Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instru	uctions to the Form 550	0-SF.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Part I	Annual Report	Identification Information						
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/	2012	and ending 1	2/31/2	2012		
	turn/report is for:	a single-employer plan	H	plan (not multiemployer)	a one-participant plan			
B This ret	turn/report is:	the first return/report	the final return/repor	t				
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)			
C Check I	box if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter descr	iption)					
Part II	Basic Plan Info	rmation—enter all requested info	ormation					
1a Name					1b	Three-digit		
		INC. EMPLOYEE SAVINGS PLAN	& TRUST			plan number		
						(PN)	001	
					1c	Effective date o	•	
0- 5					01	10/01		
	ponsor's name and ad PHARMACEUTICALS,	dress; include room or suite numbe INC.	er (employer, if for a single	e-employer plan)	2b	Employer Identification Number (EIN) 91-1261311		
					2c	hone number		
300 ELLIOT	T AVENUE WEST, SU	JITE 530				1-7001		
SEATTLE, V	VA 98119-4114				2d	Business code 62139	see instructions)	
3a Plan a	dministrator's name ar	nd address Same as Plan Spons	or Name Same as Pla	an Sponsor Address	3b	Administrator's		
	SAAKVITNE		RADO AVE				96489	
AAKVITNE L	LAW CORPORATION	SECOND F SANTA MO	FLOOR ONICA, CA 90401		3c	Administrator's	telephone number	
		3/1141/CIMC	711071, 071 00401			310-43	1-0220	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				4b EIN				
		mber from the last return/report.	·	, ,	TO LIN			
a Sponsor's name				4c PN				
5a Total number of participants at the beginning of the plan year				5a	29			
b Total r	number of participants	at the end of the plan year			5b		22	
		account balances as of the end of t	. , ,	•	5c		22	
_		s during the plan year invested in e					X Yes No	
_	•	f the annual examination and repor	•	· · · · · · · · · · · · · · · · · · ·				
		? (See instructions on waiver eligibi					X Yes No	
If you	answered "No" to e	ither line 6a or line 6b, the plan c	annot use Form 5500-S	F and must instead use	Form	5500.		
Caution: A	penalty for the late	or incomplete filing of this return	/report will be assessed	l unless reasonable cau	ıse is	established.		
		her penalties set forth in the instruc						
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, a	s well as the electronic ve	ersion of this return/report	i, and t	to the best of my	knowledge and	
DOILOT, IL 13 I	r	olete.	1	1				
SIGN	Filed with authorized/	valid electronic signature.	08/14/2013	NICHOLAS L. SAAKV	/ITNE			
HERE	Signature of plan a	dministrator	Date	Enter name of individ	dual signing as plan administrator			
SIGN								
HERE	Signature of emplo	rer/plan sponsor Date Enter name of individual signing as employer or plan sponsor						
Preparer's		ame, if applicable) and address; in	clude room or suite numb				number (optional)	

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Do	t III Financial Information		<u> </u>					
	t III Financial Information Plan Assets and Liabilities		(a) Baginning of Vac				(h) End of Voor	
		7-	(a) Beginning of Yea			(b) End of Year		
	Total plan liabilities	7a 7b	130302	0	-		966226 0	
	b Total plan liabilities		12656					
	C Net plan assets (subtract line 7b from line 7a)			1365647		966226		
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
a	(1) Employers	8a(1)	111	9				
	(2) Participants	8a(2)	2169	93				
	(3) Others (including rollovers)	8a(3)		0				
b	Other income (loss)	. 8b	10795	107952				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					130764	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)		52904	529041				
е	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f	114	14				
g	Other expenses	8g		0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					530185	
	Net income (loss) (subtract line 8h from line 8c)	8i					-399421	
	Transfers to (from) the plan (see instructions)	8i		0				
Par	t IV Plan Characteristics	, o,						
9a	If the plan provides pension benefits, enter the applicable pension 2F 2G 2J 2K 2T 3D 3H	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:	
Dawl	V Compliance Questions							
Part	<u> </u>				V	NI -		
10	During the plan year:	4:		1	Yes	No	Amount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X		
С	Was the plan covered by a fidelity bond?			10c	X		1000000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	•	10d		X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X		
f	Has the plan failed to provide any benefit when due under the pla			10f		Χ		
	· · · · · · · · · · · · · · · · · · ·				X			
g h				10g	Λ	X	5944	
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10h				
Dowl	1	1-3		10i				
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes X No								
11a	5500) and line 11a below) Yes X No 11a Enter the amount from Schedule SB line 39							
12								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
If	you completed line 12a, complete lines 3, 9, and 10 of Schedul							
b Enter the minimum required contribution for this plan year								

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				