Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

		Complete all entries in acco	rdance with the instru	Chons to the Form 550	ио-ог.				
Part		Identification Information							
For cale	ndar plan year 2012 or fi	scal plan year beginning 01/01/20	12 _	and ending	12/31/2	2012 			
A This	return/report is for:	a single-employer plan		olan (not multiemployer)	r) a one-participant plan				
B This	return/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	n/report (less than 12 m	nonths))			
C Che	ck box if filing under:	X Form 5558	automatic extension			DFVC progra	m		
		special extension (enter descript	ion)			_			
Part I	Basic Plan Info	ormation—enter all requested inform	nation						
1a Nar	ne of plan				1b	Three-digit			
HARPER	ENGINEERING COMPA	ANY 401(K) PROFIT SHARING PLAN				plan number	000		
					4 -	(PN) •	002		
					1c Effective date of plan 03/01/1986				
2a Pla	n enoneor's name and ac	ddress; include room or suite number	employer if for a single	-employer plan)	2b Employer Identification Number				
	ENGINEERING COMPA		ciriployer, ir for a sirigic	-ciripioyer plan)	20	(EIN) 91-08			
					20	Sponsor's telep	hone number		
700 SW 9	SEVENTH STREET					425-255			
	, WA 98057-1329				2d	Business code (see instructions)		
						33641			
3a Pla	n administrator's name a	nd address XSame as Plan Sponsor	Name Same as Pla	n Sponsor Address	3b	EIN			
		_	_						
					3C	Administrator's t	elephone number		
4 If th	ne name and/or FIN of the	e nlan enoneor has changed since the	last return/report filed t	or this plan, enter the	4h	EINI			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
a Sponsor's name					4c	4c PN			
5a To	a Total number of participants at the beginning of the plan year				- 5a	5a 45			
b To	b Total number of participants at the end of the plan year				- 5b	50			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					. 5c		50		
	•	s during the plan year invested in elig					X Yes No		
		of the annual examination and report o							
un	der 29 CFR 2520.104-46	? (See instructions on waiver eligibility	and conditions.)				X Yes No		
lf y	ou answered "No" to e	ither line 6a or line 6b, the plan can	not use Form 5500-SF	and must instead use	Form	5500.			
Caution	: A penalty for the late	or incomplete filing of this return/re	eport will be assessed	unless reasonable ca	use is	established.			
		ther penalties set forth in the instruction							
	is true, correct, and com	nd signed by an enrolled actuary, as volete.	vell as the electronic ve	rsion of this return/repor	rt, and	to the best of my	knowledge and		
				1					
SIGN HERE	Filed with authorized	/valid electronic signature.	08/07/2013	JOHN ARAUCTO					
HEKE	Signature of plan a	administrator	Date	Enter name of individ	individual signing as plan ac		ninistrator		
SIGN									
HERE	Signature of emplo		Date	Enter name of individ	dual siç	gning as employe	r or plan sponsor		
Prepare	r's name (including firm r	name, if applicable) and address; inclu	ide room or suite numbe	er (optional)	Prep	arer's telephone	number (optional)		

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Pa	rt III Financial Information											
7	Plan Assets and Liabilities		(a) Beginning of Year			(b) End of Year						
a	Total plan assets	7a		1167628			1487505					
	•	7b	143				2293					
	Net plan assets (subtract line 7b from line 7a)	7c	116619				1485212					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total					
	Contributions received or receivable from:						(4)	-				
	Employers			8								
	(2) Participants	Participants										
	3) Others (including rollovers)											
b	Other income (loss)	8b	16030	9								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						3	52393	3		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	Benefits paid (including direct rollovers and insurance premiums										
е	Certain deemed and/or corrective distributions (see instructions)	8e										
f	Administrative service providers (salaries, fees, commissions)	8f	11	0								
g	Other expenses	8g										
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							3337	6		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						;	31901	7		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j										
Pai	rt IV Plan Characteristics											
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2J 2E 3D 2G											
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:											
Par	t V Compliance Questions											
10	During the plan year:				Yes	No		Amo	ount			
а				10a		X						
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X						
c	Was the plan covered by a fidelity bond?			10c	Χ					1000	000	
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bor	nd, that was caused by fraud			X				1000	000	
_	or dishonesty?			10d								
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all of instructions.)	of the bene	fits under the plan? (See	10e		X						
f	Has the plan failed to provide any benefit when due under the pla			10f		X						
						X						
g h	Did the plan have any participant loans? (If "Yes," enter amount as of year end.) If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g								
	2520 404 2 \		2520.101-3.)			X						
i	If 10h was answered "Yes," check the box if you either provided the	ne required	I notice or one of the	10h		X						
Part	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	I notice or one of the	10h 10i		X						
-	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	I notice or one of the	10i		dule SE			Yes		No	
Pari	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 It VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem	ne required 1-3	I notice or one of the	10i		dule SE			Yes		No	
11 11	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 To Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	ne required 1-3	notice or one of the	10i		dule SE					No	
Pari	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 It VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) I Enter the amount from Schedule SB line 39	ne required 1-3ents? (If "\ requireme	rents of section 412 of the Code	10i		dule SE			Yes			
11 11a 12	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 It VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	ne required 1-3 lents? (If "\ requireme , as applica ng amortize	rents of section 412 of the Code able.)	10i	ction	dule SE 11a 302 of enter th	ERISA?		Yes	X		
Part 11 11 11 12 a	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 It VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	ne required 1-3 ents? (If "\ requireme , as applica	res," see instructions and coments of section 412 of the Code able.) ed in this plan year, see instru-	10i	ction	dule SE 11a 302 of	ERISA?	he le Yea	Yes	X		
11 11 12 a	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 It VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) Is the amount from Schedule SB line 39	requirements, as applicated amortized	res," see instructions and coments of section 412 of the Code able.) ed in this plan year, see instructions and coments of section 412 of the Code able.) Month 5500), and skip to line 13.	nplete or sections, ath	ction and	dule SE 11a 302 of enter th	ERISA?		Yes	X		

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				