Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension B	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instr	uctions to the Form 550	0-SF.		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Part I	Annual Report	Identification Information					
For calend	lar plan year 2012 or fi	scal plan year beginning 01/01/2	2012	and ending 1	2/31/2	2012	
	turn/report is for:	a single-employer plan	H	plan (not multiemployer)		a one-partici	pant plan
B This re	turn/report is:	the first return/report	the final return/repor	t			
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)		
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	am
		special extension (enter descr	iption)				
Part II	Basic Plan Info	rmation—enter all requested info	ormation				
1a Name		one an requested in	omadon .		1b	Three-digit	
		ASSOCIATES, PC PROFIT SHAR	ING PLAN			plan number	
						(PN) •	003
					1c	Effective date of	f plan
						01/01	/1999
	ponsor's name and ad CARDIOLOGY ASSOC	dress; include room or suite numbe	er (employer, if for a singl	e-employer plan)	2b	Employer Identi (EIN) 13-35	fication Number 38717
					2c	Sponsor's telep	hone number
540 UNION	BOULEVARD					631-66	
WEST ISLIF	P, NY 11795				2d		(see instructions)
3a Plan a	administrator's name ar	nd address X Same as Plan Spons	or Name Same as Pl	an Sponsor Address	3h	62111 Administrator's	
Ju Halla	idilililistrator s hame ar	To accides A Dame as I lan opons	of Name Dame as in	an oponsor Address	35	Administrator 5	LIIV
					3с	Administrator's	telephone number
		e plan sponsor has changed since t	he last return/report filed	for this plan, enter the	4b	EIN	
	sor's name	mber from the last return/report.			4c	PNI	
		at the beginning of the plan year			5a	T	32
		at the end of the plan year					
					5b		28
		account balances as of the end of t	. , ,	•	5c		25
_		s during the plan year invested in el					X Yes No
_	·	f the annual examination and report	•	•			
		? (See instructions on waiver eligibi					X Yes No
If you	ı answered "No" to e	ither line 6a or line 6b, the plan c	annot use Form 5500-S	F and must instead use	Form	5500.	
Caution: /	A penalty for the late	or incomplete filing of this return	/report will be assesse	d unless reasonable cau	ıse is	established.	
		her penalties set forth in the instruc					
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, a	s well as the electronic vi	ersion of this return/report	i, and	to the best of my	knowledge and
DOILOT, IC 10	trac, correct, and com		į				
SIGN	Filed with authorized	valid electronic signature.	08/01/2013	SARAH CURTIS			
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual sig	ning as plan adr	ministrator
SIGN							
HERE Signature of employer/plan sponsor Date Enter name of individual signing as em			ning as employe	er or plan sponsor			
Preparer's		name, if applicable) and address; inc	clude room or suite numb				number (optional)

Form 5500-SF 2012 Page **2**

Por	t III Financial Information						
<u> Par</u>	Plan Assets and Liabilities		(a) Paginning of Var				(b) End of Your
	Total plan assets	7a	(a) Beginning of Yea				(b) End of Year 2704731
	Total plan liabilities	7a 7b	312090	0			0
	Net plan assets (subtract line 7b from line 7a)	7c	312695				2704731
		76		55			
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total
	(1) Employers	8a(1)		0			
	(2) Participants	8a(2)		0			
	(3) Others (including rollovers)	8a(3)		0			
b	Other income (loss)	8b	28996	57			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					289967
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	71186	66			
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e					
f	Administrative service providers (salaries, fees, commissions)	8f	32	.5			
g	Other expenses	8g					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					712191
i	Net income (loss) (subtract line 8h from line 8c)	8i					-422224
j	Transfers to (from) the plan (see instructions)	8j					
Par	t IV Plan Characteristics						
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in tl	ne instructions:
Part	V Compliance Questions						
10	During the plan year:				Yes	No	Amount
а				10a		X	Amount
b		? (Do not	include transactions reported	10b		X	
	Was the plan covered by a fidelity bond?				Χ		
				10c			325000
d	or dishonesty?			10d		X	
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	efits under the plan? (See	10e	X		15827
f	Has the plan failed to provide any benefit when due under the plan					X	10021
				10f			
g h	Did the plan have any participant loans? (If "Yes," enter amount a If this is an individual account plan, was there a blackout period?		<u> </u>	10g		X	
	2520.101-3.)			10h		X	
	exceptions to providing the notice applied under 29 CFR 2520.10			10i			
Part							
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)						
<u>11a</u>	11a Enter the amount from Schedule SB line 39						
12	Is this a defined contribution plan subject to the minimum funding	requireme	ents of section 412 of the Code	or se	ction (302 of	ERISA? Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,						
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon	ith	and e	enter th Day	e date of the letter ruling Year
If	you completed line 12a, complete lines 3, 9, and 10 of Schedul	e MB (For	m 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year					12b	

