRONIC INC	168,900	6,460,425	6,928,278	
MEGGITT ORD5P	118,570	655,624	736,828	
MEN'S WEARHOUSE INC/THE	55,000	1,731,123	1,713,800	
MERCADOLIBRE INC	13,580	1,041,637	1,066,709	

ROCKWELL COLLINS PENSION PLAN
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FORM 5500, SCHEDULE H, PART IV, LINE 4i
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AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
MERCK & CO INC	84,000	3,166,800	3,438,960	
MERITAGE HOMES CORP	37,085	1,324,689	1,385,125	
MERSEN	18,800	542,121	522,735	
METLIFE INC	57,389	1,785,218	1,890,394	
MICHAEL PAGE INTL ORD GBP0.01	262,114	1,440,561	1,682,962	
MICRO FOCUS INTL ORD GBP0	71,833	567,698	679,570	
MICRON TECHNOLOGY INC	34,013	213,942	215,642	
MICROSOFT CORP	465,032	12,460,775	12,421,005	
MIDDLEBY CORP	8,870	1,105,784	1,137,223	
MILLENNIUM & COPTHORNE HOTELS	114,750	738,730	941,957	
MILLS ESTRUTURAS E SERVICOS	58,760	567,239	975,746	
MINERALS TECHNOLOGIES INC	14,488	525,994	578,361	
MISTRAS GROUP INC	27,498	567,751	678,926	
MISUMI GROUP INC Y50	11,100	255,764	300,913	
MONDELEZ INTERNATIONAL INC	109,252	2,661,220	2,780,791	
MONSANTO CO	40,180	2,815,413	3,803,037	
MONTPELIER RE HOLDINGS LTD CDT	80,000	1,861,711	1,828,800	
MOOG INC	22,415	984,691	919,687	
MORPHOSYS ORD NPV	34,360	789,776	1,327,297	
MOTOROLA SOLUTIONS INC	10,042	464,844	559,139	
MUENCHENER RUECKVERSICHERUNGS	11,997	1,476,095	2,151,091	
MURPHY OIL CORP	57,400	3,049,205	3,418,170	
MWI VETERINARY SUPPLY INC	6,860	717,580	754,600	
NABTESCO CORP NPV	43,900	848,837	969,745	
NATIONAL OILWELL VARCO INC	10,700	727,493	731,345	
NATIONAL PENN BANCSHARES INC	85,201	719,096	794,073	1
NESTLE SA	115,400	6,664,119	7,513,925	
NET ONE SYSTEMS CO JPY5000	35,300	438,908	347,019	
NETAPP INC	14,700	551,997	493,185	
NEUSTAR INC	33,400	1,141,278	1,400,462	
NEW WORLD DEPARTMENT STORE	389,698	225,345	251,390	
NEWMONT MINING CORP	16,100	732,795	747,684	
NEWPORT CORP	52,500	714,525	706,125	
NEWS CORP	47,460	846,686	1,210,705	
NEWS CORP	37,600	683,568	986,624	
NIFCO INC Y50	34,800	969,992	766,312	
NIHON KODEN CORP Y50	13,600	336,903	411,783	
NIPPON SHOKUBAI CO LTD	78,000	854,544	795,651	
NOBLE ENERGY INC	7,000	660,730	712,180	
NORANDA ALUMINUM HOLDING CORP	111,467	920,386	681,063	
NORFOLK SOUTHERN CORP	18,700	1,358,374	1,156,408	
NORTHROP GRUMMAN CORP	21,200	1,240,010	1,432,696	
NORTHSTAR REALTY FINANCE CORP	164,610	939,043	1,158,854	22

