Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acco	rdance with the instr	uctions to the Form 550	0-SF.					
Part I	Annual Report	Identification Information								
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/20	12	and ending 1	2/31/201	2				
	turn/report is for: turn/report is:	a single-employer plan the first return/report	a multiple-employer plan (not multiemployer) a one-participant plan the final return/report							
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)					
C Check I	box if filing under:	X Form 5558	automatic extension		П	DFVC progra	m			
• Oncor.	box ii iiiiig dildei.	special extension (enter descript	-1							
Part II	Pacia Blan Info	rmation—enter all requested inform								
		enter all requested infor	nation		1h T	broo digit				
1a Name of plan M H SUTTON COMPANY WELFARE BENEFIT PLAN NATIONAL BENEFIT TRUST I						hree-digit an number				
M1100110	TOOMI / TOT WEEL /	NE BENEFIT I BANTONIL BEN	LITT TROOT I			PN) ▶	501			
					1c Ef	plan				
					01/01/2004					
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) M H SUTTON COMPANY					2b Employer Identification Number (EIN) 13-4113002					
2021 E 2ND	ST				2c Sponsor's telephone number 917-922-7286					
	I, NY 11223-2944				2d Business code (see instructions) 523110					
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address 1 H SUTTON COMPANY 2021 E 2ND ST			3b Administrator's EIN 13-4113002							
111301101	COMPANT	2021 E 2ND BROOKLYN,	NY 11223-2944		3c Ad	dministrator's to 917-922		mber		
		e plan sponsor has changed since the mber from the last return/report.	e last return/report filed	for this plan, enter the	4b EI	IN				
	or's name	mber from the last return/report.			4c PI	N				
		at the beginning of the plan year			5a					
					5b					
Total number of participants at the end of the plan year C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c			2		
·	,	s during the plan year invested in elig					X Yes	No		
b Are yo	ou claiming a waiver o	s during the plair year invested in elig f the annual examination and report o ? (See instructions on waiver eligibility	f an independent quali	fied public accountant (IQ	PA)		X Yes	☐ No		
If you	answered "No" to e	ither line 6a or line 6b, the plan car	not use Form 5500-S	F and must instead use	Form 55	i00.				
Caution: A	A penalty for the late	or incomplete filing of this return/re	eport will be assesse	d unless reasonable cau	ıse is es	tablished.				
SB or Sche		her penalties set forth in the instructiond signed by an enrolled actuary, as volete.								
SIGN	Filed with authorized	valid electronic signature.	08/14/2013	MARC SUTTON	MARC SUTTON Enter name of individual signing as plan administrat					
HERE	Signature of plan a	dministrator	Date	Enter name of individ						
SIGN										
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	idual signing as employer or plan sponsor					
Signature of employer/plan sponsor Date Enter name of individ					Preparer's telephone number (optional)					

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Part III Financial Information											
7	Plan Assets and Liabilities		(a) Beginning of Year				(b) End of Year				
<u>,</u>	Total plan assets	7a	(a) Beginning of Tea			167898					
	Total plan liabilities	7b	10221						10703	0	
-	Net plan assets (subtract line 7b from line 7a)	7c	15224	L1					16789	8	
8	_		(a) Amount				(b) Total				
	Contributions received or receivable from:		(a) Amount				(6) 1	лаі			
				0							
	(2) Participants	8a(2)									
	(3) Others (including rollovers)										
<u>b</u>	Other income (loss)	8b	1864	3							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1864	3	
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	298	6							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							298	6	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							1565	7	
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics										
9a											
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 4B										
Par											
10	During the plan year:				Yes	No		Δm	ount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			-		
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X					
	Was the plan covered by a fidelity bond?			10c		Χ					
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				Х					
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d							
·	insurance service or other organization that provides some or all of										
	instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?					X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X					
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3										
Part VI Pension Funding Compliance											
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)											
11a	11a Enter the amount from Schedule SB line 39										
	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.	ng amortiz	ed in this plan year, see instru		and e	enter th		ie le Yea		lling	
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b Enter the minimum required contribution for this plan year											
D											

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					