	Form 5500-SF 2012 Page 3 - 1			
	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to		_
1	3c(1) Name of plan(s):	3c(2) E	IN(s)	13c(3) PN(s)
Part	VIII Trust Information (optional)			
	Name of trust	14b ⊤	rust's EIN	

Attachment to 2012 Form 5500 Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan NameSOUTH BAYCARDIOVASCULAR ASSOCIATES, PC PROFIT SHARING PLATIN:13-3538717Plan Sponsor's NameEASTERN CARDIOLOGY ASSOCIATES PCPN:003

	•			
		(c) Description of investment including maturity date,		(e) Current
(a)	(b) Identity of issue, borrower, lessor, or similar party	rate of interest, collateral, par, or maturity value.	(d) Cost	value
		Fixed Account		
	ING Fixed Account			
	The Trace heedene	Material Band		
		Mutual Fund		
	ING Oppenheimer Glob Port(Init)			
		Mutual Fund		
	Templeton Growth Fund			
		Mutual Fund		
	ING Int'l SmallCap Fund(A)			
	ind the I billaticap rand(A)			
		Mutual Fund		
	Baron Growth Fund			
		Mutual Fund		
	Fidelity Advisor Mid Cap Fund			
		Mutual Fund		
	Franklin Bal. Sheet Inv't Fund(A)			
			1	1

Attachment to 2012 Form 5500 Schedule H, line 4i - Schedule of Assets (Held at End of Year)

	NameSponsor's Name	EIN: _ PN:			
		(c) Description of investment including maturity date,		(e) Current	
(a)	(b) Identity of issue, borrower, lessor, or similar party	rate of interest, collateral, par, or maturity value.	(d) Cost	value	
		Mutual Fund			
	MFS Core Equity Fd (A)				
		Mutual Fund			
	One arbeine Gorifel Brown Bord(
	Oppenheimer Capital Apprec. Fund(
		Mutual Fund			
	Fidelity VIP Equity-Income				
	riacitty vii Equity Income				
		Mutual Fund			
	ING VP Index Plus LargeCap Port.(I))			
		Mutual Fund			
		Mutuai Fund			
	Oppenheimer Main Street Fund(A)				
		Mutual Fund			
	QG				
		Mutual Fund			
	ING Van Kampen Eq&Inc(Init)				

Attachment to 2012 Form 5500 Schedule H, line 4i - Schedule of Assets (Held at End of Year)

	NameSponsor's Name		EIN: PN:			
	T	T 432 4		() 0		
(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value		
(4)	(b) Identity of Ideac, Bottower, Ideaco, or diffinite party	Mutual Fund	(4) 5551	Value		
	AIM Basic Balanced Fund - A					
		Mutual Fund				
	ING Opp Strat Inc(Init)					
		Mutual Fund				
	ING Intermediate Bond Port-I					
		Mutual Fund				
	Fidelity VIP Contrafund					
		Mutual Fund				
	Income Fund of America					
		Mutual Fund				
	ING Solution 2045					
		Mutual Fund				
	Europacific Fund					