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AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
NOVADAQ TECHNOLOGIES INC	50,030	512,061	444,266	
NOVARTIS AG	142,450	8,111,626	9,017,085	
NOVARTIS AG CHF0.50 (REGD)	68,378	3,891,298	4,291,600	
NOVO NORDISK A/S	23,100	2,662,506	3,770,151	
NPS PHARMACEUTICALS INC	27,630	270,754	251,433	
NRG ENERGY INC	178,750	3,036,510	4,109,463	
NUANCE COMMUNICATIONS INC	80,400	2,010,315	1,794,528	
NV ENERGY INC	75,705	1,237,777	1,373,289	
NVIDIA CORP	49,600	687,456	608,096	
NXSTAGE MEDICAL INC	94,934	1,070,978	1,068,008	
OASIS PETROLEUM INC	30,500	914,897	969,900	
OCCIDENTAL PETROLEUM CORP	51,700	4,773,888	3,960,737	
OCEANAGOLD CORPORATION CDI NPV	169,500	555,744	485,691	
OCEANEERING INTERNATIONAL INC	5,200	239,876	279,708	
OLD DOMINION FREIGHT LINE INC	33,963	917,680	1,164,252	
OLIN CORP	33,725	662,696	728,123	
ON ASSIGNMENT INC	81,450	1,581,260	1,651,806	
OPENTABLE INC	14,740	668,451	719,312	
ORACLE CORP	81,900	2,100,735	2,728,908	
ORIENTAL FINANCIAL GROUP INC	57,910	701,290	773,099	397
ORIX CORP Y50	1,650	137,974	184,913	
OSI SYSTEMS INC	21,000	1,563,555	1,344,840	
OWENS CORNING	25,072	733,822	927,413	
PACCAR INC	91,100	3,405,499	4,118,631	
PACIFIC BRANDS NPV	314,800	181,671	202,632	
PARAGON GROUP OF COMPANIES	75,966	292,617	316,239	
PARAMETRIC TECHNOLOGY CORP	29,850	554,712	671,924	
PARK 24 CO JPY50	12,300	163,197	194,177	
PARTNERS GRP HLDG AG CHF0.01	3,090	540,191	712,960	
PDC ENERGY INC	31,659	978,082	1,051,395	
PEPSICO INC	103,390	6,775,625	7,074,978	
PERKINELMER INC	4,900	145,177	155,526	
PETROFAC LTD ORD SHS 0.02 USD	111,036	2,719,436	2,929,337	
PETROLEUM GEO-SERVICES ASA	46,060	600,640	789,151	
PFIZER INC	57,800	1,250,792	1,449,566	
PHILIP MORRIS INTERNATIONAL IN	150,670	11,824,582	12,602,039	
PHILLIPS 66	54,050	1,244,392	2,870,055	
PLAYTECH LTD	204,200	986,973	1,416,001	
PLEXUS CORP	20,586	563,149	531,119	
PNC FINANCIAL SERVICES GROUP I	26,200	1,504,641	1,527,722	
PNM RESOURCES INC	48,142	877,629	987,392	
POLARCUS LTD	441,451	461,635	526,703	
POLYONE CORP	54,506	629,544	1,113,013	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
POPULAR INC	34,200	475,380	711,018	
PORTLAND GENERAL ELECTRIC CO	30,335	767,172	829,966	
PORTO SEGURO SA COM NPV	49,700	540,427	570,185	
POSTNL NV	393,407	1,235,937	1,513,473	
POTASH CORP OF SASKATCHEWAN IN	47,300	1,952,544	1,924,637	
PPL CORP	42,500	1,250,350	1,216,775	
PRAXAIR INC	35,500	3,782,420	3,885,475	
PREMIER OIL ORD GBP0.125	227,070	1,329,377	1,242,029	
PRIMORIS SERVICES CORP	34,997	466,025	526,355	
PROASSURANCE CORP	54,440	2,172,700	2,296,824	
PROCERA NETWORKS INC	24,934	570,588	462,526	
PROCTER & GAMBLE CO/THE	81,540	5,439,533	5,535,751	
PROGRESS SOFTWARE CORP	37,270	743,193	782,297	
PROS HOLDINGS INC	35,261	634,880	644,924	
PROTO LABS INC	13,350	447,259	526,257	
PUBLIC SERVICE ENTERPRISE GROU	151,400	4,929,935	4,632,840	
QIAGEN N V	3,800	61,043	68,970	1
QUALCOMM INC	110,700	6,320,173	6,847,902	
RADIOSHACK CORP	6,270	60,882	13,292	6,270
RAMBUS INC	15,700	118,535	76,459	
RANGE RESOURCES CORP	7,100	438,318	446,093	
RAPTOR PHARMACEUTICAL CORP	33,260	179,878	194,571	
RED ELECTRICA CORPORATION SA	8,849	360,486	435,161	
REED ELSEVIER NV EUR0.07	362,102	4,210,007	5,339,666	
REGAL-BELOIT CORP	30,435	1,551,272	2,144,754	
REGIONS FINANCIAL CORP	254,700	1,134,071	1,816,011	
REGIS RESOURCES LTD NPV	97,900	573,313	519,379	
RESEARCH IN MOTION LTD	75,900	1,100,550	900,933	5,599
RESTAURANT GRP PLC ORD	137,310	635,102	863,551	
REXAM ORD 64 2/7P	487,310	2,851,524	3,453,654	
RIO TINTO PLC	39,402	1,884,555	2,249,044	454
RIO TINTO PLC	40,200	1,966,584	2,335,218	
RITCHIE BROS AUCTIONEERS INC	41,900	916,518	875,291	5,235
ROCHE HLDG AG GENUSSSCHEINE NPV	30,139	5,586,468	6,058,421	
ROCHE HOLDING AG	65,000	2,878,511	3,266,510	
ROCK-TENN CO	15,933	1,066,047	1,113,876	
ROCKWELL AUTOMATION INC	11,800	883,270	991,082	
ROCKWOOD HOLDINGS INC	13,645	551,965	674,882	
ROHTO PHARMACEUTICAL CO Y50	64,000	772,837	744,625	
ROLLS-ROYCE HLDGS PLC	207,336	2,405,379	2,943,910	
ROSS STORES INC	13,300	702,856	719,397	
ROYAL CARIBBEAN CRUISES LTD	3,400	83,747	115,600	
ROYAL DUTCH SHELL PLC	84,200	6,353,448	5,968,938	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
ROYAL DUTCH SHELL PLC	72,790	5,273,101	5,018,871	
ROYAL IMTECH NV EUR0.8	34,900	936,894	800,151	
RTI INTERNATIONAL METALS INC	32,724	762,744	901,873	
RUBY TUESDAY INC	49,158	339,190	386,382	
RYANAIR HOLDINGS PLC	59,000	1,643,740	2,022,520	
RYLAND GROUP INC	39,544	637,152	1,443,356	
RYMAN HOSPITALITY PPTYS INC	20,153	516,678	775,097	
SABMILLER PLC	38,000	1,537,039	1,744,960	
SAFETY INSURANCE GROUP INC	24,905	1,008,154	1,149,864	
SAFT GROUPE S.A. EUR1	20,280	579,658	473,248	
SAI GLOBAL LTD NPV	152,160	710,660	668,224	
SAIA INC	63,510	1,370,243	1,468,351	
SALATORE FERRAGAMO SPA	19,403	259,401	425,666	
SANDISK CORP	75,900	3,660,741	3,301,650	
SANOFI	46,384	3,417,110	4,365,689	
SANOFI	57,800	2,074,934	2,738,564	
SANTEN PHARMACEUTICAL CO	7,000	288,293	268,374	
SAP AG ORD NPV	32,680	1,871,647	2,614,848	
SAWAI PHARM CO LTD JPY50	11,100	1,154,567	1,115,584	
SCHLUMBERGER LTD	54,396	3,715,791	3,769,588	
SCIQUEST INC	76,490	1,146,139	1,213,131	
SEMAFO INC	168,902	964,972	580,139	
SEMBCORP INDUSTRIES SGD0.25	275,000	1,079,302	1,181,948	
SEMBCORP MARINE SGD0.10	522,000	1,660,904	1,965,780	70,999
SENSIENT TECHNOLOGIES CORP	21,135	801,017	751,561	
SHENGUAN HOLDINGS GROUP LTD	282,000	161,776	152,445	
SHFL ENTERTAINMENT INC	49,560	658,150	718,620	
SHIN-ETSU CHEMICALS CO NPV	34,600	1,762,250	2,092,847	
SHUTTERFLY INC	47,140	1,247,622	1,408,072	
SIEMENS AG NPV REGD	27,579	2,664,582	2,988,805	
SINGAPORE AIRLINES SGD0.50	171,000	1,339,884	1,504,912	
SINO BIOPHARMACEUTICAL	1,104,000	323,942	527,013	
SKANDINAVISKA ENSKILDA BANKEN	192,162	1,186,380	1,632,009	
SMITHFIELD FOODS INC	28,210	581,145	608,490	
SMURFIT KAPPA GROUP PLC ORD	137,696	606,079	1,609,792	
SMURFIT KAPPA GROUP PLC ORD	74,780	671,878	887,310	
SNAM SPA	376,243	1,624,506	1,743,080	
SNAP-ON INC	36,630	1,854,211	2,893,404	
SODASTREAM INTERNATIONAL LTD	11,090	385,152	497,830	
SOLARWINDS INC	30,820	1,588,242	1,616,509	
SONY CORP	35,639	642,928	399,157	
SONY FINANCIAL HOLDINGS INC	145,600	2,145,963	2,593,234	
SOURCEFIRE INC	25,500	1,151,262	1,204,110	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
SOUTHWEST AIRLINES CO	297,200	2,563,880	3,043,328	
SOUTHWESTERN ENERGY CO	18,000	574,920	601,380	
SPAREBANK 1 SR BK	32,646	222,042	218,217	
SPIRIT AEROSYSTEMS HOLDINGS IN	69,069	1,261,227	1,172,101	
SPS COMMERCE INC	22,270	799,203	830,003	
STATE STREET CORP	23,400	981,733	1,100,034	
STERLING FINANCIAL CORP/WA	36,065	735,914	753,759	
STHREE ORD GBP0.01(WI)	80,600	285,678	433,661	
STRATASYS LTD	3,490	265,240	279,724	
STRATTEC SECURITY CORP	460	9,177	11,873	
SUBSEA 7 SA	53,100	999,324	1,260,412	
SULZER AG CHF0.01(REGD)	6,850	914,936	1,078,369	
SUNDRUG CO Y50	21,700	654,255	740,106	
SUNSTONE HOTEL INVESTORS INC	87,585	713,818	938,035	
SUPERIOR ENERGY SERVICES INC	36,350	784,301	753,172	
SUSQUEHANNA BANCSHARES INC	215,838	1,808,722	2,261,982	
SYKES ENTERPRISES INC	54,689	856,430	832,367	
SYMANTEC CORP	69,100	1,081,415	1,300,462	
SYNCHRONOSS TECHNOLOGIES INC	50,012	989,304	1,054,753	
SYNOVUS FINANCIAL CORP	322,976	477,320	791,291	
SYSMEX CORP JPY50	23,900	777,353	1,095,975	
TARGET CORP	69,700	3,570,034	4,124,149	
TE CONNECTIVITY LTD USD0.97	75,925	2,360,104	2,818,336	
TEAM HEALTH HOLDINGS INC	9,880	286,026	284,248	
TECHNIP SA EUR	24,230	2,284,202	2,774,089	
TECNICAS REUNIDAS ORD EUR0.10	49,224	1,837,898	2,276,908	
TELEVISION BROADCAST ORD	85,000	511,819	634,963	
TEMENOS GROUP AG CHF5 (REGD)	45,920	758,613	802,666	
TERADYNE INC	48,760	688,070	823,556	
TESCO ORD 5P	703,256	4,009,696	3,840,959	
TESLA MOTORS INC	9,600	267,783	325,152	511
TETON ADVISORS INC	293	4,835	4,835	
TEXAS INSTRUMENTS INC	336,025	9,851,667	10,379,812	
TEXTRON INC	22,900	636,211	567,691	
THERMON GROUP HOLDINGS INC	22,680	553,585	510,980	
TIME WARNER CABLE INC	20,000	1,512,544	1,943,800	
TIMKEN CO	17,990	708,871	860,462	
TITAN MACHINERY INC	71,260	1,825,267	1,760,122	1,167
TJX COS INC	13,900	448,623	590,055	
TODS SPA	4,590	378,273	578,822	
TOKYO ELECTRON NPV	35,100	1,706,377	1,597,392	
TOKYO OHKA KOGYO Y50	29,100	588,998	571,466	
TOTAL SA	171,000	8,531,727	8,893,710	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
TOTAL SA EUR2.5	61,085	3,065,607	3,141,649	
TOYOTA MOTOR CORP NPV	104,800	3,620,180	4,854,265	
TRANSOCEAN LTD ZUG NAMEN-AKT	13,900	637,823	620,774	
TRIMBLE NAVIGATION LTD	55,000	2,387,000	3,287,900	
TRIQUINT SEMICONDUCTOR INC	125,150	684,688	604,475	
TTM TECHNOLOGIES INC	68,042	745,740	625,306	
TUESDAY MORNING CORP	167,000	576,150	1,043,750	
TXC CORPN TWD10	463,319	547,633	762,652	
UBS AG CHF0.1 (POST	206,207	2,773,196	3,214,698	
UGI CORP	34,858	1,024,825	1,140,205	
ULTIMATE SOFTWARE GROUP INC	10,327	972,992	974,972	
UNION PACIFIC CORP	10,400	1,101,776	1,307,488	
UNITED CONTINENTAL HOLDINGS IN	38,700	901,626	904,806	
UNITED NATURAL FOODS INC	8,535	462,003	457,391	
UNITED PARCEL SERVICE INC	57,109	4,194,388	4,210,647	
UNITED TECHNOLOGIES CORP	23,200	1,695,688	1,902,632	
UNIVERSAL HEALTH SERVICES INC	22,970	931,544	1,110,600	
UNUM GROUP	243,200	5,186,102	5,063,424	
VAALCO ENERGY INC	185,000	1,675,055	1,600,250	
VALIDUS HOLDINGS LTD COM SHS	33,850	1,089,900	1,170,533	
VECTREN CORP	28,929	874,524	850,513	
VESUVIUS PLC ORD GBP0.00	68,430	295,392	384,866	
VIROPHARMA INC	84,000	2,300,760	1,911,840	
VISA INC	21,500	2,182,895	3,258,970	
VISHAY INTERTECHNOLOGY INC	88,385	804,089	939,533	
VITAMIN SHOPPE INC	25,220	1,491,746	1,446,619	
VITASOY INTERNATIONAL HOLDINGS	684,000	504,459	698,927	
VODAFONE GROUP	820,355	2,291,740	2,059,571	
VODAFONE GROUP PLC	234,300	6,504,535	5,902,017	
VOLCANO CORP	22,775	637,696	537,718	
WADDELL & REED FINANCIAL INC	24,055	595,842	837,595	
WALGREEN CO	62,340	2,060,960	2,307,203	
WAL-MART STORES INC	91,160	5,447,207	6,219,847	
WALT DISNEY CO/THE	22,800	931,031	1,135,212	
WARNACO GROUP INC/THE	12,095	666,782	865,639	
WATERS CORP	5,500	407,275	479,160	
WEBSense INC	24,500	458,885	368,480	
WELLCARE HEALTH PLANS INC	68,101	3,584,397	3,315,838	
WELLPOINT INC	65,500	3,821,106	3,990,260	
WELLS FARGO & CO	130,481	3,629,942	4,459,841	
WERNER ENTERPRISES INC	75,570	1,767,999	1,637,602	
WESTERN ALLIANCE BANCORP	80,187	499,565	844,369	
WESTERN AREAS LTD	37,150	196,696	172,018	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
WESTERN REFINING INC	118,225	1,571,210	3,332,763	3,342
WEX INC	15,550	1,084,149	1,172,004	
WHIRLPOOL CORP	14,735	923,388	1,499,286	
WHITBREAD	32,400	792,968	1,288,742	
WHOLE FOODS MARKET INC	15,800	1,099,364	1,440,328	
WILLIS GROUP HOLDINGS PUBLIC	36,400	1,305,990	1,220,492	
WINTRUST FINANCIAL CORP	13,499	378,647	495,413	
WPG HOLDINGS LIMITED TWD10	543,550	643,332	711,281	
WR BERKLEY CORP	24,600	845,994	928,404	
WYNDHAM WORLDWIDE CORP	34,344	1,299,234	1,827,444	
XING INTERNATIONAL HLDGS LTD	948,737	424,523	489,617	
XL GROUP PLC	38,800	766,516	972,328	
YAHOO! INC	27,300	440,349	543,270	
YUE YUEN INDL HLDG HKD0.25	650,157	2,055,128	2,172,544	
ZIMMER HOLDINGS INC	31,900	1,839,899	2,126,454	
ZIONS BANCORPORATION	68,926	1,130,986	1,475,016	
ZURICH INSURANCE GROUP AG	9,326	2,119,319	2,479,849	
TOTAL COMMON STOCKS		<u>1,017,484,846</u>	<u>1,129,172,809</u>	
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	83,164	76,682	83,164	
TOTAL PARTNERSHIP		<u>76,682</u>	<u>83,164</u>	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	316,035	98,907,847	126,896,483	
EB DV STOCK INDEX FUND	32,334	37,957,768	58,767,034	
TOTAL COMMON/COLLECTIVE TRUST		<u>136,865,615</u>	<u>185,663,517</u>	
REGISTERED INVESTMENT COMPANIES				
CAUSEWAY EMERGING MARKETS FUND	3,530,635	38,746,842	42,579,453	
VANGUARD INTERNATIONAL GROWTH	3,112,356	186,567,767	190,725,191	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>225,314,609</u>	<u>233,304,644</u>	
TOTAL EQUITY INVESTMENTS		<u>\$ 1,381,941,298</u>	<u>\$ 1,550,990,821</u>	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIXED INCOME						
313 GROUP INC 144A	520,000	520,000	515,450	12/01/19	6.38%	
313 GROUP INC 144A	295,000	295,000	289,838	12/01/20	8.75%	
ABB FINANCE USA INC	74,000	71,680	80,326	05/08/42	4.38%	
ABBVIE INC 144A	290,000	288,132	295,330	11/06/22	2.90%	
ABBVIE INC 144A	639,000	632,380	679,340	11/06/42	4.40%	
ACCESS MIDSTREAM PARTNERS LP /	180,000	180,000	182,700	05/15/23	4.88%	
ACE CAPITAL TRUST II	344,000	450,956	498,800	04/01/30	9.70%	
ACTAVIS INC	287,000	282,741	298,804	10/01/42	4.63%	
ADT CORP/THE 144A	81,000	80,129	76,884	07/15/42	4.88%	
AEP TEXAS CENTRAL CO	45,000	48,591	57,198	02/15/33	6.65%	
AES CORP/VA	180,000	185,233	202,050	10/15/15	7.75%	
AETNA INC	110,000	142,901	152,595	12/15/37	6.75%	
AETNA INC	309,000	295,942	324,197	05/15/42	4.50%	
AFFINIA GROUP INC 144A	93,000	91,883	100,789	08/15/16	10.75%	
AFLAC INC	105,000	112,274	139,624	12/17/39	6.90%	
AFLAC INC	60,000	59,699	75,880	08/15/40	6.45%	
AGL CAPITAL CORP	862,000	912,625	1,126,031	03/15/41	5.88%	
AGRIUM INC	260,000	257,163	312,595	01/15/41	6.13%	
AIG SUNAMERICA GLOBAL FINANCIN	849,000	970,981	1,125,587	03/15/32	6.90%	
AIR CANADA 144A	480,000	460,963	501,600	08/01/15	9.25%	
AIRCASTLE LTD	140,000	138,103	158,200	08/01/18	9.75%	
AIRCASTLE LTD	165,000	165,318	176,550	04/15/17	6.75%	
AIRCASTLE LTD	425,000	426,888	474,938	04/15/20	7.63%	
ALABAMA POWER CO	356,000	402,749	470,771	05/15/38	6.13%	
ALABAMA POWER CO	60,000	65,281	78,602	03/01/39	6.00%	
ALABAMA POWER CO	45,000	44,865	44,338	12/01/42	3.85%	
ALBERTA ENERGY CO LTD	70,000	85,256	90,893	11/01/31	7.38%	
ALERIS INTL INC 144A	185,000	185,000	185,000	11/01/20	7.88%	
ALLSTATE CORP/THE	91,000	90,643	108,002	01/15/42	5.20%	
ALLY AUTO RECEIVABLES TRU 5 A2	88,271	88,270	88,357	06/16/14	0.80%	
ALLY FINANCIAL INC	160,000	156,306	177,155	12/01/17	6.25%	
ALLY FINANCIAL INC	430,000	426,199	519,225	09/15/20	7.50%	
ALLY FINANCIAL INC	160,000	158,282	171,162	02/15/17	5.50%	
ALLY FINANCIAL INC	300,000	294,651	379,875	11/01/31	8.00%	
ALTRIA GROUP INC	950,000	938,087	921,310	08/09/42	4.25%	
AMERICA MOVIL SAB DE CV	583,000	694,385	767,018	03/30/40	6.13%	
AMERICA MOVIL SAB DE CV	400,000	405,706	415,652	07/16/42	4.38%	
AMERICAN EXPR CENTURION	640,000	640,000	639,098	11/13/15	0.76%	
AMERICAN EXPRESS CO 144A	552,000	555,318	549,803	12/02/22	2.65%	
AMERICAN EXPRESS CREDIT CORP	235,000	236,720	237,108	06/24/14	1.16%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
AMERICAN GENERAL INSTITUTIONAL	150,000	128,438	187,500	03/15/46	8.13%	
AMERICAN INTERNATIONAL GROUP I	680,000	714,453	885,700	05/15/58	8.18%	
AMERICAN PETROLEUM TANKERS PAR	164,000	159,413	171,380	05/01/15	10.25%	
AMERICAN WATER CAPITAL CORP	230,000	186,956	298,404	10/15/37	6.59%	
AMERICAN WATER CAPITAL CORP	33,000	32,940	34,271	12/01/42	4.30%	
AMERIGAS FINANCE LLC / AMERIGA	150,000	150,000	164,625	05/20/20	6.75%	
AMERIGAS FINANCE LLC / AMERIGA	145,000	145,000	161,313	05/20/22	7.00%	
AMERIPRISE FINANCIAL INC	45,000	44,892	53,267	03/15/20	5.30%	
AMERISTAR CASINOS INC	55,000	56,650	59,606	04/15/21	7.50%	
AMGEN INC	950,000	953,825	1,195,091	06/01/37	6.38%	
AMGEN INC	40,000	46,644	53,671	06/01/38	6.90%	
AMGEN INC	160,000	159,216	192,114	06/15/42	5.65%	
AMGEN INC	350,000	344,759	393,880	11/15/41	5.15%	
AMGEN INC	335,000	334,950	394,975	05/15/43	5.38%	
AMSTED INDUSTRIES INC 144A	135,000	134,028	144,450	03/15/18	8.13%	
ANADARKO FINANCE CO	130,000	148,799	171,999	05/01/31	7.50%	
ANADARKO HOLDING CO	376,000	380,065	454,065	05/15/28	7.15%	
ANADARKO PETROLEUM CORP	840,000	870,593	1,036,123	03/15/40	6.20%	
ANADARKO PETROLEUM CORP	300,000	335,058	404,841	03/15/19	8.70%	
ANHEUSER-BUSCH COS LLC	325,000	378,786	462,566	10/01/30	7.55%	
ANHEUSER-BUSCH COS LLC	100,000	90,486	127,134	04/01/36	5.75%	
ANHEUSER-BUSCH INBEV WORLDWIDE	740,000	1,017,289	1,215,280	01/15/39	8.20%	
ANR PIPELINE CO	335,000	458,782	506,781	11/01/21	9.63%	
ANTERO RESOURCES FINANCE 144A	115,000	115,000	116,438	12/01/20	6.00%	
AON CORP	227,000	229,701	295,027	09/30/40	6.25%	
APACHE CORP	80,000	75,414	90,939	09/01/40	5.10%	
APACHE CORP	326,000	325,104	354,887	04/15/43	4.75%	
APACHE CORP	164,000	162,590	167,556	01/15/44	4.25%	
APACHE FINANCE CANADA CORP	135,000	168,206	192,406	12/15/29	7.75%	
APPALACHIAN POWER CO	690,000	847,059	939,056	04/01/38	7.00%	
ARCH COAL INC	155,000	155,000	144,150	06/15/19	7.00%	4
ARCHER-DANIELS-MIDLAND CO	424,000	434,960	454,168	03/26/42	4.54%	
ARCHER-DANIELS-MIDLAND CO 144A	390,000	392,878	372,977	04/16/43	4.02%	
ARIZONA PUBLIC SERVICE CO	56,000	55,623	64,152	09/01/41	5.05%	
ARIZONA PUBLIC SERVICE CO	24,000	23,773	25,524	04/01/42	4.50%	
ARROW ELECTRONICS INC	95,000	101,436	116,937	01/15/27	7.50%	
ARROW ELECTRONICS INC	190,000	191,505	222,724	06/01/18	6.88%	
A-S CO-ISS SUB INC / MER 144A	340,000	340,000	340,000	12/15/20	7.88%	
ASSOCIATES CORP OF NORTH AMERI	81,000	85,899	97,573	11/01/18	6.95%	
ASSURED GUARANTY MUNICIPAL HOL	100,000	72,000	80,000	12/15/66	6.40%	
ASSURED GUARANTY US HOLDINGS I	275,000	209,125	236,500	12/15/66	6.40%	
ASTRAZENECA PLC	370,000	417,852	501,106	09/15/37	6.45%	
AT&T INC	510,000	545,597	680,819	05/15/36	6.80%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
AT&T INC	530,000	664,726	636,064	08/15/41	5.55%	
AT&T INC	4,641,000	4,645,526	5,404,677	09/01/40	5.35%	
AT&T INC 144A	1,685,000	1,677,173	1,692,330	12/15/42	4.30%	
AT&T INC 144A	1,072,000	1,094,201	1,076,824	06/15/45	4.35%	
ATLAS PIPELINE PARTNERS LP / A	295,000	295,000	314,175	06/15/18	8.75%	
ATMOS ENERGY CORP	345,000	374,997	427,414	06/15/41	5.50%	
AUTONATION INC	210,000	212,463	225,488	02/01/20	5.50%	
AVIATION CAPITAL GROUP CO 144A	155,000	155,000	160,224	04/06/21	6.75%	
AVIS BUDGET CAR RENTAL LLC / A	170,000	167,678	189,550	03/15/18	9.63%	
AVIS BUDGET CAR RENTAL LLC / A	230,000	230,000	254,150	01/15/19	8.25%	
B/E AEROSPACE INC	235,000	237,056	249,100	04/01/22	5.25%	
BAE SYSTEMS PLC 144A	102,000	101,469	123,194	10/11/41	5.80%	
BAKER HUGHES INC	160,000	159,035	192,578	09/15/40	5.13%	
BALL CORP	185,000	185,000	197,950	03/15/22	5.00%	
BALTIMORE GAS & ELECTRIC CO	115,000	114,366	123,185	11/15/21	3.50%	
BANK NOVA SCOTIA DISC	500,000	498,753	498,753	04/18/13	0.00%	
BANK OF AMERICA CORP	175,000	176,279	207,485	07/01/20	5.63%	
BANK OF AMERICA CORP	165,000	164,282	188,372	05/13/21	5.00%	
BANK OF AMERICA CORP	86,000	85,079	107,293	02/07/42	5.88%	
BANK OF MONTREAL	430,000	432,193	431,858	04/29/14	0.77%	
BARCLAYS BANK PLC	290,000	298,436	290,360	01/23/13	2.50%	
BARRICK NORTH AMERICA FINANCE	415,000	450,365	475,822	05/30/41	5.70%	
BARRICK PD AUSTRALIA FINANCE P	150,000	148,491	173,241	10/15/39	5.95%	
BAT INTERNATIONAL FINANCE 144A	360,000	356,630	375,242	06/07/22	3.25%	
BELLSOUTH CAPITAL FUNDING CORP	24,000	28,164	31,627	02/15/30	7.88%	
BELLSOUTH CORP	24,000	25,333	29,863	10/15/31	6.88%	
BELLSOUTH TELECOMMUNICATIONS I	130,000	142,329	164,505	10/01/25	7.00%	
BELLSOUTH TELECOMMUNICATIONS I	100,000	104,343	119,757	06/01/28	6.38%	
BERKSHIRE HATHAWAY FINANCE COR	81,000	84,987	83,799	05/15/42	4.40%	
BERKSHIRE HATHAWAY INC	255,000	255,000	255,189	02/11/13	0.74%	
BERKSHIRE HATHAWAY INC	560,000	558,449	603,238	01/31/22	3.40%	
BHP BILLITON FINANCE USA LTD	390,000	390,000	391,069	02/18/14	0.58%	
BHP BILLITON FINANCE USA LTD	354,000	347,833	375,835	02/24/42	4.13%	
BIOMET INC 144A	500,000	512,006	531,250	08/01/20	6.50%	
BLACKSTONE HOLDINGS FINAN 144A	95,000	91,039	107,259	08/15/42	6.25%	
BLOCK COMMUNICATIONS INC 144A	130,000	130,000	138,125	02/01/20	7.25%	
BOEING CO/THE	18,000	26,491	27,946	11/15/31	8.63%	
BOEING CO/THE	125,000	175,736	190,531	03/15/39	6.88%	
BOEING CO/THE	165,000	177,492	222,833	02/15/40	5.88%	
BOMBARDIER INC 144A	285,000	283,513	292,838	03/15/22	5.75%	
BOSTON GAS CO 144A	45,000	45,000	47,595	02/15/42	4.49%	
BOSTON PROPERTIES LP	230,000	232,627	274,254	10/15/19	5.88%	
BOYD GAMING CORP 144A	225,000	225,000	221,625	07/01/20	9.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest			Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Par Value	Cost				
BP CAPITAL MARKETS PLC	185,000	185,000	199,909	11/01/21	3.56%	
BRISTOL-MYERS SQUIBB CO	124,000	167,820	181,586	08/01/97	6.88%	
BRITISH SKY BROADCASTING 144A	300,000	298,698	298,956	11/26/22	3.13%	
BRITISH TELECOMMUNICATIONS PLC	345,000	454,676	548,095	12/15/30	9.63%	
BROOKFIELD RESIDENTIAL PR 144A	95,000	95,000	97,375	12/15/20	6.50%	
BSKYB FINANCE UK PLC 144A	219,000	235,567	281,222	10/15/35	6.50%	
BUILDING MATERIALS CORP O 144A	100,000	99,420	110,500	05/01/21	6.75%	
BUNGE LTD FINANCE CORP	265,000	271,801	340,973	06/15/19	8.50%	
BURLINGTON NORTHERN SANTA FE L	400,000	405,890	493,128	05/01/40	5.75%	
BURLINGTON NORTHERN SANTA FE L	465,000	463,549	550,644	06/01/41	5.40%	
BURLINGTON NORTHERN SANTA FE L	195,000	194,191	204,672	03/15/42	4.40%	
BURLINGTON NORTHERN SANTA FE L	73,000	71,990	76,726	09/01/42	4.38%	
BURLINGTON NORTHERN SANTA FE L	180,000	213,882	244,044	06/01/36	7.29%	
BURLINGTON NORTHERN SANTA FE L	260,000	279,230	320,104	08/01/28	6.70%	
BURLINGTON NORTHERN SANTA FE L	250,000	280,415	306,228	03/15/29	6.75%	
CABLEVISION SYSTEMS CORP	230,000	241,275	259,038	04/15/20	8.00%	
CAESARS ENTERTAINMENT OPERATIN	115,000	115,261	114,138	02/15/20	8.50%	
CAESARS ENTERTAINMENT OPERATIN	135,000	141,772	144,619	06/01/17	11.25%	
CAFECO LLC DISC	1,250,000	1,249,088	1,249,088	02/01/13	0.00%	
CALPINE CORP 144A	247,000	251,042	272,935	02/15/21	7.50%	
CALPINE CORP 144A	54,000	58,793	61,020	01/15/23	7.88%	
CANADIAN IMPERIAL BANK OF COMM	320,000	323,728	322,435	09/13/13	1.45%	
CANADIAN NATIONAL RAILWAY CO	435,000	517,402	616,452	11/15/37	6.38%	
CANADIAN NATURAL RESOURCES LTD	250,000	315,455	322,075	03/15/38	6.25%	
CANADIAN NATURAL RESOURCES LTD	300,000	334,815	399,399	01/15/32	7.20%	
CAPITAL ONE BANK USA NA	250,000	302,105	338,525	07/15/19	8.80%	
CAPITAL ONE FINANCIAL CORP	125,000	124,421	144,145	07/15/21	4.75%	
CARGILL INC	170,000	196,778	238,082	11/01/36	7.25%	
CARGILL INC	105,000	126,198	149,104	06/18/27	7.41%	
CARGILL INC 144A	175,000	174,125	181,197	11/15/21	3.25%	
CARMAX AUTO OWNER TRUST 2 3 A2	108,951	108,943	109,057	11/17/14	0.70%	
CARMIKE CINEMAS INC	140,000	140,000	151,200	05/15/19	7.38%	
CAROLINA POWER & LIGHT CO	23,000	22,886	23,539	05/15/42	4.10%	
CATERPILLAR INC	200,000	217,944	244,858	09/15/35	5.30%	
CATERPILLAR INC	660,000	544,661	657,532	08/15/42	3.80%	
CBS CORP	305,000	337,228	421,126	07/30/30	7.88%	
CC HOLDINGS GS V LLC 144A	310,000	310,000	315,366	04/15/23	3.85%	
CCO HOLDINGS LLC / CCO HOLDING	225,000	225,000	242,156	04/30/18	7.88%	
CCO HOLDINGS LLC / CCO HOLDING	95,000	95,000	106,400	04/30/20	8.13%	
CCO HOLDINGS LLC / CCO HOLDING	25,000	25,000	27,250	10/30/17	7.25%	
CCO HOLDINGS LLC / CCO HOLDING	85,000	84,575	91,694	01/15/19	7.00%	
CCO HOLDINGS LLC / CCO HOLDING	255,000	265,321	283,050	06/01/20	7.38%	
CENOVUS ENERGY INC	250,000	286,493	338,423	11/15/39	6.75%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
CENOVUS ENERGY INC	190,000	189,156	197,541	09/15/42	4.45%	
CENTERPOINT ENERGY HOUSTON ELE	265,000	345,367	380,378	03/15/21	9.15%	
CENTERPOINT ENERGY RESOURCES C	250,000	327,065	316,415	01/15/41	5.85%	
CENTURYLINK INC	140,000	133,528	145,320	09/15/39	7.60%	
CENTURYLINK INC	205,000	204,676	216,712	03/15/22	5.80%	
CENVEO CORP	330,000	317,882	279,263	05/15/17	11.50%	
CEQUEL COMMUNICATIONS HOL 144A	195,000	195,000	203,044	09/15/20	6.38%	
CEQUEL COMMUNICATIONS HOL 144A	190,000	199,255	203,300	11/15/17	8.63%	
CHARIOT FDG LLC DISC	400,000	399,356	399,356	02/14/13	0.00%	
CHC HELICOPTER SA	450,000	442,796	473,625	10/15/20	9.25%	
CHESAPEAKE ENERGY CORP	55,000	53,625	59,675	08/15/17	6.50%	
CHESAPEAKE ENERGY CORP	230,000	241,837	249,263	11/15/20	6.88%	
CHESAPEAKE ENERGY CORP	60,000	60,551	65,400	12/15/18	7.25%	
CHESAPEAKE ENERGY CORP	35,000	32,280	37,538	08/15/20	6.63%	
CHESTER DOWNS & MARINA LL 144A	100,000	100,625	98,250	02/01/20	9.25%	1
CHS/COMMUNITY HEALTH SYSTEMS I	320,000	319,313	346,400	11/15/19	8.00%	
CHS/COMMUNITY HEALTH SYSTEMS I	380,000	386,650	405,650	07/15/20	7.13%	
CIE GENERALE DE GEOPHYSIQUE -	300,000	289,338	321,000	06/01/21	6.50%	
CIESCO LLC DISC	1,500,000	1,498,705	1,498,705	02/12/13	0.00%	
CIGNA CORP	155,000	154,002	188,167	03/15/41	5.88%	
CIGNA CORP	510,000	562,336	593,778	02/15/42	5.38%	
CINEMARK USA INC	50,000	50,000	55,250	06/15/21	7.38%	2
CINEMARK USA INC 144A	70,000	70,000	70,875	12/15/22	5.13%	
CISCO SYSTEMS INC	400,000	400,000	401,088	03/14/14	0.56%	
CISCO SYSTEMS INC	670,000	676,779	871,972	02/15/39	5.90%	
CISCO SYSTEMS INC	640,000	637,283	813,402	01/15/40	5.50%	
CIT GROUP INC	175,000	175,000	187,250	03/15/18	5.25%	
CIT GROUP INC 144A	130,000	130,000	134,550	04/01/14	5.25%	
CIT GROUP INC 144A	435,000	473,401	491,550	04/01/18	6.63%	
CIT GROUP INC 144A	255,000	255,000	265,200	02/15/15	4.75%	
CITGO PETROLEUM CORP 144A	130,000	128,469	150,150	07/01/17	11.50%	
CITIBANK CREDIT CARD ISS A3 A3	115,000	114,718	152,637	06/15/39	6.15%	
CITIGROUP INC	100,000	109,379	124,879	12/01/25	7.00%	
CITIGROUP INC	48,000	42,587	56,277	12/11/34	5.85%	
CITIGROUP INC	150,000	134,225	163,482	08/25/36	6.13%	
CITIGROUP INC	135,000	125,460	162,455	05/29/37	5.88%	
CITIGROUP INC	75,000	80,839	98,735	03/05/38	6.88%	
CITIGROUP INC	1,615,000	1,739,211	2,126,083	03/05/38	6.88%	
CITIGROUP INC	971,000	1,114,175	1,305,645	05/22/19	8.50%	
CITIGROUP INC	355,000	374,225	531,478	07/15/39	8.13%	
CITIGROUP INC	22,000	21,679	27,151	01/30/42	5.88%	
CLAIRE'S STORES INC 144A	115,000	117,875	123,338	03/15/19	9.00%	
CLEAN HARBORS INC	145,000	145,000	151,163	08/01/20	5.25%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
CLEAN HARBORS INC 144A	100,000	100,000	103,500	06/01/21	5.13%	
CLEAR CHANNEL WORLDWIDE H 144A	205,000	202,950	210,638	11/15/22	6.50%	
CLEAR CHANNEL WORLDWIDE H 144A	560,000	560,000	581,000	11/15/22	6.50%	
CLEAR CHANNEL WORLDWIDE HOLDIN	90,000	89,109	90,675	03/15/20	7.63%	
CLUBCORP CLUB OPERATIONS INC	135,000	125,933	150,188	12/01/18	10.00%	
CNA FINANCIAL CORP	94,000	93,704	110,901	08/15/20	5.88%	
CNA FINANCIAL CORP	185,000	185,859	216,988	08/15/21	5.75%	
CNG HOLDINGS INC/OH 144A	380,000	385,850	385,700	05/15/20	9.38%	
CNH EQUIPMENT TRUST 2011- C A2	84,913	84,913	85,084	04/15/15	0.90%	
COCA COLA CO DISC	1,300,000	1,299,141	1,299,141	01/24/13	0.00%	
COCA-COLA CO/THE	400,000	400,000	399,892	03/14/14	0.26%	
COCA-COLA ENTERPRISES INC	280,000	279,065	318,774	09/01/21	4.50%	
COFFEYVILLE RESOURCES LLC 144A	120,000	118,573	132,000	04/01/17	10.88%	
COMCAST CABLE COMMUNICATIONS H	435,000	583,359	657,659	11/15/22	9.46%	
COMCAST CABLE HOLDINGS LLC	235,000	318,120	343,243	04/15/22	10.13%	
COMCAST CORP	880,000	926,562	1,129,084	11/15/35	6.50%	
COMCAST CORP	90,000	93,236	115,487	03/15/37	6.45%	
COMCAST CORP	135,000	148,804	183,069	08/15/37	6.95%	
COMCAST CORP	895,000	927,335	1,143,559	05/15/38	6.40%	
COMCAST CORP	910,000	1,166,342	1,178,741	03/01/40	6.40%	
COMMIT TO PUR FND US 2.40 2/2	500,000	502,813	502,813	02/01/23	2.40%	
COMMONWEALTH EDISON CO	241,000	240,571	236,602	10/01/42	3.80%	
COMMUNITY CHOICE FINANCIAL INC	130,000	130,000	125,125	05/01/19	10.75%	
COMPASS INVESTORS INC 144A	445,000	445,000	438,325	01/15/21	7.75%	
CONAGRA FOODS INC	60,000	78,140	76,752	10/01/26	7.13%	
CONNECTICUT LIGHT & POWER CO/T	75,000	84,874	99,911	06/01/36	6.35%	
CONOCO FUNDING CO	50,000	46,848	73,665	10/15/31	7.25%	
CONOCOPHILLIPS	860,000	982,779	1,131,416	05/15/38	5.90%	
CONOCOPHILLIPS	460,000	529,487	652,344	02/01/39	6.50%	
CONOCOPHILLIPS HOLDING CO	690,000	804,361	961,977	04/15/29	6.95%	
CONSOLIDATED COMMUNICATIO 144A	100,000	99,345	110,000	06/01/20	10.88%	
CONSOLIDATED CONTAINER CO 144A	175,000	175,000	187,250	07/15/20	10.13%	
CONSOLIDATED EDISON CO OF NEW	255,000	260,840	324,778	03/15/36	5.85%	
CONSOLIDATED EDISON CO OF NEW	665,000	748,393	900,031	08/15/37	6.30%	
CONSOLIDATED EDISON CO OF NEW	390,000	380,075	484,076	12/01/39	5.50%	
CONSUMERS ENERGY CO	375,000	374,966	387,608	05/15/22	2.85%	
CONTINENTAL AIRLINES 1999-2 CL	51,942	43,891	56,876	03/15/20	7.26%	
CONTINENTAL AIRLINES 2012-1 CL	85,000	85,000	90,100	04/11/20	6.25%	
CONVATEC HEALTHCARE E SA 144A	250,000	256,389	275,625	12/15/18	10.50%	
COOPERATIEVE CENTRALE RAI 144A	240,000	233,434	278,126	09/30/10	5.80%	
COOPERATIEVE CENTRALE RAIFFEIS	410,000	410,000	410,517	04/14/14	0.66%	
COOPERATIEVE CENTRALE RAIFFEIS	250,000	249,673	256,008	11/09/22	3.95%	
COOPER-STANDARD AUTOMOTIVE INC	270,000	274,825	290,250	05/01/18	8.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par		Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Value	Cost				
COTT BEVERAGES INC	65,000	65,000	71,825	09/01/18	8.13%	
COUNTRYWIDE ASSET-BACKED 5 AF5	485,496	487,924	507,752	02/25/34	5.88%	
COVANTA HOLDING CORP	220,000	220,000	242,396	12/01/20	7.25%	
COVENTRY HEALTH CARE INC	125,000	124,750	148,569	06/15/21	5.45%	
COVIDIEN INTERNATIONAL FINANCE	355,000	465,742	496,198	10/15/37	6.55%	
COX COMMUNICATIONS INC 144A	515,000	635,332	790,345	03/01/39	8.38%	
COX ENTERPRISES INC	270,000	287,939	340,313	07/15/27	7.38%	
CREDIT SUISSE/NEW YORK NY	225,000	249,228	252,988	01/14/20	5.40%	
CRICKET COMMUNICATIONS INC	110,000	105,747	116,463	05/15/16	7.75%	
CROWN POINT CAP DISC	900,000	899,126	899,126	02/05/13	0.00%	
CSX CORP	275,000	279,521	354,934	04/30/40	6.22%	
CSX CORP	665,000	654,618	796,790	04/15/41	5.50%	
CVS CAREMARK CORP	370,000	378,221	473,511	09/15/39	6.13%	
CVS PASS-THROUGH TRUST 144A	353,274	429,387	465,693	01/10/32	7.51%	
CVS PASS-THROUGH TRUST 144A	70,498	70,498	84,826	01/10/34	5.93%	
CVS PASS-THROUGH TRUST 144A	547,922	647,651	755,491	07/10/31	8.35%	
CYRUSONE LP / CYRUSONE FI 144A	60,000	60,000	62,550	11/15/22	6.38%	
DAIMLER FINANCE NORTH AMERICA	850,000	1,146,737	1,322,966	01/18/31	8.50%	
DARLING INTERNATIONAL INC	120,000	120,000	137,850	12/15/18	8.50%	
DAVITA HEALTHCARE PARTNERS INC	465,000	470,428	489,994	08/15/22	5.75%	
DDR CORP	115,000	125,606	125,505	07/15/22	4.63%	
DDR CORP	380,000	376,455	421,143	04/15/18	4.75%	
DEERE & CO	145,000	181,371	206,067	03/03/31	7.13%	
DEERE & CO	92,000	91,324	94,029	06/09/42	3.90%	
DELL INC DISC	1,000,000	997,914	997,914	04/15/13	0.00%	
DEMATIC SA / DH SERV LUX 144A	125,000	125,000	125,000	12/15/20	7.75%	
DEUTSCHE TELEKOM INTERNAT 144A	265,000	261,301	282,885	03/06/42	4.88%	
DEUTSCHE TELEKOM INTERNATIONAL	125,000	161,559	187,280	06/15/30	8.75%	
DEVON ENERGY CORP	210,000	292,903	310,687	04/15/32	7.95%	
DEVON ENERGY CORP	320,000	317,168	342,339	05/15/42	4.75%	
DEVON FINANCING CORP LLC	595,000	741,764	865,380	09/30/31	7.88%	
DIAGEO INVESTMENT CORP	325,000	399,861	475,998	04/15/35	7.45%	
DIAMOND RESORTS CORP	720,000	700,743	779,400	08/15/18	12.00%	
DIRECTV HOLDINGS LLC / DIRECTV	725,000	716,448	804,446	08/15/40	6.00%	
DIRECTV HOLDINGS LLC / DIRECTV	282,000	284,667	326,336	03/01/41	6.38%	
DIRECTV HOLDINGS LLC / DIRECTV	455,000	454,095	460,201	03/15/42	5.15%	
DISCOVER BANK/GREENWOOD DE	500,000	556,218	620,850	04/15/20	7.00%	
DISCOVERY COMMUNICATIONS LLC	260,000	278,486	321,344	06/01/40	6.35%	
DISH DBS CORP	190,000	199,671	225,150	09/01/19	7.88%	
DISH DBS CORP	385,000	387,888	413,875	07/15/22	5.88%	
DNB NOR BANK DISC	900,000	898,677	898,677	04/22/13	0.00%	
DOMINION RESOURCES INC/VA	230,000	263,947	262,221	08/01/33	5.25%	
DOMINION RESOURCES INC/VA	625,000	750,231	886,494	06/15/38	7.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par		Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Value	Cost				
DOMINION RESOURCES INC/VA	144,000	141,798	161,349	08/01/41	4.90%	
DOW CHEMICAL CO/THE	565,000	659,089	748,501	11/01/29	7.38%	
DOW CHEMICAL CO/THE	130,000	205,304	214,036	05/15/39	9.40%	
DOW CHEMICAL CO/THE	547,000	561,194	610,075	11/15/41	5.25%	
DOWNSTREAM DEVELOPMENT AU 144A	300,000	298,011	324,000	07/01/19	10.50%	
DRYROCK ISSUANCE TRUST 1 A	500,000	500,000	500,180	08/15/17	0.36%	
DTE ELECTRIC CO	120,000	119,478	121,591	06/15/42	3.95%	
DUCOMMUN INC	135,000	136,344	145,125	07/15/18	9.75%	
DUKE ENERGY CAROLINAS LLC	240,000	262,810	309,240	10/15/32	6.45%	
DUKE ENERGY CAROLINAS LLC	50,000	52,772	63,307	06/01/37	6.10%	
DUKE ENERGY CAROLINAS LLC	100,000	107,307	128,008	01/15/38	6.00%	
DUKE ENERGY CAROLINAS LLC	90,000	93,095	117,900	04/15/38	6.05%	
DUKE ENERGY CAROLINAS LLC	50,000	53,774	60,004	02/15/40	5.30%	
DUKE ENERGY CAROLINAS LLC	385,000	384,349	403,172	12/15/41	4.25%	
DUKE ENERGY INDIANA INC	365,000	389,555	482,260	08/15/38	6.35%	
DUKE REALTY LP	120,000	133,595	153,432	08/15/19	8.25%	
DUKE REALTY LP	375,000	453,739	453,409	03/15/20	6.75%	
DUQUESNE LIGHT HOLDINGS I 144A	260,000	269,435	308,589	12/01/21	5.90%	
DYCOM INVESTMENTS INC	90,000	90,000	95,175	01/15/21	7.13%	
E.ON INTERNATIONAL FINANCE BV	200,000	232,446	273,068	04/30/38	6.65%	
EAGLE ROCK ENERGY PARTNER 144A	110,000	108,351	112,200	06/01/19	8.38%	
EATON CORP 144A	295,000	294,080	303,410	11/02/32	4.00%	
EATON CORP 144A	79,000	78,754	81,252	11/02/32	4.00%	
EBAY INC	65,000	64,361	63,305	07/15/42	4.00%	
ECOLAB INC	170,000	181,501	202,817	12/08/41	5.50%	
EI DU PONT DE NEMOURS & CO	170,000	193,326	217,394	12/15/36	5.60%	
EI DU PONT DE NEMOURS & CO	195,000	192,375	231,268	01/15/41	4.90%	
EL PASO LLC	70,000	72,275	79,964	06/15/17	7.00%	
EL PASO LLC	70,000	77,000	79,039	09/15/20	6.50%	
EL PASO NATURAL GAS CO LLC	135,000	161,986	191,801	06/15/32	8.38%	
EL PASO PIPELINE PARTNERS OPER	180,000	178,807	203,987	10/01/21	5.00%	
EL PASO PIPELINE PARTNERS OPER	305,000	303,643	299,037	11/01/42	4.70%	
ELM ROAD GENERATING STATION SU	145,000	154,019	183,998	02/11/40	6.09%	
EMERSON ELECTRIC CO	165,000	192,621	214,223	08/15/32	6.00%	
ENCANA CORP	850,000	775,846	1,063,138	02/01/38	6.50%	
ENDEAVOUR INTERNATIONAL C 144A	230,000	220,800	240,350	03/01/18	12.00%	
ENDO HEALTH SOLUTIONS INC	125,000	123,881	133,281	12/15/20	7.00%	
ENDO HEALTH SOLUTIONS INC	195,000	198,085	209,138	01/15/22	7.25%	
ENEL FINANCE INTERNATIONAL 144A	300,000	299,834	317,058	10/07/19	5.13%	
ENEL FINANCE INTERNATIONAL 144A	300,000	278,640	290,505	10/07/39	6.00%	
ENERGY FUTURE HOLDINGS CORP	400,000	406,810	447,000	01/15/20	10.00%	
ENERGY TRANSFER PARTNERS LP	235,000	234,405	267,369	06/01/41	6.05%	
ENERGY TRANSFER PARTNERS LP	375,000	400,508	459,128	02/01/42	6.50%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par		Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Value	Cost				
ENI SPA 144A	245,000	237,915	257,520	10/01/40	5.70%	
ENTERPRISE PRODUCTS OPERATING	275,000	270,306	332,225	10/15/39	6.13%	
ENTERPRISE PRODUCTS OPERATING	300,000	334,575	362,181	02/01/41	5.95%	
ENTERPRISE PRODUCTS OPERATING	450,000	447,615	455,630	02/15/43	4.45%	
ENTRAVISION COMMUNICATIONS COR	426,000	444,645	462,210	08/01/17	8.75%	
EOG RESOURCES INC	375,000	372,679	377,640	03/15/23	2.63%	
EPR PROPERTIES	495,000	494,990	513,270	08/15/22	5.75%	
EQT CORP	250,000	294,273	309,458	06/01/19	8.13%	
EQT CORP	200,000	200,866	214,708	11/15/21	4.88%	
EQUINIX INC	200,000	200,000	222,000	07/15/21	7.00%	
ERAC USA FINANCE LLC	307,000	327,129	371,713	06/01/34	6.70%	
ERAC USA FINANCE LLC 144A	490,000	500,931	622,217	10/15/37	7.00%	
ERAC USA FINANCE LLC 144A	41,000	40,810	44,676	08/16/21	4.50%	
ERAC USA FINANCE LLC 144A	67,000	67,383	74,228	03/15/42	5.63%	
EXELON GENERATION CO LLC	423,000	421,300	469,407	10/01/41	5.75%	
FBG FINANCE LTD	385,000	375,937	472,129	06/15/35	5.88%	
FEDERAL HOME LN BK CONS BD	400,000	518,196	550,372	07/15/36	5.50%	
FEDERAL HOME LN MTG CORP	500,000	500,000	500,155	12/10/14	0.38%	
FEDERAL HOME LN MTG CORP	1,155,000	1,162,300	1,162,461	12/29/14	0.63%	
FEDERAL NATL MTG ASSN	360,000	363,204	360,936	04/23/15	1.25%	
FEDERAL NATL MTG ASSN	465,000	465,000	465,539	11/24/14	0.50%	
FEDERAL NATL MTG ASSN	675,000	677,106	675,898	11/28/14	0.75%	
FEDERAL NATL MTG ASSN STRIP	1,000,000	605,060	815,830	11/15/21	0.00%	
FEDERAL NATL MTG ASSN STRIP	2,400,000	1,050,397	1,353,648	05/15/30	0.00%	
FEDERAL REALTY INVESTMENT TRUS	105,000	104,803	123,969	04/01/20	5.90%	
FELCOR LODGING LP 144A	205,000	205,000	203,975	03/01/23	5.63%	
FHLMC POOL #C4-6008	7,808	7,773	9,101	12/01/30	6.50%	
FHLMC POOL #C9-0781	177,601	159,619	189,007	10/01/23	3.50%	
FHLMC MULTICLASS MTG	52,031	59,835	72,673	02/15/32	15.69%	
FHLMC MULTICLASS MTG 1608 L	375,698	402,937	422,913	09/15/23	6.50%	
FHLMC MULTICLASS MTG 2141 PD	749,177	775,164	846,322	04/15/29	6.50%	
FHLMC MULTICLASS MTG 2163 QJ	543,142	594,740	572,966	06/15/29	7.00%	
FHLMC MULTICLASS MTG 2176 OJ	116,966	125,227	134,750	08/15/29	7.00%	
FHLMC MULTICLASS MTG 2259 ZM	236,148	253,092	276,565	10/15/30	7.00%	
FHLMC MULTICLASS MTG 2344 QG	71,110	73,799	75,326	08/15/16	6.00%	
FHLMC MULTICLASS MTG 2344 ZD	248,344	267,615	272,722	08/15/31	6.50%	
FHLMC MULTICLASS MTG 2366 MD	87,630	92,642	92,994	10/15/16	6.00%	
FHLMC MULTICLASS MTG 2953 LZ	1,590,171	1,516,648	1,980,017	03/15/35	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	291,750	511,239	05/15/35	23.52%	
FHLMC MULTICLASS MTG 3117 EO	259,199	195,695	246,136	02/15/36	0.00%	
FHLMC MULTICLASS MTG 3201 OG	377,625	199,905	361,330	08/15/36	0.00%	
FHLMC MULTICLASS MTG 3211 SO	233,011	168,132	222,705	09/15/36	0.00%	
FHLMC MULTICLASS MTG 3607 AO	430,893	326,536	406,509	04/15/36	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FHLMC MULTICLASS MTG 3607 BO	172,357	98,244	162,603	04/15/36	0.00%	
FHLMC MULTICLASS MTG 3611 PO	218,469	177,916	208,415	07/15/34	0.00%	
FHLMC MULTICLASS MTG 3632 BA	500,000	515,000	790,870	02/15/40	16.81%	
FHLMC MULTICLASS MTG 3841 JZ	535,208	589,081	623,287	04/15/41	5.00%	
FHLMC MULTICLASS MTG 4048 CE	1,000,000	1,046,523	1,117,100	05/15/42	4.00%	
FINANCING CORP STRIP	250,000	161,455	224,705	09/26/19	0.00%	
FIRST WIND CAPITAL LLC 144A	170,000	170,000	174,675	06/01/18	10.25%	
FIRSTENERGY CORP	270,000	298,733	348,727	11/15/31	7.38%	
FLORIDA POWER & LIGHT CO	180,000	190,138	234,450	10/01/33	5.95%	
FLORIDA POWER & LIGHT CO	290,000	294,428	367,503	02/01/37	5.65%	
FLORIDA POWER & LIGHT CO	100,000	99,456	133,111	02/01/38	5.95%	
FLORIDA POWER CORP	190,000	211,626	255,911	06/15/38	6.40%	
FMG RESOURCES AUGUST 2006 144A	35,000	33,775	36,138	02/01/18	6.88%	64,500
FMG RESOURCES AUGUST 2006 144A	670,000	663,075	713,550	11/01/19	8.25%	
FMR LLC 144A	250,000	248,218	311,715	11/15/39	6.45%	
FNMA POOL #0465714	477,892	473,113	549,676	08/01/28	4.68%	
FNMA POOL #0466430	500,000	471,563	548,445	11/01/20	3.37%	
FNMA POOL #0471795	1,000,000	1,015,625	1,045,120	07/01/22	2.68%	
FNMA POOL #0490445	107,485	104,529	119,851	03/01/29	6.00%	
FNMA POOL #0524958	11,225	11,768	11,344	03/01/15	7.50%	
FNMA POOL #0888829	928,913	1,047,930	1,092,708	06/01/37	5.83%	
FNMA POOL #0AM1778	500,000	507,031	510,905	12/01/22	2.48%	
FNMA GTD REMIC P/T 01-44 PU	149,509	161,329	175,202	09/25/31	7.00%	
FNMA GTD REMIC P/T 02-21 PE	193,512	206,242	214,928	04/25/32	6.50%	
FNMA GTD REMIC P/T 02-91 UH	381	73	2	06/25/22	5.50%	
FNMA GTD REMIC P/T 03-35 EA	198,558	143,955	187,280	05/25/33	0.00%	
FNMA GTD REMIC P/T 03-35 SW	94,476	107,703	129,902	05/25/33	19.40%	
FNMA GTD REMIC P/T 03-65 ST	72,524	71,300	75,541	07/25/33	8.36%	
FNMA GTD REMIC P/T 03-69 NS	147,703	152,503	174,149	07/25/33	13.23%	
FNMA GTD REMIC P/T 03-W2 2A9	518,866	536,705	608,163	07/25/42	5.90%	
FNMA GTD REMIC P/T 04-W10 A6	1,000,000	1,101,250	1,108,780	08/25/34	5.75%	
FNMA GTD REMIC P/T 05-59 SU	350,000	416,281	618,254	06/25/35	24.48%	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,020,625	1,210,260	06/25/36	6.00%	
FNMA GTD REMIC P/T 06-69 DZ	1,244,102	1,273,561	1,534,960	08/25/36	6.50%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,060,000	1,272,390	08/25/36	6.50%	
FNMA GTD REMIC P/T 07-32 Z	1,645,179	1,793,543	1,897,763	04/25/37	5.50%	
FNMA GTD REMIC P/T 08-26 CL ZK	1,315	1,315	1,480	04/25/38	5.00%	
FNMA GTD REMIC P/T 09-50 GX	400,000	449,000	468,160	07/25/39	5.00%	
FNMA GTD REMIC P/T 09-84 WS	405,312	32,362	44,961	10/25/39	5.70%	
FNMA GTD REMIC P/T 09-99 SC	247,332	21,294	35,282	12/25/39	5.98%	
FNMA GTD REMIC P/T 10-122 CJ	550,000	410,094	559,790	11/25/40	3.00%	
FNMA GTD REMIC P/T 10-2 LC	350,000	388,500	409,640	02/25/40	5.00%	
FNMA GTD REMIC P/T 10-35 SJ	500,000	606,875	758,220	04/25/40	16.99%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par		Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Value	Cost				
FNMA GTD REMIC P/T 10-41 NB	500,000	540,469	542,535	05/25/40	5.00%	
FNMA GTD REMIC P/T 10-60 WZ	451,282	511,372	530,928	06/25/40	5.50%	
FNMA GTD REMIC P/T 10-80 PZ	1,472,710	1,701,283	1,716,768	07/25/40	5.00%	
FNMA GTD REMIC P/T 11-111 PZ	316,140	335,713	353,605	11/25/41	4.50%	
FNMA GTD REMIC P/T 11-84 PZ	536,172	612,303	667,947	09/25/41	5.25%	
FNMA GTD REMIC P/T 12-76 AB	95,307	97,741	95,795	10/25/30	6.50%	
FNMA GTD REMIC P/T 329 1	29,160	24,545	27,759	01/01/33	0.00%	
FORD CREDIT AUTO OWNER TR D A2	365,000	364,986	364,982	09/15/15	0.40%	
FORD MOTOR CO	390,000	501,188	495,300	07/16/31	7.45%	
FORD MOTOR CREDIT CO LLC	200,000	198,220	211,476	09/20/22	4.25%	
FRANCE TELECOM SA	205,000	272,367	306,858	03/01/31	8.50%	
FREESCALE SEMICONDUCTOR I 144A	60,000	62,868	65,550	04/15/18	9.25%	
GAP INC/THE	124,000	116,436	141,861	04/12/21	5.95%	
GDF SUEZ 144A	620,000		613,670	10/10/22	2.88%	
GENERAL CABLE CORP 144A	300,000	303,988	310,500	10/01/22	5.75%	
GENERAL DYNAMICS CORP	131,000	130,450	126,022	11/15/42	3.60%	
GENERAL ELEC CAP DISC	650,000	649,350	649,350	01/10/13	0.00%	
GENERAL ELECTRIC CAPITAL CORP	14,000	13,951	16,251	02/11/21	5.30%	
GENERAL ELECTRIC CAPITAL CORP	600,000	582,222	745,920	08/07/37	6.15%	
GENERAL ELECTRIC CAPITAL CORP	2,235,000	2,137,056	2,695,969	01/14/38	5.88%	
GENERAL ELECTRIC CAPITAL CORP	1,585,000	1,980,391	2,154,491	01/10/39	6.88%	
GENERAL ELECTRIC CAPITAL CORP	465,000	465,000	468,385	04/24/14	1.00%	
GENERAL ELECTRIC CAPITAL CORP	700,000	711,651	909,111	03/15/32	6.75%	
GENERAL ELECTRIC CO	1,255,000	1,285,512	1,290,956	10/09/42	4.13%	
GENERAL MILLS INC	230,000	288,064	282,295	06/15/40	5.40%	
GENERAL MOTORS FINANCIAL CO IN	245,000	245,130	281,059	06/01/18	6.75%	
GENON ENERGY INC	380,000	378,102	448,400	10/15/18	9.50%	
GENON ENERGY INC	80,000	80,658	92,400	10/15/20	9.88%	
GENWORTH FINANCIAL INC	105,000	69,550	77,963	11/15/66	6.15%	
GENWORTH FINANCIAL INC	25,000	25,000	27,589	09/24/21	7.63%	6
GEOEYE INC	115,000	115,055	127,075	10/01/15	9.63%	
GEOEYE INC	90,000	90,000	98,100	10/01/16	8.63%	
GEORGIA POWER CO	675,000	677,190	707,987	03/15/42	4.30%	
GILEAD SCIENCES INC	345,000	366,926	428,590	12/01/41	5.65%	
GILEAD SCIENCES INC	75,000	74,828	85,497	12/01/21	4.40%	
GLAXOSMITHKLINE CAPITAL INC	315,000	335,503	320,286	05/15/13	4.85%	
GLAXOSMITHKLINE CAPITAL INC	325,000	364,598	448,971	05/15/38	6.38%	
GNMA GTD REMIC P/T 02-40 UK	180,204	191,692	213,250	06/20/32	6.50%	
GNMA GTD REMIC P/T 02-45 QE	55,702	61,412	64,836	06/20/32	6.50%	
GNMA GTD REMIC P/T 02-54 GB	113,677	120,710	130,938	08/20/32	6.50%	
GNMA GTD REMIC P/T 03-67 FO	500,000	268,438	430,680	08/20/33	0.00%	
GNMA GTD REMIC P/T 05-45 ZA	861,605	863,280	1,087,328	06/16/35	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,306,500	1,478,220	12/20/35	6.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par		Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
	Value	Cost				
GNMA GTD REMIC P/T 07-28 BO	97,143	67,089	92,483	05/20/37	0.00%	
GNMA GTD REMIC P/T 07-71 SB	200,152	12,760	21,959	07/20/36	6.50%	
GNMA GTD REMIC P/T 09-1 KS	299,937	317,558	422,182	11/20/34	13.09%	
GNMA GTD REMIC P/T 09-32 ZA	176,074	173,472	205,467	05/20/39	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	732,021	766,672	907,787	08/16/39	6.00%	
GNMA GTD REMIC P/T 09-67 ZC	1,200,712	1,298,214	1,466,549	08/16/39	5.50%	
GNMA GTD REMIC P/T 09-79 OK	247,110	207,109	233,734	11/16/37	0.00%	
GNMA GTD REMIC P/T 10-14 CO	399,937	238,462	375,333	08/20/35	0.00%	
GNMA GTD REMIC P/T 10-42 VZ	694,548	733,162	829,895	10/20/39	5.50%	
GNMA GTD REMIC P/T 11-50 PZ	434,686	486,378	524,049	04/20/41	5.00%	
GNMA GTD REMIC P/T 2009-40 SL	671,322	43,007	75,457	03/16/34	6.29%	
GOLDMAN SACHS GROUP INC/THE	333,000	330,000	381,628	03/15/20	5.38%	
GOLDMAN SACHS GROUP INC/THE	1,505,000	1,269,526	1,705,662	10/01/37	6.75%	
GOLDMAN SACHS GROUP INC/THE	225,000	225,594	276,057	02/01/41	6.25%	
GOLDMAN SACHS GROUP INC/THE	54,000	53,971	61,559	07/27/21	5.25%	
GOLDMAN SACHS GROUP INC/THE	195,000	194,737	230,531	01/24/22	5.75%	
GOVCO LLC DISC	700,000	699,320	699,320	02/05/13	0.00%	
GRAFTECH INTERNATIONAL LT 144A	120,000	120,000	124,350	11/15/20	6.38%	
GREAT PLAINS ENERGY INC	22,000	21,979	24,238	06/01/21	4.85%	
GRUPO TELEVISIA SAB	200,000	216,640	255,158	01/15/40	6.63%	
GSR MORTGAGE LOAN TRUST 3F 4A3	16,386	15,406	17,339	04/25/33	5.75%	
HALLIBURTON CO	360,000	465,905	510,163	02/15/21	8.75%	
HALLIBURTON CO	105,000	119,725	146,557	09/15/38	6.70%	
HALLIBURTON CO	35,000	34,194	53,274	09/15/39	7.45%	
HALLIBURTON CO 144A	45,000	59,145	68,099	08/15/96	7.60%	
HARLAND CLARKE HOLDINGS C 144A	250,000	240,000	243,125	08/01/18	9.75%	
HARTFORD FINANCIAL SERVICES GR	130,000	129,861	165,290	04/15/42	6.63%	
HBOS PLC 144A	130,000	110,325	117,477	11/01/33	6.00%	
HCA INC	179,000	169,649	189,069	02/15/17	9.88%	
HCA INC	275,000	275,451	314,875	02/15/22	7.50%	
HCP INC	407,000	421,095	526,857	02/01/41	6.75%	
HEALTH CARE REIT INC	70,000	69,786	75,303	04/01/19	4.13%	
HEALTH CARE REIT INC	135,000	133,753	129,573	03/15/43	5.13%	
HEALTHCARE REALTY TRUST INC	430,000	445,407	483,268	01/15/21	5.75%	
HECKMANN CORP	240,000	238,661	247,800	04/15/18	9.88%	
HECKMANN CORP 144A	125,000	125,313	128,125	04/15/18	9.88%	
HEINEKEN NV 144A	333,000	329,667	322,064	10/01/42	4.00%	
HERCULES OFFSHORE INC 144A	225,000	225,000	235,688	04/01/17	7.13%	
HERTZ CORP/THE	115,000	115,000	127,075	10/15/18	7.50%	
HESS CORP	439,000	544,495	610,381	10/01/29	7.88%	
HESS CORP	235,000	232,478	277,930	02/15/41	5.60%	
HESS CORP	135,000	134,060	159,662	02/15/41	5.60%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
HEWLETT-PACKARD CO	470,000	460,371	465,253	09/15/41	6.00%	
HILAND PARTNERS LP / HILA 144A	105,000	106,150	112,350	10/01/20	7.25%	
HISTORIC TW INC	285,000	300,342	420,865	02/01/23	9.15%	
HJ HEINZ CO	45,000	55,914	55,304	07/15/28	6.38%	
HJ HEINZ FINANCE CO	170,000	218,887	216,920	03/15/32	6.75%	
HOME DEPOT INC/THE	875,000	675,640	1,149,925	12/16/36	5.88%	
HONDA AUTO RECEIVABLES 20 2 A2	225,000	224,999	225,293	11/17/14	0.56%	
HONDA AUTO RECEIVABLES 20 3 A2	98,807	98,801	98,902	04/21/14	0.67%	
HONEYWELL INTERNATIONAL INC	120,000	139,606	158,054	03/15/37	5.70%	
HOSPIRA INC	120,000	113,130	126,557	09/15/40	5.60%	
HOST HOTELS & RESORTS LP	140,000	139,300	154,000	11/01/20	6.00%	
HP ENTERPRISE SERVICES LLC	205,000	257,851	240,369	10/15/29	7.45%	
HSBC FINANCE CAPITAL TRUST IX	400,000	369,125	399,000	11/30/35	5.91%	
HSBC HOLDINGS PLC	480,000	509,088	599,131	09/15/37	6.50%	
HSBC HOLDINGS PLC	755,000	796,054	942,383	09/15/37	6.50%	
HSBC HOLDINGS PLC	560,000	624,701	716,167	06/01/38	6.80%	
HSBC HOLDINGS PLC	200,000	199,566	236,176	04/05/21	5.10%	
HSBC HOLDINGS PLC	55,000	54,960	73,181	01/14/42	6.10%	
HUDBAY MINERALS INC 144A	135,000	137,188	142,763	10/01/20	9.50%	
HUGHES SATELLITE SYSTEMS CORP	155,000	155,910	176,313	06/15/21	7.63%	
HUMANA INC	330,000	329,792	333,234	12/01/42	4.63%	
HUNTINGTON INGALLS INDUSTRIES	180,000	188,455	195,750	03/15/18	6.88%	
HUNTSMAN INTERNATIONAL LLC	110,000	108,947	124,575	03/15/20	8.63%	
HUNTSMAN INTERNATIONAL LLC	45,000	45,000	51,413	03/15/21	8.63%	
HUSKY ENERGY INC	320,000	319,147	343,763	04/15/22	3.95%	
HYUNDAI AUTO RECEIVABLES B A2	12,964	12,963	12,965	03/17/14	0.59%	
ICAHN ENTERPRISES LP / ICAHN E	90,000	93,375	93,263	01/15/16	7.75%	
ICAHN ENTERPRISES LP / ICAHN E	220,000	222,563	236,225	01/15/18	8.00%	
ILFC E-CAPITAL TRUST I 144A	250,000	172,250	189,675	12/21/65	4.54%	
ILFC E-CAPITAL TRUST II	100,000	77,000	85,500	12/21/65	6.25%	
ILLINOIS TOOL WORKS INC	248,000	245,614	254,820	09/01/42	3.90%	
INC RESEARCH LLC 144A	210,000	201,453	217,350	07/15/19	11.50%	
INEOS FINANCE PLC 144A	150,000	150,000	159,375	05/15/15	9.00%	
INEOS FINANCE PLC 144A	200,000	200,000	209,500	05/01/20	7.50%	
INMARSAT FINANCE PLC 144A	190,000	192,580	204,250	12/01/17	7.38%	
INMET MINING CORP 144A	665,000	655,584	726,513	06/01/20	8.75%	
INMET MINING CORP 144A	205,000	205,000	212,688	06/01/21	7.50%	
INTEGRA TELECOM HOLDINGS 144A	350,000	341,504	365,750	04/15/16	10.75%	
INTEL CORP	307,000	304,722	337,887	10/01/41	4.80%	
INTEL CORP	56,000	55,504	55,663	12/15/32	4.00%	
INTEL CORP	385,000	381,593	382,686	12/15/32	4.00%	
INTELSAT JACKSON HOLDINGS SA	200,000	200,000	220,500	04/01/21	7.50%	
INTERNATIONAL BANK FOR RECONST	445,000	445,000	445,447	05/23/14	0.45%	

