Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acco	ordance with the instruc	tions to the Form 550	0-SF.		, post		
Part I	Annual Report	Identification Information							
For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012									
A This ref	nis return/report is for: X a single-employer plan A multiple-employer plan (not multiemployer				er) a one-participant plan				
B This ref	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year returr	n/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	ım		
	· ·	special extension (enter description	 tion)			_			
Part II	Basic Plan Info	rmation—enter all requested inform	·						
1a Name		ontor an requested lines	maion		1b	Three-digit			
TIER 3 401(I						plan number			
						(PN) ▶	001		
					1c	Effective date o	•		
2a Plan s	ponsor's name and add	dress; include room or suite number	(employer, if for a single-	emplover plan)	2b	Employer Identi			
TIER 3, INC		,		, , , ,		69692			
					2c	hone number			
	AVE NE STE 520					877-388			
BELLEVUE,	, WA 98004-5854				2d	Business code ((see instructions)		
						54151	.9		
3a Plan a	dministrator's name an	d address XSame as Plan Sponsor	Name Same as Plan	Sponsor Address	3b	Administrator's	EIN		
					30	Administrator's	telephone number		
					30	Administrators	elepriorie number		
4 If the r	name and/or EIN of the	plan sponsor has changed since the	e last return/report filed fo	r this plan, enter the	4b EIN				
		nber from the last return/report.							
	or's name				4c	PN	_		
		at the beginning of the plan year			5a		30		
b Total	number of participants	at the end of the plan year			5b		37		
		account balances as of the end of the	. , ,	•			7		
_					5c		7		
_	•	during the plan year invested in elig	•	,			X Yes No		
		the annual examination and report of (See instructions on waiver eligibility					X Yes No		
		ther line 6a or line 6b, the plan car	•						
		or incomplete filing of this return/r							
		ner penalties set forth in the instruction					able, a Schedule		
SB or Sche	edule MB completed an	d signed by an enrolled actuary, as							
belief, it is	true, correct, and comp	llete.							
SIGN	Filed with authorized/\	valid electronic signature.	07/31/2013	MARY CADERA	ADERA				
HERE	Signature of plan ac	dministrator	Date	Enter name of individual signing as plan administrator					
SIGN	Filed with authorized/\	valid electronic signature.	07/31/2013	MARY CADERA					
HERE	Signature of employ		Date	Enter name of individual signing as employer or plan spo					
Preparer's	name (including firm na	ame, if applicable) and address; inclu	ude room or suite number	r (optional)	Prep	arer's telephone	number (optional)		

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7 Plan Assets and Liabilities	Part III Financial Information											
a Total plan isabilities. b Total plan isabilities. c Net plan assate (subtract line 75 from line 7a). 7 C O 1 292 118538 Norme, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivability from 1 (b) Total a Contributions received or receivability from 1 (b) Total a Contributions received or receivability from 1 (b) Total a Contributions received or receivability from 1 (b) Total a Contributions received or receivability from 1 (c) Participants 8a (2) 36112 (3) Others (including ollevers) 8a (2) 36112 (3) Others (including ollevers) 8a (3) 75568 b Other income (add lines 8a(1), 8a(2), 8a(3), and 8b). 6 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8 C Ottain deemed and/or corrective distributions (see instructions). 8 D Other income (add lines 8a(1), 8a(2), 8a(3), and 8b(2), 8a(3), and 8b(3) 9 Other expenses. 9 D Other expenses (add lines 8d, 8e, 8f, and 8g(2). 1 Not income (add lines 8d, 8e, 8f, and 8g(2). 1 Not income (add lines 8d, 8e, 8f, and 8g(2). 1 Not income (add lines 8d, 8e, 8f, and 8g(2). 1 Not income (add lines 8d, 8e, 8f, and 8g(2). 1 Not income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to throm) the plan (see instructions). 8 D OTHER (Income (add lines 8d, 8e, 8f, and 8g(2). 1 Transfers to				(a) Beginning of Yea	ar			(b) End	of Yea	ır		
b Total plan liabilities. The plan assets (autorida line 75 from line 7a). 7c 1262 118538 118	а	Total plan assets	7a									
8 Income. Expenses. and Transfers for this Plan Year 8 Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollowers). (3) Others (including rollowers). (4) Expenses. (5) Expenses. (6) Expenses. (7) Expenses. (8) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (17) Expenses. (9) Expenses. (17) Expenses. (18) Expenses. (17) Expenses. (17) Expenses. (18) Expenses. (18) Expenses. (18) Expenses. (19) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (11) Expenses. (10) Expenses. (11) Expe		·			0							
8 Income. Expenses. and Transfers for this Plan Year 8 Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollowers). (3) Others (including rollowers). (4) Expenses. (5) Expenses. (6) Expenses. (7) Expenses. (8) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (9) Expenses. (17) Expenses. (9) Expenses. (17) Expenses. (18) Expenses. (17) Expenses. (17) Expenses. (18) Expenses. (18) Expenses. (18) Expenses. (19) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (10) Expenses. (11) Expenses. (10) Expenses. (11) Expe	С	Net plan assets (subtract line 7b from line 7a)	7c	128	32			118538				
a Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollowers). (3) Others (including rollowers). (4) Employers. (5) Other income (loss). (6) Other income (loss). (7) Exploration (loss). (8) B				(a) Amount				(b) T	otal			
(2) Participants. 8a(2) 38112 (3) Others (including rollovers) 8a(3) 75968 b Other income (loss) 8b 5540 C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c 117320 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 9c 117320 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 9c 117320 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 9c 117320 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 9c 117320 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 9c 117320 d Cartain deemed and/or corrective distributions (see instructions). 8e 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		·		(2) 1 222 222				<u> </u>				
(3) Others (including rollovers)		(1) Employers	8a(1)		0							
D Other income (loss)		(2) Participants	8a(2)	3611	12							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)	7566	88							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). e Cetrain deemed and/or corrective distributions (see instructions)	b	Other income (loss)	8b	554	10							
to provide benefits)	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						11	7320		
f Administrative service providers (salaries, fees, commissions)		• • •	8d		0							
Solution	е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f	6	64							
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g		0							
i Net income (loss) (subtract line 8h from line 8c)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							64		
Transfers to (from) the plan (see instructions) aj 0			8i									
Part IV Plan Characteristics Plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 20 2J 2T 3D		, , ,	8i		0							
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	Par	t IV Plan Characteristics	<u> </u>									
Description		9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a	b											
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a	Dowl	V Commission of Oscartions										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a		<u> </u>						l				
29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						Yes	NO		Amou	ınt		
on line 10a.)		29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?												
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	С	Was the plan covered by a fidelity bond?			10c		X					
insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan?	d						X					
f Has the plan failed to provide any benefit when due under the plan?	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier,				V						
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)		instructions.)			10e	^					4	125
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X					244	149
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	h	• • • • • • • • • • • • • • • • • • • •			10h		X					
Part VI Pension Funding Compliance 11	i											
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	Part						I					
11a Enter the amount from Schedule SB line 39	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	11a											
If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?										
granting the waiver	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)											
b Enter the minimum required contribution for this plan year	If	you completed line 12a, complete lines 3, 9, and 10 of Schedulo	e MB (For	m 5500), and skip to line 13.								
	b Enter the minimum required contribution for this plan year											

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					