ROCKWELL COLLINS PENSION PLAN

EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2012 (CONTINUED)

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
INTERNATIONAL BUSINESS MACHINE	34,000	34,600	43,820	11/30/39	5.60%	
INTERNATIONAL BUSINESS MACHINE	305,000	306,040	306,397	08/05/13	1.00%	
INTERNATIONAL BUSINESS MACHINE	1,200,000	1,170,585	1,270,044	06/20/42	4.00%	
INTERNATIONAL LEASE FINANCE CO	210,000	208,377	235,988	09/15/15	8.63%	
INTERNATIONAL LEASE FINANCE CO	170,000	166,683	181,050	05/15/19	6.25%	
INTERNATIONAL LEASE FINANCE CO	335,000	332,615	353,090	04/01/19	5.88%	
INTERNATIONAL LEASE FINANCE CO	150,000	150,000	158,882	08/15/22	5.88%	
INTERNATIONAL LEASE FINANCE CO	100,000	83,963	103,750	11/15/13	6.63%	
IRON MOUNTAIN INC	90,000	94,050	95,175	06/15/20	8.00%	
IRON MOUNTAIN INC	145,000	145,000	163,488	10/01/19	7.75%	
ISRAEL ST AID	300,000	200,882	214,386	11/01/24	0.00%	
ISRAEL ST AID	200,000	272,000	269,470	09/18/33	5.50%	
J2 GLOBAL INC	330,000	330,813	343,200	08/01/20	8.00%	
JABIL CIRCUIT INC	95,000	105,276	115,425	03/15/18	8.25%	
JARDEN CORP	200,000	202,250	219,500	01/15/20	7.50%	
JC PENNEY CORP INC	85,000	76,500	74,800	02/15/18	5.75%	13
JC PENNEY CORP INC	170,000	142,375	127,500	10/15/36	6.38%	
JC PENNEY CORP INC	90,000	77,513	78,075	06/01/20	5.65%	89,000
JEFFERIES GROUP LLC	320,000	258,160	331,200	01/15/36	6.25%	
JEFFERIES GROUP LLC	290,000	243,174	308,850	06/08/27	6.45%	
JEFFERIES GROUP LLC	30,000	31,756	35,850	07/15/19	8.50%	
JMC STEEL GROUP INC 144A	270,000	270,159	282,150	03/15/18	8.25%	
JOHN DEERE CAPITAL CORP	230,000	230,000	230,389	06/16/14	0.48%	
JOHN DEERE OWNER TRUST 20 A A2	187,287	187,284	187,416	06/16/14	0.59%	
JOHN SEVIER COMBINED CYCLE GEN	181,809	189,910	208,078	01/15/42	4.63%	
JOHNSON CONTROLS INC	265,000	270,819	315,074	01/15/36	6.00%	
JOHNSON CONTROLS INC	35,000	35,045	42,148	03/01/41	5.70%	
JPMORGAN CHASE & CO	945,000	953,765	1,077,461	05/10/21	4.63%	
JPMORGAN CHASE & CO	245,000	277,774	303,418	07/15/41	5.60%	
JUPITER SEC CORP DISC	750,000	748,735	748,735	02/21/13	0.00%	
KANSAS CITY POWER & LIGHT CO	465,000	462,015	535,029	10/01/41	5.30%	
KB HOME	190,000	186,227	220,875	09/15/17	9.10%	
KELLOGG CO	171,000	216,237	235,833	04/01/31	7.45%	
KERR-MCGEE CORP	75,000	86,433	99,624	09/15/31	7.88%	
KEYSPAN GAS EAST CORP 144A	490,000	490,000	618,169	04/01/41	5.82%	
KINDER MORGAN ENERGY PARTNERS	515,000	553,993	632,956	09/01/39	6.50%	
KINDER MORGAN ENERGY PARTNERS	160,000	165,996	200,509	09/15/40	6.55%	
KINDRED HEALTHCARE INC	195,000	184,161	189,638	06/01/19	8.25%	8
KINETIC CONCEPTS INC / KC 144A	490,000	503,095	513,888	11/01/18	10.50%	
KOHL'S CORP	460,000	400,356	589,665	12/15/37	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	80,000	94,600	108,243	03/11/38	6.88%	
KONINKLIJKE PHILIPS ELECTRONIC	35,000	43,804	46,554	06/01/26	7.20%	
KRAFT FOODS GROUP INC 144A	90,000	89,364	101,205	06/04/42	5.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
KRAFT FOODS GROUP INC 144A	475,000	560,220	624,321	02/09/40	6.50%	
KRAFT FOODS GROUP INC 144A	600,000	596,946	807,816	01/26/39	6.88%	
KROGER CO/THE	145,000	169,516	188,974	06/01/29	7.70%	
KROGER CO/THE	10,000	13,026	12,977	04/01/31	7.50%	
KROGER CO/THE	100,000	108,309	121,850	01/15/20	6.15%	
KROGER CO/THE	85,000	106,045	109,571	04/15/38	6.90%	
KROGER CO/THE	347,000	338,136	384,636	07/15/40	5.40%	
KROGER CO/THE	135,000	134,542	144,144	04/15/42	5.00%	
L BRANDS INC	300,000	300,000	326,250	02/15/22	5.63%	
LEVEL 3 FINANCING INC	380,000	261,802	379,544	02/15/15	4.47%	
LEVEL 3 FINANCING INC	215,000	210,251	240,263	04/01/19	9.38%	
LEVEL 3 FINANCING INC	175,000	180,370	194,250	07/15/20	8.63%	
LEVEL 3 FINANCING INC	360,000	354,762	392,400	07/01/19	8.13%	
LIBBEY GLASS INC	300,000	306,363	322,500	05/15/20	6.88%	
LIBERTY MUTUAL GROUP INC	125,000	101,813	124,219	03/07/67	7.00%	
LIBERTY MUTUAL GROUP INC 144A	235,000	235,346	256,124	05/01/22	4.95%	
LIBERTY MUTUAL GROUP INC 144A	394,000	402,374	443,266	05/01/42	6.50%	
LIBERTY PROPERTY LP	75,000	74,830	89,505	10/01/17	6.63%	
LINCOLN NATIONAL CORP	140,000	163,282	187,222	07/01/19	8.75%	
LINCOLN NATIONAL CORP	340,000	385,634	442,524	06/15/40	7.00%	
LINCOLN NATIONAL CORP	17,000	16,977	19,073	06/24/21	4.85%	
LINN ENERGY LLC / LINN ENERGY	330,000	335,156	359,700	04/15/20	8.63%	
LINN ENERGY LLC / LINN ENERGY	150,000	148,848	151,500	05/15/19	6.50%	
LIVE NATION ENTERTAINMENT 144A	110,000	110,500	118,525	05/15/18	8.13%	
LIVE NATION ENTERTAINMENT 144A	140,000	140,000	146,125	09/01/20	7.00%	
LLOYDS BANKING GROUP PLC	200,000	135,250	153,000	11/29/49	6.27%	
LLOYDS BANKING GROUP PLC 144A	140,000	117,256	122,500	09/29/49	6.41%	
LLOYDS BANKING GROUP PLC 144A	125,000	106,875	110,938	01/29/49	6.66%	
LOCKHEED MARTIN CORP 144A	953,000	946,119	936,580	12/15/42	4.07%	
LONGVIEW FIBRE PAPER & PA 144A	115,000	114,414	120,750	06/01/16	8.00%	
LORILLARD TOBACCO CO	410,000	495,159	523,172	06/23/19	8.13%	
LOWE'S COS INC	22,000	21,987	25,802	11/15/41	5.13%	
LOWE'S COS INC	26,000	25,892	28,948	04/15/42	4.65%	
LOWE'S COS INC	380,000	459,112	513,099	05/15/37	7.11%	
LTD BRANDS INC	110,000	110,000	126,500	05/01/20	7.00%	
MACQUARIE GROUP LTD 144A	287,000	283,845	318,119	01/14/21	6.25%	
MACY'S RETAIL HOLDINGS INC	410,000	438,608	493,550	03/15/37	6.38%	
MACY'S RETAIL HOLDINGS INC	49,000	48,925	52,949	01/15/42	5.13%	
MACY'S RETAIL HOLDINGS INC	438,000	436,336	426,174	02/15/43	4.30%	1
MANITOWOC CO INC/THE	205,000	202,756	230,113	11/01/20	8.50%	
MARATHON OIL CORP	90,000	103,659	118,020	03/15/32	6.80%	
MARATHON PETROLEUM CORP	285,000	292,169	361,112	03/01/41	6.50%	
MARINA DISTRICT FINANCE CO INC	90,000	84,344	86,400	08/15/18	9.88%	83,000

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
MASCO CORP	245,000	245,000	271,580	03/15/22	5.95%	
MASSACHUSETTS ELECTRIC CO 144A	271,000	270,095	343,533	11/15/39	5.90%	
MASSACHUSETTS MUTUAL LIFE 144A	145,000	144,517	167,368	12/01/41	5.38%	
MASSMUTUAL GLOBAL FUNDING 144A	410,000	406,946	403,120	10/17/22	2.50%	
MASTR ASSET BACKED SEC AB1 A3B	574,749	396,789	575,640	11/25/35	5.23%	
MCKESSON CORP	165,000	164,493	191,641	03/01/21	4.75%	
MCKESSON CORP	55,000	54,195	72,647	03/01/41	6.00%	
MEDIACOM BROADBAND LLC / 144A	295,000	295,063	300,163	04/01/23	6.38%	
MEDIMPACT HOLDINGS INC 144A	465,000	459,415	515,569	02/01/18	10.50%	
MEG ENERGY CORP 144A	200,000	200,000	208,500	01/30/23	6.38%	
MERCK & CO INC	120,000	145,004	171,972	09/15/37	6.55%	
MERCK SHARP & DOHME CORP	300,000	331,182	404,349	06/30/39	5.85%	
MERRILL LYNCH & CO INC	850,000	921,296	1,106,445	05/14/38	7.75%	
METLIFE INC	200,000	227,924	253,236	02/06/41	5.88%	
METLIFE INC	56,000	55,647	56,007	08/13/42	4.13%	
METROPOLITAN LIFE GLOBAL 144A	265,000	264,716	288,203	04/11/22	3.88%	
MGM RESORTS INTERNATIONAL 144A	135,000	135,000	137,869	10/01/20	6.75%	
MICROSOFT CORP	25,000	26,052	30,592	06/01/39	5.20%	
MICROSOFT CORP	77,000	76,161	85,567	10/01/40	4.50%	
MICROSOFT CORP	109,000	108,078	136,554	02/08/41	5.30%	
MICROSOFT CORP	240,000	237,269	232,032	11/15/42	3.50%	
MIDAMERICAN ENERGY HOLDINGS CO	250,000	318,315	367,503	09/15/28	8.48%	
MIDAMERICAN ENERGY HOLDINGS CO	1,095,000	1,321,557	1,454,401	09/15/37	6.50%	
MISSISSIPPI POWER CO	250,000	248,613	255,305	03/15/42	4.25%	
MONDELEZ INTERNATIONAL INC	800,000	1,102,712	1,074,680	02/09/40	6.50%	
MONITRONICS INTERNATIONAL INC	10,000	10,000	10,300	04/01/20	9.13%	
MOOD MEDIA CORP 144A	195,000	195,000	205,481	10/15/20	9.25%	
MORGAN STANLEY	210,000	204,147	238,428	07/28/21	5.50%	
MOSAIC CO/THE	107,000	105,401	115,735	11/15/41	4.88%	
MURPHY OIL CORP	460,000	458,132	458,247	12/01/22	3.70%	
MURPHY OIL CORP	155,000	154,599	150,854	12/01/42	5.13%	
MYLAN INC/PA 144A	550,000	548,862	545,254	01/15/23	3.13%	
NATIONAL AUSTRALIA BANK LTD/NE	250,000	253,390	255,980	03/09/15	2.00%	
NATIONAL MONEY MART CO	100,000	99,755	110,500	12/15/16	10.38%	
NATIONAL OILWELL VARCO INC	219,000	218,157	221,895	12/01/42	3.95%	
NATIONSTAR MORTGAGE LLC / 144A	200,000	211,000	224,000	05/01/19	9.63%	
NATIONSTAR MORTGAGE LLC / 144A	155,000	155,528	163,525	10/01/20	7.88%	
NATIONWIDE MUTUAL INSURAN 144A	185,000	216,270	260,310	08/15/39	9.38%	
NATIONWIDE MUTUAL INSURANCE CO	290,000	302,762	350,337	04/01/33	7.88%	
NBCUNIVERSAL MEDIA LLC	112,000	143,410	143,708	04/30/40	6.40%	
NBCUNIVERSAL MEDIA LLC	345,000	388,881	422,980	04/01/41	5.95%	
NBCUNIVERSAL MEDIA LLC	495,000	497,985	501,257	01/15/43	4.45%	
NCR CORP 144A	370,000	369,769	370,000	02/15/21	4.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
NEVADA POWER CO	440,000	542,666	597,027	04/01/36	6.65%	
NEVADA POWER CO	35,000	38,394	48,546	07/01/37	6.75%	
NEVADA POWER CO	73,000	72,773	87,311	09/15/40	5.38%	
NEVADA POWER CO	63,000	62,981	77,132	05/15/41	5.45%	
NEW GOLD INC 144A	110,000	110,000	113,850	11/15/22	6.25%	
NEW YORK LIFE INSURANCE C 144A	466,000	581,668	644,581	11/15/39	6.75%	
NEWELL RUBBERMAID INC	62,000	61,975	68,478	08/15/20	4.70%	
NEWS AMERICA INC	65,000	82,297	89,913	04/26/23	8.88%	
NEWS AMERICA INC	140,000	157,991	197,875	12/01/45	7.75%	
NEWS AMERICA INC	450,000	453,371	569,673	04/30/28	7.30%	
NEWS AMERICA INC	90,000	93,493	111,722	12/15/35	6.40%	
NEWS AMERICA INC	580,000	581,990	711,817	03/01/37	6.15%	
NEWS AMERICA INC	900,000	745,425	1,162,971	11/15/37	6.65%	
NEWS AMERICA INC	485,000	556,632	634,996	08/15/39	6.90%	
NEXEN INC	65,000	76,467	80,351	03/10/35	5.88%	
NEXEN INC	335,000	450,283	484,856	07/30/39	7.50%	
NEXSTAR BROADCASTING INC / MIS	85,000	84,459	93,288	04/15/17	8.88%	
NII CAPITAL CORP	240,000	241,688	181,800	04/01/21	7.63%	
NISKA GAS STORAGE US LLC / NIS	300,000	288,904	308,250	03/15/18	8.88%	
NISOURCE FINANCE CORP	100,000	104,697	122,710	01/15/19	6.80%	
NISOURCE FINANCE CORP	100,000	101,490	119,667	12/15/40	6.25%	
NISOURCE FINANCE CORP	240,000	239,263	277,159	06/15/41	5.95%	
NISOURCE FINANCE CORP	90,000	89,878	95,266	02/15/43	5.25%	
NISSAN AUTO LEASE TRUST 2 B A2	120,876	120,876	120,915	02/17/14	0.39%	
NISSAN AUTO RECEIVABLES 2 A A2	264,097	264,072	264,279	10/15/14	0.54%	
NISSAN AUTO RECEIVABLES 2 B A2	99,332	99,322	99,484	09/15/14	0.74%	
NISSAN AUTO RECEIVABLES O A A2	11,412	11,411	11,414	12/16/13	0.65%	
NOBLE HOLDING INTERNATIONAL LT	279,000	278,452	297,492	03/15/42	5.25%	
NOMURA HOLDINGS INC	130,000	132,820	151,717	03/04/20	6.70%	
NORFOLK SOUTHERN CORP	125,000	123,896	151,996	03/15/05	6.00%	
NORFOLK SOUTHERN CORP	537,000	537,000	652,025	05/23/11	6.00%	
NORFOLK SOUTHERN CORP	44,000	44,447	49,092	10/01/41	4.84%	
NORFOLK SOUTHERN CORP	46,000	45,999	45,567	10/01/42	3.95%	
NORFOLK SOUTHERN CORP 144A	259,000	242,409	260,709	02/15/23	2.90%	
NORFOLK SOUTHERN RAILWAY CO	255,000	346,277	362,924	06/15/20	9.75%	
NORTHERN STATES POWER CO/MN	365,000	396,408	504,656	06/01/36	6.25%	
NORTHERN STATES POWER CO/MN	137,000	136,740	171,340	11/01/39	5.35%	
NOVA CHEMICALS CORP	155,000	153,710	175,925	11/01/19	8.63%	
NRG ENERGY INC	310,000	310,000	347,200	09/01/20	8.25%	
NRG ENERGY INC	245,000	245,000	262,150	05/15/19	7.63%	
NRG ENERGY INC	115,000	115,000	127,650	05/15/21	7.88%	
NUCOR CORP	385,000	420,021	528,047	12/01/37	6.40%	
NUFARM AUSTRALIA LTD 144A	125,000	125,000	130,625	10/15/19	6.38%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OASIS PETROLEUM INC	195,000	195,000	209,138	01/15/23	6.88%	
OCCIDENTAL PETROLEUM CORP	345,000	344,100	352,028	02/15/23	2.70%	
OFFSHORE GROUP INVESTMENT 144A	315,000	313,213	318,150	11/01/19	7.50%	
OFFSHORE GROUP INVESTMENT LTD	300,000	309,702	327,000	08/01/15	11.50%	
OHIO POWER CO	235,000	247,974	300,802	02/15/33	6.60%	
OIL STATES INTERNATIONAL 144A	205,000	205,000	207,819	01/15/23	5.13%	
OIL STATES INTERNATIONAL INC	325,000	325,000	346,125	06/01/19	6.50%	
ONCOR ELECTRIC DELIVERY CO LLC	360,000	425,930	471,362	01/15/33	7.25%	
ONCOR ELECTRIC DELIVERY CO LLC	75,000	90,863	95,359	05/01/32	7.00%	
ORACLE CORP	100,000	110,453	139,052	04/15/38	6.50%	
ORACLE CORP	500,000	593,598	667,290	07/08/39	6.13%	
ORACLE CORP	473,000	462,949	589,751	07/15/40	5.38%	
O'REILLY AUTOMOTIVE INC	405,000	404,822	421,398	09/01/22	3.80%	
OWENS CORNING	340,000	356,533	376,200	12/01/36	7.00%	
OWENS CORNING	325,000	324,708	330,522	12/15/22	4.20%	
OXEA FINANCE & CY SCA 144A	125,000	125,000	136,875	07/15/17	9.50%	
PACIFIC GAS & ELECTRIC CO	850,000	992,529	1,095,140	03/01/34	6.05%	
PACIFIC GAS & ELECTRIC CO	512,000	504,090	633,416	03/01/37	5.80%	
PACIFIC GAS & ELECTRIC CO	399,000	393,330	477,826	01/15/40	5.40%	
PACIFIC GAS & ELECTRIC CO	59,000	58,947	56,412	08/15/42	3.75%	
PACIFIC LIFE INSURANCE CO 144A	219,000	282,962	306,250	06/15/39	9.25%	
PACIFICORP	655,000	832,386	971,129	11/15/31	7.70%	
PACIFICORP	125,000	127,803	158,199	04/01/37	5.75%	
PACIFICORP	275,000	301,554	370,431	10/15/37	6.25%	
PAETEC HOLDING CORP	75,000	73,512	80,438	06/30/17	8.88%	
PARKER HANNIFIN CORP	170,000	209,466	233,157	05/15/38	6.25%	
PARTY CITY HOLDINGS INC 144A	210,000	210,000	225,225	08/01/20	8.88%	
PEABODY ENERGY CORP	275,000	275,000	292,188	11/15/21	6.25%	
PENSKE AUTOMOTIVE GROUP I 144A	160,000	160,956	164,800	10/01/22	5.75%	
PEPSICO INC	270,000	270,000	270,162	05/10/13	0.39%	
PERNOD-RICARD SA 144A	660,000	658,417	769,138	01/15/42	5.50%	
PETROBRAS INTERNATIONAL FINANC	225,000	252,797	285,095	01/27/41	6.75%	
PETRO-CANADA	990,000	1,067,649	1,350,152	05/15/38	6.80%	
PFIZER INC	530,000	682,094	812,580	03/15/39	7.20%	
PHARMACIA CORP	100,000	111,637	133,857	12/01/28	6.60%	
PHILIP MORRIS INTERNATIONAL IN	435,000	515,592	592,348	05/16/38	6.38%	
PHILLIPS 66	585,000	589,005	703,954	05/01/42	5.88%	
PHYSIO-CONTROL INTERNATIO 144A	130,000	130,300	142,675	01/15/19	9.88%	
PINNACLE ENTERTAINMENT INC	175,000	175,000	189,000	05/15/20	8.75%	17
PINNACLE ENTERTAINMENT INC	95,000	95,000	101,175	04/01/22	7.75%	
PLACER DOME INC	80,000	94,299	95,368	10/15/35	6.45%	
PLAINS ALL AMERICAN PIPELINE L	730,000	800,694	955,972	01/15/37	6.65%	
PLAINS EXPLORATION & PRODUCTIO	275,000	289,875	302,844	05/01/21	6.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
PLAINS EXPLORATION & PRODUCTIO	720,000	735,975	808,200	02/01/22	6.75%	
PLAINS EXPLORATION & PRODUCTIO	395,000	400,863	430,550	06/15/19	6.13%	
PLASTIPAK HOLDINGS INC 144A	120,000	117,287	137,100	08/15/19	10.63%	
PNC BANK NA	590,000	589,947	590,189	11/01/22	2.70%	
PNC FUNDING CORP	300,000	298,332	316,263	03/08/22	3.30%	
POLYMER GROUP INC	205,000	209,600	219,863	02/01/19	7.75%	
POST HOLDINGS INC 144A	225,000	236,950	246,517	02/15/22	7.38%	
POTASH CORP OF SASKATCHEWAN IN	635,000	630,711	771,671	12/01/40	5.63%	
POTASH CORP OF SASKATCHEWAN IN	120,000	139,674	149,009	12/01/36	5.88%	
POTOMAC ELECTRIC POWER CO	145,000	163,438	206,901	11/15/37	6.50%	
POTOMAC ELECTRIC POWER CO	250,000	325,558	405,158	12/15/38	7.90%	
PPG INDUSTRIES INC	125,000	154,255	172,685	05/01/21	9.00%	
PPG INDUSTRIES INC	170,000	202,827	242,442	03/15/38	7.70%	
PPG INDUSTRIES INC	21,000	20,841	24,411	11/15/40	5.50%	
PPL CAPITAL FUNDING INC	225,000	224,647	229,028	12/01/22	3.50%	
PPL ELECTRIC UTILITIES CORP	290,000	329,075	346,463	07/15/41	5.20%	
PPL IRONWOOD LLC	159,704	158,107	187,253	11/30/25	8.86%	
PRINCIPAL FINANCIAL GROUP INC	14,000	12,886	17,327	10/15/36	6.05%	
PRINCIPAL FINANCIAL GROUP INC	517,000	515,702	514,901	05/15/43	4.35%	
PROCTER & GAMBLE CO/THE	160,000	202,542	239,306	09/01/24	8.00%	
PROCTER & GAMBLE CO/THE	255,000	255,000	254,870	02/06/14	0.23%	
PROGRESS ENERGY INC	182,000	227,255	248,632	03/01/31	7.75%	
PROGRESS ENERGY INC	830,000	964,391	1,069,878	10/30/31	7.00%	
PROGRESS ENERGY INC	185,000	184,841	223,955	12/01/39	6.00%	
PROGRESS ENERGY INC	330,000	415,599	399,488	12/01/39	6.00%	
PROSPECT MEDICAL HOLDINGS 144A	340,000	341,690	357,850	05/01/19	8.38%	
PROVIDENT FUNDING ASSOCIA 144A	200,000	185,300	211,000	02/15/19	10.13%	
PROVINCE OF QUEBEC CANADA	170,000	212,497	231,747	01/30/26	6.35%	
PRUDENTIAL FINANCIAL INC	330,000	330,000	346,500	09/15/42	5.88%	
PRUDENTIAL FINANCIAL INC	385,000	361,911	438,530	12/14/36	5.70%	
PRUDENTIAL INSURANCE CO OF AME	475,000	585,594	660,226	07/01/25	8.30%	
PRUDENTIAL PLC DISC	450,000	447,990	447,990	02/05/13	0.00%	
PSEG POWER LLC	55,000	70,738	80,201	04/15/31	8.63%	
PSEG POWER LLC	81,000	80,653	93,350	04/15/20	5.13%	
PUBLIC SERVICE CO OF COLORADO	70,000	79,477	98,229	08/01/38	6.50%	
PUBLIC SERVICE CO OF COLORADO	305,000	303,835	349,798	08/15/41	4.75%	
PUBLIC SERVICE CO OF OKLAHOMA	239,000	238,324	279,030	12/01/19	5.15%	
PUBLIC SERVICE ELECTRIC & GAS	195,000	194,374	244,690	11/01/39	5.38%	
QWEST CAPITAL FUNDING INC	200,000	194,000	203,844	07/15/28	6.88%	
QWEST CORP	267,000	262,143	312,916	12/01/21	6.75%	
QWEST CORP	240,000	235,800	241,200	09/15/33	6.88%	
QWEST CORP	240,000	222,575	253,752	10/15/35	7.25%	
RANGE RESOURCES CORP	245,000	249,922	256,025	08/15/22	5.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
RBS CAPITAL TRUST II	140,000	115,150	121,800	12/29/49	6.43%	
REGIONS FINANCIAL CORP	395,000	393,066	427,094	06/15/15	5.75%	1
REPUBLIC SERVICES INC	485,000	500,104	608,665	03/01/40	6.20%	
REPUBLIC SERVICES INC	238,000	236,641	284,615	05/15/41	5.70%	
REVLON CONSUMER PRODUCTS CORP	235,000	237,324	247,338	11/15/15	9.75%	
REYNOLDS AMERICAN INC	1,050,000	1,040,540	1,059,324	11/01/42	4.75%	
RIO TINTO ALCAN INC	420,000	481,345	576,958	03/15/31	7.25%	
RIO TINTO FINANCE USA LTD	450,000	517,272	610,011	07/15/28	7.13%	
RIO TINTO FINANCE USA LTD	147,000	145,177	172,759	11/02/40	5.20%	
RITE AID CORP	65,000	59,313	66,788	03/01/17	7.50%	
ROCHE HOLDINGS INC 144A	425,000	520,938	640,365	03/01/39	7.00%	
ROGERS COMMUNICATIONS INC	50,000	67,884	76,856	05/01/32	8.75%	
ROUSE CO LLC/THE	230,000	235,750	241,213	11/09/15	6.75%	
ROYAL BANK OF CANADA	115,000	114,871	116,055	10/30/14	1.00%	
ROYAL BANK OF SCOTLAND GROUP P	65,000	63,131	65,650	08/29/49	7.65%	
SABINE PASS LNG LP	325,000	328,643	358,313	11/30/16	7.50%	
SABINE PASS LNG LP 144A	190,000	190,000	193,325	11/01/20	6.50%	
SABMILLER HOLDINGS INC 144A	200,000	198,670	226,706	01/15/42	4.95%	
SABMILLER PLC	135,000	154,886	177,092	08/15/33	6.63%	
SALLY HOLDINGS LLC / SALLY CAP	90,000	90,000	99,450	11/15/19	6.88%	
SALLY HOLDINGS LLC / SALLY CAP	115,000	115,000	124,775	06/01/22	5.75%	
SAN DIEGO GAS & ELECTRIC CO	60,000	79,446	81,374	06/01/26	6.00%	
SAN DIEGO GAS & ELECTRIC CO	58,000	57,360	65,182	08/15/40	4.50%	
SANDRIDGE ENERGY INC	345,000	343,943	369,150	03/15/21	7.50%	
SANDRIDGE ENERGY INC 144A	365,000	360,838	386,900	06/01/18	8.00%	
SANOFI	235,000	235,000	235,169	03/28/13	0.51%	
SCIENTIFIC GAMES INTERNAT 144A	285,000	285,000	294,263	09/01/20	6.25%	
SEAGATE TECHNOLOGY INTERN 144A	43,000	40,986	46,279	05/01/14	10.00%	
SEALED AIR CORP 144A	225,000	234,595	253,125	09/15/19	8.13%	
SEALED AIR CORP 144A	95,000	95,000	108,538	09/15/21	8.38%	
SEARS HOLDINGS CORP	485,000	432,808	441,350	10/15/18	6.63%	
SEMPRA ENERGY	200,000	259,036	280,026	02/15/19	9.80%	
SEMPRA ENERGY	885,000	887,174	1,118,888	10/15/39	6.00%	
SERTA SIMMONS HOLDINGS LL 144A	280,000	280,000	280,000	10/01/20	8.13%	
SERVICEMASTER CO/TN	520,000	527,150	542,100	02/15/20	8.00%	
SHELL INTERNATIONAL FINANCE BV	255,000	262,283	358,267	12/15/38	6.38%	
SHELL INTERNATIONAL FINANCE BV	215,000	213,968	276,054	03/25/40	5.50%	
SHERWIN-WILLIAMS CO/THE	540,000	537,284	539,606	12/15/42	4.00%	
SIEMENS FINANCIERINGSMAATSCHAP	120,000	128,377	157,787	08/17/26	6.13%	
SILVER II BORROWER / SILV 144A	440,000	440,000	455,400	12/15/20	7.75%	
SIMON PROPERTY GROUP LP	148,000	162,746	200,564	02/01/40	6.75%	
SIMON PROPERTY GROUP LP	270,000	269,160	299,865	12/01/21	4.13%	
SINCLAIR TELEVISION GROUP 144A	165,000	164,010	181,500	11/01/17	9.25%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value
						Restricted Under Lending Agreement
SIRIUS INTERNATIONAL GROUP LTD	220,000	192,150	227,036	05/29/49	7.51%	
SIRIUS XM RADIO INC 144A	145,000	145,000	146,450	08/15/22	5.25%	
SL GREEN REALTY CORP / SL GREE	285,000	285,000	354,372	03/15/20	7.75%	
SOUTH CAROLINA ELECTRIC & GAS	560,000	689,927	686,017	02/01/41	5.45%	
SOUTHERN CALIFORNIA EDISON CO	575,000	603,985	779,746	03/15/39	6.05%	
SOUTHERN CALIFORNIA EDISON CO	390,000	382,461	496,022	03/15/40	5.50%	
SOUTHERN CALIFORNIA EDISON CO	350,000	345,863	363,825	03/15/42	4.05%	
SOUTHERN POWER CO	115,000	114,226	129,502	09/15/41	5.15%	
SOUTHWESTERN ENERGY CO	340,000	339,582	365,724	03/15/22	4.10%	
SPECTRA ENERGY CAPITAL LLC	130,000	152,766	171,688	10/01/19	8.00%	
SPECTRA ENERGY CAPITAL LLC	25,000	32,184	34,652	09/15/38	7.50%	
SPECTRA ENERGY PARTNERS LP	170,000	169,944	182,339	06/15/21	4.60%	
SPEEDWAY MOTORSPORTS INC	30,000	29,588	32,063	06/01/16	8.75%	
SPEEDY CASH INTERMEDIATE 144A	190,000	191,563	202,350	05/15/18	10.75%	
SPIRIT AEROSYSTEMS INC	75,000	75,957	80,250	12/15/20	6.75%	
SPRINT CAPITAL CORP	325,000	303,613	338,000	11/15/28	6.88%	
SPRINT CAPITAL CORP	760,000	642,289	828,400	05/01/19	6.90%	
SPRINT CAPITAL CORP	300,000	270,789	366,750	03/15/32	8.75%	
SPRINT NEXTEL CORP	325,000	325,000	355,063	08/15/20	7.00%	
SPRINT NEXTEL CORP 144A	30,000	30,000	34,875	03/01/20	7.00%	
SQUARETWO FINANCIAL CORP	215,000	207,846	203,713	04/01/17	11.63%	
STANDARD PACIFIC CORP	220,000	217,300	255,200	05/15/18	8.38%	
STATER BROS HOLDINGS INC	95,000	89,795	96,900	04/15/15	7.75%	
STATOIL ASA	138,000	137,558	166,268	08/17/40	5.10%	
STATOIL ASA	210,000	213,926	225,939	11/23/41	4.25%	
STATOIL ASA	230,000	272,957	327,959	01/15/29	7.15%	
STATOIL ASA	125,000	149,175	177,951	09/23/27	7.25%	
SUMITOMO MTSU BKG DISC	1,200,000	1,198,460	1,198,460	04/22/13	0.00%	
SUNCOR ENERGY INC	185,000	212,585	224,340	12/01/34	5.95%	
SUNCOR ENERGY INC	283,000	380,884	377,465	06/15/38	6.50%	
SUNGARD DATA SYSTEMS INC	135,000	135,750	147,488	11/15/20	7.63%	
SUNTRUST BANKS INC	150,000	162,843	164,355	02/15/26	6.00%	
TALISMAN ENERGY INC	95,000	118,220	122,445	06/01/19	7.75%	
TALISMAN ENERGY INC	520,000	555,916	622,898	02/01/38	6.25%	
TARGET CORP	400,000	358,796	558,340	10/15/37	6.50%	
TARGET CORP	580,000	726,857	851,910	01/15/38	7.00%	
TARGET CORP	225,000	225,000	225,023	01/11/13	0.38%	
TCI COMMUNICATIONS INC	150,000	160,745	198,045	02/15/28	7.13%	
TECK RESOURCES LTD	220,000	219,862	232,756	02/01/43	5.40%	
TECK RESOURCES LTD	140,000	139,346	143,091	03/01/42	5.20%	
TECK RESOURCES LTD	375,000	380,876	424,440	08/15/40	6.00%	
TELECOM ITALIA CAPITAL SA	460,000	481,737	497,950	06/04/38	7.72%	
TELEFONICA EMISIONES SAU	375,000	379,301	405,000	06/20/36	7.05%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
TELEFONICA EMISIONES SAU	50,000	50,000	54,625	07/15/19	5.88%	
TELEFONICA EMISIONES SAU	19,000	19,000	20,259	02/16/21	5.46%	
TELEFONICA EUROPE BV	355,000	433,683	420,231	09/15/30	8.25%	
TENET HEALTHCARE CORP	290,000	284,935	324,800	07/01/19	8.88%	
TENNESSEE GAS PIPELINE CO LLC	100,000	94,881	118,924	02/01/16	8.00%	
TENNESSEE VALLEY AUTH BD	455,000	585,107	632,186	04/01/36	5.88%	
TENNESSEE VALLEY AUTH BD	250,000	341,551	339,250	06/15/38	5.50%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,151,755	1,478,869	09/15/39	5.25%	
TENNESSEE VALLEY AUTH BD	336,000	331,608	402,431	09/15/60	4.63%	
TENNESSEE VALLEY AUTH STRIP	2,000,000	1,303,569	1,350,080	11/01/25	0.00%	
TEVA PHARMACEUTICAL FINANCE CO	110,000	115,523	143,967	02/01/36	6.15%	
THOMSON REUTERS CORP	125,000	122,566	154,678	04/15/40	5.85%	
TIME WARNER CABLE INC	305,000	321,216	377,349	05/01/37	6.55%	
TIME WARNER CABLE INC	425,000	475,044	564,689	07/01/38	7.30%	
TIME WARNER CABLE INC	856,000	895,755	952,446	09/01/41	5.50%	
TIME WARNER CABLE INC	187,000	185,951	182,372	09/15/42	4.50%	
TIME WARNER ENTERTAINMENT CO L	300,000	355,371	424,014	03/15/23	8.38%	
TIME WARNER INC	870,000	805,469	1,197,712	04/15/31	7.63%	
TIME WARNER INC	1,060,000	1,218,584	1,482,071	05/01/32	7.70%	
TIME WARNER INC	100,000	103,151	122,461	03/15/40	6.20%	
TIME WARNER INC	355,000	410,159	429,806	07/15/40	6.10%	
TIME WARNER INC	24,000	23,690	29,633	03/29/41	6.25%	
TIME WARNER INC	22,000	21,861	24,575	10/15/41	5.38%	
TOLEDO EDISON CO/THE	85,000	105,270	106,003	05/15/37	6.15%	
TORONTO-DOMINION BANK/THE	470,000	470,000	470,381	07/26/13	0.48%	
TOSCO CORP	100,000	120,886	147,313	01/01/27	7.80%	
TOSCO CORP	30,000	40,132	44,488	02/15/30	8.13%	
TOTAL CAPITAL CANADA LTD	245,000	245,000	245,194	05/13/13	0.40%	
TOYOTA AUTO RECEIVABLES 2 B A2	124,381	124,379	124,437	04/15/14	0.53%	
TOYOTA MOTOR CREDIT CORP	325,000	325,000	326,112	10/11/13	0.76%	
TOYOTA MTR CR CP DISC	750,000	748,350	748,350	05/15/13	0.00%	
TOYS R US INC	165,000	138,188	150,150	10/15/18	7.38%	
TOYS R US PROPERTY CO I LLC	85,000	82,789	91,588	07/15/17	10.75%	
TRANSCANADA PIPELINES LTD	440,000	502,811	586,357	06/01/40	6.10%	
TRANSOCEAN INC	290,000	344,038	360,403	04/15/31	7.50%	
TRANSOCEAN INC	110,000	109,923	133,223	11/15/20	6.50%	
TRANSOCEAN INC	12,000	12,000	15,941	12/15/41	7.35%	
TRANSOCEAN INC	360,000	357,512	368,975	10/15/22	3.80%	
TRAVELERS PROPERTY CASUALTY CO	200,000	254,774	279,322	04/15/26	7.75%	
TRILOGY INTERNATIONAL PAR 144A	940,000	906,215	827,200	08/15/16	10.25%	
TRIUMPH GROUP INC	145,000	142,909	156,600	11/15/17	8.00%	
TURLOCK CORP 144A	390,000	388,483	394,407	11/02/42	4.15%	
U S TREASURY BILL	555,000	554,751	554,751	02/07/13	0.00%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest						Par Value Restricted Under Lending Agreement
	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	
U S TREASURY BOND	4,635,000	6,309,964	7,038,665	11/15/26	6.50%	
U S TREASURY BOND	1,760,000	2,312,302	2,707,373	02/15/27	6.63%	
U S TREASURY BOND	2,780,000	3,566,740	4,212,145	08/15/27	6.38%	
U S TREASURY BOND	1,000,000	1,247,973	1,485,630	11/15/27	6.13%	
U S TREASURY BOND	3,540,000	4,001,103	5,003,011	08/15/28	5.50%	
U S TREASURY BOND	250,000	276,134	345,390	11/15/28	5.25%	
U S TREASURY BOND	5,980,000	8,146,803	8,261,729	11/15/28	5.25%	
U S TREASURY BOND	725,000	985,241	1,121,031	05/15/30	6.25%	
U S TREASURY BOND	2,660,000	3,395,415	3,797,150	02/15/31	5.38%	
U S TREASURY BOND	4,595,000	5,412,692	6,047,434	02/15/36	4.50%	
U S TREASURY BOND	500,000	678,463	682,190	02/15/37	4.75%	
U S TREASURY BOND	250,000	356,574	352,735	05/15/37	5.00%	
U S TREASURY BOND	265,000	263,758	350,298	05/15/38	4.50%	
U S TREASURY BOND	12,784,000	13,969,892	16,309,572	05/15/39	4.25%	
U S TREASURY BOND	1,175,000	1,610,381	1,529,521	05/15/40	4.38%	
U S TREASURY BOND	955,000	953,060	1,147,795	08/15/40	3.88%	
U S TREASURY BOND	400,000	572,553	551,436	02/15/41	4.75%	
U S TREASURY BOND	45,000	45,176	45,844	05/15/42	3.00%	
U S TREASURY NOTE	7,630,000	7,679,881	7,676,772	07/15/14	0.63%	
U S TREASURY NT CPN STRIP	6,200,000	2,299,854	3,670,772	08/15/31	0.00%	
U S TREASURY NT CPN STRIP	3,250,000	1,407,736	1,888,933	02/15/32	0.00%	19
U S TREASURY NT CPN STRIP	400,000	173,872	228,124	08/15/32	0.00%	14,093
U S TREASURY NT CPN STRIP	400,000	163,868	224,104	02/15/33	0.00%	1
U S TREASURY NT CPN STRIP	2,300,000	909,385	1,264,517	08/15/33	0.00%	
U S TREASURY NT CPN STRIP	450,000	179,632	243,032	02/15/34	0.00%	
U S TREASURY NT CPN STRIP	800,000	389,908	423,808	08/15/34	0.00%	
U S TREASURY NT CPN STRIP	3,150,000	1,323,841	1,637,622	02/15/35	0.00%	
U S TREASURY NT CPN STRIP	1,450,000	614,702	882,383	11/15/30	0.00%	
U S TREASURY NT CPN STRIP	4,235,000	1,771,384	2,530,582	05/15/31	0.00%	
U S TREASURY NT CPN STRIP	700,000	289,644	410,389	11/15/31	0.00%	1
U S TREASURY NT CPN STRIP	1,100,000	656,598	633,776	05/15/32	0.00%	
U S TREASURY NT CPN STRIP	5,850,000	2,944,374	3,307,356	11/15/32	0.00%	
U S TREASURY NT CPN STRIP	1,900,000	885,976	1,054,633	05/15/33	0.00%	
U S TREASURY NT CPN STRIP	550,000	229,696	299,365	11/15/33	0.00%	
U S TREASURY NT CPN STRIP	1,570,000	696,923	840,248	05/15/34	0.00%	
U S TREASURY NT CPN STRIP	1,450,000	725,831	760,975	11/15/34	0.00%	2
U S TREASURY NT CPN STRIP	1,700,000	1,021,757	1,454,843	02/15/22	0.00%	
U S TREASURY NT CPN STRIP	7,300,000	3,683,972	5,610,853	11/15/24	0.00%	
U S TREASURY NT CPN STRIP	4,550,000	2,236,346	3,461,640	02/15/25	0.00%	
U S TREASURY NT CPN STRIP	1,000,000	492,920	753,010	05/15/25	0.00%	
U S TREASURY NT CPN STRIP	3,600,000	1,663,176	2,603,412	05/15/26	0.00%	
U S TREASURY NT CPN STRIP	211,000	139,176	150,945	08/15/26	0.00%	
U S TREASURY NT CPN STRIP	2,050,000	1,218,266	1,451,851	11/15/26	0.00%	

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Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted
						Under Lending Agreement
U S TREASURY NT CPN STRIP	5,550,000	3,154,136	3,888,608	02/15/27	0.00%	
U S TREASURY NT CPN STRIP	1,515,000	769,261	1,051,531	05/15/27	0.00%	
U S TREASURY NT CPN STRIP	6,400,000	2,881,170	4,395,520	08/15/27	0.00%	1
U S TREASURY NT CPN STRIP	7,600,000	3,308,024	5,166,936	11/15/27	0.00%	
U S TREASURY NT CPN STRIP	3,490,000	1,619,732	2,349,643	02/15/28	0.00%	
U S TREASURY NT CPN STRIP	700,000	299,607	462,819	08/15/28	0.00%	
U S TREASURY NT CPN STRIP	1,500,000	679,392	1,000,845	05/15/28	0.00%	
U S TREASURY NT CPN STRIP	1,375,000	739,584	900,226	11/15/28	0.00%	
U S TREASURY NT CPN STRIP	600,000	249,547	309,048	05/15/35	0.00%	
U S TREASURY NT CPN STRIP	200,000	105,624	101,306	11/15/35	0.00%	
U S TREASURY NT CPN STRIP	3,235,000	1,490,076	2,095,374	02/15/29	0.00%	
U S TREASURY NT CPN STRIP	1,550,000	821,052	987,211	08/15/29	0.00%	
U S TREASURY NT CPN STRIP	3,200,000	1,453,639	2,055,456	05/15/29	0.00%	
U S TREASURY NT CPN STRIP	3,570,000	1,622,342	2,253,134	11/15/29	0.00%	
U S TREASURY NT CPN STRIP	1,725,000	843,989	1,069,276	05/15/30	0.00%	
U S TREASURY NT CPN STRIP	4,675,000	1,960,246	2,926,784	02/15/30	0.00%	
U S TREASURY NT CPN STRIP	2,300,000	924,036	1,411,855	08/15/30	0.00%	
U S TREASURY NT CPN STRIP	1,050,000	479,751	633,182	02/15/31	0.00%	
U S TREASURY NT CPN STRIP	2,100,000	625,527	1,046,577	05/15/36	0.00%	
UNION CARBIDE CORP	105,000	121,670	130,758	06/01/25	7.50%	
UNION CARBIDE CORP	480,000	486,733	574,075	10/01/96	7.75%	
UNION ELECTRIC CO	350,000	348,159	354,680	09/15/42	3.90%	
UNION PACIFIC CORP	605,000	683,705	817,627	02/01/29	6.63%	
UNION PACIFIC CORP	260,000	254,881	291,642	09/15/41	4.75%	
UNION PACIFIC CORP	52,000	51,939	54,900	06/15/42	4.30%	
UNITED PARCEL SERVICE INC	316,000	331,911	316,452	01/15/13	4.50%	
UNITED PARCEL SERVICE INC	90,000	105,828	120,714	01/15/38	6.20%	
UNITED PARCEL SERVICE OF AMERI	90,000	122,116	135,637	04/01/30	8.38%	
UNITED REFINING CO	970,000	923,277	1,052,450	02/28/18	10.50%	
UNITED RENTALS NORTH AMERICA I	55,000	57,210	62,700	12/15/19	9.25%	
UNITED TECHNOLOGIES CORP	120,000	146,504	176,534	09/15/29	7.50%	
UNITED TECHNOLOGIES CORP	425,000	452,825	565,832	07/15/38	6.13%	
UNITED TECHNOLOGIES CORP	595,000	617,451	765,057	04/15/40	5.70%	
UNITED TECHNOLOGIES CORP	455,000	471,861	505,578	06/01/42	4.50%	
UNITED TECHNOLOGIES CORP	230,000	230,000	230,630	12/02/13	0.58%	
UNITEDHEALTH GROUP INC	155,000	211,161	204,992	11/15/37	6.63%	
UNITEDHEALTH GROUP INC	630,000	682,878	792,105	02/15/41	5.95%	
UNITEDHEALTH GROUP INC	117,000	115,540	124,909	11/15/41	4.63%	
UNITEDHEALTH GROUP INC	112,000	109,458	111,528	10/15/42	3.95%	
UNIVISION COMMUNICATIONS 144A	75,000	75,000	81,188	11/01/20	7.88%	
UNIVISION COMMUNICATIONS 144A	500,000	500,000	516,250	09/15/22	6.75%	
UOB FUNDING LLC DISC	1,200,000	1,199,080	1,199,080	03/19/13	0.00%	
UPCB FINANCE V LTD 144A	125,000	130,938	137,500	11/15/21	7.25%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value
						Restricted Under Lending Agreement
UR MERGER SUB CORP 144A	140,000	140,000	153,650	05/15/20	7.38%	
UR MERGER SUB CORP 144A	145,000	145,000	162,038	04/15/22	7.63%	
US BANCORP	220,000	219,303	222,251	07/15/22	2.95%	
US TREAS-CPI INFLATION INDEX	528,150	678,416	779,887	02/15/41	2.13%	
US TREAS-CPI INFLATION INDEX	255,930	255,265	280,302	02/15/42	0.75%	
USG CORP	235,000	228,588	266,138	01/15/18	9.75%	
USG CORP 144A	300,000	315,005	333,000	08/01/14	9.75%	
USG CORP 144A	30,000	26,250	33,300	10/15/18	8.38%	
VALE OVERSEAS LTD	340,000	413,759	426,292	11/10/39	6.88%	
VALEANT PHARMACEUTICALS I 144A	335,000	318,363	360,963	12/01/18	6.88%	
VALERO ENERGY CORP	75,000	98,065	118,944	03/15/39	10.50%	
VANGUARD HEALTH HOLDING CO II	120,000	120,000	124,200	02/01/19	7.75%	
VENTAS REALTY LP / VENTAS CAPI	235,000	232,960	256,991	06/01/21	4.75%	
VEOLIA ENVIRONNEMENT SA	125,000	144,659	146,743	06/01/38	6.75%	
VERIZON COMMUNICATIONS INC	100,000	103,998	135,387	02/15/38	6.40%	
VERIZON COMMUNICATIONS INC	160,000	195,035	227,710	04/15/38	6.90%	
VERIZON COMMUNICATIONS INC	390,000	476,695	578,132	04/01/39	7.35%	
VERIZON COMMUNICATIONS INC	375,000	500,224	489,443	04/01/41	6.00%	
VERIZON COMMUNICATIONS INC	775,000	817,602	879,067	11/01/41	4.75%	
VERIZON COMMUNICATIONS INC	703,000	692,650	883,102	09/15/35	5.85%	
VERIZON GLOBAL FUNDING CORP	775,000	959,606	1,134,670	12/01/30	7.75%	
VERIZON NEW JERSEY INC	700,000	667,611	923,174	11/15/29	7.85%	
VERIZON PENNSYLVANIA INC	120,000	133,798	164,209	12/15/30	8.35%	
VIACOM INC	20,000	19,613	19,730	02/27/42	4.50%	
VIACOM INC 144A	518,000	390,800	509,562	03/15/43	4.38%	
VIASAT INC	230,000	230,000	240,350	06/15/20	6.88%	
VIASAT INC 144A	125,000	129,375	130,625	06/15/20	6.88%	
VIDEOTRON LTD	255,000	255,000	267,431	07/15/22	5.00%	
VIKING CRUISES LTD 144A	125,000	125,000	135,000	10/15/22	8.50%	
VIRGINIA ELECTRIC AND POWER CO	475,000	732,456	809,566	11/15/38	8.88%	
VODAFONE GROUP PLC	250,000	264,115	330,670	02/27/37	6.15%	
VOLKSWAGEN AUTO LEASE TRU A A2	79,606	79,606	79,692	02/20/14	1.00%	
VULCAN MATERIALS CO	65,000	66,300	74,100	06/15/21	7.50%	
WACHOVIA BANK NA	780,000	838,968	1,070,644	01/15/38	6.60%	
WACHOVIA CORP	335,000	374,440	460,659	08/01/26	7.57%	
WALGREEN CO	560,000	559,378	564,855	09/15/22	3.10%	
WALGREEN CO	307,000	304,519	312,415	09/15/42	4.40%	
WAL-MART STORES INC	520,000	649,516	765,055	02/15/30	7.55%	
WAL-MART STORES INC	100,000	91,909	121,480	09/01/35	5.25%	
WAL-MART STORES INC	485,000	547,335	682,007	08/15/37	6.50%	
WAL-MART STORES INC	250,000	274,453	339,713	04/15/38	6.20%	
WAL-MART STORES INC	37,000	36,384	44,769	10/25/40	5.00%	
WAL-MART STORES INC	575,000	563,983	753,009	04/15/41	5.63%	

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
WALT DISNEY CO/THE	67,000	66,519	67,070	12/01/42	3.70%	
WASTE MANAGEMENT INC	900,000	1,106,374	1,270,386	05/15/32	7.75%	
WAVEDIVISION ESCROW LLC / 144A	325,000	334,344	336,375	09/01/20	8.13%	
WEA FINANCE LLC / WT FINA 144A	165,000	171,777	204,407	09/02/19	6.75%	
WEATHERFORD INTERNATIONAL LTD/	90,000	128,106	126,125	03/01/39	9.88%	
WEATHERFORD INTERNATIONAL LTD/	55,000	60,808	62,369	09/15/40	6.75%	
WEATHERFORD INTERNATIONAL LTD/	50,000	49,646	54,268	04/15/42	5.95%	
WELLPOINT INC	75,000	93,392	93,727	06/15/37	6.38%	
WELLPOINT INC	137,000	136,030	141,496	05/15/42	4.63%	
WELLPOINT INC	563,000	559,740	586,714	01/15/43	4.65%	
WELLS FARGO & CO	100,000	92,449	121,304	02/07/35	5.38%	
WELLS FARGO & CO	495,000	510,875	496,470	01/31/13	4.38%	
WELLS FARGO & CO	730,000	744,583	839,675	04/01/21	4.60%	
WEST CORP/OLD	115,000	115,000	120,463	10/01/18	8.63%	
WEST CORP/OLD	95,000	93,588	98,325	01/15/19	7.88%	
WESTERN REFINING INC 144A	215,000	196,652	235,156	06/15/17	11.25%	
WESTPAC BANKING CORP	450,000	450,000	452,853	09/25/15	1.07%	
WILLIAMS PARTNERS LP	155,000	167,650	189,492	04/15/40	6.30%	
WILLIAMS PARTNERS LP	455,000	454,886	462,639	08/15/22	3.35%	
WIND ACQUISITION FINANCE 144A	300,000	299,076	303,750	02/15/18	7.25%	
WISCONSIN POWER & LIGHT CO	75,000	86,326	103,844	08/15/37	6.38%	
WOLVERINE WORLD WIDE INC 144A	140,000	140,000	147,000	10/15/20	6.13%	
WORLD OMNI AUTOMOBILE LEA A A2	48,552	48,548	48,584	10/15/13	0.81%	
WPX ENERGY INC	295,000	295,000	317,863	01/15/22	6.00%	
WYETH LLC	60,000	65,502	80,038	04/01/37	5.95%	
XCEL ENERGY INC	386,000	385,085	433,146	09/15/41	4.80%	
XEROX CORP	100,000	109,152	119,468	12/15/39	6.75%	
XEROX CORP	48,000	47,638	50,825	05/15/21	4.50%	
XM SATELLITE RADIO INC 144A	135,000	135,000	150,525	11/01/18	7.63%	
XTO ENERGY INC	100,000	134,083	154,675	08/01/37	6.75%	
CORPORATE DEBT		<u>439,792,695</u>	<u>506,691,360</u>			
COMMON/COLLECTIVE TRUSTS						
SSGA 20+YEAR US HIGH QUALITY BOND	16,267,875	163,450,255	204,975,231			
5-20YEAR US HIGH QUALITY	3,143,973	31,689,266	36,404,067			
JPMCB EXTENDED DURATION FUND	1,407,890	18,331,269	26,989,244			
SIGMA ERISA LIQUIDATING POOL	1,386,022	1,386,022	-			
SSGA LONG US TREASURY INDEX NL	2,952,602	45,622,724	60,321,665			
UBS EMERGING MARKETS DEBT	550,412	56,238,759	75,543,335			
TOTAL COMMON/COLLECTIVE TRUSTS		<u>316,718,295</u>	<u>404,233,542</u>			

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest		Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
REGISTERED INVESTMENT COMPANIES							
RIDGEWORTH SEIX FLOATING RATE		285,620	2,527,393	2,564,867			
TOTAL REGISTERED INVESTMENT COMPANIES			2,527,393	2,564,867			
OTHER INVESTMENTS							
AMERICAN MUNI PWR-OHIO INC OH		240,000	245,143	327,871	02/15/50	7.50%	
BNP PARIBAS CAT 2 REPO		21,195	21,195	21,195	01/02/13	0.12%	
BZW CAT 1 REPO REPO		78,436	78,436	78,436	01/02/13	0.20%	
CALIFORNIA ST		190,000	245,412	264,681	10/01/39	7.30%	
CREDIT AGRICOLE 1 REPO		63,586	63,586	63,586	01/02/13	0.18%	
GREENWICH CAPITAL REPO		39,150	39,150	39,150	01/02/13	0.20%	
ILLINOIS ST		1,570,000	1,486,269	1,552,981	06/01/33	5.10%	
LOS ANGELES CA DEPT OF ARPTS		105,000	116,538	136,125	05/15/39	6.58%	
MEXICO GOVERNMENT INTERNATIONALA		275,000	270,974	422,125	04/08/33	7.50%	
MEXICO GOVERNMENT INTERNATIONALA		820,000	789,521	986,050	10/12/39	5.75%	
MEXICO GOVERNMENT INTERNATIONALA		270,000	266,109	305,100	03/08/44	4.75%	
NEW YORK ST DORM AUTH ST PERS		75,000	71,840	89,334	03/15/33	5.29%	
NEW YORK ST DORM AUTH ST PERSO		160,000	151,566	198,214	03/15/40	5.39%	
OHIO ST UNIV		618,000	621,775	702,530	06/01/11	4.80%	
PORT AUTH OF NEW YORK & NEW JE		95,000	99,483	120,448	12/01/29	6.04%	
PORT AUTH OF NEW YORK & NEW JE		355,000	351,713	437,104	11/01/40	5.65%	
PORT AUTH OF NEW YORK & NEW JE		135,000	135,000	134,283	10/01/62	4.46%	
PROVINCE OF ONTARIO CANADA		1,100,000	1,098,504	1,101,210	10/25/17	1.10%	
UNIV OF CALIFORNIA CA REVENUES		85,000	90,980	90,404	05/15/12	4.86%	
US 10YR TREAS NTS FUTURE (CBT)		(58)	-	35,391	03/19/13	0.00%	
US 5YR TREAS NTS FUTURE (CBT)		17	-	(531)	03/28/13	0.00%	
US TREAS BD FUTURE (CBT)		2	-	1,250	03/19/13	0.00%	
US ULTRA BOND (CBT)		70	-	(223,086)	03/19/13	0.00%	
TOTAL OTHER INVESTMENTS			6,243,194	6,883,851			
INTEREST BEARING CASH							
AUSTRALIA		2,350	2,409	2,439			
BNY MELLON CASH RESERVE		18,935	18,935	18,935	12/31/2049	0.01%	
BRAZIL		62,971	32,528	30,755			
BULGARIA		14	10	10			
CALYON BANK TD		29,134	29,134	29,134	01/02/2013	0.15%	
CALYON BANK TD		14,682	14,682	14,682	01/02/2013	0.20%	
CANADA		34,367	33,753	34,516			
EUROPE		53,110	69,094	70,020			
GREAT BRITAIN		67,824	105,406	110,248			
HANG KONG		398	51	51			
JAPAN		2,721,796	35,376	31,479			

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (HELD AT END OF YEAR)****AS OF DECEMBER 31, 2012 (CONCLUDED)**

Description of Investment including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
M&T BANK TD	17,902	17,902	17,902	01/02/13	0.03%	
NATIONAL BANK CANADA INSTL C/D	434,000	434,000	434,000	07/11/13	0.55%	
NATIXIS TD	29,135	29,135	29,135	01/02/13	0.12%	
NORDEA BANK FINLAND PLC/NEW YO	320,000	318,125	320,224	02/07/13	VARIABLE	
NORDEA BK FINLAND INSTL C/D	425,000	426,432	426,454	01/27/14	VARIABLE	
ROYAL BANK OF CANADA/NEW YORK	350,000	349,962	350,032	01/28/13	VARIABLE	
SKANDINAVISKA ENS TD	19,011	19,011	19,011	01/02/13	0.01%	
SOCIETE GEN NY TD	43,729	43,729	43,729	01/02/13	0.15%	
SOUTH AFRICA	83,374	10,327	9,827			
SOUTH KOREA	10,703,755	9,293	9,998			
SWITZERLAND	134,541	149,661	146,983			
TAIWAN	64,842,142	2,146,508	2,232,932			
UKRAINE	1,200	150	149			
TOTAL INTEREST BEARING CASH		<u>4,295,613</u>	<u>4,382,645</u>			
 TOTAL ASSETS HELD FOR INVESTMENT PURPOSE		<u>\$ 768,039,008</u>	<u>\$ 2,475,747,086</u>			

See accompanying Independent Auditors' Report.

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ACCESS MIDSTREAM PARTNERS LP /	ACCESS MIDSTREAM PARTNERS LP / 5.875% 04/15/2021 DD 10/15/11	(190,000)	201,875
ADVANCED MICRO DEVICES 144A	ADVANCED MICRO DEVICES 144A 7.500% 08/15/2022 DD 08/15/12	(310,000)	277,006
AINSWORTH LUMBER LTD 144A	AINSWORTH LUMBER LTD 144A 7.500% 12/15/2017 DD 11/27/12	(135,000)	135,931
AIR LIQUIDE	AIR LIQUIDE RTS	(35)	34
AK STEEL CORP	AK STEEL CORP 8.375% 04/01/2022 DD 03/22/12	(270,000)	246,113
ALPINE SECR CORP DISC	ALPINE SECR CORP DISC 1/3/2013	(2,198,777)	2,198,776
ALTRIA GROUP INC	ALTRIA GROUP INC 4.750% 05/05/2021 DD 05/05/11	(326,226)	343,119
AMERICAN AXLE & MFG INC	AMERICAN AXLE & MFG INC 6.625% 10/15/2022 DD 09/17/12	(230,000)	234,044
AMKOR TECHNOLOGY INC 144A	AMKOR TECHNOLOGY INC 144A 6.375% 10/01/2022 DD 09/21/12	(60,000)	60,269
ANHEUSERBUSCH INBEV WOR	ANHEUSERBUSCH INBEV WOR 3.750% 07/15/2042 DD 07/16/12	(24,773)	25,612
ANIXTER INC	ANIXTER INC 5.625% 05/01/2019 DD 04/30/12	(135,000)	139,725
ANTALIS US FDG CP DISC	ANTALIS US FDG CP DISC	(1,059,567)	1,059,836
ARCELORMITTAL	ARCELORMITTAL 6.250% 02/25/2022 DD 02/28/12	(99,284)	95,750
ARCH COAL INC	ARCH COAL INC 7.250% 06/15/2021 DD 12/15/11	(155,000)	142,616
ASHLAND INC 144A	ASHLAND INC 144A 4.750% 08/15/2022 DD 08/07/12	(115,000)	115,863
ASML HOLDING NV	ASML HOLDING NV	-	12

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
ATLANTIC ASSET DISC	ATLANTIC ASSET DISC 1/7/2013	(1,797,415)	1,797,415
ATWOOD OCEANICS INC	ATWOOD OCEANICS INC 6.500% 02/01/2020 DD 01/18/12	(115,000)	116,919
AUDIENCE INC	AUDIENCE INC	(13,600)	15,544
BAKER HUGHES INC	BAKER HUGHES INC 3.200% 08/15/2021 DD 02/15/12	(209,572)	227,768
BANCO SANTANDER SA	BANCO SANTANDER SA RTS 30JAN2012	(20,214)	21,374
BANCO SANTANDER SA EUR0.50	BANCO SANTANDER SA EUR0.50 RTS EXP 27JUL2012	(23,291)	22,190
BANCO SATANDER SA	BANCO SATANDER SA RTS EXP 27APR2012	(37,425)	34,637
BANK NOVA SCOTIA DISC	BANK NOVA SCOTIA DISC 7/24/2012	(1,287,892)	1,289,658
BANK OF AMERICA CORP	BANK OF AMERICA CORP	(193,937)	194,331
BANK TOKYOMITSUB DISC	BANK TOKYOMITSUB DISC 7/30/2012	(1,148,938)	1,149,521
BARCLAYS BANK PLC	BARCLAYS BANK PLC 7.625% 11/21/2022 DD 11/21/12	(335,000)	332,906
BEAZER HOMES USA 144A	BEAZER HOMES USA 144A 6.625% 04/15/2018 DD 07/18/12	(170,000)	170,963
BELDEN INC 144A	BELDEN INC 144A 5.500% 09/01/2022 DD 08/27/12	(165,000)	165,538
BIOMET INC 144A	BIOMET INC 144A 6.500% 10/01/2020 DD 10/02/12	(420,000)	418,156
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.030% 01/11/2012 DD 01/10/12	(1,362,509)	1,362,509
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 02/23/2012 DD 02/22/12	(1,876,126)	1,876,126

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.050% 01/10/2012 DD 01/09/12	(57,146)	57,146
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.060% 02/22/2012 DD 02/21/12	(1,561,854)	1,561,854
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 01/30/2012 DD 01/27/12	(2,255,693)	2,255,693
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 07/20/2012 DD 07/19/12	(1,920,967)	1,920,967
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 01/13/2012 DD 01/12/12	(161,296)	161,296
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 01/26/2012 DD 01/25/12	(2,315,539)	2,315,539
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 01/27/2012 DD 01/26/12	(2,639,200)	2,639,200
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 01/31/2012 DD 01/30/12	(1,954,990)	1,954,990
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 02/24/2012 DD 02/23/12	(633,514)	633,514
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 04/23/2012 DD 04/20/12	(2,610,165)	2,610,165
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 04/24/2012 DD 04/23/12	(3,404,600)	3,404,600
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 04/25/2012 DD 04/24/12	(2,585,882)	2,585,882
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 01/25/2012 DD 01/24/12	(3,242,169)	3,242,169
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 02/10/2012 DD 02/09/12	(1,619,452)	1,619,452
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 04/20/2012 DD 04/19/12	(1,904,100)	1,904,100

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 02/27/2012 DD 02/24/12	(860,369)	860,369
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 02/29/2012 DD 02/28/12	(1,582,675)	1,582,675
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 02/15/2012 DD 02/14/12	(1,113,261)	1,113,261
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.110% 04/30/2012 DD 04/27/12	(2,000,000)	2,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 01/17/2012 DD 01/13/12	(3,126,234)	3,126,234
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 03/30/2012 DD 03/29/12	(2,742,854)	2,742,854
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 04/27/2012 DD 04/26/12	(2,542,761)	2,542,761
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.120% 11/16/2012 DD 11/15/12	(223,116)	223,116
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/08/2012 DD 03/07/12	(2,456,324)	2,456,324
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/22/2012 DD 03/21/12	(600,328)	600,328
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/26/2012 DD 03/23/12	(204,267)	204,267
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 03/29/2012 DD 03/28/12	(1,978,967)	1,978,967
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 04/19/2012 DD 04/18/12	(1,574,209)	1,574,209
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(1,524,584)	1,524,584
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.130% 06/27/2012 DD 06/26/12	(2,044,562)	2,044,562
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 02/03/2012 DD 02/02/12	(1,254,572)	1,254,572

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 02/28/2012 DD 02/27/12	(2,697,939)	2,697,939
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/06/2012 DD 03/05/12	(1,764,127)	1,764,127
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/07/2012 DD 03/06/12	(1,863,799)	1,863,799
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/09/2012 DD 03/08/12	(1,813,531)	1,813,531
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 03/14/2012 DD 03/13/12	(1,409,874)	1,409,874
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 05/03/2012 DD 05/02/12	(2,168,537)	2,168,537
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 05/04/2012 DD 05/03/12	(2,135,176)	2,135,176
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 05/10/2012 DD 05/09/12	(2,091,676)	2,091,676
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 05/30/2012 DD 05/29/12	(156,159)	156,159
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 06/07/2012 DD 06/06/12	(1,458,234)	1,458,234
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 06/08/2012 DD 06/07/12	(1,402,863)	1,402,863
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 10/24/2012 DD 10/23/12	(126,284)	126,284
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.140% 11/20/2012 DD 11/19/12	(883,174)	883,174
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/20/2012 DD 01/19/12	(2,986,043)	2,986,043
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 01/23/2012 DD 01/20/12	(392,964)	392,964

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 02/02/2012 DD 02/01/12	(2,165,534)	2,165,534
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 02/16/2012 DD 02/15/12	(1,683,466)	1,683,466
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 03/05/2012 DD 03/02/12	(1,942,692)	1,942,692
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 03/21/2012 DD 03/20/12	(628,261)	628,261
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 04/04/2012 DD 04/03/12	(2,188,523)	2,188,523
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 04/12/2012 DD 04/11/12	(2,417,832)	2,417,832
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(2,517,163)	2,517,163
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 04/18/2012 DD 04/17/12	(1,000,000)	1,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 05/08/2012 DD 05/07/12	(2,081,091)	2,081,091
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 05/09/2012 DD 05/08/12	(1,000,000)	1,000,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 05/11/2012 DD 05/10/12	(1,760,226)	1,760,226
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 05/14/2012 DD 05/11/12	(1,514,965)	1,514,965
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 05/23/2012 DD 05/22/12	(2,016,493)	2,016,493
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 06/29/2012 DD 06/28/12	(2,022,083)	2,022,083
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 07/10/2012 DD 07/09/12	(985,073)	985,073

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 11/08/2012 DD 11/07/12	(1,479,547)	1,479,547
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.150% 12/28/2012 DD 12/27/12	(116,086)	116,086
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 01/18/2012 DD 01/17/12	(2,636,789)	2,636,789
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(1,577,000)	1,577,000
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 02/14/2012 DD 02/13/12	(1,263,016)	1,263,016
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 03/02/2012 DD 03/01/12	(2,053,433)	2,053,433
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 03/12/2012 DD 03/09/12	(2,111,697)	2,111,697
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 03/13/2012 DD 03/12/12	(2,041,066)	2,041,066
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 04/11/2012 DD 04/10/12	(2,409,972)	2,409,972
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 04/26/2012 DD 04/25/12	(2,247,682)	2,247,682
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 05/07/2012 DD 05/04/12	(2,100,913)	2,100,913
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 05/17/2012 DD 05/16/12	(1,816,617)	1,816,617
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 05/22/2012 DD 05/21/12	(2,049,423)	2,049,423
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 06/11/2012 DD 06/08/12	(1,425,952)	1,425,952
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 06/12/2012 DD 06/11/12	(1,421,590)	1,421,590
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 11/21/2012 DD 11/20/12	(19,232)	19,232

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.160% 12/11/2012 DD 12/10/12	(344,546)	344,546
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 04/03/2012 DD 04/02/12	(2,253,328)	2,253,328
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 04/05/2012 DD 04/04/12	(2,228,288)	2,228,288
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 04/10/2012 DD 04/09/12	(2,300,064)	2,300,064
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 04/13/2012 DD 04/12/12	(2,462,428)	2,462,428
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 10/23/2012 DD 10/22/12	(95,536)	95,536
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 11/07/2012 DD 11/06/12	(1,189,065)	1,189,065
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 11/19/2012 DD 11/16/12	(645,547)	645,547
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 11/30/2012 DD 11/29/12	(32,738)	32,738
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.170% 12/20/2012 DD 12/19/12	(67,603)	67,603
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 03/27/2012 DD 03/26/12	(58,666)	58,666
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(2,401,013)	2,401,013
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 05/15/2012 DD 05/14/12	(875,342)	875,342
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 05/18/2012 DD 05/17/12	(2,000,949)	2,000,949
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 05/21/2012 DD 05/18/12	(2,217,660)	2,217,660
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 06/13/2012 DD 06/12/12	(1,441,069)	1,441,069

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 09/04/2012 DD 08/31/12	(2,560,639)	2,560,639
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 10/29/2012 DD 10/26/12	(69,814)	69,814
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 11/06/2012 DD 11/05/12	(70,754)	70,754
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 11/23/2012 DD 11/21/12	(194,283)	194,283
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 12/13/2012 DD 12/12/12	(128,896)	128,896
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.180% 12/14/2012 DD 12/13/12	(108,239)	108,239
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 04/09/2012 DD 04/05/12	(2,204,586)	2,204,586
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(152,866)	152,866
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 06/05/2012 DD 06/04/12	(1,300,197)	1,300,197
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 06/19/2012 DD 06/18/12	(865,484)	865,484
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 11/09/2012 DD 11/08/12	(1,459,688)	1,459,688
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 11/13/2012 DD 11/09/12	(1,528,410)	1,528,410
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 11/14/2012 DD 11/13/12	(352,995)	352,995
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 12/17/2012 DD 12/14/12	(105,802)	105,802
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 12/18/2012 DD 12/17/12	(150,547)	150,547

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.190% 12/19/2012 DD 12/18/12	(175,279)	175,279
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 05/16/2012 DD 05/15/12	(1,390,473)	1,390,473
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 06/14/2012 DD 06/13/12	(1,464,153)	1,464,153
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 06/15/2012 DD 06/14/12	(1,460,724)	1,460,724
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(2,321,408)	2,321,408
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 10/01/2012 DD 09/28/12	(106,044)	106,044
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 10/10/2012 DD 10/09/12	(988,031)	988,031
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 10/18/2012 DD 10/17/12	(141,868)	141,868
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 10/22/2012 DD 10/19/12	(74,325)	74,325
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 10/31/2012 DD 10/29/12	(98,613)	98,613
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 11/26/2012 DD 11/23/12	(117,848)	117,848
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 12/04/2012 DD 12/03/12	(338,199)	338,199
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 12/05/2012 DD 12/04/12	(429,877)	429,877
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.200% 12/12/2012 DD 12/11/12	(278,086)	278,086
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 03/16/2012 DD 03/15/12	(976,225)	976,225

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 06/26/2012 DD 06/25/12	(1,991,551)	1,991,551
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(29,570)	29,570
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 10/05/2012 DD 10/04/12	(1,437,015)	1,437,015
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 10/09/2012 DD 10/05/12	(1,506,111)	1,506,111
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 10/12/2012 DD 10/11/12	(184,770)	184,770
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 10/19/2012 DD 10/18/12	(56,399)	56,399
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 11/16/2012 DD 11/15/12	(724,474)	724,474
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 11/27/2012 DD 11/26/12	(26,404)	26,404
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 12/03/2012 DD 11/30/12	(343,970)	343,970
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 12/06/2012 DD 12/05/12	(381,385)	381,385
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.210% 12/10/2012 DD 12/07/12	(447,918)	447,918
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.220% 06/18/2012 DD 06/15/12	(1,518,223)	1,518,223
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.220% 10/26/2012 DD 10/25/12	(152,933)	152,933
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO	(550,928)	550,928
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.220% 12/07/2012 DD 12/06/12	(279,404)	279,404
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.230% 10/02/2012 DD 10/01/12	(155,705)	155,705

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.230% 10/03/2012 DD 10/02/12	(60,532)	60,532
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.230% 10/17/2012 DD 10/16/12	(31,262)	31,262
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.230% 11/02/2012 DD 11/01/12	(85,819)	85,819
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.230% 11/05/2012 DD 11/02/12	(119,526)	119,526
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.280% 11/01/2012 DD 10/31/12	(111,796)	111,796
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.120% 01/12/2012 DD 01/11/12	(22,627)	22,627
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.120% 01/13/2012 DD 01/12/12	(108,035)	108,035
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.120% 01/17/2012 DD 01/13/12	(147,040)	147,040
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.120% 01/18/2012 DD 01/17/12	(673,502)	673,502
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(3,429,688)	3,429,688
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.130% 01/20/2012 DD 01/19/12	(53,805)	53,805
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.130% 01/24/2012 DD 01/23/12	(711,079)	711,079
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.130% 01/27/2012 DD 01/26/12	(48,920)	48,920
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.130% 01/30/2012 DD 01/27/12	(420,796)	420,796
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.130% 01/31/2012 DD 01/30/12	(637,862)	637,862

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 02/03/2012 DD 02/02/12	(275,062)	275,062
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 02/10/2012 DD 02/09/12	(230,654)	230,654
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 02/22/2012 DD 02/21/12	(205,287)	205,287
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 02/23/2012 DD 02/22/12	(196,296)	196,296
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 02/24/2012 DD 02/23/12	(346,340)	346,340
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.150% 07/02/2012 DD 06/29/12	(405,807)	405,807
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(205,597)	205,597
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.160% 02/21/2012 DD 02/17/12	(128,123)	128,123
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.160% 02/27/2012 DD 02/24/12	(171,506)	171,506
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.160% 02/28/2012 DD 02/27/12	(365,706)	365,706
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.160% 02/29/2012 DD 02/28/12	(1,376,527)	1,376,527
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 02/14/2012 DD 02/13/12	(741,718)	741,718
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 02/15/2012 DD 02/14/12	(451,715)	451,715
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 02/17/2012 DD 02/16/12	(345,493)	345,493
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 03/02/2012 DD 03/01/12	(444,554)	444,554

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 03/05/2012 DD 03/02/12	(646,884)	646,884
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 03/06/2012 DD 03/05/12	(803,657)	803,657
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.170% 03/07/2012 DD 03/06/12	(706,184)	706,184
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(397,503)	397,503
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 03/09/2012 DD 03/08/12	(760,345)	760,345
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 03/12/2012 DD 03/09/12	(411,676)	411,676
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 03/13/2012 DD 03/12/12	(498,157)	498,157
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 04/23/2012 DD 04/20/12	(166,394)	166,394
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 04/24/2012 DD 04/23/12	(188,240)	188,240
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.180% 04/25/2012 DD 04/24/12	(227,399)	227,399
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.190% 03/14/2012 DD 03/13/12	(573,067)	573,067
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.190% 03/15/2012 DD 03/14/12	(393,276)	393,276
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.190% 04/27/2012 DD 04/26/12	(141,142)	141,142
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.190% 04/30/2012 DD 04/27/12	(726,744)	726,744
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.190% 07/23/2012 DD 07/20/12	(166,603)	166,603

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(252,548)	252,548
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 03/16/2012 DD 03/15/12	(54,224)	54,224
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 03/29/2012 DD 03/28/12	(83,924)	83,924
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 03/30/2012 DD 03/29/12	(41,353)	41,353
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 04/20/2012 DD 04/19/12	(1,586,182)	1,586,182
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 04/26/2012 DD 04/25/12	(987,863)	987,863
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 05/03/2012 DD 05/02/12	(101,747)	101,747
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 05/08/2012 DD 05/07/12	(100,978)	100,978
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 05/24/2012 DD 05/23/12	(48,324)	48,324
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 05/25/2012 DD 05/24/12	(607,188)	607,188
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 07/20/2012 DD 07/19/12	(295,015)	295,015
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 08/02/2012 DD 08/01/12	(68,745)	68,745
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(50,205)	50,205
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 08/06/2012 DD 08/03/12	(34,714)	34,714
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 08/09/2012 DD 08/08/12	(693,363)	693,363
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.200% 08/10/2012 DD 08/09/12	(1,016,415)	1,016,415

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 04/03/2012 DD 04/02/12	(135,546)	135,546
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 04/04/2012 DD 04/03/12	(168,099)	168,099
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 04/12/2012 DD 04/11/12	(125,693)	125,693
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 04/18/2012 DD 04/17/12	(717,682)	717,682
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 04/19/2012 DD 04/18/12	(127,181)	127,181
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/01/2012 DD 04/30/12	(118,321)	118,321
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/02/2012 DD 05/01/12	(2,124,452)	2,124,452
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/04/2012 DD 05/03/12	(183,057)	183,057
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(136,432)	136,432
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/09/2012 DD 05/08/12	(485,567)	485,567
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/10/2012 DD 05/09/12	(85,319)	85,319
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/11/2012 DD 05/10/12	(97,754)	97,754
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/14/2012 DD 05/11/12	(178,437)	178,437
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/30/2012 DD 05/29/12	(16,573)	16,573
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 05/31/2012 DD 05/30/12	(165,427)	165,427

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 06/07/2012 DD 06/06/12	(132,927)	132,927
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 06/08/2012 DD 06/07/12	(496,313)	496,313
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 07/27/2012 DD 07/26/12	(240,438)	240,438
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 07/30/2012 DD 07/27/12	(243,755)	243,755
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 07/31/2012 DD 07/30/12	(238,472)	238,472
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(276,602)	276,602
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.210% 10/23/2012 DD 10/22/12	(367,569)	367,569
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/05/2012 DD 04/04/12	(421,675)	421,675
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/09/2012 DD 04/05/12	(109,279)	109,279
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/10/2012 DD 04/09/12	(182,014)	182,014
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/11/2012 DD 04/10/12	(121,811)	121,811
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/13/2012 DD 04/12/12	(143,541)	143,541
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/16/2012 DD 04/13/12	(126,588)	126,588
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 04/17/2012 DD 04/16/12	(114,941)	114,941
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/15/2012 DD 05/14/12	(88,303)	88,303

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/16/2012 DD 05/15/12	(967,492)	967,492
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/17/2012 DD 05/16/12	(656,872)	656,872
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(143,340)	143,340
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/21/2012 DD 05/18/12	(124,749)	124,749
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/22/2012 DD 05/21/12	(70,998)	70,998
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/23/2012 DD 05/22/12	(62,973)	62,973
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 05/29/2012 DD 05/25/12	(975,081)	975,081
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/01/2012 DD 05/31/12	(42,200)	42,200
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/04/2012 DD 06/01/12	(174,130)	174,130
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/05/2012 DD 06/04/12	(279,055)	279,055
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/06/2012 DD 06/05/12	(1,567,980)	1,567,980
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/11/2012 DD 06/08/12	(81,130)	81,130
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/12/2012 DD 06/11/12	(225,071)	225,071
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/13/2012 DD 06/12/12	(63,982)	63,982
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(82,295)	82,295
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/21/2012 DD 06/20/12	(28,358)	28,358

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/22/2012 DD 06/21/12	(25,598)	25,598
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/27/2012 DD 06/26/12	(447,638)	447,638
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/28/2012 DD 06/27/12	(482,662)	482,662
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 06/29/2012 DD 06/28/12	(1,178,662)	1,178,662
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 07/10/2012 DD 07/09/12	(183,250)	183,250
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 07/11/2012 DD 07/10/12	(215,417)	215,417
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 07/19/2012 DD 07/18/12	(303,929)	303,929
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 07/25/2012 DD 07/24/12	(255,788)	255,788
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 07/26/2012 DD 07/25/12	(248,484)	248,484
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/05/2012 DD 10/04/12	(440,433)	440,433
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(895,676)	895,676
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/10/2012 DD 10/09/12	(1,080,107)	1,080,107
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/11/2012 DD 10/10/12	(1,812,274)	1,812,274
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/12/2012 DD 10/11/12	(503,173)	503,173
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/15/2012 DD 10/12/12	(138,270)	138,270

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/16/2012 DD 10/15/12	(358,072)	358,072
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/17/2012 DD 10/16/12	(424,876)	424,876
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/18/2012 DD 10/17/12	(237,132)	237,132
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/19/2012 DD 10/18/12	(268,796)	268,796
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/22/2012 DD 10/19/12	(547,041)	547,041
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/24/2012 DD 10/23/12	(457,068)	457,068
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/25/2012 DD 10/24/12	(18,050)	18,050
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(165,755)	165,755
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/29/2012 DD 10/26/12	(159,905)	159,905
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 10/31/2012 DD 10/29/12	(298,637)	298,637
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/01/2012 DD 10/31/12	(207,389)	207,389
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/02/2012 DD 11/01/12	(133,478)	133,478
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/05/2012 DD 11/02/12	(142,158)	142,158
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/06/2012 DD 11/05/12	(71,980)	71,980
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/07/2012 DD 11/06/12	(1,380,508)	1,380,508

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/08/2012 DD 11/07/12	(1,666,293)	1,666,293
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/09/2012 DD 11/08/12	(1,870,102)	1,870,102
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/13/2012 DD 11/09/12	(1,641,031)	1,641,031
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/14/2012 DD 11/13/12	(434,519)	434,519
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(842,326)	842,326
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/16/2012 DD 11/15/12	(1,498,877)	1,498,877
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/19/2012 DD 11/16/12	(1,166,730)	1,166,730
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/20/2012 DD 11/19/12	(1,094,457)	1,094,457
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/21/2012 DD 11/20/12	(33,114)	33,114
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/23/2012 DD 11/21/12	(233,118)	233,118
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/26/2012 DD 11/23/12	(234,467)	234,467
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/27/2012 DD 11/26/12	(129,929)	129,929
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/29/2012 DD 11/28/12	(161,707)	161,707
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 11/30/2012 DD 11/29/12	(269,102)	269,102
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 12/04/2012 DD 12/03/12	(223,286)	223,286

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 12/05/2012 DD 12/04/12	(306,391)	306,391
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(275,552)	275,552
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 12/07/2012 DD 12/06/12	(254,100)	254,100
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 12/10/2012 DD 12/07/12	(359,902)	359,902
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.220% 12/11/2012 DD 12/10/12	(278,839)	278,839
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 06/14/2012 DD 06/13/12	(418,809)	418,809
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 06/15/2012 DD 06/14/12	(148,382)	148,382
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 06/25/2012 DD 06/22/12	(76,985)	76,985
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 06/26/2012 DD 06/25/12	(735,489)	735,489
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/05/2012 DD 07/03/12	(216,548)	216,548
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/06/2012 DD 07/05/12	(206,532)	206,532
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/09/2012 DD 07/06/12	(201,484)	201,484
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/12/2012 DD 07/11/12	(409,072)	409,072
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(306,205)	306,205
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/16/2012 DD 07/13/12	(289,361)	289,361
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/17/2012 DD 07/16/12	(265,674)	265,674

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 07/18/2012 DD 07/17/12	(343,826)	343,826
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 11/28/2012 DD 11/27/12	(146,308)	146,308
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/03/2012 DD 11/30/12	(291,917)	291,917
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/12/2012 DD 12/11/12	(218,547)	218,547
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/13/2012 DD 12/12/12	(164,903)	164,903
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/14/2012 DD 12/13/12	(77,643)	77,643
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/17/2012 DD 12/14/12	(67,127)	67,127
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/18/2012 DD 12/17/12	(115,888)	115,888
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/19/2012 DD 12/18/12	(139,353)	139,353
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO	(64,757)	64,757
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/21/2012 DD 12/20/12	(51,382)	51,382
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/24/2012 DD 12/21/12	(198,706)	198,706
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/26/2012 DD 12/24/12	(176,738)	176,738
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/27/2012 DD 12/26/12	(253,553)	253,553
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/28/2012 DD 12/27/12	(241,131)	241,131

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.230% 12/31/2012 DD 12/28/12	(233,035)	233,035
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.240% 06/18/2012 DD 06/15/12	(142,360)	142,360
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.240% 06/19/2012 DD 06/18/12	(77,601)	77,601
BNP PARIBAS CAT 2 REPO	BNP PARIBAS CAT 2 REPO 0.240% 07/03/2012 DD 07/02/12	(1,190,843)	1,190,843
BNP PARIBAS REPO	BNP PARIBAS REPO 0.030% 01/06/2012 DD 01/05/12	(795,486)	795,486
BNP PARIBAS REPO	BNP PARIBAS REPO 0.030% 01/09/2012 DD 01/06/12	(1,624,742)	1,624,742
BOA CAT 3 REPO REPO	BOA CAT 3 REPO REPO	(332,251)	332,251
BOE MERGER CORP	BOE MERGER CORP 9.500% 11/01/2017 DD 11/05/12	(155,000)	151,900
BOISE CASCADE LLC/FIN 144A	BOISE CASCADE LLC/FIN 144A 6.375% 11/01/2020 DD 10/22/12	(25,000)	25,438
BOMBARDIER INC 144A	BOMBARDIER INC 144A 7.750% 03/15/2020 DD 03/29/10	(58,000)	58,000
BREITBURN ENERGY PARTNERS 144A	BREITBURN ENERGY PARTNERS 144A 7.875% 04/15/2022 DD 01/13/12	(67,425)	68,271
BZW CAT 1 REPO	BZW CAT 1 REPO 0.210% 10/09/2012 DD 10/05/12	(8,724,555)	8,724,555
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/23/2012 DD 02/22/12	(303,372)	303,372
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 02/24/2012 DD 02/23/12	(136,899)	136,899
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 04/23/2012 DD 04/20/12	(2,776,559)	2,776,559

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 01/31/2012 DD 01/30/12	(34,743)	34,743
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 04/02/2012 DD 03/30/12	(2,908,153)	2,908,153
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 04/24/2012 DD 04/23/12	(2,096,880)	2,096,880
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO	(2,755,999)	2,755,999
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 07/23/2012 DD 07/20/12	(1,632,914)	1,632,914
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 07/24/2012 DD 07/23/12	(2,042,274)	2,042,274
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/08/2012 DD 03/07/12	(605,505)	605,505
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 03/30/2012 DD 03/29/12	(2,765,928)	2,765,928
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 04/27/2012 DD 04/26/12	(19,201)	19,201
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 07/31/2012 DD 07/30/12	(2,325,649)	2,325,649
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/22/2012 DD 03/21/12	(205,283)	205,283
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 03/29/2012 DD 03/28/12	(569,200)	569,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 04/19/2012 DD 04/18/12	(2,695,659)	2,695,659
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 05/03/2012 DD 05/02/12	(439,594)	439,594
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO	(2,492,200)	2,492,200

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 07/19/2012 DD 07/18/12	(2,278,617)	2,278,617
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 07/30/2012 DD 07/27/12	(2,307,109)	2,307,109
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 03/05/2012 DD 03/02/12	(1,062,697)	1,062,697
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 03/06/2012 DD 03/05/12	(741,002)	741,002
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 03/07/2012 DD 03/06/12	(2,710,318)	2,710,318
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 04/12/2012 DD 04/11/12	(2,543,525)	2,543,525
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 05/04/2012 DD 05/03/12	(94,257)	94,257
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 06/28/2012 DD 06/27/12	(2,500,238)	2,500,238
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 07/10/2012 DD 07/09/12	(2,046,169)	2,046,169
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 07/11/2012 DD 07/10/12	(2,014,839)	2,014,839
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 07/27/2012 DD 07/26/12	(908,652)	908,652
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO	(1,694,370)	1,694,370
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 10/24/2012 DD 10/23/12	(264,765)	264,765
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 11/20/2012 DD 11/19/12	(1,224,291)	1,224,291
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 02/16/2012 DD 02/15/12	(76,476)	76,476

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/02/2012 DD 03/01/12	(1,439,998)	1,439,998
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 03/21/2012 DD 03/20/12	(879,327)	879,327
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 04/16/2012 DD 04/13/12	(2,313,650)	2,313,650
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 07/12/2012 DD 07/11/12	(2,065,045)	2,065,045
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 07/16/2012 DD 07/13/12	(2,077,654)	2,077,654
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 07/25/2012 DD 07/24/12	(1,334,132)	1,334,132
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 08/17/2012 DD 08/16/12	(104,752)	104,752
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 08/31/2012 DD 08/30/12	(153,011)	153,011
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 11/08/2012 DD 11/07/12	(1,867,531)	1,867,531
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.150% 11/21/2012 DD 11/20/12	(31,982)	31,982
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 03/13/2012 DD 03/12/12	(779,157)	779,157
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 04/04/2012 DD 04/03/12	(1,449,187)	1,449,187
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 04/11/2012 DD 04/10/12	(2,011,776)	2,011,776
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 10/23/2012 DD 10/22/12	(503,290)	503,290

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 11/28/2012 DD 11/27/12	(244,697)	244,697
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 11/29/2012 DD 11/28/12	(319,798)	319,798
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.160% 12/11/2012 DD 12/10/12	(686,761)	686,761
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 04/03/2012 DD 04/02/12	(2,361,301)	2,361,301
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 04/05/2012 DD 04/04/12	(1,338,081)	1,338,081
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 04/13/2012 DD 04/12/12	(2,605,969)	2,605,969
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 07/18/2012 DD 07/17/12	(2,222,082)	2,222,082
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 08/27/2012 DD 08/24/12	(288,041)	288,041
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 11/19/2012 DD 11/16/12	(875,896)	875,896
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 11/30/2012 DD 11/29/12	(369,124)	369,124
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 12/14/2012 DD 12/13/12	(377,531)	377,531
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 12/20/2012 DD 12/19/12	(124,889)	124,889
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 12/21/2012 DD 12/20/12	(142,054)	142,054
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 12/28/2012 DD 12/27/12	(232,151)	232,151
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.170% 12/31/2012 DD 12/28/12	(270,036)	270,036

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 02/01/2012 DD 01/31/12	(1,539,679)	1,539,679
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 04/10/2012 DD 04/09/12	(2,370,672)	2,370,672
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 04/17/2012 DD 04/16/12	(869,868)	869,868
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 07/17/2012 DD 07/16/12	(2,139,390)	2,139,390
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 09/28/2012 DD 09/27/12	(724,916)	724,916
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 10/25/2012 DD 10/24/12	(18,106)	18,106
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 10/29/2012 DD 10/26/12	(140,744)	140,744
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 11/06/2012 DD 11/05/12	(89,398)	89,398
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 11/07/2012 DD 11/06/12	(1,485,051)	1,485,051
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 11/23/2012 DD 11/21/12	(262,681)	262,681
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 12/13/2012 DD 12/12/12	(798,980)	798,980
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 12/24/2012 DD 12/21/12	(536,337)	536,337
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.180% 12/27/2012 DD 12/26/12	(532,223)	532,223
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 07/13/2012 DD 07/12/12	(2,081,115)	2,081,115
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 09/04/2012 DD 08/31/12	(3,005,436)	3,005,436

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 09/05/2012 DD 09/04/12	(1,929,134)	1,929,134
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 09/06/2012 DD 09/05/12	(2,898,104)	2,898,104
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 09/20/2012 DD 09/19/12	(1,405,324)	1,405,324
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 11/09/2012 DD 11/08/12	(1,927,256)	1,927,256
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 11/14/2012 DD 11/13/12	(462,071)	462,071
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 12/12/2012 DD 12/11/12	(769,825)	769,825
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.190% 12/18/2012 DD 12/17/12	(290,888)	290,888
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 04/09/2012 DD 04/05/12	(1,816,146)	1,816,146
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 07/06/2012 DD 07/05/12	(1,953,891)	1,953,891
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 07/09/2012 DD 07/06/12	(1,941,673)	1,941,673
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/10/2012 DD 09/07/12	(3,185,247)	3,185,247
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/18/2012 DD 09/17/12	(3,129,507)	3,129,507
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/19/2012 DD 09/18/12	(3,045,186)	3,045,186

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/21/2012 DD 09/20/12	(182,806)	182,806
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/24/2012 DD 09/21/12	(1,289,811)	1,289,811
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 09/25/2012 DD 09/24/12	(539,937)	539,937
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 10/01/2012 DD 09/28/12	(284,626)	284,626
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 10/10/2012 DD 10/09/12	(2,009,664)	2,009,664
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 10/11/2012 DD 10/10/12	(2,283,613)	2,283,613
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 10/18/2012 DD 10/17/12	(353,779)	353,779
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 11/13/2012 DD 11/09/12	(1,980,230)	1,980,230
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 11/26/2012 DD 11/23/12	(204,201)	204,201
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 12/04/2012 DD 12/03/12	(454,387)	454,387
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 12/17/2012 DD 12/14/12	(168,625)	168,625
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 12/19/2012 DD 12/18/12	(276,855)	276,855
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.200% 12/26/2012 DD 12/24/12	(587,715)	587,715
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 06/26/2012 DD 06/25/12	(2,387,500)	2,387,500
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 09/12/2012 DD 09/11/12	(3,259,659)	3,259,659
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 09/13/2012 DD 09/12/12	(3,340,060)	3,340,060
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 09/14/2012 DD 09/13/12	(3,152,409)	3,152,409
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 09/27/2012 DD 09/26/12	(729,471)	729,471
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 10/12/2012 DD 10/11/12	(890,244)	890,244
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 10/19/2012 DD 10/18/12	(209,839)	209,839
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 11/15/2012 DD 11/14/12	(725,802)	725,802
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 11/16/2012 DD 11/15/12	(944,833)	944,833
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 12/03/2012 DD 11/30/12	(250,309)	250,309
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 12/05/2012 DD 12/04/12	(998,572)	998,572
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 12/06/2012 DD 12/05/12	(925,673)	925,673
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.210% 12/10/2012 DD 12/07/12	(775,958)	775,958
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 07/05/2012 DD 07/03/12	(1,920,148)	1,920,148
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 09/17/2012 DD 09/14/12	(3,059,727)	3,059,727
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/05/2012 DD 10/04/12	(8,828,133)	8,828,133

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/15/2012 DD 10/12/12	(211,716)	211,716
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/16/2012 DD 10/15/12	(1,157,740)	1,157,740
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/17/2012 DD 10/16/12	(458,354)	458,354
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/22/2012 DD 10/19/12	(752,865)	752,865
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 10/26/2012 DD 10/25/12	(153,988)	153,988
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 11/05/2012 DD 11/02/12	(150,705)	150,705
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 11/27/2012 DD 11/26/12	(113,255)	113,255
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.220% 12/03/2012 DD 11/30/12	(131,370)	131,370
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.230% 09/26/2012 DD 09/25/12	(41,415)	41,415
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.230% 10/03/2012 DD 10/02/12	(72,959)	72,959
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.230% 10/04/2012 DD 10/03/12	(77,754)	77,754
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.230% 12/07/2012 DD 12/06/12	(1,082,999)	1,082,999
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.250% 10/02/2012 DD 10/01/12	(285,670)	285,670
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.250% 10/31/2012 DD 10/29/12	(294,671)	294,671
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.250% 11/02/2012 DD 11/01/12	(111,807)	111,807

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.300% 11/01/2012 DD 10/31/12	(141,792)	141,792
BZW CAT 2 REPO	BZW CAT 2 REPO 0.110% 02/23/2012 DD 02/22/12	(153,573)	153,573
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 01/05/2012 DD 01/04/12	(572,305)	572,305
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 01/10/2012 DD 01/09/12	(36,281)	36,281
BZW CAT 2 REPO	BZW CAT 2 REPO 0.120% 01/12/2012 DD 01/11/12	(679,394)	679,394
BZW CAT 2 REPO	BZW CAT 2 REPO 0.140% 02/22/2012 DD 02/21/12	(252,671)	252,671
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 01/04/2012 DD 01/03/12	(958,479)	958,479
BZW CAT 2 REPO	BZW CAT 2 REPO 0.150% 02/21/2012 DD 02/17/12	(153,519)	153,519
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 01/27/2012 DD 01/26/12	(2,941,262)	2,941,262
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 01/30/2012 DD 01/27/12	(865,275)	865,275
BZW CAT 2 REPO	BZW CAT 2 REPO 0.160% 02/24/2012 DD 02/23/12	(275,027)	275,027
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 01/31/2012 DD 01/30/12	(1,687,320)	1,687,320
BZW CAT 2 REPO	BZW CAT 2 REPO 0.170% 02/17/2012 DD 02/16/12	(386,748)	386,748
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 01/19/2012 DD 01/18/12	(1,933,810)	1,933,810
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 01/25/2012 DD 01/24/12	(6,451,805)	6,451,805

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 01/30/2012 DD 01/27/12	(1,327,182)	1,327,182
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/07/2012 DD 02/06/12	(149,867)	149,867
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/10/2012 DD 02/09/12	(261,922)	261,922
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/15/2012 DD 02/14/12	(836,522)	836,522
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/27/2012 DD 02/24/12	(135,216)	135,216
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 02/29/2012 DD 02/28/12	(2,719,925)	2,719,925
BZW CAT 2 REPO	BZW CAT 2 REPO 0.180% 03/15/2012 DD 03/14/12	(1,228,560)	1,228,560
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/14/2012 DD 03/13/12	(1,848,656)	1,848,656
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/28/2012 DD 03/27/12	(2,933,163)	2,933,163
BZW CAT 2 REPO	BZW CAT 2 REPO 0.190% 03/29/2012 DD 03/28/12	(79,652)	79,652
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 01/24/2012 DD 01/23/12	(245,902)	245,902
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/03/2012 DD 02/02/12	(460,880)	460,880
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 02/07/2012 DD 02/06/12	(1,334,133)	1,334,133
BZW CAT 2 REPO	BZW CAT 2 REPO 0.200% 03/08/2012 DD 03/07/12	(1,531,493)	1,531,493
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 01/17/2012 DD 01/13/12	(490,790)	490,790

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 02/06/2012 DD 02/03/12	(2,893,470)	2,893,470
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 02/28/2012 DD 02/27/12	(975,817)	975,817
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 02/29/2012 DD 02/28/12	(1,898,432)	1,898,432
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/05/2012 DD 03/02/12	(1,436,920)	1,436,920
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/09/2012 DD 03/08/12	(2,166,400)	2,166,400
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/12/2012 DD 03/09/12	(1,331,098)	1,331,098
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/13/2012 DD 03/12/12	(677,434)	677,434
BZW CAT 2 REPO	BZW CAT 2 REPO 0.210% 03/20/2012 DD 03/19/12	(1,101,442)	1,101,442
BZW CAT 2 REPO	BZW CAT 2 REPO 0.220% 03/06/2012 DD 03/05/12	(1,712,550)	1,712,550
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 02/14/2012 DD 02/13/12	(649,178)	649,178
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 02/16/2012 DD 02/15/12	(469,877)	469,877
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 02/28/2012 DD 02/27/12	(2,780,669)	2,780,669
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 03/07/2012 DD 03/06/12	(1,749,251)	1,749,251
BZW CAT 2 REPO	BZW CAT 2 REPO 0.230% 03/27/2012 DD 03/26/12	(64,927)	64,927
BZW CAT 2 REPO	BZW CAT 2 REPO 0.240% 03/19/2012 DD 03/16/12	(189,525)	189,525

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
BZW CAT 2 REPO	BZW CAT 2 REPO 0.250% 01/18/2012 DD 01/17/12	(12,628,334)	12,628,334
BZW CAT 2 REPO	BZW CAT 2 REPO 0.250% 01/23/2012 DD 01/20/12	(1,705,349)	1,705,349
BZW CAT 2 REPO	BZW CAT 2 REPO 0.250% 02/02/2012 DD 02/01/12	(378,873)	378,873
BZW CAT 2 REPO	BZW CAT 2 REPO 0.250% 03/02/2012 DD 03/01/12	(1,028,525)	1,028,525
BZW CAT 2 REPO	BZW CAT 2 REPO 0.250% 03/16/2012 DD 03/15/12	(168,026)	168,026
BZW CAT 2 REPO	BZW CAT 2 REPO 0.260% 04/04/2012 DD 04/03/12	(861,671)	861,671
BZW CAT 2 REPO	BZW CAT 2 REPO 0.270% 04/05/2012 DD 04/04/12	(686,250)	686,250
CAESARS ENTERTAINMENT OPE 144A	CAESARS ENTERTAINMENT OPE 144A 8.500% 02/15/2020 DD 02/14/12	(370,839)	374,456
CAMPBELL SOUP CO	CAMPBELL SOUP CO 3.800% 08/02/2042 DD 08/02/12	(188,452)	192,838
CATALENT PHARMA SOLUTION 144A	CATALENT PHARMA SOLUTION 144A 7.875% 10/15/2018 DD 09/18/12	(55,000)	55,550
CATERPILLAR INC 144A	CATERPILLAR INC 144A 3.803% 08/15/2042 DD 08/15/12	(672)	825
CCM MERGER INC	CCM MERGER INC 9.125% 05/01/2019 DD 03/26/12	(300,000)	304,750
CDW LLC / CDW FIN CORP 144A	CDW LLC / CDW FIN CORP 144A 8.500% 04/01/2019 DD 10/01/11	(167,000)	169,600
CERIDIAN CORP 144A	CERIDIAN CORP 144A 8.875% 07/15/2019 DD 07/10/12	(120,000)	128,400
CHAPARRAL ENERGY INC 144A	CHAPARRAL ENERGY INC 144A 7.625% 11/15/2022 DD 05/02/12	(130,000)	130,225

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 8/16/2012	(599,090)	599,747
CHESAPEAKE ENERGY CORP	CHESAPEAKE ENERGY CORP 6.125% 02/15/2021 DD 02/11/11	(108,900)	104,363
CIESCO LLC DISC	CIESCO LLC DISC 12/4/2012	(1,298,765)	1,298,765
CIT GROUP INC	CIT GROUP INC 5.000% 05/15/2017 DD 05/04/12	(1,068,600)	1,076,625
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.140% 10/24/2012 DD 10/23/12	(19,384)	19,384
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.140% 11/08/2012 DD 11/07/12	(51,010)	51,010
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.140% 11/20/2012 DD 11/19/12	(66,642)	66,642
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.150% 12/28/2012 DD 12/27/12	(60,152)	60,152
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.160% 02/01/2012 DD 01/31/12	(81,400)	81,400
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.160% 12/11/2012 DD 12/10/12	(75,102)	75,102
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.160% 12/21/2012 DD 12/20/12	(28,838)	28,838
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.170% 10/29/2012 DD 10/26/12	(13,214)	13,214
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.170% 11/07/2012 DD 11/06/12	(123,109)	123,109
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.170% 11/19/2012 DD 11/16/12	(150,767)	150,767
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.170% 11/23/2012 DD 11/21/12	(34,298)	34,298

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.170% 12/27/2012 DD 12/26/12	(97,571)	97,571
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.180% 11/09/2012 DD 11/08/12	(118,182)	118,182
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.180% 11/14/2012 DD 11/13/12	(109,512)	109,512
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.180% 12/24/2012 DD 12/21/12	(107,760)	107,760
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.190% 11/13/2012 DD 11/09/12	(470,841)	470,841
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.190% 12/12/2012 DD 12/11/12	(25,472)	25,472
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.200% 10/10/2012 DD 10/09/12	(933,624)	933,624
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.200% 11/15/2012 DD 11/14/12	(212,935)	212,935
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.200% 11/16/2012 DD 11/15/12	(286,142)	286,142
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.200% 12/10/2012 DD 12/07/12	(20,725)	20,725
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.200% 12/26/2012 DD 12/24/12	(151,303)	151,303
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.210% 10/03/2012 DD 10/02/12	(44,638)	44,638
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.210% 10/09/2012 DD 10/05/12	(1,314,927)	1,314,927
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.210% 10/26/2012 DD 10/25/12	(88,270)	88,270
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.220% 10/02/2012 DD 10/01/12	(17,276)	17,276

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.250% 11/02/2012 DD 11/01/12	(16,009)	16,009
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 11/01/2012 DD 10/31/12	(26,886)	26,886
CLEAR CHANNEL WORLDWIDE H 144A	CLEAR CHANNEL WORLDWIDE H 144A 7.625% 03/15/2020 DD 03/15/12	(237,623)	235,156
CLEAR CHANNEL WORLDWIDE 144A	CLEAR CHANNEL WORLDWIDE 144A 7.625% 03/15/2020 DD 03/15/12	(60,000)	58,350
CLEARWIRE COMM/FINANCE 144A	CLEARWIRE COMM/FINANCE 144A 14.750% 12/01/2016 DD 01/27/12	(80,000)	82,025
CLIFFS NATURAL RESOURCES INC	CLIFFS NATURAL RESOURCES INC 4.875% 04/01/2021 DD 03/23/11	(302,529)	298,836
CNG HOLDINGS INC/OH	CNG HOLDINGS INC/OH 12.250% 02/15/2015 DD 02/09/10	(146,788)	150,220
CNO FINANCIAL GROUP INC 144A	CNO FINANCIAL GROUP INC 144A 63.750% 10/01/2020 DD 09/28/12	(105,000)	106,700
CODERE FINANCE LUXEMBOURG 144A	CODERE FINANCE LUXEMBOURG 144A 9.250% 02/15/2019 DD 02/08/12	(415,400)	312,588
CON'L RUBBER CRP AMERICA	CON'L RUBBER CRP AMERICA 4.500% 09/15/2019	(400,000)	403,250
CONTINENTAL RESOURCES INC/OK	CONTINENTAL RESOURCES INC/OK 5.000% 09/15/2022 DD 03/08/12	(35,000)	37,800
CONTINENTAL RESOURCES 144A	CONTINENTAL RESOURCES 144A 5.000% 09/15/2022 DD 03/08/12	(300,000)	306,750
CORNING INC	CORNING INC 4.750% 03/15/2042 DD 02/21/12	(224,669)	227,915
COVANTA HOLDING CORP	COVANTA HOLDING CORP 6.375% 10/01/2022 DD 03/19/12	(115,000)	116,581
CRC FDG LLC DISC	CRC FDG LLC DISC 7/17/2012	(1,506,161)	1,506,406

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CRC FDG LLC DISC	CRC FDG LLC DISC 11/6/2012	(359,442)	359,442
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/23/2012 DD 02/22/12	(336,917)	336,917
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/24/2012 DD 02/23/12	(185,280)	185,280
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 04/23/2012 DD 04/20/12	(347,675)	347,675
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 04/25/2012 DD 04/24/12	(485,016)	485,016
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/23/2012 DD 07/20/12	(2,309,208)	2,309,208
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 03/30/2012 DD 03/29/12	(2,765,928)	2,765,928
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 07/24/2012 DD 07/23/12	(2,282,128)	2,282,128
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 03/08/2012 DD 03/07/12	(2,630,473)	2,630,473
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 07/31/2012 DD 07/30/12	(2,325,649)	2,325,649
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/21/2012 DD 08/20/12	(165,564)	165,564
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/30/2012 DD 08/29/12	(495,253)	495,253
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 03/05/2012 DD 03/02/12	(1,142,721)	1,142,721
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 03/07/2012 DD 03/06/12	(1,035,672)	1,035,672

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 04/19/2012 DD 04/18/12	(2,316,313)	2,316,313
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 05/10/2012 DD 05/09/12	(1,045,320)	1,045,320
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 06/27/2012 DD 06/26/12	(2,492,200)	2,492,200
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 07/19/2012 DD 07/18/12	(2,278,617)	2,278,617
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 07/30/2012 DD 07/27/12	(2,307,109)	2,307,109
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 03/29/2012 DD 03/28/12	(895,557)	895,557
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 04/16/2012 DD 04/13/12	(1,126,339)	1,126,339
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 05/03/2012 DD 05/02/12	(2,270,284)	2,270,284
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 05/04/2012 DD 05/03/12	(2,251,588)	2,251,588
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 06/07/2012 DD 06/06/12	(1,591,161)	1,591,161
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 06/08/2012 DD 06/07/12	(1,524,223)	1,524,223
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 06/28/2012 DD 06/27/12	(2,500,238)	2,500,238
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 07/10/2012 DD 07/09/12	(1,972,231)	1,972,231
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 07/11/2012 DD 07/10/12	(2,014,839)	2,014,839
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 07/16/2012 DD 07/13/12	(2,092,613)	2,092,613

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.140% 07/27/2012 DD 07/26/12	(2,274,267)	2,274,267
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 05/07/2012 DD 05/04/12	(1,980,281)	1,980,281
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 05/08/2012 DD 05/07/12	(1,076,273)	1,076,273
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 05/09/2012 DD 05/08/12	(398,799)	398,799
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 06/11/2012 DD 06/08/12	(423,934)	423,934
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 07/02/2012 DD 06/29/12	(1,548,713)	1,548,713
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 07/12/2012 DD 07/11/12	(2,065,045)	2,065,045
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 07/25/2012 DD 07/24/12	(2,273,594)	2,273,594
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 08/17/2012 DD 08/16/12	(1,771,035)	1,771,035
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 10/24/2012 DD 10/23/12	(1,078,680)	1,078,680
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 11/08/2012 DD 11/07/12	(7,217,687)	7,217,687
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.150% 11/20/2012 DD 11/19/12	(5,638,567)	5,638,567
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 04/03/2012 DD 04/02/12	(2,361,301)	2,361,301
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 05/02/2012 DD 05/01/12	(1,016,267)	1,016,267

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 07/18/2012 DD 07/17/12	(2,222,082)	2,222,082
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 08/27/2012 DD 08/24/12	(1,954,325)	1,954,325
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 08/28/2012 DD 08/27/12	(443,059)	443,059
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 11/21/2012 DD 11/20/12	(169,256)	169,256
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 11/28/2012 DD 11/27/12	(636,590)	636,590
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 11/29/2012 DD 11/28/12	(822,290)	822,290
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 12/21/2012 DD 12/20/12	(256,546)	256,546
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 12/28/2012 DD 12/27/12	(680,471)	680,471
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.160% 12/31/2012 DD 12/28/12	(914,631)	914,631
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 04/10/2012 DD 04/09/12	(249,713)	249,713
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 04/13/2012 DD 04/12/12	(2,164,095)	2,164,095
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 06/06/2012 DD 06/05/12	(1,586,292)	1,586,292
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 07/13/2012 DD 07/12/12	(2,081,115)	2,081,115
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 07/26/2012 DD 07/25/12	(582,770)	582,770
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 08/16/2012 DD 08/15/12	(1,527,302)	1,527,302

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 09/06/2012 DD 09/05/12	(2,898,104)	2,898,104
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 09/28/2012 DD 09/27/12	(3,154,730)	3,154,730
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 10/23/2012 DD 10/22/12	(1,222,385)	1,222,385
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 11/19/2012 DD 11/16/12	(4,232,861)	4,232,861
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 11/23/2012 DD 11/21/12	(1,322,534)	1,322,534
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 11/30/2012 DD 11/29/12	(1,099,834)	1,099,834
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 12/11/2012 DD 12/10/12	(1,557,997)	1,557,997
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 12/14/2012 DD 12/13/12	(369,561)	369,561
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 12/20/2012 DD 12/19/12	(249,435)	249,435
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 12/24/2012 DD 12/21/12	(967,061)	967,061
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.170% 12/27/2012 DD 12/26/12	(967,395)	967,395
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 06/13/2012 DD 06/12/12	(690,484)	690,484
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 07/17/2012 DD 07/16/12	(4,739,390)	4,739,390
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 09/04/2012 DD 08/31/12	(3,005,436)	3,005,436
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 09/05/2012 DD 09/04/12	(2,908,870)	2,908,870

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 10/29/2012 DD 10/26/12	(521,756)	521,756
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 11/06/2012 DD 11/05/12	(365,285)	365,285
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 11/07/2012 DD 11/06/12	(6,269,507)	6,269,507
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 12/13/2012 DD 12/12/12	(840,418)	840,418
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.180% 12/17/2012 DD 12/14/12	(374,341)	374,341
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 06/14/2012 DD 06/13/12	(721,974)	721,974
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/18/2012 DD 09/17/12	(3,129,507)	3,129,507
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/19/2012 DD 09/18/12	(3,045,186)	3,045,186
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/20/2012 DD 09/19/12	(3,052,836)	3,052,836
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/21/2012 DD 09/20/12	(3,026,315)	3,026,315
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/24/2012 DD 09/21/12	(3,088,699)	3,088,699
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 09/25/2012 DD 09/24/12	(3,434,477)	3,434,477
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 10/22/2012 DD 10/19/12	(1,743,354)	1,743,354
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 10/31/2012 DD 10/29/12	(986,442)	986,442

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 11/09/2012 DD 11/08/12	(8,303,371)	8,303,371
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 11/14/2012 DD 11/13/12	(1,997,331)	1,997,331
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 12/04/2012 DD 12/03/12	(1,331,165)	1,331,165
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 12/18/2012 DD 12/17/12	(630,140)	630,140
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 12/19/2012 DD 12/18/12	(593,507)	593,507
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.190% 12/26/2012 DD 12/24/12	(869,679)	869,679
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 06/26/2012 DD 06/25/12	(2,388,223)	2,388,223
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 07/05/2012 DD 07/03/12	(1,920,148)	1,920,148
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 07/06/2012 DD 07/05/12	(1,953,891)	1,953,891
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 07/09/2012 DD 07/06/12	(1,941,673)	1,941,673
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 09/10/2012 DD 09/07/12	(3,185,247)	3,185,247
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 09/12/2012 DD 09/11/12	(3,259,659)	3,259,659
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 09/13/2012 DD 09/12/12	(3,340,060)	3,340,060
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 10/10/2012 DD 10/09/12	(8,762,006)	8,762,006

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 10/11/2012 DD 10/10/12	(8,516,348)	8,516,348
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 11/13/2012 DD 11/09/12	(8,145,694)	8,145,694
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 11/16/2012 DD 11/15/12	(3,837,461)	3,837,461
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 12/05/2012 DD 12/04/12	(1,793,755)	1,793,755
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 12/06/2012 DD 12/05/12	(1,539,344)	1,539,344
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.200% 12/12/2012 DD 12/11/12	(1,324,157)	1,324,157
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 09/14/2012 DD 09/13/12	(3,152,409)	3,152,409
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 09/26/2012 DD 09/25/12	(3,151,586)	3,151,586
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 09/27/2012 DD 09/26/12	(3,137,807)	3,137,807
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/05/2012 DD 10/04/12	(18,565,973)	18,565,973
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/09/2012 DD 10/05/12	(16,539,224)	16,539,224
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/12/2012 DD 10/11/12	(2,699,423)	2,699,423
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/15/2012 DD 10/12/12	(643,745)	643,745
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/18/2012 DD 10/17/12	(1,023,076)	1,023,076

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/19/2012 DD 10/18/12	(685,970)	685,970
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 10/26/2012 DD 10/25/12	(416,009)	416,009
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 11/15/2012 DD 11/14/12	(3,156,746)	3,156,746
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 11/26/2012 DD 11/23/12	(1,072,704)	1,072,704
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 11/27/2012 DD 11/26/12	(582,238)	582,238
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 12/03/2012 DD 11/30/12	(1,389,346)	1,389,346
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.210% 12/10/2012 DD 12/07/12	(2,128,558)	2,128,558
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 09/17/2012 DD 09/14/12	(3,059,727)	3,059,727
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 10/03/2012 DD 10/02/12	(778,752)	778,752
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 10/04/2012 DD 10/03/12	(483,358)	483,358
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 10/16/2012 DD 10/15/12	(1,640,239)	1,640,239
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 10/17/2012 DD 10/16/12	(1,467,723)	1,467,723
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 11/05/2012 DD 11/02/12	(650,082)	650,082
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.220% 12/07/2012 DD 12/06/12	(1,562,824)	1,562,824
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.230% 10/02/2012 DD 10/01/12	(1,697,442)	1,697,442

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.230% 11/02/2012 DD 11/01/12	(602,643)	602,643
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.240% 07/03/2012 DD 07/02/12	(1,893,767)	1,893,767
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.250% 11/01/2012 DD 10/31/12	(794,110)	794,110
CROSSTEX ENERGY LP / CROS 144A	CROSSTEX ENERGY LP / CROS 144A 7.125% 06/01/2022 DD 05/24/12	(60,000)	60,525
CROWN POINT CAP DISC	CROWN POINT CAP DISC 11/5/2012	(1,099,720)	1,099,720
CS FOB CAT 1 REPO	CS FOB CAT 1 REPO 0.210% 10/09/2012 DD 10/05/12	(2,097,730)	2,097,730
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.040% 02/23/2012 DD 02/22/12	(290,748)	290,748
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.050% 04/02/2012 DD 03/30/12	(1,744,127)	1,744,127
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 02/24/2012 DD 02/23/12	(153,966)	153,966
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 01/31/2012 DD 01/30/12	(2,592,852)	2,592,852
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 02/29/2012 DD 02/28/12	(2,208,617)	2,208,617
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.100% 07/23/2012 DD 07/20/12	(2,309,208)	2,309,208
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.110% 03/30/2012 DD 03/29/12	(281,394)	281,394
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.110% 06/21/2012 DD 06/20/12	(363,741)	363,741

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.110% 07/24/2012 DD 07/23/12	(2,282,128)	2,282,128
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.110% 08/23/2012 DD 08/22/12	(848,826)	848,826
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 03/08/2012 DD 03/07/12	(2,630,473)	2,630,473
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 03/22/2012 DD 03/21/12	(158,770)	158,770
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 07/31/2012 DD 07/30/12	(2,325,649)	2,325,649
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 08/15/2012 DD 08/14/12	(426,811)	426,811
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 08/22/2012 DD 08/21/12	(35,766)	35,766
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.120% 08/30/2012 DD 08/29/12	(1,873,713)	1,873,713
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 01/19/2012 DD 01/18/12	(898,492)	898,492
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 02/28/2012 DD 02/27/12	(2,327,988)	2,327,988
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 03/07/2012 DD 03/06/12	(2,569,983)	2,569,983
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 04/12/2012 DD 04/11/12	(272,942)	272,942
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 06/08/2012 DD 06/07/12	(28,190)	28,190
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 06/22/2012 DD 06/21/12	(1,121,558)	1,121,558
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 06/27/2012 DD 06/26/12	(2,492,200)	2,492,200

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 06/28/2012 DD 06/27/12	(2,500,238)	2,500,238
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 07/19/2012 DD 07/18/12	(2,278,617)	2,278,617
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 07/30/2012 DD 07/27/12	(2,307,109)	2,307,109
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 08/21/2012 DD 08/20/12	(1,766,896)	1,766,896
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 08/29/2012 DD 08/28/12	(495,339)	495,339
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.130% 11/08/2012 DD 11/07/12	(13,164)	13,164
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 01/18/2012 DD 01/17/12	(2,455,606)	2,455,606
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 02/16/2012 DD 02/15/12	(206,976)	206,976
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 03/05/2012 DD 03/02/12	(2,589,576)	2,589,576
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 03/06/2012 DD 03/05/12	(2,526,484)	2,526,484
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 03/28/2012 DD 03/27/12	(350,227)	350,227
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 06/07/2012 DD 06/06/12	(1,509,197)	1,509,197
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 06/20/2012 DD 06/19/12	(1,124,899)	1,124,899
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 07/10/2012 DD 07/09/12	(1,972,231)	1,972,231

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 07/11/2012 DD 07/10/12	(2,014,839)	2,014,839
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 07/12/2012 DD 07/11/12	(2,817,290)	2,817,290
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 07/16/2012 DD 07/13/12	(2,077,654)	2,077,654
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 07/27/2012 DD 07/26/12	(2,274,267)	2,274,267
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/02/2012 DD 08/01/12	(909,867)	909,867
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/07/2012 DD 08/06/12	(114,581)	114,581
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/20/2012 DD 08/17/12	(539,484)	539,484
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/24/2012 DD 08/23/12	(1,805,927)	1,805,927
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 10/24/2012 DD 10/23/12	(438,421)	438,421
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 02/02/2012 DD 02/01/12	(669,725)	669,725
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 03/01/2012 DD 02/29/12	(2,965,322)	2,965,322
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 03/02/2012 DD 03/01/12	(2,082,477)	2,082,477
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 03/21/2012 DD 03/20/12	(28,486)	28,486
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 07/02/2012 DD 06/29/12	(2,505,646)	2,505,646
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 07/25/2012 DD 07/24/12	(2,273,594)	2,273,594

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/14/2012 DD 08/13/12	(458,758)	458,758
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/17/2012 DD 08/16/12	(1,771,035)	1,771,035
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/27/2012 DD 08/24/12	(1,954,325)	1,954,325
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/31/2012 DD 08/30/12	(163,540)	163,540
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 11/08/2012 DD 11/07/12	(1,317,089)	1,317,089
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 07/18/2012 DD 07/17/12	(2,222,082)	2,222,082
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 08/03/2012 DD 08/02/12	(595,346)	595,346
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 08/06/2012 DD 08/03/12	(409,892)	409,892
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 08/13/2012 DD 08/10/12	(1,101,068)	1,101,068
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 08/28/2012 DD 08/27/12	(1,965,517)	1,965,517
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 11/20/2012 DD 11/19/12	(1,340,027)	1,340,027
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 11/21/2012 DD 11/20/12	(34,784)	34,784
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 11/28/2012 DD 11/27/12	(270,174)	270,174
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 11/29/2012 DD 11/28/12	(349,584)	349,584
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 11/30/2012 DD 11/29/12	(407,350)	407,350

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.160% 12/28/2012 DD 12/27/12	(109,636)	109,636
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 06/06/2012 DD 06/05/12	(898,256)	898,256
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 07/26/2012 DD 07/25/12	(2,285,961)	2,285,961
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 08/16/2012 DD 08/15/12	(1,765,861)	1,765,861
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 09/28/2012 DD 09/27/12	(3,000,000)	3,000,000
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 12/11/2012 DD 12/10/12	(250,932)	250,932
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 12/21/2012 DD 12/20/12	(51,762)	51,762
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 12/24/2012 DD 12/21/12	(153,801)	153,801
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.170% 12/31/2012 DD 12/28/12	(63,901)	63,901
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 02/01/2012 DD 01/31/12	(170,356)	170,356
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 07/13/2012 DD 07/12/12	(2,081,115)	2,081,115
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 07/17/2012 DD 07/16/12	(2,139,390)	2,139,390
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 09/04/2012 DD 08/31/12	(3,005,436)	3,005,436
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 09/05/2012 DD 09/04/12	(2,907,900)	2,907,900
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 09/06/2012 DD 09/05/12	(2,898,104)	2,898,104

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 10/23/2012 DD 10/22/12	(548,420)	548,420
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 10/29/2012 DD 10/26/12	(236,872)	236,872
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 11/07/2012 DD 11/06/12	(1,054,959)	1,054,959
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 11/19/2012 DD 11/16/12	(970,233)	970,233
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 11/23/2012 DD 11/21/12	(294,981)	294,981
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 12/13/2012 DD 12/12/12	(31,533)	31,533
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 12/14/2012 DD 12/13/12	(63,206)	63,206
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 12/19/2012 DD 12/18/12	(46,351)	46,351
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.180% 12/27/2012 DD 12/26/12	(161,838)	161,838
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 06/19/2012 DD 06/18/12	(1,523,376)	1,523,376
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 07/06/2012 DD 07/05/12	(1,953,891)	1,953,891
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 07/09/2012 DD 07/06/12	(1,941,673)	1,941,673
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 09/21/2012 DD 09/20/12	(3,079,517)	3,079,517
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 09/24/2012 DD 09/21/12	(3,088,699)	3,088,699

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 10/18/2012 DD 10/17/12	(557,929)	557,929
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.190% 12/26/2012 DD 12/24/12	(144,469)	144,469
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 06/26/2012 DD 06/25/12	(2,387,500)	2,387,500
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 07/05/2012 DD 07/03/12	(1,920,148)	1,920,148
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/10/2012 DD 09/07/12	(3,185,247)	3,185,247
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/12/2012 DD 09/11/12	(3,259,659)	3,259,659
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/18/2012 DD 09/17/12	(3,129,507)	3,129,507
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/19/2012 DD 09/18/12	(3,045,186)	3,045,186
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/20/2012 DD 09/19/12	(3,052,836)	3,052,836
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 09/25/2012 DD 09/24/12	(3,121,283)	3,121,283
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 10/01/2012 DD 09/28/12	(187,012)	187,012
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 10/15/2012 DD 10/12/12	(363,266)	363,266
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 10/19/2012 DD 10/18/12	(352,385)	352,385
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 10/22/2012 DD 10/19/12	(845,345)	845,345

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 11/06/2012 DD 11/05/12	(53,123)	53,123
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 11/09/2012 DD 11/08/12	(2,119,906)	2,119,906
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 11/14/2012 DD 11/13/12	(519,556)	519,556
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 11/26/2012 DD 11/23/12	(228,354)	228,354
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.200% 12/12/2012 DD 12/11/12	(191,632)	191,632
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 09/07/2012 DD 09/06/12	(2,986,142)	2,986,142
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 09/13/2012 DD 09/12/12	(3,340,060)	3,340,060
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 09/27/2012 DD 09/26/12	(3,137,807)	3,137,807
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 10/10/2012 DD 10/09/12	(1,359,025)	1,359,025
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 10/11/2012 DD 10/10/12	(3,881,642)	3,881,642
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 10/12/2012 DD 10/11/12	(1,475,843)	1,475,843
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 11/13/2012 DD 11/09/12	(2,209,529)	2,209,529
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 11/27/2012 DD 11/26/12	(123,314)	123,314
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 12/05/2012 DD 12/04/12	(25,643)	25,643
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.210% 12/10/2012 DD 12/07/12	(298,570)	298,570

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 06/18/2012 DD 06/15/12	(1,601,182)	1,601,182
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 09/14/2012 DD 09/13/12	(3,152,409)	3,152,409
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 09/26/2012 DD 09/25/12	(3,206,383)	3,206,383
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 10/04/2012 DD 10/03/12	(31,632)	31,632
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 10/05/2012 DD 10/04/12	(2,259,044)	2,259,044
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 10/17/2012 DD 10/16/12	(782,629)	782,629
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 10/26/2012 DD 10/25/12	(75,423)	75,423
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 11/05/2012 DD 11/02/12	(91,318)	91,318
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 11/15/2012 DD 11/14/12	(810,502)	810,502
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 11/16/2012 DD 11/15/12	(1,052,060)	1,052,060
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.220% 12/03/2012 DD 11/30/12	(246,615)	246,615
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.230% 10/03/2012 DD 10/02/12	(52,487)	52,487
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.230% 10/16/2012 DD 10/15/12	(967,544)	967,544
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.240% 07/03/2012 DD 07/02/12	(1,893,767)	1,893,767
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.240% 09/17/2012 DD 09/14/12	(3,059,727)	3,059,727

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.240% 10/02/2012 DD 10/01/12	(89,479)	89,479
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.250% 10/31/2012 DD 10/29/12	(508,670)	508,670
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.250% 11/02/2012 DD 11/01/12	(27,962)	27,962
DBS BANK LTD DISC	DBS BANK LTD DISC 1/10/2013	(723,639)	723,639
DELPHI CORP	DELPHI CORP 5.875% 05/15/2019 DD 11/15/11	(80,000)	84,600
DELPHI CORP	DELPHI CORP 6.125% 05/15/2021 DD 11/15/11	(30,000)	32,175
DELUXE CORP 144A	DELUXE CORP 144A 6.000% 11/15/2020 DD 11/27/12	(120,000)	119,763
DEUT CAT 1 REPO	DEUT CAT 1 REPO 0.230% 10/09/2012 DD 10/05/12	(1,896,228)	1,896,228
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 02/24/2012 DD 02/23/12	(45,150)	45,150
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.120% 03/30/2012 DD 03/29/12	(2,765,928)	2,765,928
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.130% 03/08/2012 DD 03/07/12	(26,575)	26,575
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.140% 06/27/2012 DD 06/26/12	(625,038)	625,038
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.150% 10/01/2012 DD 09/28/12	(312,276)	312,276
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 08/17/2012 DD 08/16/12	(270,961)	270,961

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 10/24/2012 DD 10/23/12	(170,797)	170,797
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 11/08/2012 DD 11/07/12	(1,852,058)	1,852,058
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 11/20/2012 DD 11/19/12	(969,843)	969,843
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.160% 11/21/2012 DD 11/20/12	(24,363)	24,363
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.170% 08/01/2012 DD 07/31/12	(965,826)	965,826
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.170% 08/27/2012 DD 08/24/12	(340,857)	340,857
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.170% 11/29/2012 DD 11/28/12	(238,000)	238,000
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 11/07/2012 DD 11/06/12	(1,495,696)	1,495,696
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 11/23/2012 DD 11/21/12	(214,219)	214,219
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 11/28/2012 DD 11/27/12	(143,282)	143,282
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 12/14/2012 DD 12/13/12	(116,461)	116,461
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 12/20/2012 DD 12/19/12	(89,562)	89,562
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.180% 12/21/2012 DD 12/20/12	(75,651)	75,651
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 09/04/2012 DD 08/31/12	(3,005,436)	3,005,436
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 09/28/2012 DD 09/27/12	(731,300)	731,300

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 10/23/2012 DD 10/22/12	(143,912)	143,912
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 11/06/2012 DD 11/05/12	(88,891)	88,891
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 11/19/2012 DD 11/16/12	(703,187)	703,187
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 11/30/2012 DD 11/29/12	(288,048)	288,048
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 12/11/2012 DD 12/10/12	(367,804)	367,804
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 12/13/2012 DD 12/12/12	(135,630)	135,630
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 12/24/2012 DD 12/21/12	(227,350)	227,350
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 12/28/2012 DD 12/27/12	(161,853)	161,853
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.190% 12/31/2012 DD 12/28/12	(194,019)	194,019
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 02/01/2012 DD 01/31/12	(216,454)	216,454
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/05/2012 DD 09/04/12	(1,947,606)	1,947,606
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/06/2012 DD 09/05/12	(2,898,104)	2,898,104
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/19/2012 DD 09/18/12	(2,874,681)	2,874,681
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/20/2012 DD 09/19/12	(1,299,017)	1,299,017

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/21/2012 DD 09/20/12	(176,718)	176,718
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/24/2012 DD 09/21/12	(1,245,967)	1,245,967
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 09/25/2012 DD 09/24/12	(873,530)	873,530
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 10/29/2012 DD 10/26/12	(90,367)	90,367
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 11/09/2012 DD 11/08/12	(1,914,431)	1,914,431
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 11/14/2012 DD 11/13/12	(726,902)	726,902
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 12/17/2012 DD 12/14/12	(116,192)	116,192
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 12/26/2012 DD 12/24/12	(201,093)	201,093
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.200% 12/27/2012 DD 12/26/12	(228,322)	228,322
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 09/10/2012 DD 09/07/12	(3,185,247)	3,185,247
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 09/18/2012 DD 09/17/12	(3,129,507)	3,129,507
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 10/10/2012 DD 10/09/12	(1,259,998)	1,259,998
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 10/11/2012 DD 10/10/12	(1,269,473)	1,269,473
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 11/13/2012 DD 11/09/12	(3,121,507)	3,121,507
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 12/04/2012 DD 12/03/12	(363,505)	363,505

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 12/05/2012 DD 12/04/12	(434,984)	434,984
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 12/12/2012 DD 12/11/12	(283,220)	283,220
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 12/18/2012 DD 12/17/12	(195,858)	195,858
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.210% 12/19/2012 DD 12/18/12	(187,465)	187,465
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 09/12/2012 DD 09/11/12	(3,259,659)	3,259,659
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 09/13/2012 DD 09/12/12	(3,340,060)	3,340,060
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 09/26/2012 DD 09/25/12	(40,543)	40,543
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 09/27/2012 DD 09/26/12	(769,194)	769,194
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 10/26/2012 DD 10/25/12	(158,648)	158,648
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 10/31/2012 DD 10/29/12	(176,932)	176,932
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 11/26/2012 DD 11/23/12	(163,603)	163,603
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.220% 12/06/2012 DD 12/05/12	(386,137)	386,137
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 09/14/2012 DD 09/13/12	(3,152,409)	3,152,409
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/03/2012 DD 10/02/12	(18,885)	18,885

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/04/2012 DD 10/03/12	(28,790)	28,790
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/05/2012 DD 10/04/12	(1,449,546)	1,449,546
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/12/2012 DD 10/11/12	(541,312)	541,312
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/15/2012 DD 10/12/12	(114,439)	114,439
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/18/2012 DD 10/17/12	(224,923)	224,923
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/19/2012 DD 10/18/12	(134,041)	134,041
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 10/22/2012 DD 10/19/12	(183,904)	183,904
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 11/15/2012 DD 11/14/12	(589,696)	589,696
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 11/16/2012 DD 11/15/12	(787,660)	787,660
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 11/27/2012 DD 11/26/12	(87,280)	87,280
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 12/03/2012 DD 11/30/12	(358,622)	358,622
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.230% 12/10/2012 DD 12/07/12	(458,897)	458,897
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.240% 09/17/2012 DD 09/14/12	(3,059,727)	3,059,727
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.240% 10/17/2012 DD 10/16/12	(281,999)	281,999
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.240% 12/07/2012 DD 12/06/12	(340,271)	340,271

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.250% 10/16/2012 DD 10/15/12	(102,507)	102,507
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.250% 11/05/2012 DD 11/02/12	(150,674)	150,674
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.280% 11/01/2012 DD 10/31/12	(141,908)	141,908
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.280% 11/02/2012 DD 11/01/12	(111,952)	111,952
DIAGEO INVESTMENT CORP	DIAGEO INVESTMENT CORP 4.250% 05/11/2042 DD 05/11/12	(347,883)	378,056
DIGICEL LIMITED 144A	DIGICEL LIMITED 144A 8.250% 09/30/2020 DD 09/19/12	(340,000)	351,050
DISCOVERY COMMUNICATIONS LLC	DISCOVERY COMMUNICATIONS LLC 4.950% 05/15/2042 DD 05/17/12	(168,688)	184,528
DJO FINANCE LLC / DJO FIN 144A	DJO FINANCE LLC / DJO FIN 144A 8.750% 03/15/2018 DD 03/20/12	(170,063)	173,438
DJO FINANCE LLC / DJO FIN 144A	DJO FINANCE LLC / DJO FIN 144A 9.875% 04/15/2018 DD 10/01/12	(555,000)	550,563
DNB NOR BANK DISC	DNB NOR BANK DISC 10/15/2012	(698,250)	699,074
DOCKWISE LTD USD5	DOCKWISE LTD USD5 SUB/RTS(30MAY2012)	-	15,540
DRILL RIGS HOLDINGS INC 144A	DRILL RIGS HOLDINGS INC 144A 6.500% 10/01/2017 DD 09/20/12	(104,442)	106,050
ENERGY FUTURE INTERMEDIAT 144A	ENERGY FUTURE INTERMEDIAT 144A 11.750% 03/01/2022 DD 02/06/12	(872,361)	891,444
ENERGY FUTURE/EFIH FINAN 144A	ENERGY FUTURE/EFIH FINAN 144A 6.875% 08/15/2017 DD 08/14/12	(90,000)	91,125
FCAR OWNER TR II DISC	FCAR OWNER TR II DISC 6/4/2012	(1,249,104)	1,249,975

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
FLORIDA POWER & LIGHT	FLORIDA POWER & LIGHT 4.050% 06/01/2042 DD 05/15/12	(299,580)	304,503
FOREST OIL CORPORATION 144A	FOREST OIL CORPORATION 144A 7.500% 09/15/2020 DD 09/17/12	(65,000)	65,163
FRANCE TELECOM	FRANCE TELECOM 5.375% 01/13/2042 DD 01/13/12	(408,819)	421,447
GEMINI SECR DISC	GEMINI SECR DISC 6/6/2012	(1,028,991)	1,029,959
GEMINI SECR DISC	GEMINI SECR DISC 12/11/2012	(669,390)	669,390
GENERAL ELEC CAP CORP	GENERAL ELEC CAP CORP VAR RT 12/15/2049 DD 06/12/12	(300,000)	308,000
GENERAL ELEC CAP DISC	GENERAL ELEC CAP DISC 8/13/2012	(829,054)	829,983
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 02/24/2012 DD 02/23/12	(11,659)	11,659
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.030% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.060% 03/30/2012 DD 03/29/12	(104,766)	104,766
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.060% 06/27/2012 DD 06/26/12	(2,492,200)	2,492,200
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.070% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.080% 07/11/2012 DD 07/10/12	(481,078)	481,078
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.080% 07/17/2012 DD 07/16/12	(64,060)	64,060
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.080% 10/24/2012 DD 10/23/12	(124,844)	124,844

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.080% 11/20/2012 DD 11/19/12	(906,750)	906,750
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 10/23/2012 DD 10/22/12	(101,898)	101,898
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/05/2012 DD 11/02/12	(120,140)	120,140
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/07/2012 DD 11/06/12	(1,229,678)	1,229,678
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/08/2012 DD 11/07/12	(1,524,948)	1,524,948
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/19/2012 DD 11/16/12 TION PENDING IN PRIOR YEAR	(685,081)	685,081
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/21/2012 DD 11/20/12	(23,044)	23,044
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/28/2012 DD 11/27/12	(119,181)	119,181
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/29/2012 DD 11/28/12	(188,549)	188,549
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 11/30/2012 DD 11/29/12	(239,196)	239,196
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 12/11/2012 DD 12/10/12	(322,676)	322,676
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 12/20/2012 DD 12/19/12	(58,445)	58,445
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.100% 12/21/2012 DD 12/20/12	(78,394)	78,394
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 02/01/2012 DD 01/31/12	(53,116)	53,116
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 06/25/2012 DD 06/22/12	(608,835)	608,835

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 07/13/2012 DD 07/12/12	(2,081,115)	2,081,115
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 10/29/2012 DD 10/26/12	(65,790)	65,790
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 10/31/2012 DD 10/29/12	(118,351)	118,351
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 11/06/2012 DD 11/05/12	(72,500)	72,500
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 11/09/2012 DD 11/08/12	(1,614,237)	1,614,237
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 11/13/2012 DD 11/09/12	(1,594,795)	1,594,795
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 11/14/2012 DD 11/13/12	(373,778)	373,778
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/13/2012 DD 12/12/12	(109,462)	109,462
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/14/2012 DD 12/13/12	(98,520)	98,520
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/17/2012 DD 12/14/12	(90,585)	90,585
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/24/2012 DD 12/21/12	(241,466)	241,466
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/27/2012 DD 12/26/12	(244,102)	244,102
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/28/2012 DD 12/27/12	(178,488)	178,488
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.120% 12/31/2012 DD 12/28/12	(213,408)	213,408
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.130% 06/26/2012 DD 06/25/12	(1,225,449)	1,225,449

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.130% 10/04/2012 DD 10/03/12	(19,180)	19,180
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.130% 10/10/2012 DD 10/09/12	(1,064,244)	1,064,244
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.130% 10/11/2012 DD 10/10/12	(847,908)	847,908
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.130% 10/22/2012 DD 10/19/12	(125,633)	125,633
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 10/05/2012 DD 10/04/12	(1,008,486)	1,008,486
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 10/09/2012 DD 10/05/12	(1,460,708)	1,460,708
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 10/12/2012 DD 10/11/12	(376,077)	376,077
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 10/17/2012 DD 10/16/12	(173,424)	173,424
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 11/15/2012 DD 11/14/12	(646,102)	646,102
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 11/16/2012 DD 11/15/12	(782,128)	782,128
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 11/23/2012 DD 11/21/12	(198,462)	198,462
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 11/26/2012 DD 11/23/12	(130,850)	130,850
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 12/04/2012 DD 12/03/12	(345,829)	345,829
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 12/12/2012 DD 12/11/12	(241,819)	241,819

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 12/18/2012 DD 12/17/12	(144,741)	144,741
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 12/19/2012 DD 12/18/12	(148,809)	148,809
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.140% 12/26/2012 DD 12/24/12	(219,152)	219,152
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/02/2012 DD 10/01/12	(40,515)	40,515
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/03/2012 DD 10/02/12	(37,479)	37,479
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/15/2012 DD 10/12/12	(81,220)	81,220
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/16/2012 DD 10/15/12	(82,192)	82,192
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/18/2012 DD 10/17/12	(157,905)	157,905
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/19/2012 DD 10/18/12	(85,740)	85,740
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 10/26/2012 DD 10/25/12	(68,701)	68,701
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 11/01/2012 DD 10/31/12	(115,517)	115,517
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 11/02/2012 DD 11/01/12	(80,002)	80,002
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 11/27/2012 DD 11/26/12	(65,091)	65,091
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 12/03/2012 DD 11/30/12	(324,319)	324,319
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 12/05/2012 DD 12/04/12	(320,010)	320,010

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 12/06/2012 DD 12/05/12	(300,473)	300,473
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 12/07/2012 DD 12/06/12	(268,190)	268,190
GOLDMAN CAT 1 REPO	GOLDMAN CAT 1 REPO 0.150% 12/10/2012 DD 12/07/12	(392,494)	392,494
GOODYEAR TIRE & RUBBER	GOODYEAR TIRE & RUBBER 7.000% 05/15/2022 DD 02/28/12	(160,000)	159,844
GRAY TELEVISION INC 144A	GRAY TELEVISION INC 144A 7.500% 10/01/2020 DD 10/09/12	(193,569)	195,488
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 01/04/2012 DD 01/03/12	(227,428)	227,428
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 01/13/2012 DD 01/12/12	(25,564)	25,564
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 01/17/2012 DD 01/13/12	(34,725)	34,725
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.170% 01/18/2012 DD 01/17/12	(159,389)	159,389
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 01/19/2012 DD 01/18/12	(807,281)	807,281
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 01/24/2012 DD 01/23/12	(169,376)	169,376
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 01/27/2012 DD 01/26/12	(12,016)	12,016
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 01/30/2012 DD 01/27/12	(91,123)	91,123
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.180% 01/31/2012 DD 01/30/12	(152,091)	152,091
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 02/03/2012 DD 02/02/12	(68,448)	68,448

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 02/10/2012 DD 02/09/12	(39,492)	39,492
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 02/22/2012 DD 02/21/12	(36,087)	36,087
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.200% 02/23/2012 DD 02/22/12	(34,561)	34,561
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 02/06/2012 DD 02/03/12	(27,108)	27,108
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.210% 02/21/2012 DD 02/17/12	(22,506)	22,506
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 02/14/2012 DD 02/13/12	(70,349)	70,349
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 02/15/2012 DD 02/14/12	(78,877)	78,877
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.220% 02/17/2012 DD 02/16/12	(61,619)	61,619
GREENWH CAT 2 REPO	GREENWH CAT 2 REPO 0.230% 02/16/2012 DD 02/15/12	(69,828)	69,828
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/23/2012 DD 02/22/12	(1,122,361)	1,122,361
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 01/27/2012 DD 01/26/12	(2,676,104)	2,676,104
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 01/30/2012 DD 01/27/12	(1,318,782)	1,318,782
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/23/2012 DD 04/20/12	(2,776,559)	2,776,559
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 01/31/2012 DD 01/30/12	(2,440,761)	2,440,761
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 02/09/2012 DD 02/08/12	(1,486,502)	1,486,502

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/02/2012 DD 03/30/12	(2,908,153)	2,908,153
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/25/2012 DD 04/24/12	(2,755,999)	2,755,999
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/07/2012 DD 02/06/12	(50,911)	50,911
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/08/2012 DD 02/07/12	(461,161)	461,161
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/10/2012 DD 02/09/12	(1,988,722)	1,988,722
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/17/2012 DD 02/16/12	(1,261,842)	1,261,842
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/21/2012 DD 02/17/12	(1,203,811)	1,203,811
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/24/2012 DD 02/23/12	(216,979)	216,979
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 04/20/2012 DD 04/19/12	(2,725,900)	2,725,900
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 04/24/2012 DD 04/23/12	(2,725,808)	2,725,808
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 02/15/2012 DD 02/14/12	(1,996,371)	1,996,371
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 02/29/2012 DD 02/28/12	(2,959,202)	2,959,202
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 01/17/2012 DD 01/13/12	(1,320,915)	1,320,915
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 04/30/2012 DD 04/27/12	(2,730,618)	2,730,618
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.110% 04/27/2012 DD 04/26/12	(2,683,903)	2,683,903

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 02/06/2012 DD 02/03/12	(134,000)	134,000
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/08/2012 DD 03/07/12	(2,630,473)	2,630,473
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 03/30/2012 DD 03/29/12	(2,765,928)	2,765,928
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.120% 06/27/2012 DD 06/26/12	(2,493,276)	2,493,276
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/03/2012 DD 02/02/12	(2,096,151)	2,096,151
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/13/2012 DD 02/10/12	(4,303,362)	4,303,362
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 02/28/2012 DD 02/27/12	(3,063,645)	3,063,645
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/05/2012 DD 03/02/12	(2,589,576)	2,589,576
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/06/2012 DD 03/05/12	(2,567,784)	2,567,784
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/07/2012 DD 03/06/12	(2,543,733)	2,543,733
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/09/2012 DD 03/08/12	(583,591)	583,591
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 03/22/2012 DD 03/21/12	(411,659)	411,659
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 04/18/2012 DD 04/17/12	(2,695,084)	2,695,084
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 04/19/2012 DD 04/18/12	(1,672,464)	1,672,464
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.130% 07/19/2012 DD 07/18/12	(1,841,048)	1,841,048

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 01/18/2012 DD 01/17/12	(3,150,902)	3,150,902
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 02/14/2012 DD 02/13/12	(2,104,576)	2,104,576
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 02/16/2012 DD 02/15/12	(2,011,141)	2,011,141
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 03/02/2012 DD 03/01/12	(2,497,987)	2,497,987
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 03/21/2012 DD 03/20/12	(247,449)	247,449
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 04/26/2012 DD 04/25/12	(2,716,343)	2,716,343
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.140% 10/24/2012 DD 10/23/12	(244,222)	244,222
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 02/02/2012 DD 02/01/12	(2,165,534)	2,165,534
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/01/2012 DD 02/29/12	(1,557,914)	1,557,914
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/12/2012 DD 03/09/12	(201,894)	201,894
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 03/13/2012 DD 03/12/12	(780,162)	780,162
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 07/02/2012 DD 06/29/12	(2,183,899)	2,183,899
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 11/08/2012 DD 11/07/12	(2,902,298)	2,902,298
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 11/20/2012 DD 11/19/12	(2,043,238)	2,043,238

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 11/28/2012 DD 11/27/12	(625,478)	625,478
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 11/29/2012 DD 11/28/12	(775,155)	775,155
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.150% 12/28/2012 DD 12/27/12	(610,589)	610,589
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 04/03/2012 DD 04/02/12	(181,703)	181,703
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 05/02/2012 DD 05/01/12	(2,206,635)	2,206,635
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 11/21/2012 DD 11/20/12	(56,419)	56,419
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 11/30/2012 DD 11/29/12	(910,933)	910,933
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 12/14/2012 DD 12/13/12	(516,738)	516,738
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 12/21/2012 DD 12/20/12	(190,030)	190,030
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.160% 12/31/2012 DD 12/28/12	(814,446)	814,446
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 04/17/2012 DD 04/16/12	(2,716,102)	2,716,102
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 05/01/2012 DD 04/30/12	(640,513)	640,513
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 09/28/2012 DD 09/27/12	(742,192)	742,192
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 10/23/2012 DD 10/22/12	(195,602)	195,602
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 10/29/2012 DD 10/26/12	(123,720)	123,720

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 11/07/2012 DD 11/06/12	(2,272,224)	2,272,224
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 11/19/2012 DD 11/16/12	(1,375,961)	1,375,961
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 12/11/2012 DD 12/10/12	(1,805,578)	1,805,578
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 12/20/2012 DD 12/19/12	(257,025)	257,025
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.170% 12/27/2012 DD 12/26/12	(787,572)	787,572
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 02/01/2012 DD 01/31/12	(1,240,027)	1,240,027
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 11/06/2012 DD 11/05/12	(137,443)	137,443
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 11/09/2012 DD 11/08/12	(3,122,140)	3,122,140
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 11/14/2012 DD 11/13/12	(765,841)	765,841
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 11/23/2012 DD 11/21/12	(457,040)	457,040
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 12/13/2012 DD 12/12/12	(1,138,913)	1,138,913
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 12/17/2012 DD 12/14/12	(384,916)	384,916
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 12/19/2012 DD 12/18/12	(557,186)	557,186
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.180% 12/24/2012 DD 12/21/12	(793,717)	793,717
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/19/2012 DD 09/18/12	(229,787)	229,787
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/20/2012 DD 09/19/12	(712,886)	712,886
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/21/2012 DD 09/20/12	(194,670)	194,670
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/24/2012 DD 09/21/12	(2,513,522)	2,513,522
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 09/25/2012 DD 09/24/12	(1,227,454)	1,227,454
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 12/04/2012 DD 12/03/12	(1,078,362)	1,078,362
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 12/12/2012 DD 12/11/12	(1,581,201)	1,581,201
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 12/18/2012 DD 12/17/12	(606,914)	606,914
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.190% 12/26/2012 DD 12/24/12	(792,401)	792,401
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 06/26/2012 DD 06/25/12	(2,387,500)	2,387,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 09/18/2012 DD 09/17/12	(2,398,812)	2,398,812
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 10/01/2012 DD 09/28/12	(1,715,550)	1,715,550
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 10/10/2012 DD 10/09/12	(2,626,881)	2,626,881
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 10/11/2012 DD 10/10/12	(1,744,846)	1,744,846

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 10/22/2012 DD 10/19/12	(259,179)	259,179
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 11/13/2012 DD 11/09/12	(3,367,523)	3,367,523
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 11/16/2012 DD 11/15/12	(1,433,879)	1,433,879
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 11/26/2012 DD 11/23/12	(278,901)	278,901
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 12/05/2012 DD 12/04/12	(1,428,756)	1,428,756
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.200% 12/06/2012 DD 12/05/12	(1,244,986)	1,244,986
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 09/07/2012 DD 09/06/12	(1,661,355)	1,661,355
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/02/2012 DD 10/01/12	(166,500)	166,500
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/05/2012 DD 10/04/12	(4,275,517)	4,275,517
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/09/2012 DD 10/05/12	(5,024,985)	5,024,985
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/12/2012 DD 10/11/12	(753,512)	753,512
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/15/2012 DD 10/12/12	(163,849)	163,849
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/18/2012 DD 10/17/12	(317,202)	317,202
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/19/2012 DD 10/18/12	(164,094)	164,094
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 10/31/2012 DD 10/29/12	(255,078)	255,078

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 11/15/2012 DD 11/14/12	(1,270,146)	1,270,146
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 11/27/2012 DD 11/26/12	(145,868)	145,868
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 12/03/2012 DD 11/30/12	(1,117,291)	1,117,291
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.210% 12/10/2012 DD 12/07/12	(2,292,480)	2,292,480
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 09/26/2012 DD 09/25/12	(54,515)	54,515
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 09/27/2012 DD 09/26/12	(1,038,054)	1,038,054
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 10/03/2012 DD 10/02/12	(137,465)	137,465
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 10/04/2012 DD 10/03/12	(77,972)	77,972
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 10/17/2012 DD 10/16/12	(362,556)	362,556
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 10/26/2012 DD 10/25/12	(133,721)	133,721
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 11/05/2012 DD 11/02/12	(225,466)	225,466
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.220% 12/07/2012 DD 12/06/12	(1,852,180)	1,852,180
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.230% 09/17/2012 DD 09/14/12	(2,025,996)	2,025,996
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.230% 10/16/2012 DD 10/15/12	(201,153)	201,153
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.260% 11/01/2012 DD 10/31/12	(230,530)	230,530

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.270% 11/02/2012 DD 11/01/12	(140,530)	140,530
GULFMARK OFFSHORE INC144A	GULFMARK OFFSHORE INC144A 6.375% 03/15/2022 DD 03/12/12	(125,000)	126,294
H & E EQUIP SVCS INC SR NT 144	H & E EQUIP SVCS INC SR NT 144 7.000% 09/01/2022 DD 08/20/12	(155,000)	160,800
HANNOVER FDG CO DISC	HANNOVER FDG CO DISC 10/29/2012	(1,299,595)	1,299,595
HARBINGER GROUP INC 144A	HARBINGER GROUP INC 144A 7.875% 07/15/2019 DD 12/24/12	(64,585)	65,125
HCA INC	HCA INC 5.875% 03/15/2022 DD 02/16/12	(265,663)	270,963
HERCULES OFFSHORE INC 144A	HERCULES OFFSHORE INC 144A 10.250% 04/01/2019 DD 04/03/12	(205,000)	198,519
HERTZ CORP 144A	HERTZ CORP 144A 6.750% 04/15/2019 DD 10/15/11	(67,600)	67,731
HEXION US FINANCE CORP 144A	HEXION US FINANCE CORP 144A 6.625% 04/15/2020 DD 03/14/12	(190,000)	190,000
HOLOGIC INC 144A	HOLOGIC INC 144A 6.250% 08/01/2020 DD 08/01/12	(65,000)	70,038
HORNBECK OFFSHORE SERV 144A	HORNBECK OFFSHORE SERV 144A 5.875% 04/01/2020 DD 03/16/12	(170,000)	170,850
HSBC AMERICAS INC DISC	HSBC AMERICAS INC DISC 11/8/2012	(709,509)	709,509
HUB INTERNATIONAL LTD 144A	HUB INTERNATIONAL LTD 144A 8.125% 10/15/2018 DD 09/18/12	(275,000)	281,350
HUGHES SATELLITE SYSTEMS CORP	HUGHES SATELLITE SYSTEMS CORP 6.500% 06/15/2019 DD 12/15/11	(130,000)	139,451
HUNTINGTON INGALLS INDUSTRIES	HUNTINGTON INGALLS INDUSTRIES 7.125% 03/15/2021 DD 09/15/11	(799,106)	863,225

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
IGLOO HOLDINGS CORP 144A	IGLOO HOLDINGS CORP 144A 8.250% 12/15/2017 DD 12/18/12	(143,550)	145,438
INTELSAT LUXEMBOURG SA	INTELSAT LUXEMBOURG SA 11.500% 02/04/2017 DD 08/15/09	(400,525)	383,875
INVENTIV HEALTH INC 144A	INVENTIV HEALTH INC 144A 9.000% 01/15/2018 DD 12/20/12	(95,000)	96,188
ISABELLE ACQUISITION SUB 144A	ISABELLE ACQUISITION SUB 144A 10.000% 11/15/2018 DD 08/06/12	(125,000)	129,469
JAGUAR HOLDING CO I 144A	JAGUAR HOLDING CO I 144A 9.375% 10/15/2017 DD 10/15/12	(294,192)	302,250
JPM CAT 1 REPO	JPM CAT 1 REPO 0.200% 10/09/2012 DD 10/05/12	(4,970,454)	4,970,454
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.050% 04/02/2012 DD 03/30/12	(182,597)	182,597
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.060% 02/24/2012 DD 02/23/12	(19,717)	19,717
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.070% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.110% 03/30/2012 DD 03/29/12	(2,765,928)	2,765,928
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.120% 03/01/2012 DD 02/29/12	(2,974,644)	2,974,644
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.120% 03/26/2012 DD 03/23/12	(515,739)	515,739
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.120% 07/19/2012 DD 07/18/12	(2,278,617)	2,278,617
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.120% 07/30/2012 DD 07/27/12	(893,848)	893,848
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 06/27/2012 DD 06/26/12	(2,492,200)	2,492,200

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.130% 07/31/2012 DD 07/30/12	(2,188,954)	2,188,954
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 04/04/2012 DD 04/03/12	(405,551)	405,551
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 06/28/2012 DD 06/27/12	(797,032)	797,032
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 07/10/2012 DD 07/09/12	(1,972,231)	1,972,231
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 07/11/2012 DD 07/10/12	(2,014,839)	2,014,839
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.140% 10/24/2012 DD 10/23/12	(953)	953
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 07/12/2012 DD 07/11/12	(2,053,909)	2,053,909
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 07/16/2012 DD 07/13/12	(1,334,789)	1,334,789
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.150% 11/20/2012 DD 11/19/12	(10,848)	10,848
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.160% 03/27/2012 DD 03/26/12	(38,590)	38,590
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.170% 07/17/2012 DD 07/16/12	(2,139,390)	2,139,390
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.170% 07/18/2012 DD 07/17/12	(2,222,082)	2,222,082
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.170% 11/07/2012 DD 11/06/12	(16,508)	16,508
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.170% 11/23/2012 DD 11/21/12	(5,787)	5,787

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 09/28/2012 DD 09/27/12	(239,516)	239,516
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 10/29/2012 DD 10/26/12	(2,304)	2,304
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 11/19/2012 DD 11/16/12	(23,112)	23,112
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.180% 12/11/2012 DD 12/10/12	(17,388)	17,388
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 07/13/2012 DD 07/12/12	(2,081,115)	2,081,115
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 09/05/2012 DD 09/04/12	(841,763)	841,763
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 09/06/2012 DD 09/05/12	(2,898,104)	2,898,104
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 09/11/2012 DD 09/10/12	(3,181,815)	3,181,815
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 09/18/2012 DD 09/17/12	(3,129,507)	3,129,507
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 11/09/2012 DD 11/08/12	(19,758)	19,758
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 11/13/2012 DD 11/09/12	(61,060)	61,060
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.190% 11/14/2012 DD 11/13/12	(13,801)	13,801
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 06/15/2012 DD 06/14/12	(820,359)	820,359
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 07/06/2012 DD 07/05/12	(1,185,345)	1,185,345

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 09/10/2012 DD 09/07/12	(3,185,247)	3,185,247
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 10/05/2012 DD 10/04/12	(5,437,843)	5,437,843
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 10/10/2012 DD 10/09/12	(1,599,603)	1,599,603
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.200% 11/26/2012 DD 11/23/12	(60,234)	60,234
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 06/26/2012 DD 06/25/12	(2,387,500)	2,387,500
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 09/12/2012 DD 09/11/12	(3,259,659)	3,259,659
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 09/13/2012 DD 09/12/12	(3,340,060)	3,340,060
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 09/14/2012 DD 09/13/12	(324,447)	324,447
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 10/03/2012 DD 10/02/12	(170,640)	170,640
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 11/16/2012 DD 11/15/12	(22,953)	22,953
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.210% 12/12/2012 DD 12/11/12	(6,305)	6,305
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.220% 10/04/2012 DD 10/03/12	(117,588)	117,588
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.220% 11/15/2012 DD 11/14/12	(20,650)	20,650

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.230% 10/02/2012 DD 10/01/12	(166,604)	166,604
JPM CAT 1 REPO REPO	JPM CAT 1 REPO REPO 0.250% 11/01/2012 DD 10/31/12	(3,358)	3,358
JPM CAT 3 REPO	JPM CAT 3 REPO 0.100% 02/28/2012 DD 02/27/12	(1,416,943)	1,416,943
JPM CAT 3 REPO	JPM CAT 3 REPO 0.190% 06/12/2012 DD 06/11/12	(1,265,368)	1,265,368
K HOVNANIAN ENTERPRISES I 144A	K HOVNANIAN ENTERPRISES I 144A 9.125% 11/15/2020 DD 10/02/12	(200,000)	203,813
KB HOME	KB HOME 7.500% 09/15/2022 DD 07/31/12	(100,000)	105,250
KB HOME	KB HOME 8.000% 03/15/2020 DD 02/07/12	(93,597)	94,325
KELLS FDG LLC DISC	KELLS FDG LLC DISC 6/18/2012	(498,976)	499,941
KINETIC CONCEPTS INC/KCI 144A	KINETIC CONCEPTS INC/KCI 144A 12.500% 11/01/2019 DD 11/04/11	(464,125)	442,763
KOREAN REINSURANCE KRW500	KOREAN REINSURANCE KRW500	(695,006)	548,450
LAND O LAKES INC	LAND O LAKES INC 6.000% 11/15/2022 DD 11/13/12	(65,000)	65,569
LANDRYS INC 144A	LANDRYS INC 144A 9.375% 05/01/2020 DD 04/24/12	(300,000)	309,000
LAREDO PETROLEUM INC 144A	LAREDO PETROLEUM INC 144A 7.375% 05/01/2022 DD 04/27/12	(55,000)	56,131
LAWSON SOFTWARE 144A	LAWSON SOFTWARE 144A 9.375% 04/01/2019 DD 04/05/12	(380,000)	400,425
LENNAR CORP 144A	LENNAR CORP 144A 4.750% 11/15/2022 DD 10/23/12	(250,000)	243,525

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
LENNAR CORP 144A	LENNAR CORP 144A 4.750% 12/15/2017 DD 07/20/12	(90,000)	90,450
LEVEL 3 COMMUNICATIONS 144A	LEVEL 3 COMMUNICATIONS 144A 8.875% 06/01/2019 DD 08/01/12	(95,988)	100,600
LEVEL 3 FINANCING INC	LEVEL 3 FINANCING INC 8.625% 07/15/2020 DD 01/13/12	(427,147)	433,500
LEVI STRAUSS & CO 144A	LEVI STRAUSS & CO 144A 6.875% 05/01/2022 DD 05/08/12	(70,000)	70,863
LMA SA & LMA AMER DISC	LMA SA & LMA AMER DISC 1/15/2013	(1,298,213)	1,298,213
LMA SA & LMA AMER DISC	LMA SA & LMA AMER DISC 5/14/2012	(1,399,488)	1,399,804
TION PENDING IN PRIOR YEAR	TION PENDING IN PRIOR YEAR		
LOCKHEED MARTIN CORP	LOCKHEED MARTIN CORP 4.850% 09/15/2041 DD 09/09/11	(221,267)	243,806
LOGO MERGER SUB CORP 144A	LOGO MERGER SUB CORP 144A 8.375% 10/15/2020 DD 10/17/12	(125,000)	126,875
MARKET STREET FDG DISC	MARKET STREET FDG DISC 2/12/2013	(1,699,088)	1,699,088
MCCLATCHY CO 144A	MCCLATCHY CO 144A 9.000% 12/15/2022 DD 12/18/12	(205,000)	208,381
MEDIASET ESPANA COMUNICACION SA	MEDIASET ESPANA COMUNICACION SA	(6,998)	10,072
METLIFE SHORT DISC	METLIFE SHORT DISC 8/1/2012	(968,595)	969,638
MGM RESORTS INTERNATIONAL	MGM RESORTS INTERNATIONAL 5.875% 02/27/2014 DD 02/27/04	(34,213)	36,225
MGM RESORTS INTERNATIONAL	MGM RESORTS INTERNATIONAL 6.625% 07/15/2015 DD 06/20/05	(86,850)	92,475
MGM RESORTS INTERNATIONAL	MGM RESORTS INTERNATIONAL 7.625% 01/15/2017 DD 12/21/06	(728,600)	748,250

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
MGM RESORTS INTL	MGM RESORTS INTL 7.750% 03/15/2022 DD 03/22/12	(55,000)	55,000
MICHAEL FOODS HOLDING 144A	MICHAEL FOODS HOLDING 144A 8.500% 07/15/2018 DD 12/18/12	(84,575)	87,338
MOLSON COORS BREWING CO	MOLSON COORS BREWING CO 5.000% 05/01/2042 DD 05/03/12	(398,922)	457,489
MONITRONICS INTERNATIONAL 144A	MONITRONICS INTERNATIONAL 144A 9.125% 04/01/2020 DD 03/23/12	(245,000)	245,363
MONT BLANC CAP DISC	MONT BLANC CAP DISC 10/3/2012	(1,138,648)	1,138,648
NARA CABLE FUNDING ONOSM	NARA CABLE FUNDING ONOSM 8.875% 12/01/2018 DD 02/02/12	(387,736)	389,500
NATIONSTAR MORTGAGE LLC / 144A	NATIONSTAR MORTGAGE LLC / 144A 9.625% 05/01/2019 DD 04/25/12	(135,469)	147,825
NATIONWIDE BLDG DISC	NATIONWIDE BLDG DISC 6/12/2012	(1,027,597)	1,029,928
NESTLE FIN FRANCE DISC	NESTLE FIN FRANCE DISC 1/8/2013	(1,087,384)	1,087,384
NEUBERGER BERMAN GRP/FIN 144A	NEUBERGER BERMAN GRP/FIN 144A 5.625% 03/15/2020 DD 03/16/12	(195,000)	195,975
NEUBERGER BERMAN GRP/FIN 144A	NEUBERGER BERMAN GRP/FIN 144A 5.875% 03/15/2022 DD 03/16/12	(370,000)	384,713
NEW ACAD FIN CO/FIN CORP 144A	NEW ACAD FIN CO/FIN CORP 144A 8.000% 06/15/2018 DD 12/13/12	(194,025)	196,588
NEW GOLD INC 144A	NEW GOLD INC 144A 7.000% 04/15/2020 DD 04/05/12	(135,000)	137,119
NEXSTAR BROADCASTING INC 144A	NEXSTAR BROADCASTING INC 144A 6.875% 11/15/2020 DD 11/09/12	(210,000)	211,575
NORDEA BANK AB 144A	NORDEA BANK AB 144A 4.250% 09/21/2022 DD 09/21/12	(725,357)	734,392

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
NORDEA NORTH AMER DISC	NORDEA NORTH AMER DISC 5/17/2012	(2,596,373)	2,598,567
NOVARTIS CAPITAL CORP	NOVARTIS CAPITAL CORP 3.700% 09/21/2042 DD 09/21/12	(43,263)	45,111
NUANCE COMMUNICATIONS 144A	NUANCE COMMUNICATIONS 144A 5.375% 08/15/2020 DD 08/14/12	(117,000)	119,778
OFFSHORE GROUP INVESTMENT 144A	OFFSHORE GROUP INVESTMENT 144A 11.500% 08/01/2015 DD 02/01/12	(259,200)	266,400
OLD LINE FDG CORP DISC	OLD LINE FDG CORP DISC 5/14/2012	(1,498,771)	1,499,924
P.H. BALTFELTER CO 144A	P.H. BALTFELTER CO 144A 5.375% 10/15/2020 DD 10/03/12	(85,000)	86,169
PEPSICO INC	PEPSICO INC 3.600% 08/13/2042 DD 08/13/12	(221,306)	222,154
PETCO HOLDINGS INC 144A	PETCO HOLDINGS INC 144A 8.500% 10/15/2017 DD 10/10/12	(283,575)	286,425
PETROBAKKEN ENERGY LTD	PETROBAKKEN ENERGY LTD 8.625% 02/01/2020 DD 01/30/12	(1,044,750)	1,082,400
PIONEER NATURAL RESOURCES CO	PIONEER NATURAL RESOURCES CO 3.950% 07/15/2022 DD 06/26/12	(119,328)	129,326
PRECISION CASTPARTS CORP	PRECISION CASTPARTS CORP 3.900% 01/15/2043 DD 12/20/12	(203,735)	207,628
PRESTIGE BRANDS INC 144A	PRESTIGE BRANDS INC 144A 8.125% 02/01/2020 DD 01/31/12	(70,000)	72,450
PSS WORLD MEDICAL INC 144A	PSS WORLD MEDICAL INC 144A 6.375% 03/01/2022 DD 02/24/12	(70,000)	72,363
PUB SVC ELEC & GAS	PUB SVC ELEC & GAS 3.950% 05/01/2042 DD 05/11/12	(342,782)	343,972
PUBLIC SERVICE ELECTRIC & GAS	PUBLIC SERVICE ELECTRIC & GAS 3.650% 09/01/2042 DD 09/13/12	(68,664)	70,249

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
QR ENERGY LP / QRE FINANC 144A	QR ENERGY LP / QRE FINANC 144A 9.250% 08/01/2020 DD 07/30/12	(389,549)	399,938
QUEBECOR MEDIA INC 144A	QUEBECOR MEDIA INC 144A 5.750% 01/15/2023 DD 10/11/12	(85,000)	86,488
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/20/2012 DD 07/19/12	(2,281,197)	2,281,197
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/30/2012 DD 04/27/12	(428,312)	428,312
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 04/27/2012 DD 04/26/12	(2,683,903)	2,683,903
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 05/03/2012 DD 05/02/12	(2,270,284)	2,270,284
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 06/27/2012 DD 06/26/12	(2,492,200)	2,492,200
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 05/04/2012 DD 05/03/12	(2,251,588)	2,251,588
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 05/10/2012 DD 05/09/12	(2,170,540)	2,170,540
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 05/25/2012 DD 05/24/12	(907,906)	907,906
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 06/07/2012 DD 06/06/12	(1,591,161)	1,591,161
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 06/08/2012 DD 06/07/12	(1,524,223)	1,524,223
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.130% 07/19/2012 DD 07/18/12	(2,278,617)	2,278,617
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 04/26/2012 DD 04/25/12	(1,578,051)	1,578,051
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 05/09/2012 DD 05/08/12	(2,115,939)	2,115,939

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 05/11/2012 DD 05/10/12	(2,102,748)	2,102,748
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 05/23/2012 DD 05/22/12	(307,100)	307,100
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 07/16/2012 DD 07/13/12	(2,077,654)	2,077,654
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.140% 10/24/2012 DD 10/23/12	(789,645)	789,645
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 05/07/2012 DD 05/04/12	(2,224,283)	2,224,283
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 05/08/2012 DD 05/07/12	(2,182,069)	2,182,069
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 05/14/2012 DD 05/11/12	(2,098,677)	2,098,677
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 05/17/2012 DD 05/16/12	(2,171,519)	2,171,519
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 05/22/2012 DD 05/21/12	(1,054,383)	1,054,383
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 06/11/2012 DD 06/08/12	(1,502,406)	1,502,406
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 06/28/2012 DD 06/27/12	(2,500,238)	2,500,238
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 06/29/2012 DD 06/28/12	(2,509,867)	2,509,867
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 07/02/2012 DD 06/29/12	(2,505,646)	2,505,646
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 11/08/2012 DD 11/07/12	(6,425,982)	6,425,982
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.150% 11/20/2012 DD 11/19/12	(4,495,273)	4,495,273

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 05/02/2012 DD 05/01/12	(2,206,635)	2,206,635
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 06/06/2012 DD 06/05/12	(1,586,292)	1,586,292
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 06/12/2012 DD 06/11/12	(70,004)	70,004
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 07/18/2012 DD 07/17/12	(1,591,051)	1,591,051
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 08/01/2012 DD 07/31/12	(374,129)	374,129
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 11/21/2012 DD 11/20/12	(145,943)	145,943
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 05/01/2012 DD 04/30/12	(1,316,105)	1,316,105
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 05/15/2012 DD 05/14/12	(2,097,351)	2,097,351
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 05/21/2012 DD 05/18/12	(2,114,500)	2,114,500
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 06/13/2012 DD 06/12/12	(1,506,765)	1,506,765
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 07/17/2012 DD 07/16/12	(2,139,390)	2,139,390
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 10/23/2012 DD 10/22/12	(1,018,290)	1,018,290
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 10/25/2012 DD 10/24/12	(117,389)	117,389
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 11/07/2012 DD 11/06/12	(5,949,782)	5,949,782
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.170% 11/19/2012 DD 11/16/12	(3,203,743)	3,203,743

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 05/18/2012 DD 05/17/12	(1,391,186)	1,391,186
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 06/05/2012 DD 06/04/12	(272,180)	272,180
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 09/05/2012 DD 09/04/12	(289,697)	289,697
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 09/06/2012 DD 09/05/12	(1,148,497)	1,148,497
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 10/29/2012 DD 10/26/12	(431,615)	431,615
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 11/06/2012 DD 11/05/12	(370,162)	370,162
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 11/09/2012 DD 11/08/12	(7,588,516)	7,588,516
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 11/14/2012 DD 11/13/12	(1,803,220)	1,803,220
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.180% 11/23/2012 DD 11/21/12	(1,135,817)	1,135,817
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.190% 06/14/2012 DD 06/13/12	(1,533,670)	1,533,670
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.190% 06/15/2012 DD 06/14/12	(1,539,476)	1,539,476
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.190% 07/09/2012 DD 07/06/12	(1,125,202)	1,125,202
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.190% 09/11/2012 DD 09/10/12	(639,647)	639,647
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 05/16/2012 DD 05/15/12	(2,160,481)	2,160,481
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 06/25/2012 DD 06/22/12	(2,347,388)	2,347,388

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 06/26/2012 DD 06/25/12	(2,387,500)	2,387,500
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 07/05/2012 DD 07/03/12	(1,024,478)	1,024,478
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 07/06/2012 DD 07/05/12	(1,953,891)	1,953,891
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 09/10/2012 DD 09/07/12	(2,011,131)	2,011,131
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 09/12/2012 DD 09/11/12	(2,564,119)	2,564,119
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 09/13/2012 DD 09/12/12	(412,232)	412,232
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 10/01/2012 DD 09/28/12	(1,586,697)	1,586,697
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 10/10/2012 DD 10/09/12	(6,628,496)	6,628,496
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 10/11/2012 DD 10/10/12	(6,471,140)	6,471,140
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 10/22/2012 DD 10/19/12	(1,559,641)	1,559,641
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 11/13/2012 DD 11/09/12	(7,672,837)	7,672,837
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 11/16/2012 DD 11/15/12	(3,135,269)	3,135,269
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.200% 11/26/2012 DD 11/23/12	(915,986)	915,986
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 09/07/2012 DD 09/06/12	(3,020,956)	3,020,956
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/02/2012 DD 10/01/12	(1,765,942)	1,765,942

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/05/2012 DD 10/04/12	(12,149,378)	12,149,378
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/09/2012 DD 10/05/12	(12,300,666)	12,300,666
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/12/2012 DD 10/11/12	(2,553,607)	2,553,607
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/15/2012 DD 10/12/12	(601,570)	601,570
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/18/2012 DD 10/17/12	(918,931)	918,931
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/19/2012 DD 10/18/12	(590,009)	590,009
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 10/31/2012 DD 10/29/12	(896,396)	896,396
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 11/15/2012 DD 11/14/12	(3,090,341)	3,090,341
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.210% 11/27/2012 DD 11/26/12	(534,925)	534,925
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.220% 06/18/2012 DD 06/15/12	(832,309)	832,309
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.220% 10/03/2012 DD 10/02/12	(701,192)	701,192
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.220% 10/04/2012 DD 10/03/12	(425,215)	425,215
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.220% 10/17/2012 DD 10/16/12	(1,287,236)	1,287,236
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.220% 11/05/2012 DD 11/02/12	(675,252)	675,252
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.230% 10/16/2012 DD 10/15/12	(1,598,693)	1,598,693

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.240% 07/03/2012 DD 07/02/12	(1,893,767)	1,893,767
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.260% 11/01/2012 DD 10/31/12	(762,230)	762,230
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.270% 11/02/2012 DD 11/01/12	(633,656)	633,656
REALOGY CORP	REALOGY CORP 7.625% 01/15/2020 DD 02/02/12	(165,000)	164,975
REALTY INCOME CORP	REALTY INCOME CORP 3.250% 10/15/2022 DD 10/10/12	(392,559)	394,427
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 1/22/2013	(949,808)	949,808
RESOLUTE ENERGY CORP 144A	RESOLUTE ENERGY CORP 144A 8.500% 05/01/2020 DD 04/25/12	(220,594)	224,950
REXEL 144A	REXEL 144A 6.125% 12/15/2019 DD 03/28/12	(270,000)	274,050
REYNOLDS AMERICAN INC	REYNOLDS AMERICAN INC 3.250% 11/01/2022 DD 10/31/12	(529,279)	533,154
REYNOLDS GRP ISS/REYNOLD 144A	REYNOLDS GRP ISS/REYNOLD 144A 9.875% 08/15/2019 DD 02/15/12	(810,000)	818,138
REYNOLDS GRP ISS/REYNOLD 144A	REYNOLDS GRP ISS/REYNOLD 144A 5.750% 10/15/2020 DD 09/28/12	(265,000)	265,331
RITE AID CORP 144A	RITE AID CORP 144A 9.250% 03/15/2020 DD 02/27/12	(500,000)	511,250
ROLLS ROYCE HLDGS C SHS	ROLLS ROYCE HLDGS C SHS ENTITLEMENT (JULY 201	-	34,237
RR DONNELLEY & SONS CO	RR DONNELLEY & SONS CO 8.250% 03/15/2019 DD 03/13/12	(55,000)	55,438
SABMILLER HOLDINGS INC	SABMILLER HOLDINGS INC 3.750% 01/15/2022 DD 01/17/12	(799,529)	832,469

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SABRE INC 144A	SABRE INC 144A 8.500% 05/15/2019 DD 05/09/12	(291,825)	293,875
SALISBURY REC CO DISC	SALISBURY REC CO DISC 11/5/2012	(599,535)	599,535
SANDRIDGE ENERGY INC 144A	SANDRIDGE ENERGY INC 144A 7.500% 02/15/2023 DD 08/20/12	(129,350)	131,038
SBA COMMUNICATIONS CORP 144A	SBA COMMUNICATIONS CORP 144A 5.625% 10/01/2019 DD 09/28/12	(150,000)	151,513
SCHAEFFLER FINANCE BV 144A	SCHAEFFLER FINANCE BV 144A 7.750% 02/15/2017 DD 02/09/12	(197,962)	207,000
SCHAEFFLER FINANCE BV 144A	SCHAEFFLER FINANCE BV 144A 8.500% 02/15/2019 DD 02/09/12	(200,000)	216,500
SEAGATE HDD CAYMAN	SEAGATE HDD CAYMAN 7.000% 11/01/2021 DD 05/18/11	(255,000)	266,475
SEAGATE HDD CAYMAN	SEAGATE HDD CAYMAN 7.750% 12/15/2018 DD 12/14/10	(285,000)	312,788
SERVICEMASTER COMPANY 144A	SERVICEMASTER COMPANY 144A 7.000% 08/15/2020 DD 08/21/12	(396,344)	400,969
SHEARES ESCROW CORP	SHEARES ESCROW CORP 9.000% 11/01/2019 DD 10/29/12	(55,000)	55,675
SIX FLAGS ENTERTAINMENT 144A	SIX FLAGS ENTERTAINMENT 144A 5.250% 01/15/2021 DD 12/21/12	(135,000)	135,475
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 2/7/2013	(1,698,926)	1,698,926
SLM CORP	SLM CORP 6.000% 01/25/2017 DD 01/27/12	(153,360)	167,400
SLM CORP	SLM CORP 7.250% 01/25/2022 DD 01/27/12	(92,788)	96,750
SM ENERGY CO 144A	SM ENERGY CO 144A 6.500% 01/01/2023 DD 06/29/12	(55,000)	55,794

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
SMITHFIELD FOODS INC	SMITHFIELD FOODS INC 6.625% 08/15/2022 DD 08/01/12	(84,575)	86,488
SMURFIT KAPPA ACQUISITION 144A	SMURFIT KAPPA ACQUISITION 144A 4.875% 09/15/2018 DD 09/12/12	(200,000)	201,250
SOPHIA LP / SOPHIA FINANCE INC	SOPHIA LP / SOPHIA FINANCE INC 9.750% 01/15/2019 DD 01/19/12	(325,000)	328,325
SPAREBANK 1 SR BK NOK25	SPAREBANK 1 SR BK NOK25 SUB RTS 01JUN2012	-	4,629
SPECTRUM BRANDS HLDGS 144A	SPECTRUM BRANDS HLDGS 144A 6.750% 03/15/2020 DD 03/20/12	(120,000)	121,363
SPRINT NEXTEL CORP 144A	SPRINT NEXTEL CORP 144A 9.125% 03/01/2017 DD 03/01/12	(140,000)	141,031
STANDARD CHART DISC	STANDARD CHART DISC 6/26/2012	(1,297,530)	1,299,828
STEEL DYNAMICS INC 144A	STEEL DYNAMICS INC 144A 6.125% 08/15/2019 DD 08/16/12	(65,000)	67,519
STEEL DYNAMICS INC 144A	STEEL DYNAMICS INC 144A 6.375% 08/15/2022 DD 08/16/12	(45,000)	46,463
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 7/19/2012	(1,665,272)	1,665,742
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 11/19/2012	(923,799)	923,799
SUNGARD DATA SYSTEMS INC 144A	SUNGARD DATA SYSTEMS INC 144A 6.625% 11/01/2019 DD 11/01/12	(255,000)	257,869
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 2/7/2013	(1,298,489)	1,298,489
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 4/20/2012	(1,497,598)	1,497,874
TAMINCO GLOBAL CHEMICAL 144A	TAMINCO GLOBAL CHEMICAL 144A 9.750% 03/31/2020 DD 02/03/12	(964,500)	999,425

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
TARGA RESOURCES PARTNERS	TARGA RESOURCES PARTNERS 6.375% 08/01/2022 DD 01/31/12	(65,000)	65,813
TARGA RESOURCES PARTNERS 144A	TARGA RESOURCES PARTNERS 144A 5.250% 05/01/2023 DD 10/25/12	(74,625)	75,275
TEKNIPLEX INC 144A	TEKNIPLEX INC 144A 9.750% 06/01/2019 DD 05/24/12	(232,077)	233,825
TEMPURPEDIC INTERNATIONAL 144A	TEMPURPEDIC INTERNATIONAL 144A 6.875% 12/15/2020 DD 12/19/12	(60,000)	62,250
TENET HEALTHCARE CORP	TENET HEALTHCARE CORP 6.250% 11/01/2018 DD 11/21/11	(255,000)	278,027
TENET HEALTHCARE CORP 144A	TENET HEALTHCARE CORP 144A 4.750% 06/01/2020 DD 10/16/12	(190,000)	190,650
TOYS R US INC 144A	TOYS R US INC 144A 10.375% 08/15/2017 DD 08/01/12	(337,618)	348,500
TRAC INTERMODAL LLC/CORP 144A	TRAC INTERMODAL LLC/CORP 144A 11.000% 08/15/2019 DD 08/09/12	(100,000)	100,350
TRANSDIGM INC 144A	TRANSDIGM INC 144A 5.500% 10/15/2020 DD 10/15/12	(65,000)	65,163
TRANSUNION CORP 144A	TRANSUNION CORP 144A 8.125% 06/15/2018 DD 11/01/12	(99,500)	100,000
TRONOX FINANCE LLC 144A	TRONOX FINANCE LLC 144A 6.375% 08/15/2020 DD 08/20/12	(290,000)	292,175
UNIT CORP 144A	UNIT CORP 144A 6.625% 05/15/2021 DD 05/15/12	(350,563)	350,919
UOB FUNDING LLC DISC	UOB FUNDING LLC DISC 11/19/2012	(699,319)	699,319
UR MERGER SUB CORP 144A	UR MERGER SUB CORP 144A 5.750% 07/15/2018 DD 03/09/12	(200,000)	217,500
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP DEC 12	-	10,187

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONTINUED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP JUN 12	-	170,903
US TREAS BD FUTURE (CBT)	US TREAS BD FUTURE (CBT) EXP SEP 12	-	69,423
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP DEC 12	-	216,121
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP JUN 12	-	511,443
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP SEP 12	-	96,354
US 10 YR TREAS NTS FUT (CBT)	US 10 YR TREAS NTS FUT (CBT) EXP DEC 12	-	5,500
US 10 YR TREAS NTS FUTURE(CBT)	US 10 YR TREAS NTS FUTURE(CBT) EXP SEP 12	-	55,453
US 10YR NOTE FUTURE (CBT)	US 10YR NOTE FUTURE (CBT) EXP JUN 12	-	199,936
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP DEC 12	-	12,516
VERIPOS INC NPV	VERIPOS INC NPV	(1,751)	9,910
VERSAILLES CDS DISC	VERSAILLES CDS DISC 1/29/2013	(1,198,674)	1,198,674
VERSO PAPER HLDGS LLC/IN 144A	VERSO PAPER HLDGS LLC/IN 144A 11.750% 01/15/2019 DD 03/21/12	(54,393)	55,138
VIASYSTEMS INC 144A	VIASYSTEMS INC 144A 7.875% 05/01/2019 DD 04/30/12	(145,000)	146,925
VISTEON CORP/NEW	VISTEON CORP/NEW 6.750% 04/15/2019 DD 10/15/11	(270,000)	269,019
WELLS FARGO & CO	WELLS FARGO & CO 3.500% 03/08/2022 DD 03/08/12	(483,943)	514,532

ROCKWELL COLLINS PENSION PLAN**EIN #: 52-2314475, PLAN #: 001****FORM 5500, SCHEDULE H, PART IV, LINE 4i****SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)****AS OF DECEMBER 31, 2012 (CONCLUDED)**

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, or Maturity Value	Cost of Acquisition	Proceeds of Disposition
WESTAR ENERGY INC	WESTAR ENERGY INC 4.125% 03/01/2042 DD 03/01/12	(136,882)	140,429
WILLIAM LYON HOMES INC	WILLIAM LYON HOMES INC 8.500% 11/15/2020 DD 11/08/12	(415,000)	418,419
WINDSTREAM CORP	WINDSTREAM CORP 7.500% 06/01/2022 DD 11/22/11	(170,000)	176,400
WMG ACQUISTION CORP	WMG ACQUISTION CORP 6.000% 01/15/2021 DD 11/01/12	(135,000)	136,588
WPP FINANCE 2010	WPP FINANCE 2010 3.625% 09/07/2022 DD 09/07/12	(118,996)	119,853

See accompanying Independent Auditors' Report.

Attachment to 2012 Schedule SB
Statement by Enrolled Actuary

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Sponsor	Rockwell Collins
EIN/PN	52-2314475/001
Plan Name	Rockwell Collins Pension Plan
Valuation Date	January 1, 2012
Enrolled Actuary	James P. Neal
Enrollment Number	11-06418

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

**Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods**

**ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN**

Plan Sponsor Rockwell Collins

EIN/PN 52-2314475/001

Funding Target Methodology

The funding liability as of January 1, 2012 was determined using census data as of that date under the provisions of the Pension Protection Act of 2006. The discount rates and mortality tables used for this calculation were based on PPA and MAP-21 elections made by Rockwell Collins on September 18, 2012.

Asset method

The valuation assets are equal to the market value at January 1, 2012.

PPA Funding Relief

This report reflects the election of relief under the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010 for plan years 2009 and 2010. The 2012 Installment Acceleration Amount under the "cash flow rule" is \$2,270,062 for the 2009 and 2010 elections. Each Installment Acceleration Amount is comprised of Excess Compensation of \$1,135,031 (calculated based on an excess compensation threshold of \$1,039,000 per individual) and Extraordinary Dividends of \$0, as provided by Rockwell Collins.

Key Valuation Assumptions:

1. **Interest rate basis:**

Applicable month	January	
Yield curve basis	3-Segment Rates	
MAP-21 applied for benefit restrictions	No	
	Without MAP-21 Corridor	With MAP-21 Corridor
First segment rate	1.98%	5.54%
Second segment rate	5.07%	6.85%
Third segment rate	6.19%	7.52%
Effective interest rate	5.35%	7.00%

Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

- | | |
|---|---|
| 2. Salary Increases | N/A |
| 3. Future Increases in Maximum Benefit Limits and Plan Compensation Limits: | N/A |
| 4. Social Security Wage Base Increases: | N/A |
| 5. Retirement: | Retirement rates varying by age, service and sub-plan. Sample rates are attached. |
| 6. Turnover: | Turnover rates varying by age, service and sub-plan. Sample rates are attached. |
| 7. Mortality: | For PPA Calculations:
Static mortality table for 2012 plan years as defined in PPA of 2006 and IRS Notice 2008-85.

For ASC 960 Calculations:
See table descriptions at end of Appendix A. |
| 8. Disability: | Disability incidence rates varying by age and sub-plan. Sample rates are attached. |
| 9. Optional Forms of Payment: | Election rates varying by sub-plan. See attached table. |
| 10. Layoff Benefits: | Laid off participants eligible to bridge to retirement assumed to retire on their earliest eligible retirement age. |
| 11. Participants Missing Benefits: | Participants in payment and terminated vested participants with \$0 benefits are assumed to have the average benefit for participants of the same status within the sub-plan. Transfers with \$0 benefits are assumed to have an annual benefit of \$600. |
| 12. Administrative Expenses: | Assumed administrative expenses are equal to \$3,500,000, plus the estimated PBGC variable rate premium for 2012. |
| 13. Measurement Date: | January 1. |

Participant data

Participant data was supplied by Rockwell Collins as of the census date.

Tax policy

The actuarial valuation performed for the plan year ending December 31, 2012 is used to determine the maximum deductible contribution for the tax year ending October 1, 2012.

Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Nature of actuarial calculations

The results documented in this report are estimates based on data that may be imperfect and on assumptions about future events. Certain plan provisions may be approximated or deemed insignificant and therefore are not valued. Assumptions may be made about participant data or other factors. Reasonable efforts were made in this valuation to ensure that items that are significant in the context of the actuarial liabilities or costs are treated appropriately, and not excluded or included inappropriately.

A range of results, different from those presented in this report could be considered reasonable. The numbers are not rounded, but this is for convenience only and should not imply precision, which is not inherent in actuarial calculations.

The assumptions selected for this valuation other than those prescribed by law, generally reflect long-term average expectations. If overall future plan experience is less favorable than assumed, the relative level of plan costs or contribution requirements determined in this valuation will likely increase in future valuations. Future actuarial measurements may differ significantly from the current measurements presented in this report due to such factors as the following: plan experience differing from that anticipated by the economic or demographic assumptions; increases or decreases expected as part of the natural operation of the methodology used for the measurements (such as the end of an amortization period); and changes in plan provisions or applicable law. It is beyond the scope of this actuarial valuation to analyze the potential range of future pension contributions, but we can do so upon request.

Credit Balance Usage

Due to the Plan's 2011 FTAP, Credit Balance(s) were not available to offset the 2011 Minimum Required Contribution.

Changes from Prior Valuation

- The mortality table for PPA calculations was updated from the PPA static table for 2011 valuations to the PPA static table for 2012 valuations, as elected by Rockwell Collins.
- The interest rates for purposes of determining the maximum deductible contribution and the AFTAP were updated to the 3-segment interest yield curves issued by the IRS during January 2012 reflecting the average interest rates over the 24-month period from January 2010 through December 2011.
- The interest rates for purposes of minimum funding were updated to the MAP-21 3-segment interest yield curves provided by the IRS in August 2012 in Notice 2012-55. These segment rates reflect the 90%-110% corridor around the 25-year average segment rates.

**Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods**

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Retirement - Actives

<u>Age</u>	Employees Born Before 1941				Employees Born 1941 and later	
	Years of Service				Years of Service	
	<u>10</u>	<u>20</u>	<u>30</u>	<u>10</u>	<u>20</u>	<u>30</u>
<u>Aerospace Salaried Employees Sub-Plan</u>						
55	0.149	0.120	0.156	0.074	0.060	0.078
60	0.189	0.328	0.333	0.094	0.164	0.167
62	0.215	0.187	0.191	0.107	0.093	0.096
65	0.446	0.418	0.423	0.223	0.209	0.211
Certain Salaried Employees Sub-Plan						
Electronics Salaried Employees Sub-Plan						
<u>Kaiser Aerospace Employees Sub-Plan</u>						
55	0.085	0.090	0.164	0.042	0.045	0.082
60	0.116	0.186	0.196	0.058	0.093	0.098
62	0.190	0.195	0.205	0.095	0.098	0.103
65	0.336	0.341	0.351	0.168	0.170	0.175
<u>Collins Radio Production Employees Sub-Plan</u>						
55	0.087	0.140	0.195	0.043	0.070	0.098
60	0.071	0.124	0.179	0.036	0.062	0.090
62	0.300	0.353	0.408	0.150	0.177	0.204
65	0.402	0.455	0.510	0.201	0.228	0.255
Coralville Hourly Employees Sub-Plan						
<u>Electronics Offsite Hourly Employees Sub-Plan</u>						
55	0.170	0.088	0.066	0.085	0.044	0.033
60	0.068	0.052	0.034	0.034	0.026	0.017
62	0.529	0.367	0.280	0.264	0.184	0.140
65	1.000	0.682	0.556	1.000	0.341	0.278

**Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods**

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Turnover

	<u>Salaried Sub-Plans</u>	<u>Collins Radio Production</u>	<u>Coralville & Electronics Offsite</u>
<u>Service</u>		<u>Select Rates</u>	
0 to 1	0.2025	0.1725	0.1350
1 to 2	0.2025	0.1525	0.1300
2 to 3	0.1850	0.1475	0.1250
3 to 4	0.1400	0.1250	0.1200
4 to 5	0.1250	0.1000	0.1150
<u>Age</u>		<u>Ultimate Rates</u>	
20	0.1150	0.0900	0.1100
25	0.1150	0.0900	0.1100
30	0.0950	0.0850	0.1100
35	0.0750	0.0650	0.0850
40	0.0500	0.0400	0.0550
45	0.0400	0.0350	0.0450
50	0.0350	0.0250	0.0300
55	-	-	-

Employees under the age of 55 with at least 78 points are assumed to have a 0% rate of turnover.

Annual Rate of Retirement - Deferred Vested Participants

Certain Salaried
Electronics Salaried
Kaiser Salaried

25% of terminated employees with deferred vested benefits are assumed to retire at earliest eligibility.
75% of terminated employees with deferred vested benefits are assumed to retire at age 62.

Collins Production
Coralville
Electronics Offsite

Participants with 10+ years of vesting service:
40% are assumed to retire at earliest eligibility
60% are assumed to retire at age 62

Participants with less than 10 years of vesting service are assumed to retire at age 65.

Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Annual Rate of Disability

Collins Radio Production Employees Sub-Plan

<u>Age</u>	<u>Rate</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	-

Certain Salaried Employees Sub-Plan
Coralville Hourly Employees Sub-Plan
Electronics Offsite Hourly Employees Sub-Plan
Electronics Salaried Employees Sub-Plan
Kaiser Aerospace Employees Sub-Plan

<u>Age</u>	<u>Rate</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	0.01596

Optional Forms of Payment

Certain Salaried Employees Sub-Plan
Electronics Salaried Employees Sub-Plan
Kaiser Aerospace Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	65% of employees.
Age of Beneficiary:	Females 3 years younger than males.

Attachment to 2012 Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

ROCKWELL COLLINS PENSION PLAN

Optional Forms of Payment (Cont'd)

Collins Radio Production Employees Sub-Plan
Coralville Hourly Employees Sub-Plan
Electronics Offsite Hourly Employees Sub-Plan

Employees Married:	85% of employees.
Employees Electing Survivor Benefits:	None.
Age of Beneficiary:	Females 3 years younger than males.

Percentage of Terminated Vested Employees
Assumed to Elect Survivor Benefits

Aerospace Hourly Sub-Plan, Aerospace Salaried Sub-Plan, Kaiser UAW Sub-Plan, Salaried Sub-Plans:	65% of employees.
Ashtabula Sub-Plan, Centralia Sub-Plan Kenton Hourly Sub-Plan:	85% of employees.
All Other Sub-Plans:	None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Outline of Benefit Provisions

Outline of Benefit Provisions

Plan Benefits Valued

The valuation is based on benefit provisions in effect on the valuation date, including future increases under existing bargaining agreements.

1. Active Employees:
 - a. Non-Union Employees -

Entitled to vested, accrued benefit as of September 30, 2006. Accrue eligibility service toward early retirement benefits. Employees rehired after September 30, 2006 who terminated non-vested prior to the freeze can continue to earn eligibility service.

- b. Union Employees -

Accrue benefits under the Collins Production and Coralville sub-plans, descriptions of which are attached.

2. Inactive Participants:

Entitled to vested, accrued benefit determined as of the date of separation.

Changes from Prior Valuation

None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - December 31, 1940.
 - b. Latest Amendment - January 12, 2011.
2. Definitions:
 - a. Eligible Employee - Employees on certain salaried payrolls are covered by the sub-plan. Benefits frozen as of September 30, 2006.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:
 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:
 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

- ii. The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit <u>Attributable To</u>	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
b. Regular Early (Cont'd) -

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

- c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years).

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.

- ii. Sum of (A) plus (B):

- (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.
 - (B) Amount determined in ii.(B) above.

- d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
 - e. Disability -

Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of determination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
 - a. Lump Sum -

On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006, or death benefit accrued as of December 31, 1971 if greater, is payable to the employee's designated beneficiary.
 - b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

 - i. On death after age 55, the greater of 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee) or the survivor benefit the spouse would have received if the employee had retired and elected the joint and survivor annuity in 7.c. below.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Certain Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
(Cont'd)
- b. Survivor Benefit - (Cont'd)
 - ii. On death after 10 years of service and prior to age 55, 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced by 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - iii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - January 12, 2011.
2. Eligibility: Bargaining unit employees of Collins Radio.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before age 65.
 - c. Late - First day of any month after normal retirement date.
 - d. Disability - On total and permanent disability at any age after 10 years of vesting service.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals the post-4/30/92 benefit unit times post-4/30/92 service (maximum 30 years), plus the pre-5/1/92 benefit unit times total service (maximum 30 years) in excess of post-4/30/92 service, plus a lifetime supplement of \$50. 12/31/92 is used instead of 4/30/92 for any employee eligible to retire before 1/1/93.

**Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions**

**ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN**

Collins Radio Production Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

Post-4/30/92 benefit unit:

Labor Grade	Cedar Rapids IBEW 1362	Cedar Rapids IBT 238	Cedar Rapids IBEW 1429	Dallas IUE 787
1	\$44.00			\$44.00
2	48.00			45.75
3	49.50			47.25
4	51.75			49.75
5	54.75		\$52.00	52.75
6	57.75	\$48.00		56.50
7	60.75	49.50	47.00	60.00
8	64.00	51.75		63.75
9	66.50	53.25		67.25
10	69.00	54.75		69.00
11		57.75		
12		60.75		

Pre-5/1/92 benefit unit: 102% of the greater of \$18 or 1/12 the sum of \$44.20 plus 1.9% of the highest average W-2 pay for 3 consecutive calendar years out of the 10 calendar years preceding the earlier of the date of termination or 5/1/92. Pre-5/1/92 benefit unit further increased by 6% for retirements between 5/1/2003 and 3/31/2010 and 3% for retirements on 4/1/2010 and thereafter.

- b. Early - Benefit determined as for normal retirement, based on credited service and labor grade at early retirement date, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.
- c. Late - Greater of:
i) Benefit determined as for normal retirement, based on credited service and labor grade at actual retirement date and
ii) Benefit determined based on credited service and labor grade at normal retirement date, actuarially increased to account for payment at actual retirement date
- d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Collins Radio Production Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and labor grade at date of termination. The deferred vested benefit is payable without reduction beginning at age 65 or with subsidized reduction from age 62 at any time after age 55 if the employee had at least 10 years of vesting service at termination. Lifetime supplement payable without reduction.
6. Death Benefits Before Retirement: Upon death after 1 year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if the employee had retired on the first day of the month in which the employee died and had taken the joint and survivor annuity. If death occurs prior to the employee's earliest retirement date, the benefit will commence when the employee would have been eligible to retire and will be determined as if the employee had survived to that date and then died.
7. Death Benefits After Retirement:
 - a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants - A married employee who does not elect a straight life annuity will automatically receive a benefit payable during the employee's lifetime consisting of the lifetime supplement plus 88% of the balance of the otherwise determined retirement benefit. On the employee's death, 50% of the reduced income will continue to the surviving spouse for the spouse's remaining lifetime. The employee's percentage is reduced 1/2% for each year that the employee's age exceeds the spouse's age, or increased 1/2% for each year that the spouse's age exceeds the employee's age (maximum increase is 10%).
 - c. Optional Forms - Actuarially equivalent joint and survivor annuity for 50%, 75% or 100% or Social Security leveling option.
8. Employee Contributions: None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - April 1, 1986.
 - b. Latest Amendment - December 17, 2010.
2. Eligibility: Hourly payroll employees of the Coralville, Iowa Plant are covered by the sub-plan.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 5 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals \$32.00 per year of credited service (maximum 30 years).
 - b. Early - Monthly benefit determined as for normal retirement, based on service credited to early retirement date, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.
 - c. Late - Benefit determined as for normal retirement, based on employee's credited service to actual retirement date.
 - d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Coralville Hourly Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to his date of termination. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.
6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 5 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 5 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - October 11, 2011.
2. Definitions:
 - a. Eligible Employee - Salaried employees at certain locations of Electronics Operations are covered by the sub-plan. Benefits frozen as of September 30, 2006.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

- ii The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit	With	No
<u>Attributable To</u>	<u>Pre-1993</u>	<u>Pre-1993</u>
	<u>Service</u>	<u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years).

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
- c. 55/Rule of 85 (Cont'd) - Benefit Prior to Age 62: Greater of:
- iii. Regular early retirement benefit including any supplement.
 - iv. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.
 - (B) Amount determined in ii.(B) above.
- d. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
- e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Electronics Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
- a. Lump Sum -

On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
 - b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

 - i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum -

None.
 - b. Normal Form for Single Participants -

Single life annuity.
 - c. Normal Form for Married Participants -

Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms -

Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions:

None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan - January 1, 1976.
 - b. Latest Amendment - February 29, 2012.
2. Definitions:
 - a. Eligible Employee - Salaried employees of the Kaiser Aerospace operations acquired by Rockwell Collins are covered by the plan. Benefits frozen as of September 30, 2006.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

<u>Year of Birth</u>	
1937 & earlier	.480%
1938 thru 1954	.455%
1955 & later	.430%
3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

3. Retirement Dates: (Cont'd)

- b. Early - First day of any month after employee reaches age 55, but before age 65.
- c. Late - First day of any month after employee reaches normal retirement date.
- d. Disability - None.

4. Retirement Benefits:

- a. Normal - Annual benefit equal to the greatest of:
- Career-Average ("Tack-On") Benefit: Benefit accrued under the prior formula as of 12/31/2000 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.
- 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).
- Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service, earned prior to September 30, 2006 (no maximum).
- b. Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes age 62.
- Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.
- c. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
- d. Disability - None.

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Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
 - a. Lump Sum -

On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
 - b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

 - i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.

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ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Kaiser Aerospace Retirement Plan

7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan Merger - December 31, 1993.
 - b. Latest Amendment - January 27, 2011.
2. Eligibility: Employees entitled to benefits under the following former retirement plans:

Bellevue, Iowa Hourly Payroll
Decorah, Iowa Hourly Payroll
Manchester, Iowa Hourly Payroll
Mason City, Iowa Hourly Payroll
Sumner, Iowa Hourly Payroll
Maine Electronics Inc. Hourly Payroll
Maine Electronics Inc. Salary Payroll

Benefits frozen as of September 30, 2006.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month following normal retirement date.
 - d. Disability - On total and permanent disability after 10 years of vesting service.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

4. Retirement Benefits:

a. Normal -

Monthly benefit equals the following benefit unit for each year of credited service earned prior to September 30, 2006 (maximum 30 years):

	Bellevue Decorah	Mason City
<u>Labor Grade</u>	<u>Manchester</u>	<u>Sumner</u>
A	\$18.00	\$12.00
B	19.00	13.00
C	20.00	14.00

For employees of the former Maine Hourly and Maine Salaried plans, the benefit unit is \$10.00 and the maximum service is 35 years.

b. Early -

Monthly benefit determined as for normal retirement, based on service credited to earlier of early retirement date and September 30, 2006, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.

c. Late -

Benefit determined as for normal retirement, based on employee's credited service to earlier of his actual retirement date and September 30, 2006.

d. Disability -

Monthly benefit determined as for normal retirement, based on service credited to earlier of date of disability and September 30, 2006, payable without reduction after 6 months of disability.

5. Termination Benefits:

On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to earlier of his date of termination and September 30, 2006. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.

Attachment to 2012 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Off-Site Electronics Sub-Plan

6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Lump Sum - \$1,000 payable to the beneficiary of an early retiree and, if the retiree is not covered by group insurance, to the beneficiary of a disabled, late or normal retiree. The beneficiary of a terminated vested retiree will not receive the lump sum. If the former plan is Maine Salaried, the amount payable is \$1,000 to \$5,000 depending on pay. This benefit will be eliminated for active employees as of October 1, 2006 and retirees as of January 1, 2007.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% (55% if former plan is Maine) of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: None.

Attachment to 2012 Schedule SB, Line 4
Additional Information for Plans in At-risk Status
Description of At-Risk Assumption for Assumed Form of Payment

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Participants eligible to retire within the next 11 years were assumed to retire immediately when first becoming eligible (but not before the end of the current year). All participants were assumed to elect the most valuable optional form of payment available to them upon commencement. This form of payment was either the 50% or 60% Joint and Survivor depending on the sub-plan of the participant.

Attachment to 2012 Schedule SB, Line 15
Reconciliation of Differences between Valuation Results
and Amounts Used to calculate AFTAP as of January 1, 2012

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Date of AFTAP certification/ recertification	AFTAP	Description of the differences between valuation results and amounts used to calculate AFTAP as of January 1, 2012
September 28, 2012	69.24%	Based on an election by Rockwell Collins, the 2012 valuation reflects the provisions of MAP-21 for funding (IRC §430) purposes but not benefit restriction (IRC§436) purposes. The actual rates used for the AFTAP is 1.98% for the first 5 years, 5.07% for the next 15 years, and 6.19% thereafter.

Attachment to 2012 Schedule SB, Line 22
Description of Weighted Average Retirement Age

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

<u>Sub-Plan Name</u>	<u>Active Participant Counts at 1/1/2012</u>	<u>Weighted Average Retirement Age</u>
Certain Salaried	81	62.861
Electronics Salaried	7,228	62.890
Collins Production	1,327	62.108
Coralville	540	63.157
Kaiser Ret Plan	345	63.980
Offsite Electronics	531	62.314
TOTAL	10,052	62.808

Weighted Average Retirement Age is determined using the actual active population (excluding participants in leave, layoff or transfer status as of January 1, 2012) and the Plan's retirement decrement assumptions.

Attachment to 2012 Schedule SB, line 26 - Schedule of Active Participant Data
Summary of Active Employee Data at Collins Sub-Plans as of January 1, 2012¹

EIN: 52-2314475
PN: 001

ROCKWELL COLLINS, INC.
Rockwell Collins Pension Plan

Attained Age	0		1		2		3		4		5-9		10-14		15-19		20-24		25-29		30-34		35-39		40 & Over		Total	Avg. Accrued Benefit
	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit				
Under 25	14		10		4		3		17		1															49	1,333	
25-29	149	267	158	849	69	1,264	13		29	2,228	58	3,003														476	1,101	
30-34	138	355	165	1,032	114	1,713	49	2,323	66	2,625	298	4,117	47	7,504	2											879	2,609	
35-39	97	372	105	1,198	63	1,928	31	2,615	38	3,586	438	5,183	171	8,602	17											960	4,574	
40-44	99	425	98	1,410	67	2,323	23	2,861	40	3,148	380	5,758	218	9,200	147	9,508	26	13,643								1,098	5,896	
45-49	95	500	102	1,430	62	2,551	40	3,385	58	3,708	426	6,605	241	9,851	373	13,440	295	15,515	44	14,256	1					1,737	9,286	
50-54	85	479	81	1,498	60	2,391	30	3,771	36	4,196	466	6,883	198	10,411	270	14,591	352	19,164	389	18,656	41	20,270				2,008	12,257	
55-59	47	542	47	1,478	40	2,929	18		40	4,653	307	7,199	181	10,582	182	13,918	194	19,951	354	24,005	199	23,985	138	20,819		1,747	15,530	
60-64	22	412	25	1,458	20	2,666	12		18		167	6,660	73	9,327	90	14,960	87	18,520	127	31,458	105	34,817	69	22,051	45	21,850	860	17,650
65-69	6		8		6		7		4		43	6,365	18		26	20,009	19		21	29,651	13		12		30	24,355	213	17,495
70 & Over					3						3		3		4		2		5				1		4		25	12,457
Total	752	392	799	1,199	508	2,112	226	3,209	346	3,450	2,587	5,991	1,150	9,587	1,111	13,451	975	18,095	940	22,412	359	27,077	220	22,031	79	23,376	10,052	10,032

¹ Counts include participants who are active as of the valuation date and do not include participants on leave/layoff or transferred employees.
Note: Plan is primarily pay-related. All pay related benefits are frozen. Accrued benefits for all active participants are shown in lieu of pay.

Attachment to 2012 Schedule SB, Line 32
Schedule of Amortization Bases as of January 1, 2012

ROCKWELL COLLINS, INC.
 ROCKWELL COLLINS PENSION PLAN

Type of Base		Date Established	Initial Amount	Remaining Amortization Period (Years)	Outstanding Balance	Amortization Payment
1	Shortfall	01/01/2012	(146,167,948)	7	(146,167,948)	(24,808,287)
2	Shortfall	01/01/2011	85,320,432	6	71,969,475	13,787,467
3	Shortfall	01/01/2010	357,034,397	13	319,645,866	35,360,373
4	Shortfall	01/01/2009	141,128,380	12	133,208,538	15,673,843
5	Shortfall	01/01/2008	306,128,088	3	143,924,833	50,583,715
Total (before Installation Acceleration Amounts)					522,580,764	90,597,111
Installation Acceleration Amounts (15-year Amortization)					N/A	2,270,062
Total					522,580,764	92,867,173

Plan Name	Rockwell Collins Pension Plan
Plan Sponsor EIN	52-2314475
ERISA Plan #	001
Plan Year Ending	December 31, 2012

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the Plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. SB	None	Statement by Enrolled Actuary	X
5500 Sch. SB	Line 27	Actuarial Information Based on Pre-PPA Funding Rules	
5500 Sch. SB	Line E	Information for Each Individual Employer	
5500 Sch. SB	Line 4	Additional Information for Plans in At-Risk Status	X
5500 Sch. SB	Line 7	Explanation of Discrepancy in Prior year Funding Standard Carryover Balance or Prefunding Balance	
5500 Sch. SB	Line 8	Late Election to Apply Balances to Quarterly Installments	
5500 Sch. SB	Line 9	Explanation of Credit Balance Discrepancy	
5500 Sch. SB	Line 19	Discounted Employer Contributions	
5500 Sch. SB	Line 20c	Liquidity Requirement Certification	
5500 Sch. SB	Line 22	Description of Weighted Average Retirement Age	X
5500 Sch. SB	Line 23	Information on Use of Multiple Mortality Tables	
5500 Sch. SB	Line 23	Information on Use of Substitute Mortality Tables	
5500 Sch. SB	Part V	Statement of Actuarial Assumptions/Methods	X
5500 Sch. SB	Part V	Summary of Plan Provisions	X
5500 Sch. SB	Line 24	Change in Actuarial Assumptions	
5500 Sch. SB	Line 25	Change in Method	
5500 Sch. SB	Line 26	Schedule of Active Participant Data	X
5500 Sch. SB	Line 27	Balances Subject to Binding Agreement with PBGC	
5500 Sch. SB	Line 27	Alternative 17-Year Funding Schedule for Airlines	
5500 Sch. SB	Line 32	Schedule of Amortization Bases	X

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SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2012 This Form Is Open to Public Inspection
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For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

► Round off amounts to nearest dollar.

► Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Rockwell Collins Pension Plan	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">B Three-digit plan number (PN) ►</td> <td style="width: 30%; text-align: center;">001</td> </tr> </table>	B Three-digit plan number (PN) ►	001
B Three-digit plan number (PN) ►	001		
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Rockwell Collins, Inc.	D Employer Identification Number (EIN) 52-2314475		
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B			
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500			

Part I Basic Information

1 Enter the valuation date: Month <u>1</u> Day <u>1</u> Year <u>2012</u>			
2 Assets:			
a Market value	2a	2,218,881,759	
b Actuarial value	2b	2,218,881,759	
3 Funding target/participant count breakdown:			
		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment.....	3a	11,568	1,535,414,763
b For terminated vested participants.....	3b	11,393	343,869,788
c For active participants:			
(1) Non-vested benefits	3c(1)		52,599,758
(2) Vested benefits	3c(2)		722,262,321
(3) Total active	3c(3)	11,556	774,862,079
d Total	3d	34,517	2,654,146,630
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☒			
a Funding target disregarding prescribed at-risk assumptions	4a	2,569,298,237	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	2,781,419,220	
5 Effective interest rate	5	7.00	
6 Target normal cost	6	17,222,147	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center; vertical-align: middle;">SIGN HERE</td> <td style="width: 90%; text-align: center; vertical-align: middle;"> </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Signature of actuary </td> </tr> <tr> <td colspan="2" style="text-align: center;"> James P Neal </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Type or print name of actuary </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Towers Watson Delaware Inc </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Firm name </td> </tr> <tr> <td colspan="2" style="text-align: center;"> 901 N. Glebe Road </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Arlington </td> </tr> <tr> <td colspan="2" style="text-align: center;"> Address of the firm </td> </tr> </table>	SIGN HERE		Signature of actuary		James P Neal		Type or print name of actuary		Towers Watson Delaware Inc		Firm name		901 N. Glebe Road		Arlington		Address of the firm		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;"> 07/11/2013 </td> </tr> <tr> <td style="text-align: center;"> Date </td> </tr> <tr> <td style="text-align: center;"> 11-06418 </td> </tr> <tr> <td style="text-align: center;"> Most recent enrollment number </td> </tr> <tr> <td style="text-align: center;"> (703) 258-8000 </td> </tr> <tr> <td style="text-align: center;"> Telephone number (including area code) </td> </tr> </table>	07/11/2013	Date	11-06418	Most recent enrollment number	(703) 258-8000	Telephone number (including area code)
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If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2012
v. 120126

Part II Beginning of Year Carryover Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	83,652,656
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	83,652,656
10 Interest on line 9 using prior year's actual return of <u>2.05</u> %		1,714,879
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		1,839,462
b Interest on (a) using prior year's effective interest rate of <u>5.92</u> % except as otherwise provided (see instructions)		108,896
c Total available at beginning of current plan year to add to prefunding balance		1,948,358
d Portion of (c) to be added to prefunding balance		1,948,358
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12)	0	87,315,893

Part III Funding Percentages

14 Funding target attainment percentage	14	82.96 %
15 Adjusted funding target attainment percentage	15	69.24 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	73.80 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/17/2012	63,000,000				
07/13/2012	2,600,000				
10/04/2012	55,000,000				
01/16/2013	44,573,901				
Totals ▶			18(b)	165,173,901	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	159,108,514

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 5.54 %	2nd segment: 6.85 %	3rd segment: 7.52 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.....	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.....	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29).....	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....	31a	17,222,147	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	522,580,764	92,867,173	
b Waiver amortization installment		0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	110,089,320	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	110,089,320	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	159,108,514	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	49,019,194	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☒ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42	2,270,062	
43 Excess installment acceleration amount to be carried over to future plan years	43	0	